

RETURN WITH BIDLETTING DATE June 15, 2007ITEM NUMBER 18A

Proposal Submitted By

Name

Address

City/State

Zip Code

Telephone Number

FEIN Number

FAX Number

BIDDERS NEED NOT RETURN THE ENTIRE PROPOSAL
 (See instructions inside front cover)
NOTICE TO PROSPECTIVE BIDDERS

This proposal can be used for bidding purposes
 by only those companies that request and receive written
 AUTHORIZATION TO BID from IDOT's Central Bureau of
 Construction.
 (SEE INSTRUCTIONS ON THE INSIDE OF COVER)

PROPOSAL COVER SHEET

Illinois Department of Transportation
DIVISION OF AERONAUTICS

AIRPORT DuPageMUNICIPAL DESIGNATION West ChicagoCOUNTY DESIGNATION DuPageILLINOIS PROJECT NO. DPA-3695FEDERAL PROJECT NO. 3-17-0017-B21

Is the Option for Bituminous Materials
 Cost Adjustments Selected?

Please See Pages 69 and 70 and
 Mark the Appropriate Box Below:

 Yes

 No

PLEASE MARK THE APPROPRIATE BOX BELOW:

 A Bid Bond is included.

 A Cashier's Check or a Certified Check is included.

INSTRUCTIONS

ABOUT IDOT PROPOSALS: All proposals issued by IDOT are potential bidding proposals. Each proposal contains all Certifications and Affidavits, a Proposal Signature Sheet and a Proposal Bid Bond required for Prime Contractors to submit a bid after written **Authorization to Bid** has been issued by IDOT’s Central Bureau of Construction.

HOW MANY PROPOSALS SHOULD PROSPECTIVE BIDDERS REQUEST?: Prospective bidders should, prior to submitting their initial request for plans and proposals, determine their needs and request the total number of plans and proposals needed for each item requested. There will be a nonrefundable charge of \$15 for each set of plans and specifications issued.

WHO CAN BID?: Bids will be accepted from only those companies that request and receive written **Authorization to Bid** from IDOT’s Central Bureau of Construction.

WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID?: When a prospective prime bidder submits a “Request for Proposal Forms and Plans” he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a **Proposal Denial and/or Authorization Form**, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If **Authorization to Bid** cannot be approved, the **Proposal Denial and/or Authorization Form** will indicate the reason for denial. If a contractor has requested to bid but has not received a **Proposal Denial and/or Authorization Form**, they should contact the Central Bureau of Construction in advance of the letting date.

WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?: Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

ABOUT SUBMITTING BIDS: It is recommended that bidders deliver bids in person to insure they arrive at the proper location prior to the time specified for the receipt of bids. Any bid received at the place of letting after the time specified will not be accepted.

WHO SHOULD BE CALLED IF ASSISTANCE IS NEEDED?

Questions Regarding	Call
Prequalification and/or Authorization to Bid	217/782-3413
Preparation and submittal of bids	217/782-7806
Mailing of plans and proposals	217/782-7806



1. Proposal of _____

for the improvement officially known as:

- (a) DuPage Airport
- (b) The proposed improvement shown in detail on the plans issued by the Department schedule and detail sheets included herein, includes, in general, the following described work:

Re-mark Runway 10-28 and re-light runway end 28 threshold; Install Runway 28 PAPI and REIL lights.

TO THE DEPARTMENT OF TRANSPORTATION

2. The plans for the proposed work are those issued by the Department of Transportation to cover the work described above.

The specifications are those prepared by the Department of Transportation, Division of Aeronautics and designated as "Standard Specifications for Construction of Airports," adopted January, 1985, the "Supplemental Specifications and Recurring Special Provisions," adopted July 1, 2004 and the "Special Provisions" thereto, adopted and in effect on the date of invitation for bids.

3. **COMPLETION TIME/LIQUIDATED DAMAGES.** It being understood and agreed that the completion within the time limit is an essential part of the contract, the bidder agrees to complete the work within 71 calendar days, unless additional time is granted by the Engineer in accordance with the provisions of the specifications. In case of failure to complete the work on or before the time named herein, or within such extra time as may have been allowed by extensions, the bidder agrees that the Department of Transportation shall withhold from such sum as may be due him/her under the terms of this contract, the costs, as set forth below, which costs shall be considered and treated not as a penalty but as damages due to the State from the bidder by reason of the failure of the bidder to complete the work within the time specified in the contract. The following Schedule of Deductions supersedes the table given in Section 60-09 of the Division's Standard Specifications for Construction of Airports.

Schedule of Deductions for Each Day of Overrun in Contract Time

<u>Original Contract Amount</u>		<u>Daily Charge</u>
<u>From More Than</u>	<u>To and Including</u>	<u>Calendar Day</u>
\$ 0	\$ 25,000	\$ 300
25,000	100,000	375
100,000	500,000	550
500,000	1,000,000	725
1,000,000	2,000,000	900
2,000,000	3,000,000	1,100
3,000,000	5,000,000	1,300
5,000,000	7,500,000	1,450
7,500,000	10,000,000	1,650

A daily charge shall be made for every day shown on the calendar beyond the specified contract time in calendar days.

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4. **ASSURANCE OF EXAMINATION AND INSPECTION/WAIVER.** The undersigned further declares that he/she has carefully examined the proposal, plans, specifications, supplemental and applicable recurring special provisions, form of contract and contract bonds, and special provisions, and that he/she has inspected in detail the site of the proposed work, and that he/she has familiarized themselves with all of the local conditions affecting the contract and the detailed requirements of construction, and understands that in making this proposal he/she waives all right to plead any misunderstanding regarding the same.

5. **EXECUTION OF CONTRACT AND CONTRACT BONDS.** The undersigned further agrees to execute a contract for this work and present the same to the department within fifteen (15) days after the contract has been mailed to him/her. The undersigned further agrees that he/she and his/her surety will execute and present within fifteen (15) days after the contract has been mailed to him/her contract bonds satisfactory to and in the form prescribed by the Department of Transportation, in the penal sum of the full amount of the contract, guaranteeing the faithful performance of the work in accordance with the terms of the contract and guaranteeing payment in full all bills and accounts for materials and labor used in the construction of the work.

6. **PROPOSAL GUARANTY.** Accompanying this proposal is either a bid bond on the department form, executed by a corporate surety company satisfactory to the department, or a proposal guaranty check consisting of a bank cashier's check or a properly certified check for not less than 5 per cent of the amount bid or for the amount specified in the following schedule:

<u>Amount of Bid</u>	<u>Proposal Guaranty</u>	<u>Amount of Bid</u>	<u>Proposal Guaranty</u>
Up to \$5,000	to \$5,000\$150	\$2,000,000	to \$3,000,000 \$100,000
\$5,000	to \$10,000\$300	\$3,000,000	to \$5,000,000 \$150,000
\$10,000	to \$50,000\$1,000	\$5,000,000	to \$7,500,000 \$250,000
\$50,000	to \$100,000\$3,000	\$7,500,000	to \$10,000,000 \$400,000
\$100,000	to \$150,000\$5,000	\$10,000,000	to \$15,000,000 \$500,000
\$150,000	to \$250,000\$7,500	\$15,000,000	to \$20,000,000 \$600,000
\$250,000	to \$500,000\$12,500	\$20,000,000	to \$25,000,000\$700,000
\$500,000	to \$1,000,000\$25,000	\$25,000,000	to \$30,000,000 \$800,000
\$1,000,000	to \$1,500,000\$50,000	\$30,000,000	to \$35,000,000 \$900,000
\$1,500,000	to \$2,000,000\$75,000	over	\$35,000,000 \$1,000,000

Bank cashier's checks or properly certified checks accompanying proposals shall be made payable to the Treasurer, State of Illinois, when the state is awarding authority; the county treasurer, when a county is the awarding authority; or the city, village, or town treasurer, when a city, village, or town is the awarding authority.

If a combination bid is submitted, the proposal guaranties which accompany the individual proposals making up the combination will be considered as also covering the combination bid.

The amount of the proposal guaranty check is _____ \$(_____). If this proposal is accepted and the undersigned shall fail to execute contract bonds as required herein, it is hereby agreed that the amount of the proposal guaranty shall become the property of the State of Illinois, and shall be considered as payment of damages due to delay and other causes suffered by the State because of the failure to execute said contract and contract bonds; otherwise, the bid bond shall become void or the proposal guaranty check shall be returned to the undersigned.

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(e) The plans and Special Provisions for each separate contract shall be construed separately for all requirements, except as described in paragraphs (a) through (d) listed above.

When a combination bid is submitted, the schedule below must be completed in each proposal comprising the combination.

If alternate bids are submitted for one or more of the sections comprising the combination, a combination bid must be submitted for each alternate.

Schedule of Combination Bids

Combination No.	Sections Included in Combination	Combination Bid	
		Dollars	Cents

8. **SCHEDULE OF PRICES.** The undersigned submits herewith his/her schedule of prices covering the work to be performed under this contract; he/she understands that he/she must show in the schedule the unit prices (with no more than two decimal places, i.e. \$25.35, not \$25.348) for which he/she proposes to perform each item of work, that the extensions must be made by him/her, and that if not so done his/her proposal may be rejected as irregular.

The undersigned further agrees that the unit prices submitted herewith are for the purpose of obtaining a gross sum, and for use in computing the value of additions and deductions; that if there is a discrepancy between the gross sum bid and that resulting from the summation of the quantities multiplied by their respective unit prices, the latter shall govern.

COUNTY NAME	CODE	DIST	AIRPORT NAME	FED PROJECT	ILL PROJECT
DUPAGE	043	01	DUPAGE	3-17-0017-BXX	DP-A -3695

ITEM NUMBER	PAY ITEM DESCRIPTION	UNIT OF MEASURE	QUANTITY	UNIT PRICE		TOTAL PRICE	
				DOLLARS	CENTS	DOLLARS	CTS
AR108158	1/C #8 5 KV UG CABLE IN UD	L.F.	420.000 X				
AR108404	1/C #4 600 V UG CABLE	L.F.	3,723.000 X				
AR108756	1/C #6 GROUND	L.F.	2,034.000 X				
AR110314	4" STEEL DUCT, JACKED	L.F.	250.000 X				
AR125615	PAPI (L-880 SYSTEM)	EACH	1.000 X				
AR125902	REMOVE BASE MOUNTED LIGHT	EACH	6.000 X				
AR125909	REMOVE VASI	EACH	1.000 X				
AR125967	RELOCATE REILS	PAIR	1.000 X				
AR156510	SILT FENCE	L.F.	200.000 X				
AR156520	INLET PROTECTION	EACH	3.000 X				
AR620520	PAVEMENT MARKING-WATERBORNE	S.F.	50,910.000 X				
AR620525	PAVEMENT MARKING-BLACK BORDER	S.F.	23,811.000 X				
AR620900	PAVEMENT MARKING REMOVAL	S.F.	42,727.000 X				
AR800053	SOIL GUARD	S.Y.	2,885.000 X				
AR800093	1/C #1 600V UG CABLE	L.F.	2,379.000 X				

DUPAGE
 DUPAGE

ITEM NUMBER	PAY ITEM DESCRIPTION	UNIT OF MEASURE	QUANTITY	UNIT PRICE		TOTAL PRICE	
				DOLLARS	CENTS	DOLLARS	CTS
AR800119	REMOVE GRAVEL	C. Y.	200.000				
AR800175	RETROFIT EXISTING ELEVATED LIGHT	EACH	22.000				
AR800176	RETROFIT EXISTING IN-PAVEMENT LIG	EACH	2.000				
AR800177	1/C #1/0 COUNTERPOISE	L.F.	2,034.000				
AR901510	SEEDING	ACRE	0.600				

TOTAL \$

- NOTE:
1. EACH PAY ITEM SHOULD HAVE A UNIT PRICE AND A TOTAL PRICE.
 2. THE UNIT PRICE SHALL GOVERN IF NO TOTAL PRICE IS SHOWN OR IF THERE IS A DISCREPANCY BETWEEN THE PRODUCT OF THE UNIT PRICE MULTIPLIED BY THE QUANTITY.
 3. IF A UNIT PRICE IS OMITTED, THE TOTAL PRICE WILL BE DIVIDED BY THE QUANTITY IN ORDER TO ESTABLISH A UNIT PRICE.
 4. A BID MAY BE DECLARED UNACCEPTABLE IF NEITHER A UNIT PRICE NOR A TOTAL PRICE IS SHOWN.

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THE PRECEDING SCHEDULE OF PRICES MUST BE

COMPLETED AND RETURNED.

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**STATE REQUIRED ETHICAL
STANDARDS GOVERNING CONTRACT
PROCUREMENT: ASSURANCES, CERTIFICATIONS
AND DISCLOSURES**

I. GENERAL

A. Article 50 of the Illinois Procurement Code establishes the duty of all State chief procurement officers, State purchasing officers, and their designees to maximize the value of the expenditure of public moneys in procuring goods, services, and contracts for the State of Illinois and to act in a manner that maintains the integrity and public trust of State government. In discharging this duty, they are charged by law to use all available information, reasonable efforts, and reasonable actions to protect, safeguard, and maintain the procurement process of the State of Illinois.

B. In order to comply with the provisions of Article 50 and to carry out the duty established therein, all bidders are to adhere to ethical standards established for the procurement process, and to make such assurances, disclosures and certifications required by law. By execution of the Proposal Signature Sheet, the bidder indicates that each of the mandated assurances has been read and understood, that each certification is made and understood, and that each disclosure requirement has been understood and completed.

C. In addition to all other remedies provided by law, failure to comply with any assurance, failure to make any disclosure or the making of a false certification shall be grounds for termination of the contract and the suspension or debarment of the bidder.

II. ASSURANCES

A. The assurances hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous assurance, and the surety providing the performance bond shall be responsible for the completion of the contract.

B. Felons

1. The Illinois Procurement Code provides:

Section 50-10. Felons. Unless otherwise provided, no person or business convicted of a felony shall do business with the State of Illinois or any state agency from the date of conviction until 5 years after the date of completion of the sentence for that felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-10.

C. Conflicts of Interest

1. The Illinois Procurement Code provides in pertinent part:

Section 50-13. Conflicts of Interest.

(a) Prohibition. It is unlawful for any person holding an elective office in this State, holding a seat in the General Assembly, or appointed to or employed in any of the offices or agencies of state government and who receives compensation for such employment in excess of 60% of the salary of the Governor of the State of Illinois, or who is an officer or employee of the Capital Development Board or the Illinois Toll Highway Authority, or who is the spouse or minor child of any such person to have or acquire any contract, or any direct pecuniary interest in any contract therein, whether for stationery, printing, paper, or any services, materials, or supplies, that will be wholly or partially satisfied by the payment of funds appropriated by the General Assembly of the State of Illinois or in any contract of the Capital Development Board or the Illinois Toll Highway authority.

(b) Interests. It is unlawful for any firm, partnership, association or corporation, in which any person listed in subsection (a) is entitled to receive (i) more than 7 1/2% of the total distributable income or (ii) an amount in excess of the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.

(c) Combined interests. It is unlawful for any firm, partnership, association, or corporation, in which any person listed in subsection (a) together with his or her spouse or minor children is entitled to receive (i) more than 15%, in the aggregate, of the total distributable income or (ii) an amount in excess of 2 times the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.

(d) Securities. Nothing in this Section invalidates the provisions of any bond or other security previously offered or to be offered for sale or sold by or for the State of Illinois.

(e) Prior interests. This Section does not affect the validity of any contract made between the State and an officer or employee of the State or member of the General Assembly, his or her spouse, minor child or any combination of those persons if that contract was in existence before his or her election or employment as an officer, member, or employee. The contract is voidable, however, if it cannot be completed within 365 days after the officer, member, or employee takes office or is employed.

The current salary of the Governor is \$145,877.00. Sixty percent of the salary is \$87,526.20.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-13, or that an effective exemption has been issued by the Board of Ethics to any individual subject to the Section 50-13 prohibitions pursuant to the provisions of Section 50-20 of the Code and Executive Order Number 3 (1998). Information concerning the exemption process is available from the Department upon request.

D. Negotiations

1. The Illinois Procurement Code provides in pertinent part:

Section 50-15. Negotiations.

(a) It is unlawful for any person employed in or on a continual contractual relationship with any of the offices or agencies of State government to participate in contract negotiations on behalf of that office or agency with any firm, partnership, association, or corporation with whom that person has a contract for future employment or is negotiating concerning possible future employment.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-15, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

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E. Inducements

1. The Illinois Procurement Code provides:

Section 50-25. Inducement. Any person who offers or pays any money or other valuable thing to any person to induce him or her not to bid for a State contract or as recompense for not having bid on a State contract is guilty of a Class 4 felony. Any person who accepts any money or other valuable thing for not bidding for a State contract or who withholds a bid in consideration of the promise for the payment of money or other valuable thing is guilty of a Class 4 felony.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-25, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

F. Revolving Door Prohibition

1. The Illinois Procurement Code provides:

Section 50-30. Revolving door prohibition. Chief procurement officers, associate procurement officers, State purchasing officers, their designees whose principal duties are directly related to State procurement, and executive officers confirmed by the Senate are expressly prohibited for a period of 2 years after terminating an affected position from engaging in any procurement activity relating to the State agency most recently employing them in an affected position for a period of at least 6 months. The prohibition includes, but is not limited to: lobbying the procurement process; specifying; bidding; proposing bid, proposal, or contract documents; on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to persons who terminate an affected position on or after January 15, 1999.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-30, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

G. Reporting Anticompetitive Practices

1. The Illinois Procurement Code provides:

Section 50-40. Reporting anticompetitive practices. When, for any reason, any vendor, bidder, contractor, chief procurement officer, State purchasing officer, designee, elected official, or State employee suspects collusion or other anticompetitive practice among any bidders, offers, contractors, proposers, or employees of the State, a notice of the relevant facts shall be transmitted to the Attorney General and the chief procurement officer.

2. The bidder assures the Department that it has not failed to report any relevant facts concerning the practices addressed in Section 50-40 which may involve the contract for which the bid is submitted.

H. Confidentiality

1. The Illinois Procurement Code provides:

Section 50-45. Confidentiality. Any chief procurement officer, State purchasing officer, designee, or executive officer who willfully uses or allows the use of specifications, competitive bid documents, proprietary competitive information, proposals, contracts, or selection information to compromise the fairness or integrity of the procurement, bidding, or contract process shall be subject to immediate dismissal, regardless of the Personnel code, any contract, or any collective bargaining agreement, and may in addition be subject to criminal prosecution.

2. The bidder assures the Department that it has no knowledge of any fact relevant to the practices addressed in Section 50-45 which may involve the contract for which the bid is submitted.

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I. Insider Information

1. The Illinois Procurement Act provides:

Section 50-50. Insider information. It is unlawful for any current or former elected or appointed State official or State employee to knowingly use confidential information available only by virtue of that office or employment for actual or anticipated gain for themselves or another person.

2. The bidder assures the Department that it has no knowledge of any facts relevant to the practices addressed in Section 50-50 which may involve the contract for which the bid is submitted.

III. CERTIFICATIONS

A. The certifications hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous certification, and the surety providing the performance bond shall be responsible for completion of the contract.

B. Bribery

1. The Illinois Procurement Code provides:

Section 50-5. Bribery.

(a) Prohibition. No person or business shall be awarded a contract or subcontract under this Code who:

(1) has been convicted under the laws of Illinois or any other state of bribery or attempting to bribe an officer or employee of the State of Illinois or any other state in that officer's or employee's official capacity; or

(2) has made an admission of guilt of that conduct that is a matter of record but has not been prosecuted for that conduct.

(b) Businesses. No business shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of the business if the employee or agent is no longer employed by the business and:

(1) the business has been finally adjudicated not guilty; or

(2) the business demonstrates to the governmental entity with which it seeks to contract, and that entity finds that the commission of the offense was not authorized, requested, commanded, or performed by a director, officer, or high managerial agent on behalf of the business as provided in paragraph (2) of subsection (a) of Section 5-4 of the Criminal Code of 1961.

(c) Conduct on behalf of business. For purposes of this Section, when an official, agent, or employee of a business committed the bribery or attempted bribery on behalf of the business and in accordance with the direction or authorization of a responsible official of the business, the business shall be chargeable with the conduct.

(d) Certification. Every bid submitted to and contract executed by the State shall contain a certification by the contractor that the contractor is not barred from being awarded a contract or subcontract under this Section. A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

2. The bidder certifies that it is not barred from being awarded a contract under Section 50.5.

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C. Educational Loan

1. Section 3 of the Educational Loan Default Act provides:

§ 3. No State agency shall contract with an individual for goods or services if that individual is in default, as defined in Section 2 of this Act, on an educational loan. Any contract used by any State agency shall include a statement certifying that the individual is not in default on an educational loan as provided in this Section.

2. The bidder, if an individual as opposed to a corporation, partnership or other form of business organization, certifies that the bidder is not in default on an educational loan as provided in Section 3 of the Act.

D. Bid-Rigging/Bid Rotating

1. Section 33E-11 of the Criminal Code of 1961 provides:

§ 33E-11. (a) Every bid submitted to and public contract executed pursuant to such bid by the State or a unit of local government shall contain a certification by the prime contractor that the prime contractor is not barred from contracting with any unit of State or local government as a result of a violation of either Section 33E-3 or 33E-4 of this Article. The State and units of local government shall provide the appropriate forms for such certification.

(b) A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

A violation of Section 33E-3 would be represented by a conviction of the crime of bid-rigging which, in addition to Class 3 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be barred for 5 years from the date of conviction from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

A violation of Section 33E-4 would be represented by a conviction of the crime of bid-rotating which, in addition to Class 2 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be permanently barred from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

2. The bidder certifies that it is not barred from contracting with the Department by reason of a violation of either Section 33E-3 or Section 33E-4.

E. International Anti-Boycott

1. Section 5 of the International Anti-Boycott Certification Act provides:

§ 5. State contracts. Every contract entered into by the State of Illinois for the manufacture, furnishing, or purchasing of supplies, material, or equipment or for the furnishing of work, labor, or services, in an amount exceeding the threshold for small purchases according to the purchasing laws of this State or \$10,000.00, whichever is less, shall contain certification, as a material condition of the contract, by which the contractor agrees that neither the contractor nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the U.S. Export Administration Act of 1979 or the regulations of the U.S. Department of Commerce promulgated under that Act.

2. The bidder makes the certification set forth in Section 5 of the Act.

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F. Drug Free Workplace

1. The Illinois “Drug Free Workplace Act” applies to this contract and it is necessary to comply with the provisions of the “Act” if the contractor is a corporation, partnership, or other entity (including a sole proprietorship) which has 25 or more employees.

2. The bidder certifies that if awarded a contract in excess of \$5,000 it will provide a drug free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance, including cannabis, is prohibited in the contractor’s workplace; specifying the actions that will be taken against employees for violations of such prohibition; and notifying the employee that, as a condition of employment on such contract, the employee shall abide by the terms of the statement, and notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.

(b) Establishing a drug free awareness program to inform employees about the dangers of drug abuse in the workplace; the contractor’s policy of maintaining a drug free workplace; any available drug counseling, rehabilitation, and employee assistance programs; and the penalties that may be imposed upon employees for drug violations.

(c) Providing a copy of the statement required by subparagraph (1) to each employee engaged in the performance of the contract and to post the statement in a prominent place in the workplace.

(d) Notifying the Department within ten (10) days after receiving notice from an employee or otherwise receiving actual notice of the conviction of an employee for a violation of any criminal drug statute occurring in the workplace.

(e) Imposing or requiring, within 30 days after receiving notice from an employee of a conviction or actual notice of such a conviction, an appropriate personnel action, up to and including termination, or the satisfactory participation in a drug abuse assistance or rehabilitation program approved by a federal, state or local health, law enforcement or other appropriate agency.

(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the actions and efforts stated in this certification.

G. Debt Delinquency

1. The Illinois Procurement Code provides:

Section 50-11 and 50-12. Debt Delinquency.

The contractor or bidder certifies that it, or any affiliate, is not barred from being awarded a contract under 30 ILCS 500. Section 50-11 prohibits a person from entering into a contract with a State agency if it knows or should know that it, or any affiliate, is delinquent in the payment of any debt to the State as defined by the Debt Collection Board. Section 50-12 prohibits a person from entering into a contract with a State agency if it, or any affiliate, has failed to collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act. The contractor further acknowledges that the contracting State agency may declare the contract void if this certification is false or if the contractor, or any affiliate, is determined to be delinquent in the payment of any debt to the State during the term of the contract.

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H. Sarbanes-Oxley Act of 2002

1. The Illinois Procurement Code provides:

Section 50-60(c).

The contractor certifies in accordance with 30 ILCS 500/50-10.5 that no officer, director, partner or other managerial agent of the contracting business has been convicted of a felony under the Sarbanes-Oxley Act of 2002 or a Class 3 or Class 2 felony under the Illinois Securities Law of 1953 for a period of five years prior to the date of the bid or contract. The contractor acknowledges that the contracting agency shall declare the contract void if this certification is false.

I. Section 42 of the Environmental Protection Act

The contractor certifies in accordance with 30 ILCS 500/50-12 that the bidder or contractor is not barred from being awarded a contract under this Section which prohibits the bidding on or entering into contracts with the State of Illinois or a State agency by a person or business found by a court or the Pollution Control Board to have committed a willful or knowing violation of Section 42 of the Environmental Protection Act for a period of five years from the date of the order. The contractor acknowledges that the contracting agency may declare the contract void if this certification is false.

J. Executive Order Number 1 (2007) Regarding Lobbying on Government Procurements

The bidder hereby warrants and certifies that they have complied and will comply with the requirements set forth in this Order. The requirements of this warrant and certification are a material part of the contract, and the contractor shall require this warrant and certification provision to be included in all approved subcontracts.

RETURN WITH BID

IV. DISCLOSURES

A. The disclosures hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous disclosure, and the surety providing the performance bond shall be responsible for completion of the contract.

B. Financial Interests and Conflicts of Interest

1. Section 50-35 of the Illinois Procurement Code provides that all bids of more than \$10,000 shall be accompanied by disclosure of the financial interests of the bidder. This disclosed information for the successful bidder, will be maintained as public information subject to release by request pursuant to the Freedom of Information Act.

The financial interests to be disclosed shall include ownership or distributive income share that is in excess of 5%, or an amount greater than 60% of the annual salary of the Governor, of the bidding entity or its parent entity, whichever is less, unless the contractor or bidder is a publicly traded entity subject to Federal 10K reporting, in which case it may submit its 10K disclosure in place of the prescribed disclosure. The disclosure shall include the names, addresses, and dollar or proportionate share of ownership of each person making the disclosure, their instrument of ownership or beneficial relationship, and notice of any potential conflict of interest resulting from the current ownership or beneficial interest of each person making the disclosure having any of the relationships identified in Section 50-35 and on the disclosure form.

In addition, all disclosures shall indicate any other current or pending contracts, proposals, leases, or other ongoing procurement relationships the bidding entity has with any other unit of state government and shall clearly identify the unit and the contract, proposal, lease, or other relationship.

2. Disclosure Forms. Disclosure Form A is attached for use concerning the individuals meeting the above ownership or distributive share requirements. Subject individuals should be covered each by one form. In addition, a second form (Disclosure Form B) provides for the disclosure of current or pending procurement relationships with other (non-IDOT) state agencies. **The forms must be included with each bid or incorporated by reference.**

C. Disclosure Form Instructions

Form A: For bidders that have previously submitted the information requested in Form A

The Department has retained the Form A disclosures submitted by all bidders responding to these requirements for the April 24, 1998 or any subsequent letting conducted by the Department. The bidder has the option of submitting the information again or the bidder may sign the following certification statement indicating that the information previously submitted by the bidder is, as of the date of signature, current and accurate. The Certification must be signed and dated by a person who is authorized to execute contracts for the bidding company. Before signing this certification, the bidder should carefully review its prior submissions to ensure the Certification is correct. If the Bidder signs the Certification, the Bidder should proceed to Form B instructions.

CERTIFICATION STATEMENT

I have determined that the Form A disclosure information previously submitted is current and accurate, and all forms are hereby incorporated by reference in this bid. Any necessary additional forms or amendments to previously submitted forms are attached to this bid.

(Bidding Company)

Name of Authorized Representative (type or print)

Title of Authorized Representative (type or print)

Signature of Authorized Representative

Date

Form A: For bidders who have NOT previously submitted the information requested in Form A

If the bidder is a publicly traded entity subject to Federal 10K reporting, the 10K Report may be submitted to meet the requirements of Form A. If a bidder is not subject to Federal 10K reporting, the bidder must determine if any individuals are required by law to complete a financial disclosure form. To do this, the bidder should answer each of the following questions. A "YES" answer indicates Form A must be completed. If the answer to each of the following questions is "NO", then the NOT APPLICABLE STATEMENT on the second page of Form A must be signed and dated by a person that is authorized to execute contracts for the bidding company. Note These questions are for assistance only and are not required to be completed.

1. Does anyone in your organization have a direct or beneficial ownership share of greater than 5% of the bidding entity or parent entity? YES _____ NO _____
2. Does anyone in your organization have a direct or beneficial ownership share of less than 5%, but which has a value greater than \$87,526.20? YES _____ NO _____
3. Does anyone in your organization receive more than \$87,526.20 of the bidding entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.) YES _____ NO _____
4. Does anyone in your organization receive greater than 5% of the bidding entity's or parent entity's total distributive income, but which is less than \$87,526.20? YES _____ NO _____

(Note: Only one set of forms needs to be completed per person per bid even if a specific individual would require a yes answer to more than one question.)

A "YES" answer to any of these questions requires the completion of Form A. The bidder must determine each individual in the bidding entity or the bidding entity's parent company that would cause the questions to be answered "Yes". Each form must be signed and dated by a person that is authorized to execute contracts for your organization. **Photocopied or stamped signatures are not acceptable.** The person signing can be, but does not have to be, the person for which the form is being completed. The bidder is responsible for the accuracy of any information provided.

If the answer to each of the above questions is "NO", then the NOT APPLICABLE STATEMENT on page 2 of Form A must be signed and dated by a person that is authorized to execute contracts for your company.

Form B: Identifying Other Contracts & Procurement Related Information Disclosure Form B must be completed for each bid submitted by the bidding entity. It must be signed by an individual who is authorized to execute contracts for the bidding entity. *Note: Signing the NOT APPLICABLE STATEMENT on Form A does not allow the bidder to ignore Form B. Form B must be completed, signed and dated or the bidder may be considered nonresponsive and the bid will not be accepted.*

The Bidder shall identify, by checking Yes or No on Form B, whether it has any pending contracts (including leases), bids, proposals, or other ongoing procurement relationship with any other (non-IDOT) State of Illinois agency. If "No" is checked, the bidder only needs to complete the signature box on the bottom of Form B. If "Yes" is checked, the bidder must do one of the following:

Option I: If the bidder did not submit an Affidavit of Availability to obtain authorization to bid, the bidder must list all non-IDOT State of Illinois agency pending contracts, leases, bids, proposals, and other ongoing procurement relationships. These items may be listed on Form B or on an attached sheet(s). Do not include IDOT contracts. Contracts with cities, counties, villages, etc. are not considered State of Illinois agency contracts and are not to be included. Contracts with other State of Illinois agencies such as the Department of Natural Resources or the Capital Development Board must be included. Bidders who submit Affidavits of Availability are suggested to use Option II.

Option II: If the bidder is required and has submitted an Affidavit of Availability in order to obtain authorization to bid, the bidder may write or type "See Affidavit of Availability" which indicates that the Affidavit of Availability is incorporated by reference and includes all non-IDOT State of Illinois agency pending contracts, leases, bids, proposals, and other ongoing procurement relationships. For any contracts that are not covered by the Affidavit of Availability, the bidder must identify them on Form B or on an attached sheet(s). These might be such things as leases.

D. Bidders Submitting More Than One Bid

Bidders submitting multiple bids may submit one set of forms consisting of all required Form A disclosures and one Form B for use with all bids. Please indicate in the space provided below the bid item that contains the original disclosure forms and the bid items which incorporate the forms by reference.

- The bid submitted for letting item _____ contains the Form A disclosures or Certification Statement and the Form B disclosures. The following letting items incorporate the said forms by reference:

**ILLINOIS DEPARTMENT
OF TRANSPORTATION**

**Form A
Financial Information &
Potential Conflicts of Interest
Disclosure**

Contractor Name		
Legal Address		
City, State, Zip		
Telephone Number		Fax Number (if available)

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Code (30 ILCS 500). Vendors desiring to enter into a contract with the State of Illinois must disclose the financial information and potential conflict of interest information as specified in this Disclosure Form. This information shall become part of the publicly available contract file. This Form A must be completed for bids in excess of \$10,000, and for all open-ended contracts. **A publicly traded company may submit a 10K disclosure in satisfaction of the requirements set forth in Form A. See Disclosure Form Instructions.**

DISCLOSURE OF FINANCIAL INFORMATION

1. Disclosure of Financial Information. The individual named below has an interest in the BIDDER (or its parent) in terms of ownership or distributive income share in excess of 5%, or an interest which has a value of more than \$87,526.20 (60% of the Governor’s salary as of 10/1/2000). **(Make copies of this form as necessary and attach a separate Disclosure Form A for each individual meeting these requirements)**

FOR INDIVIDUAL (type or print information)	
NAME:	_____
ADDRESS	_____
Type of ownership/distributable income share:	
stock _____	sole proprietorship _____
partnership _____	other: (explain on separate sheet): _____
% or \$ value of ownership/distributable income share: _____	

2. Disclosure of Potential Conflicts of Interest. Check “Yes” or “No” to indicate which, if any, of the following potential conflict of interest relationships apply. If the answer to any question is “Yes”, please attach additional pages and describe.

(a) State employment, currently or in the previous 3 years, including contractual employment of services.
 Yes _____ No _____

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years.
 Yes _____ No _____

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years.
 Yes _____ No _____

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter
 Yes _____ No _____

RETURN WITH BID/OFFER

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of the expenses incurred in the discharge of that office currently or in the previous 3 years.

Yes _____ No _____

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter.

Yes _____ No _____

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government.

Yes _____ No _____

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter.

Yes _____ No _____

(i) Compensated employment, currently or in the previous 3 years, by any registered election or reelection committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections.

Yes _____ No _____

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who was a compensated employee in the last 2 years by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections.

Yes _____ No _____

APPLICABLE STATEMENT

This Disclosure Form A is submitted on behalf of the INDIVIDUAL named on previous page.

Completed by:

Name of Authorized Representative (type or print)

Completed by:

Title of Authorized Representative (type or print)

Completed by:

Signature of Individual or Authorized Representative

Date

NOT APPLICABLE STATEMENT

I have determined that no individuals associated with this organization meet the criteria that would require the completion of this Form A.

This Disclosure Form A is submitted on behalf of the CONTRACTOR listed on the previous page.

Name of Authorized Representative (type or print)

Title of Authorized Representative (type or print)

Signature of Authorized Representative

Date

**ILLINOIS DEPARTMENT
OF TRANSPORTATION**

**Form B
Other Contracts &
Procurement Related Information
Disclosure**

Contractor Name		
Legal Address		
City, State, Zip		
Telephone Number		Fax Number (if available)

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Act (30 ILCS 500). This information shall become part of the publicly available contract file. This Form B must be completed for bids in excess of \$10,000, and for all open-ended contracts.

DISCLOSURE OF OTHER CONTRACTS AND PROCUREMENT RELATED INFORMATION

1. Identifying Other Contracts & Procurement Related Information. The BIDDER shall identify whether it has any pending contracts (including leases), bids, proposals, or other ongoing procurement relationship with any other State of Illinois agency: Yes _____ No _____

If **“No”** is checked, the bidder only needs to complete the signature box on the bottom of this page.

2. If “Yes” is checked. Identify each such relationship by showing State of Illinois agency name and other descriptive information such as bid or project number (attach additional pages as necessary). SEE DISCLOSURE FORM INSTRUCTIONS:

THE FOLLOWING STATEMENT MUST BE SIGNED

_____ Name of Authorized Representative (type or print)
_____ Title of Authorized Representative (type or print)
_____ Signature of Authorized Representative
_____ Date

RETURN WITH BID

SPECIAL NOTICE TO CONTRACTORS

The following requirements of the Illinois Department of Human Rights' Rules and Regulations are applicable to bidders on all construction contracts advertised by the Illinois Department of Transportation:

CONSTRUCTION EMPLOYEE UTILIZATION PROJECTION

- (a) All bidders on construction contracts shall complete and submit, along with and as part of their bids, a Bidder's Employee Utilization Form (Form BC-1256) setting forth a projection and breakdown of the total workforce intended to be hired and/or allocated to such contract work by the bidder including a projection of minority and female employee utilization in all job classifications on the contract project.
- (b) The Department of Transportation shall review the Employee Utilization Form, and workforce projections contained therein, of the contract awardee to determine if such projections reflect an underutilization of minority persons and/or women in any job classification in accordance with the Equal Employment Opportunity Clause and Section 7.2 of the Illinois Department of Human Rights' Rules and Regulations for Public Contracts adopted as amended on September 17, 1980. If it is determined that the contract awardee's projections reflect an underutilization of minority persons and/or women in any job classification, it shall be advised in writing of the manner in which it is underutilizing and such awardee shall be considered to be in breach of the contract unless, prior to commencement of work on the contract project, it submits revised satisfactory projections or an acceptable written affirmative action plan to correct such underutilization including a specific timetable geared to the completion stages of the contract.
- (c) The Department of Transportation shall provide to the Department of Human Rights a copy of the contract awardee's Employee Utilization Form, a copy of any required written affirmative action plan, and any written correspondence related thereto. The Department of Human Rights may review and revise any action taken by the Department of Transportation with respect to these requirements.

RETURN WITH BID

PART II. WORKFORCE PROJECTION - continued

- B. Included in "Total Employees" under Table A is the total number of **new hires** that would be employed in the event the undersigned bidder is awarded this contract.

The undersigned bidder projects that: (number) _____ new hires would be recruited from the area in which the contract project is located; and/or (number) _____ new hires would be recruited from the area in which the bidder's principal office or base of operation is located.

- C. Included in "Total Employees" under Table A is a projection of numbers of persons to be employed directly by the undersigned bidder as well as a projection of numbers of persons to be employed by subcontractors.

The undersigned bidder estimates that (number) _____ persons will be directly employed by the prime contractor and that (number) _____ persons will be employed by subcontractors.

PART III. AFFIRMATIVE ACTION PLAN

- A. The undersigned bidder understands and agrees that in the event the foregoing minority and female employee utilization projection included under **PART II** is determined to be an underutilization of minority persons or women in any job category, and in the event that the undersigned bidder is awarded this contract, he/she will, prior to commencement of work, develop and submit a written Affirmative Action Plan including a specific timetable (geared to the completion stages of the contract) whereby deficiencies in minority and/or female employee utilization are corrected. Such Affirmative Action Plan will be subject to approval by the contracting agency and the **Department of Human Rights**.
- B. The undersigned bidder understands and agrees that the minority and female employee utilization projection submitted herein, and the goals and timetable included under an Affirmative Action Plan if required, are deemed to be part of the contract specifications.

Company _____ Telephone Number _____

 Address _____

NOTICE REGARDING SIGNATURE

The Bidder's signature on the Proposal Signature Sheet will constitute the signing of this form. The following signature block needs to be completed only if revisions are required.

Signature: _____ Title: _____ Date: _____

- Instructions: All tables must include subcontractor personnel in addition to prime contractor personnel.
- Table A - Include both the number of employees that would be hired to perform the contract work and the total number currently employed (Table B) that will be allocated to contract work, and include all apprentices and on-the-job trainees. The "Total Employees" column should include all employees including all minorities, apprentices and on-the-job trainees to be employed on the contract work.
 - Table B - Include all employees currently employed that will be allocated to the contract work including any apprentices and on-the-job trainees currently employed.
 - Table C - Indicate the racial breakdown of the total apprentices and on-the-job trainees shown in Table A.

RETURN WITH BID

CERTIFICATIONS REQUIRED BY STATE AND/OR FEDERAL LAW. The bidder is required by State and/or Federal law to make the below certifications and assurances as a part of the proposal and contract upon award. It is understood by the bidder that the certifications and assurances made herein are a part of the contract.

By signing the Proposal Signature Sheet, the bidder certifies that he/she has read and completed each of the following certifications and assurances, that required responses are true and correct and that the certified signature of the Proposal Signature Sheet constitutes an endorsement and execution of each certification and assurance as though each was individually signed:

A. By the execution of this proposal, the signing bidder certifies that the bidding entity has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action, in restraint of free competitive bidding in connection with the submitted bid. This statement made by the undersigned bidder is true and correct under penalty of perjury under the laws of the United States.

B. **CERTIFICATION, EQUAL EMPLOYMENT OPPORTUNITY:**

1. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause.
YES _____ NO _____

2. If answer to #1 is yes, have you filed with the Joint Reporting Committee, the Director of OFCC, any Federal agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements of those organizations? YES _____ NO _____

C. **BUY AMERICAN - STEEL AND MANUFACTURED PRODUCTS FOR CONSTRUCTION CONTRACTS (JAN 1991)**

(a) The Aviation Safety and Capacity Expansion Act of 1990 provides that preference be given to steel and manufactured products produced in the United States when funds are expended pursuant to a grant issued under the Airport Improvement Program. The following terms apply:

1. Steel and manufactured products. As used in this clause, steel and manufactured products include (1) steel produced in the United States or (2) a manufactured product produced in the United States, if the cost of its components mined, produced or manufactured in the United States exceeds 60 percent of the cost of all its components and final assembly has taken place in the United States. Components of foreign origin of the same class or kind as the products referred to in subparagraphs (b)(1) or (2) shall be treated as domestic.

2. Components. As used in this clause, components means those articles, materials, and supplies incorporated directly into steel and manufactured products.

3. Cost of Components. This means the costs for production of the components, exclusive of final assembly labor costs.

(b) The successful bidder will be required to assure that only domestic steel and manufactured products will be used by the Contractor, subcontractors, materialmen, and suppliers in the performance of this contract, except those-

- (1) that the U.S. Department of Transportation has determined, under the Aviation Safety and Capacity Expansion Act of 1990, are not produced in the United States in sufficient and reasonably available quantities of a satisfactory quality;

- (2) that the U.S. Department of Transportation has determined, under the Aviation Safety and Capacity Expansion Act of 1990, that domestic preference would be inconsistent with the public interest; or

- (3) that inclusion of domestic material will increase the cost of the overall project contract by more than 25 percent.

(End of Clause)

RETURN WITH BID

D. BUY AMERICAN CERTIFICATE (JAN 1991)

By submitting a bid/proposal under this solicitation, except for those items listed by the offeror below or on a separate and clearly identified attachment to this bid/proposal, the offeror certifies that steel and each manufactured product, is produced in the United States (as defined in the clause Buy American - Steel and Manufactured Products or Buy American - Steel and Manufactured Products For Construction Contracts) and that components of unknown origin are considered to have been produced or manufactured outside the United States.

Offerors may obtain from (IDOT, Division of Aeronautics) lists of articles, materials, and supplies excepted from this provision.

PRODUCT

COUNTRY OF ORIGIN

E. NPDES CERTIFICATION

In accordance with the provisions of the Illinois Environmental Protection Act, the Illinois Pollution Control Board Rules and Regulations (35 Ill. Adm. Code, Subtitle C, Chapter I), and the Clean Water Act, and the regulations thereunder, this certification is required for all construction contracts that will result in the disturbance of five or more acres total land area.

The undersigned bidder certifies under penalty of law that he/she understands the terms and conditions of the general National Pollutant Discharge Elimination System (NPDES) permit (ILR100000) that authorizes the storm water discharges associated with industrial activity from the construction site identified as part of this certification.

The Airport Owner or its Agent will:

- 1) prepare, sign and submit the Notice of Intent (NOI)
- 2) conduct site inspections and complete and file the inspection reports
- 3) submit Incidence of Non-Compliance (ION) forms
- 4) submit Notice of Termination (NOT) form

Prior to the issuance of the Notice-to-Proceed, for each erosion control measure identified in the Storm Water Pollution Prevention Plan, the contractor or subcontractor responsible for the control measure(s) must sign the above certification (forms to be provided by the Department).

F. NON-APPROPRIATION CLAUSE

By submitting a bid/proposal under this solicitation the offeror certifies that he/she understands that obligations of the State will cease immediately without penalty or further payment being required in any fiscal year the Illinois General Assembly fails to appropriate or otherwise make available sufficient funds for this contract.

G. Contractor is not delinquent in the payment of any debt to the State (or if delinquent has entered into a deferred payment plan to pay the debt), and Contractor acknowledges the contracting state agency may declare the contract void if this certification is false (30 ILCS 500/50-11, effective July 1, 2002).

RETURN WITH BID

NOTICE TO BIDDERS

1. **TIME AND PLACE OF OPENING BIDS.** Sealed proposals for the improvement described herein will be received by the Department of Transportation at the Harry R. Hanley Building, 2300 South Dirksen Parkway in Springfield, Illinois until 10:00 o'clock a.m., June 15, 2007. All bids will be gathered, sorted, publicly opened and read in the auditorium at the Department of Transportation's Harry R. Hanley Building shortly after the 10:00 a.m. cut off time.
2. **DESCRIPTION OF WORK.** The proposed improvement, shown in detail on the plans issued by the Department includes, in general, the following described work:

Re-mark Runway 10-28 and re-light runway end 28 threshold; Install Runway 28 PAPI and REIL lights.
3. **INSTRUCTIONS TO BIDDERS.** (a) This Notice, the invitation for bids, proposal and award shall, together with all other documents in accordance with Article 10-15 of the Illinois Standard Specifications for Construction of Airports, become part of the contract. Bidders are cautioned to read and examine carefully all documents, to make all required inspections, and to inquire or seek explanation of the same prior to submission of a bid.

(b) State law, and, if the work is to be paid wholly or in part with Federal-aid funds, Federal law requires the bidder to make various certifications as a part of the proposal and contract. By execution and submission of the proposal, the bidder makes the certification contained therein. A false or fraudulent certification shall, in addition to all other remedies provided by law, be a breach of contract and may result in termination of the contract.
4. **AWARD CRITERIA AND REJECTION OF BIDS.** This contract will be awarded to the lowest responsive and responsible bidder considering conformity with the terms and conditions established by the Department in the proposal and contract documents. The issuance of plans and proposal forms for bidding based upon a prequalification rating shall not be the sole determinant of responsibility. The Department reserves the right to determine responsibility at the time of award, to reject any or all proposals, to readvertise the proposed improvement, and to waive technicalities.
5. **PRE-BID CONFERENCE.** There will be a pre-bid conference held at N/A at the DuPage Airport administration building. For engineering information, contact Dan Pape of Crawford, Murphy and Tilly, Inc. at (630) 820-1022.
6. **DISADVANTAGED BUSINESS POLICY.** The DBE goal for this contract is 0.0%.
7. **SPECIFICATIONS AND DRAWINGS.** The work shall be done in accordance with the Illinois Standard Specifications for Construction of Airports, the Illinois Division of Aeronautics Supplemental Specifications and Recurring Special Provisions, the Special Provisions dated April 20, 2007 and the Construction Plans dated April 20, 2007 as approved by the Department of Transportation, Division of Aeronautics.

RETURN WITH BID

- 8. INSPECTION OF RECORDS.** The Contractor shall maintain an acceptable cost accounting system. The Sponsor, the FAA, and the Comptroller General of the United States shall have access to any books, documents, paper, and records of the Contractor which are directly pertinent to the specific contract for the purposes of making an audit, examination, excerpts, and transcriptions. The Contractor shall maintain all required records for three years after the Sponsor makes final payment and all other pending matters are closed.
- 9. RIGHTS TO INVENTIONS.** All rights to inventions and materials generated under this contract are subject to Illinois law and to regulations issued by the FAA and the Sponsor of the Federal grant under which this contract is executed. Information regarding these rights is available from the FAA and the Sponsor.
- 10. TERMINATION OF CONTRACT.**
1. The Sponsor may, by written notice, terminate this contract in whole or in part at any time, either for the Sponsor's convenience or because of failure to fulfill the contract obligations. Upon receipt of such notice services shall be immediately discontinued (unless the notice directs otherwise) and all materials as may have been accumulated in performing this contract, whether completed or in progress, delivered to the Sponsor.
 2. If the termination is for the convenience of the Sponsor, an equitable adjustment in the contract price shall be made, but no amount shall be allowed for anticipated profit on unperformed services.
 3. If the termination is due to failure to fulfill the Contractor's obligations, the Sponsor may take over the work and prosecute the same to completion by contract or otherwise. In such case, the Contractor shall be liable to the Sponsor for any additional cost occasioned to the Sponsor thereby.
 4. If, after notice of termination for failure to fulfill contract obligations, it is determined that the Contractor had not so failed, the termination shall be deemed to have been effected for the convenience of the Sponsor. In such event, adjustment in the contract price shall be made as provided in paragraph 2 of this clause.
 5. The rights and remedies of the sponsor provided in this clause are in addition to any other rights and remedies provided by law or under this contract.

RETURN WITH BID

11. BIDDING REQUIREMENTS AND BASIS OF AWARD. When alternates are included in the proposal, the following shall apply:

a. Additive Alternates

- (1) Bidders must submit a bid for the Base Bid and for all Additive Alternates.
- (2) Award of this contract will be made to the lowest responsible qualified bidder computed as follows:

The lowest aggregate amount of (i) the Base Bid plus (ii) any Additive Alternate(s) which the Department elects to award.

The Department may elect not to award any Additive Alternates. In that case, award will be to the lowest responsible qualified bidder of the Base Bid.

b. Optional Alternates

- (1) Bidders must submit a bid for the Base Bid and for either Alternate A or Alternate B or for both Alternate A and Alternate B.
- (2) Award of this contract will be made to the lowest responsible qualified bidder computed as follows:

The lower of the aggregate of either (i) the Base Bid plus Alternate A or (ii) the Base Bid plus Alternate B.

12. CONTRACT TIME. The Contractor shall complete all work within the specified contract time. Any calendar day extension beyond the specified contract time must be fully justified, requested by the Contractor in writing, and approved by the Engineer, or be subject to liquidated damages.

The contract time for this contract is 71 calendar days and is based on anticipated notice-to-proceed date of July 27, 2007.

13. INDEPENDENT WEIGHT CHECKS. The Department reserves the right to conduct random unannounced independent weight checks on any delivery for bituminous, aggregate or other pay item for which the method of measurement for payment is based on weight. The weight checks will be accomplished by selecting, at random, a loaded truck and obtaining a loaded and empty weight on an independent scale. In addition, the department may perform random weight checks by obtaining loaded and empty truck weights on portable scales operated by department personnel.

14. GOOD FAITH COMPLIANCE. The Illinois Department of Transportation has made a good faith effort to include all statements, requirements, and other language required by federal and state law and by various offices within federal and state governments whether that language is required by law or not. If anything of this nature has been left out or if additional language etc. is later required, the bidder/contractor shall cooperate fully with the Department to modify the contract or bid documents to correct the deficiency. If the change results in increased operational costs, the Department shall reimburse the contractor for such costs as it may find to be reasonable.

RETURN WITH BID

PROPOSAL SIGNATURE SHEET

The undersigned bidder hereby makes and submits this bid on the subject Proposal, thereby assuring the Department that all requirements of the Invitation for Bids and rules of the Department have been met, that there is no misunderstanding of the requirements of paragraph 4 of this Proposal, and that the contract will be executed in accordance with the rules of the Department if an award is made on this bid.

Firm Name _____

(IF AN INDIVIDUAL)

Signature of Owner _____

Business Address _____

Firm Name _____

By _____

(IF A CO-PARTNERSHIP)

Business Address _____

Name and Address of All Members of the Firm:

Corporate Name _____

Corporate Seal

By _____

President

(IF A CORPORATION)

Attest _____

Corporate Secretary

Business Address _____

Name of Corporate Officers:

President

Corporate Secretary

Treasurer

NOTARY CERTIFICATION

STATE OF ILLINOIS,

ALL SIGNATURES MUST BE NOTARIZED

COUNTY OF _____

I, _____, a Notary Public in and for said county, do hereby certify that _____

AND _____

(Insert names of individual(s) signing on behalf of bidder)

who are each personally known to me to be the same persons whose names are subscribed to the foregoing instrument on behalf of the bidder, appeared before me this day in person and acknowledged that they signed, sealed, and delivered said instrument as their free and voluntary act for the uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, A.D. _____

My commission expires _____ (Seal)

Notary Public



Return with Bid

Division of Aeronautics
Proposal Bid Bond
(Effective January 1, 2002)

Item No. 18A
Letting Date: June 15, 2007

Airport: DuPage Airport
Ill. Proj. No. DPA-3695
Fed. Proj. No. 3-17-0017-B21

KNOW ALL MEN BY THESE PRESENTS. that we, _____, as PRINCIPAL, and _____, as SURETY are held and firmly bound unto the, hereinafter called the SPONSOR, in the penal sum of 5 percent of the total bid price or of the amount specified in Section 6, PROPOSAL GUARANTEE of the Proposal Document, whichever is the lesser sum, well and truly to be paid unto the said SPONSOR, for the payment of which we bind ourselves, our heirs, executors, administrators, successors, and assigns.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the PRINCIPAL has submitted a Bid Proposal to the SPONSOR through its AGENT, the State of Illinois, Department of Transportation, Division of Aeronautics, for the improvement designated by the Transportation Bulletin Item Number and Letting Date indicated above;

NOW, THEREFORE, if the SPONSOR through its AGENT shall accept the Bid Proposal of the PRINCIPAL; and if PRINCIPAL shall within the time and as specified in the Bidding and Contract Documents, submit the DBE Utilization Plan that is acceptable and approved by the AGENT, and if after the award, the PRINCIPAL shall enter into a contract in accordance with the terms of the Bidding and Contract Documents including evidence of insurance coverage's and providing such bond as specified with good and sufficient surety for the faithful performance of such contract and for prompt payment of labor and material furnished in the prosecution thereof; or if, in the event of the failure of the PRINCIPAL to make the required DBE submission or to enter into such contract and to give the specified bond, the PRINCIPAL pays to the SPONSOR the difference not to exceed the penalty hereof between the amount in the Bid Proposal and such larger amount for which the SPONSOR may contract with another party to perform the work covered by said Proposal Document, then, this obligation to be void; otherwise to remain in full force and effect.

IN THE EVENT the SPONSOR acting through its AGENT determines the PRINCIPAL has failed to comply with any requirement as set forth in the preceding paragraph, then the SURETY shall pay the penal sum to the SPONSOR within fifteen (15) days of written demand therefor. If the SURETY does not make full payment within such period of time, the AGENT may bring an action to collect the amount owed. The SURETY is liable to the SPONSOR and to the AGENT for all its expenses, including attorney's fees, incurred in any litigation in which SPONSOR or AGENT prevail either in whole or in part.

IN WITNESS WHEREOF, the said PRINCIPAL and the said SURETY have caused this instrument to be signed by

their respective officers this _____ day of _____ A.D., 20 ____.

PRINCIPAL

SURETY

(Company Name)

(Company Name)

By: _____ (Signature & Title)

By: _____ (Signature of Attorney-in-Fact)

Notary Certification for Principal and Surety

State of Illinois)
) ss:
County of _____)

I, _____, a Notary Public in and for said County, do hereby certify that _____ and _____ (Insert names of individuals signing on behalf of PRINCIPAL & SURETY)

who are each personally known to me to be the same persons whose names are subscribed to the foregoing instrument on behalf of PRINCIPAL and SURETY, appeared before me this day in person and acknowledged respectively, that they signed and delivered said instrument as their free and voluntary act for uses and purposes therein set forth.

Given under my hand and notary seal this _____ day of _____ A.D., 20 ____

My commission expires _____ (Notary Public)

In lieu of completing the above section of the Proposal Bid Form, the PRINCIPAL may file an Electronic Bid Bond. By signing below, the PRINCIPAL is ensuring the identified electronic bid bond has been executed and the PRINCIPAL and SURETY are firmly bound to the SPONSOR through its AGENT under the conditions of the Bid Bond as shown above.

Electronic Bid Bond ID# _____ Company/Bidder Name _____ Signature and Title _____ Form D.E. (Rev. 12-2001)



PROPOSALS

for construction work advertised for bids by the
Illinois Department of Transportation

Item No.	Item No.	Item No.

Submitted By:

Name:
Address:
Phone No.

Bidders should affix this form to the front of a 10" x 13" envelope and use that envelope for the submittal of bids. If proposals are mailed, they should be enclosed in a second or outer envelope addressed to:

Engineer of Design and Environment - Room 323
Illinois Department of Transportation
2300 South Dirksen Parkway
Springfield, Illinois 62764

CONTRACTOR OFFICE COPY OF CONTRACT SPECIFICATIONS

NOTICE

None of the following material needs to be returned with the bid package unless the special provisions require documentation and/or other information to be submitted.



Illinois Department of Transportation

CONTRACT REQUIREMENTS

(1) Airport Improvement Program projects. The work in this contract is included in the federal Airport Improvement Program and is being undertaken and accomplished by the Illinois Department of Transportation, Division of Aeronautics and the Municipality, hereinafter called the Co-Sponsors, in accordance with the terms and conditions of a Grant Agreement between the Co-Sponsors and the United States, under the Airport and Airway Improvement Act of 1982 (Public Law 97-248; Title V, Section 501 et seq., September 3, 1982; 96 Stat. 671; codified at 49 U.S.C Section 2201 et seq.) and Part 152 of the Federal Aviation Regulations (14 CFR Part 152), pursuant to which the United States has agreed to pay a certain percentage of the costs of the Project that are determined to be allowable Project costs under the Act. The United States is not a party to this contract and no reference in this contract to FAA or representative thereof, or to any rights granted to the FAA or any representative thereof, or the United States, by the contract, makes the United States a party to this contract.

(2) Consent of Assignment. The Contractor shall obtain the prior written consent of the Co-Sponsors to any proposed assignment of any interest in or part of this contract.

(3) Convict Labor. No convict labor may be employed under this contract.

(4) Veterans Preference. In the employment of labor, except in executive, administrative, and supervisory positions, preference shall be given to veterans of the Vietnam era and disabled veterans as defined in Section 515(c) of the Airport and Airway Improvement Act of 1982. However, this preference shall apply only where the individuals are available and qualified to perform the work to which the employment relates.

(5) Withholding: Sponsor from Contractor. Whether or not payments or advances to the Co-Sponsors are withheld or suspended by the FAA, the Co-Sponsors may withhold or cause to be withheld from the Contractor so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics employed by the Contractor or any subcontractor on the work the full amount of wages required by this contract.

(6) Nonpayment of Wages. If the Contractor or subcontractor fails to pay any laborer or mechanic employed or working on the site of the work any of the wages required by this contract the Co-Sponsors may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment or advance of funds until the violations cease.

(7) FAA Inspection and Review. The Contractor shall allow any authorized representative of the FAA to inspect and review any work or materials used in the performance of this contract.

(8) Subcontracts. The Contractor shall insert in each of his subcontracts the provisions contained in Paragraphs (1), (3), (4), (5), (6), and (7) above and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts which they may enter into, together with a clause requiring this insertion in any further subcontracts that may in turn be made.

(9) Contract Termination. A breach of Paragraph (6), (7), and (8) above may be grounds for termination of the contract.

PROVISIONS REQUIRED BY THE REGULATIONS OF THE SECRETARY OF LABOR 29 CFR 5.5

(a) Contract Provisions and Related Matters.

(1) Minimum Wages.

Revised 1/92

(i) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provision of paragraph (a)(1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraph 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph (a)(1)(ii) of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

(ii)(A) The contracting officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefor only when the following criteria have been met:

- (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(ii)(B) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, D.C. 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB control number 1215-0140).

(ii)(C) In the event the Contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB control number 1215-0140).

(ii)(D) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (1)(B) or (C) of this paragraph, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(iv) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program. (Approved by the Office of Management and Budget under OMB control number 1215-0140).

(2) Withholding. The Federal Aviation Administration shall upon its own action or written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same prime Contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the (Agency) may, after written notice to the Contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

(3) Payrolls and basic records.

(i) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such work, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs. (Approved by the Office Management and Budget under OMB control numbers 1215-0140 and 1215-0017).

(ii)(A) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Federal Aviation Administration if the agency is a party to the contract, but if the agency is not such a party, the Contractor will submit the payrolls to the applicant, sponsor, or owner, as the case may be, for transmission to the Federal Aviation Administration. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under paragraph 5.5(a)(3)(i) of Regulations, 29 CFR Part 5. This information may be submitted in any form desired.

Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal Stock Number 029-005-00014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime Contractor is responsible for the submission of copies of payrolls by all subcontractors. (Approved by the Office of Management and Budget under OMB control number 1215-0149).

(ii)(B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor, or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) That the payroll for the payroll period contains the information required to be maintained under paragraph 5.5(a)(3)(i) of Regulations, 29 CFR Part 5 and that such information is correct and complete;

(2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR Part 3;

(3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed as specified in the applicable wage determination incorporated into the contract.

(ii)(C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section.

(ii)(D) The falsification of any of the above certifications may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 231 of Title 31 of the United States Code.

(iii) The Contractor or subcontractor shall make the records required under paragraph (a)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the (write the name of the agency) or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the Contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(4) Apprentices and Trainees

(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as a apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a Contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(ii) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ration permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contract will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(iii) Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

(5) Compliance with Copeland Act requirements. The Contractor shall comply with the requirements of 29 CFR Part 3, which are incorporated by reference in this contract.

(6) Subcontracts. The Contractor or subcontractor shall insert in any subcontracts the clauses contained in paragraph (a)(1) through (10) of this contract and such other clauses as the Federal Aviation Administration may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Contractor shall be responsible for the compliance by an subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

(7) Contract determination: debarment. A breach of these contract clauses paragraphs (a)(1) through (10) and the 2nd clause (b)(1) through (5) below may be grounds for termination of the contract and for debarment as a Contractor and a subcontractor as provided in 29 CFR 5.12.

(8) Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by referenced in this contract.

(9) Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

(10) Certification of Eligibility.

(i) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

(b) Contract Work Hours and Safety Standards Act. The Agency Head shall cause or require the contracting officer to insert the following clauses set forth in paragraphs (b)(1), (2), (3), (4) and (5) of this section in full in AIP construction contracts in excess of \$2,000. These clauses shall be inserted in addition to the clauses required by paragraph 5.5(a) or paragraph 4.6 of Part 4 of this title. As used in this paragraph, the terms "laborers" and "mechanics" include watchmen and guards.

(1) Overtime requirements: No Contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen or guards (including apprentices and trainees described in paragraphs 5 and 6 above) shall require or permit any laborer, mechanic, watchman or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman or guard receives compensation at a rate not less than one and one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

(2) Violations: Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in subparagraph (1) of this paragraph, the Contractor and any subcontractor responsible therefore shall be liable to any affected employee for his/her unpaid wages. In addition, such Contractor and subcontractor shall be liable to the United States (in case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman or guard employed in violation of the clause set forth in subparagraph (1) of this paragraph, in the sum of \$10.00 for each calendar day on which such employee was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by the clause set forth in subparagraph (1) of this paragraph.

(3) Withholding for unpaid wages and liquidated damages. The (write in the name of the Federal agency or the loan or grant recipient) shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any other Federal contract with the same prime Contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in subparagraph (2) of this paragraph.

(4) Subcontracts. The Contractor or subcontractor shall insert in any subcontracts the clauses set forth in subparagraph (1) through (4) of this paragraph and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in subparagraphs (1) through (4) of this paragraph.

(5) Working Conditions. No Contractor or subcontractor may require any laborer or mechanic employed in the performance of any contract to work in surroundings or under working conditions that are unsanitary, hazardous, or dangerous to his health or safety as determined under construction safety and health standards (29 CFR 1926) issued by Department of Labor.

(c) In addition to the clauses contained in paragraph (b), in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other statutes cited in paragraph 5.1, the Agency Head shall cause or require the contracting officer to insert a clause requiring that the Contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Further, the Agency Head shall cause or require the contracting officer to insert in any such contract a clause providing that the records to be maintained under this paragraph shall be made available by the Contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the (write the name of agency) and the Department of Labor, and the Contractor or subcontractor will permit such representatives to interview employees during working hours on the job. (Approved by the Office of Management and Budget under OMB control numbers 1215-0140 and 1215-0017).

FEDERAL REGULATIONS VOL. 40, #74,
WEDNESDAY, APRIL 16, 1975, PAGE 17124,
ADMINISTRATION OF THE CLEAR AIR ACT
& WATER POLLUTION CONTROL ACT
(with respect to Federal Grants)

In connection with the administration of the Clean Air Act and the Water Pollution Control Act with respect to Federal Grants, specific requirements have been imposed of any contract which is not exempt under the provisions of 40 CFR 15.5.

(1) Any facility listed on the EPA List of Violating Facilities pursuant to Paragraph 15.20 of 40 CFR as of the date of the contract award will not be utilized in the performance of any non-exempt contract or subcontract.

(2) The Contractor shall comply with all the requirements of Section 114 of the Clean Air Act, as amended, 42 USC 1857 et seq. and Section 308 of the Federal Water Pollution Control Act, as amended, 33 USC 1251 et seq. relating to inspection, monitoring, entry, reports and information, as well as all other requirements specified in Section 114 and Section 308 of the Air Act and Water Act, respectively, and all regulations and guidelines issued thereunder after the award of the contract.

(3) Prompt notification shall be required prior to contract award to the awarding official by the Contractor who will receive the award of the receipt of any communication from the Director, Office of Federal Activities, U.S. Environmental Protection Agency, indicating that a facility to be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.

(4) The Contractor shall include or cause to be included the criteria and requirements in paragraphs 1 through 4 in any non-exempt subcontract and will take such action as the Government may direct as a means of enforcing such provisions.

Attachment No. 1

During the performance of the contract, the Contractor agrees as follows:

- (1) The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- (2) The Contractor will, in all solicitations or advertisements for employees placed by or on the behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.
- (3) The Contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or worker's representatives of the Contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- (4) The Contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- (5) The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- (6) In the event of the Contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of 24 September 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of 24 September 1965, or by rule, regulation or order of the Secretary of Labor, or as otherwise provided by law.
- (7) The Contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Section 204 of Executive Order 11246 of 24 September 1965, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as means of enforcing such provisions, including sanctions for noncompliance; provided, however, that in the event a Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

ATTACHMENT NO. 2

EACH PRIME CONTRACTOR SHALL INSERT IN EACH SUBCONTRACT THE CERTIFICATION IN APPENDIX B, AND FURTHER, SHALL REQUIRE ITS INCLUSION IN ANY LOWER TIER SUBCONTRACT, PURCHASE ORDER, OR TRANSACTION THAT MAY IN TURN BE MADE.

- Appendix B of 49 CFR Part 29 -

This certification applies to subcontractors, material suppliers, vendors and other lower tier participants.

Appendix B--Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

STATE REQUIRED CONTRACT PROVISIONS
ALL FEDERAL-AID CONSTRUCTION CONTRACTS

Effective February 1, 1969
Revised January 2, 1973

The following provisions are State of Illinois requirements and are in addition to the Federal requirements.

"EQUAL EMPLOYMENT OPPORTUNITY"

In the event of the Contractor's noncompliance with any provisions of this Equal Employment Opportunity Clause, the Illinois Fair Employment Practices Act or the Fair Employment Practices Commission's Rules and Regulations for Public Contracts, the Contractor may be declared nonresponsible and therefore ineligible for future contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations, and the contract may be canceled or avoided in whole or in part, and such other sanctions or penalties may be imposed or remedies invoked as provided by statute or regulation.

During the performance of this contract, the Contractor agrees as follows:

- (1) That it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin or ancestry; and further that it will examine all job classifications to determine if minority persons or women are underutilized and will take appropriate affirmative action to rectify any such underutilization.
- (2) That, if it hires additional employees in order to perform this contract or any portion hereof, it will determine the availability (in accordance with the Commission's Rules and Regulations for Public Contracts) of minorities and women in the area(s) from which it may reasonably recruit and it will hire for each job classification for which employees are hired in such a way that minorities and women are not underutilized.
- (3) That, in all solicitations or advertisements for employees placed by it or on its behalf, it will state that all applicants will be afforded equal opportunity without discrimination because of race, color, religion, sex, national origin or ancestry.
- (4) That it will send to each labor organization or representative of workers with which it has or is bound by a collective bargaining or other agreement or understanding, a notice advising such labor organization or representative of the Contractor's obligations under the Illinois Fair Employment Practices Act and the Commission's Rules and Regulations for Public Contracts. If any such labor organization or representative fails or refuses to cooperate with the Contractor in its efforts to comply with such Act and Rules and Regulations, the Contractor will promptly so notify the Illinois Fair Employment Practices Commission and the contracting agency and will recruit employees from other sources when necessary to fulfill its obligations thereunder.
- (5) That it will submit reports as required by the Illinois Fair Employment Practices Commission's Rules and Regulations for Public Contracts, furnish all relevant information as may from time to time be requested by the Commission or the contracting agency, and in all respects comply with the Illinois Fair Employment Practices Act and the Commission's Rules and Regulations for Public Contracts.
- (6) That it will permit access to all relevant books, records, accounts and work sites by personnel of the contracting agency and the Illinois Fair Employment Practices Commission for purposes of investigation to ascertain compliance with the Illinois Fair Employment Practices Act and the Commission's Rules and Regulations for Public Contracts.
- (7) That it will include verbatim or by reference the provisions of paragraphs 1 through 7 of this clause in every performance subcontract as defined in Section 2.10(b) of the Commission's Rules and Regulations for Public Contracts so that such provisions will be binding upon every subcontractor; and that it will also so include the provisions or paragraphs 1, 5, 6 and 7 in every supply subcontract as defined in Section 2.10(a) of the Commission's Rules and Regulations for Public Contracts so that such provisions will be binding upon every such subcontractor. In the same manner as with other provisions of this contract, the Contractor will be liable for compliance with applicable provisions of this clause by all its subcontractors; and further it will promptly notify the contracting agency and the Illinois Fair Employment Practices Commission in the event any subcontractor fails or refuses to comply therewith. In addition, no Contractor will utilize any subcontractor declared by the Commission to be nonresponsible and therefore ineligible for contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations.

CONSTRUCTION CONTRACT PROCUREMENT POLICIES

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SECTION 1

PROPOSAL REQUIREMENTS AND CONDITIONS

1-01 ADVERTISEMENT (Notice to Bidders). The State of Illinois shall publish the advertisement at such places and at such times as are required by local law or ordinances. The published advertisement shall state the time and place for submitting sealed proposals; a description of the proposed work; instructions to bidders as to obtaining proposal forms, plans, and specifications; proposal guaranty required; and the Owner's right to reject any and all bids.

For Federally assisted contracts the advertisement shall conform to the requirements of local laws and ordinances pertaining to letting of contracts and, in addition, shall conform to the requirements of the appropriate parts of the Federal Aviation Regulations applicable to the particular contract being advertised.

1-02 PREQUALIFICATION OF BIDDERS.

- (a) When the awarding authority is the State of Illinois, each prospective bidder, prior to being considered for issuance of any proposal forms will be required to file, on forms furnished by the Department, an experience questionnaire and a confidential financial statement in accordance with the Department's Instructions for Prequalification of Contractors. The Statement shall include a complete report of the prospective bidder's financial resources and liabilities, equipment, past record and personnel, and must be submitted at least thirty (30) days prior to the scheduled opening of bids in which the Contractor is interested.

After the Department has analyzed the submitted "Contractor's Statement of Experience and Financial Condition" and related information and has determined appropriate ratings, the Department will issue to the Contractor a "Certificate of Eligibility". The Certificate will permit the Contractor to obtain proposal forms and plans for any Department of Transportation letting on work which is within the limits of the Contractor's potential as indicated on his "Certificate of Eligibility", subject to any limitations due to present work under contract or pending award as determined from the Contractor's submitted "Affidavit of Availability". Bidders intending to consistently submit proposals shall submit a "Contractor's Statement of Experience and Financial Condition" at least once a year. However, prequalification may be changed during that period upon the submission of additional favorable reports or upon reports of unsatisfactory performance.

Before a proposal is issued, the prospective bidder will be required to furnish an "Affidavit of Availability" indicating the location and amount of all uncompleted work under contract, or pending award, either as principal or subcontractor, as well as a listing of all subcontractors and value of work sublet to others. The prospective bidder may be requested to file a statement showing the amount and condition of equipment which will be available.

Before an award is made, the bidder may be required to furnish an outline of his plans for conducting the work.

- (b) When the awarding authority for contract construction work is the County Board of a county; the Council, the City Council, or the President and Board of Trustees of a city, village or town, each prospective bidder, in evidence of his competence, shall furnish the awarding authority as a prerequisite to the release of proposal forms by the awarding authority, a certified or photostatic copy of a "Certificate of Eligibility" issued by the Department of Transportation, in accordance with Section 1-02(a).

The two low bidders must file within 24 hours after the letting a sworn affidavit, in triplicate, showing all uncompleted contracts awarded to them and all low bids pending award for Federal, State, County, Municipal and private work, using the blank form made available for this affidavit. One copy shall be filed with the awarding authority and two copies with the District Highway Office.

1-03 CONTENTS OF PROPOSAL FORMS. Upon request, the Department will furnish the prequalified bidders a proposal form. This form will state the location and description of the contemplated construction and will show the estimate of the various quantities and kinds of work to be performed or materials to be furnished, and will have a schedule of items for which unit bid prices are invited. The proposal form will state the time in which work must be completed, the amount of the proposal guaranty, labor requirements, and date, time and place of the opening of proposals. The form will also include any special provisions or requirements which vary from or are not contained in these specifications.

All papers bound with or attached to the proposal form are considered a part thereof and must not be detached or altered when the proposal is submitted. Any addenda officially issued by the Department, will be considered a part of the proposal whether attached or not.

For Federally assisted contracts, the proposal shall conform to the requirements of local laws and ordinances pertaining to letting of contracts and, in addition, shall conform to the requirements of the appropriate parts of the Federal Aviation Regulations pertaining to the particular contract being let.

1-04 ISSUANCE OF PROPOSAL FORMS. The Department shall refuse to issue a proposal form for any of the following reasons:

- (a) Lack of competency and adequate machinery, plant and other equipment, as revealed by the financial statement and experience questionnaires required under Section 1-02(a).
- (b) Uncompleted work which, in the judgment of the Department, might hinder or prevent the prompt completion of additional work if awarded.
- (c) False information provided on a bidder's "Affidavit of Availability".
- (d) Failure to pay, or satisfactorily settle, all bills due for labor and material on former contracts in force at the time of issuance of proposal forms.
- (e) Failure to comply with any prequalification regulations of the Department.
- (f) Default under previous contracts.
- (g) Unsatisfactory performance record as shown by past work for the Department, judged from the standpoint of workmanship and progress.
- (h) When the Contractor is suspended from eligibility to bid at a public letting where the contract is awarded by, or require approval of, the Department.
- (i) When any agent, servant, or employee of the prospective bidder currently serves as a member, employee, or agent of a governmental body that is financially involved in the proposed work.
- (j) When any agent, servant, or employee of the prospective bidder has participated in the preparation of plans or specifications for the proposed work.

1-05 INTERPRETATION OF QUANTITIES IN BID SCHEDULE. An estimate of quantities of work to be done and materials to be furnished under these specifications is given in the proposal. It is the result of careful calculations and is believed to be correct. It is given only as a basis for comparison of proposals and the award of the contract. The Owner does not expressly or by implication agree that the actual quantities involved will correspond exactly therewith; nor shall the bidder plead misunderstanding or deception because of such estimates of quantities, or of the character, location, or other conditions pertaining to the work. Payment to the Contractor will be made only for the actual quantities of work performed or materials furnished in accordance with the plans and specifications. It is understood that the quantities may be increased or decreased as provided in the subsection titled ALTERATION OF WORK AND QUANTITIES of Section 20 of the Illinois Standard Specifications for Construction of Airports without in any way invalidating the unit bid prices.

1-06 EXAMINATION OF PLANS, SPECIFICATIONS, AND SITE. The bidder is expected to carefully examine the site of the proposed work, the proposal, plans, specifications, and contract forms. He shall satisfy himself as to the character, quality, and quantities of work to be performed, materials to be furnished, and as to the requirements of the proposed contract. The submission of a proposal shall be prima facie evidence that the bidder has made such examination and is satisfied as to the conditions to be encountered in performing the work and as to the requirements of the proposed contract, plans, and specifications.

Boring logs, underground utilities and other records of subsurface investigations and tests are available for inspection of bidders. It is understood and agreed that such subsurface information, whether included in the plans, specifications, or otherwise made available to the bidder, was obtained and is intended for the Owner's design and estimating purposes only. Such information has been made available for the convenience of all bidders. It is further understood and agreed that each bidder is solely responsible for all assumptions, deductions, or conclusions which he may make or obtain from his examination of the boring logs and other records of subsurface investigations and tests that are furnished by the Owner.

1-07 PREPARATION OF THE PROPOSAL. The bidder shall submit his proposal on the form furnished by the Department. The proposal shall be executed property, and bids shall be made for all items indicated in the proposal form, except that when alternate bids are asked, a bid on more than one alternate for each item is not required, unless otherwise provided. The bidder shall indicate, in figures, a unit price for each of the separate items called for in the proposal; he shall show the products of the respective quantities and unit prices in the column provided for that purpose, and the gross sum shown in the place indicated in the proposal shall be the summation of said products. All writing shall be with ink or typewriter, except the signature of the bidder which shall be written with ink.

If the proposal is made by an individual, his name and business address shall be shown. If made by a firm or partnership, the name and business address of each member of the firm or partnership shall be shown. If made by a corporation, the proposal shall show the names, titles, and business address of the president, secretary, and treasurer, and the seal of the corporation shall be affixed and attested by the secretary.

The proposal shall be issued to a prequalified bidder in the same name and style as the financial statement used for prequalification and shall be submitted in like manner.

1-08 REJECTION OF PROPOSALS. The Department reserves the right to reject proposals for any of the conditions in Article 1-04 or for any of the following reasons:

- (a) More than one proposal for the same work from an individual, firm, partnership, or corporation under the same or different names.
- (b) Evidence of collusion among bidders.
- (c) Unbalanced proposals in which the prices for some items are obviously out of proportion to the prices for other items.
- (d) If the proposal does not contain a unit price for each pay item listed except in the case of authorized alternate pay items or lump sum pay items.
- (e) If the proposal is other than that furnished by the Department; or if the form is altered or any part thereof is detached.
- (f) If there are omissions, erasures, alterations, unauthorized additions, conditional or alternate bids, or irregularities of any kind which may tend to make the proposal incomplete, indefinite, or ambiguous as to its meaning.
- (g) If the bidder adds any provisions reserving the right to accept or reject an award, or to enter into a contract pursuant to an award.
- (h) If the proposal is not accompanied by the proper proposal guaranty.
- (i) If the proposal is prepared with other than ink or typewriter.
- (j) If the proposal is submitted in any other name other than that to whom it was issued by the Department.

1-09 PROPOSAL GUARANTY. Each Proposal shall be accompanied by either a bid bond on the Department of Transportation, Division of Aeronautics form contained in the proposal, executed by a corporate surety company satisfactory to the Department or by a bank cashier's check or a properly certified check for not less than 5 percent of the amount bid.

Bank cashier's checks, or properly certified checks accompanying proposals shall be made payable to the Treasurer, State of Illinois.

1-10 DELIVERY OF PROPOSALS. Each proposal should be submitted in a special envelope furnished by the Department. The blank spaces on the envelope shall be filled in correctly to clearly indicate its contents. When an envelope other than the special one furnished by the Department is used, it shall be of the same general size and shape and be similarly marked to clearly indicate its contents. When sent by mail, the sealed proposal shall be addressed to the Department at the address and in care of the official in whose office the bids are to be received. All proposals shall be filed prior to the time and place specified in the Notice to Bidders. Proposals received after the time for opening of bids will be returned to the bidder unopened.

1-11 WITHDRAWAL OF PROPOSALS. Permission will be given a bidder to withdraw a proposal if he makes his request in writing or by telegram before the time for opening proposals. If a proposal is withdrawn, the bidder will not be permitted to resubmit this proposal at the same letting. With the approval of the Engineer, a bidder may withdraw a proposal and substitute a new proposal prior to the time of opening bids.

1-12 PUBLIC OPENING OF PROPOSALS. Proposals will be opened and read publicly at the time and place specified in the Notice to Bidders. Bidders, their authorized agents, and other interested parties are invited to be present.

1-13 DISQUALIFICATION OF BIDDERS. A bidder shall be considered disqualified for any of the following reasons:

- (a) Submitting more than one proposal from the same partnership, firm, or corporation under the same or different name.
- (b) Evidence of collusion among bidders. Bidders participating in such collusion shall be disqualified as bidders for any future work of the Owner.
- (c) If the bidder is considered to be in "default" for any reason specified in the Subsection 1-04 titled ISSUANCE OF PROPOSAL FORMS of this section.

1-14 WORKER'S COMPENSATION INSURANCE. Prior to the approval of his contract by the Division, the Contractor shall furnish to the Division certificates of insurance covering Worker's Compensation, or satisfactory evidence that this liability is otherwise taken care of in accordance with Section 4.(a) of the "Worker's Compensation Act of the State of Illinois" as amended.

SECTION 2

AWARD AND EXECUTION OF CONTRACT

2-01 CONSIDERATION OF PROPOSALS. After the proposals are publicly opened and read, they will be compared on the basis of the summation of the products obtained by multiplying the estimated quantities shown in the proposal by the unit bid prices. In the event of a discrepancy between unit bid prices and extensions, the unit bid price shall govern.

Until the award of a contract is made, the Owner reserves the right to reject a bidder's proposal for any of the following reasons:

- (a) If the proposal is irregular as specified in the subsection titled REJECTION OF PROPOSALS of Section 1.
- (b) If the bidder is disqualified for any of the reasons specified in the subsection titled DISQUALIFICATION OF BIDDERS of Section 1.

In addition, until the award of a contract is made, the Owner reserves the right to reject any or all proposals; waive technicalities, if such waiver is in the best interest of the Owner and is in conformance with applicable State and Local laws or regulations pertaining to the letting of construction contracts; advertise for new proposals; or proceed with the work otherwise.

2-02 AWARD OF CONTRACT. The award of contract will be made within 60 calendar days after the opening of proposals to the lowest responsible and qualified bidder whose proposal complies with all the requirements prescribed. The successful bidder will be notified by letter, that his bid has been accepted, and that he has been awarded the contract.

If a contract is not awarded within 60 days after the opening of proposals, a bidder may file a written request with the Division for the withdrawal of his bid and the Division will permit such withdrawal.

For Federally assisted contracts, unless otherwise specified in this subsection, no award shall be made until the FAA has concurred in the Owner's recommendation to make such award and has approved the Owner's proposal contract to the extent that such concurrence and approval are required by Federal Regulations.

2-03 CANCELLATION OF AWARD. The Division reserves the right to cancel the award without liability to the bidder at any time before a contract has been fully executed by all parties and is approved by the Owner in accordance with the subsection titled APPROVAL OF CONTRACT of this section. The Division at the time of cancellation will return the proposal guaranty.

2-04 RETURN OF PROPOSAL GUARANTY. The proposal guaranties of all except the two lowest bidders will be returned promptly after the proposals have been checked, tabulated, and the relation of the proposals established. Proposal guaranties of the two lowest bidders will be returned as soon as the Construction Contract, Performance Bonds, and Payment Bonds of the successful bidder have been properly executed and approved.

If any other form of proposal guaranty is used, other than a bid bond, a bid bond may be substituted at the Contractor's option.

2-05 REQUIREMENT OF PERFORMANCE AND PAYMENT BONDS. The successful bidder for a contract, at the time of the execution of the contract, shall deposit with the Division separate performance and payment bonds each for the full amount of the contract. The form of the bonds shall be that furnished by the Division, and the sureties shall be acceptable to the Division.

2-06 EXECUTION OF CONTRACT. The successful bidder shall sign (execute) the Contract and shall return the signed Contract to the Owner (Sponsor) for signature (execution) and subsequently return all copies to the Division. The fully executed surety bonds specified in the subsection title REQUIREMENTS OF PERFORMANCE AND PAYMENT BONDS of this section will be forwarded to the Division within 15 days of the date mailed or otherwise delivered to the successful bidder. If the Contract and Bonds are mailed, special handling is recommended.

If the bidder to whom award is to be made is a corporation organized under the laws of a State other than Illinois, the bidder shall furnish the Division a copy of the corporation's certificate of authority to do business in the State of Illinois with the return of the executed contract and bond. Failure to furnish such evidence of a certificate of authority within the time required will be considered as just cause for the annulment of the award and the forfeiture of the proposal guaranty to the State, not as a penalty, but in payment of liquidated damages sustained as a result of such failure.

2-07 APPROVAL OF CONTRACT. Upon receipt of the contract and bonds that have been executed by the successful bidder, the Owner shall complete the execution of the contract in accordance with local laws or ordinances, and return the contract to the Division for approval and execution by the Division. Delivery of the fully executed contract to the Contractor shall constitute the Department's approval to be bound by the successful bidder's proposal and the terms of the contract.

2-08 FAILURE TO EXECUTE CONTRACT. If the contract is not executed by the Division within 15 days following receipt from the bidder of the properly executed contracts and bonds, the bidder shall have the right to withdraw his bid without penalty.

Failure of the successful bidder to execute the contract and file acceptable bonds within 15 days after the contract has been mailed to him shall be just cause for the cancellation of the award and the forfeiture of the proposal guaranty which shall become the property of the State, not as a penalty, but as liquidation of damages sustained.

ILLINOIS DEPARTMENT OF TRANSPORTATION

DIVISION OF AERONAUTICS

The requirements of the following provisions written for Federally-assisted construction contracts, including all goals and timetables and affirmative action steps, shall also apply to all State-funded construction contracts awarded by the Illinois Department of Transportation.

NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE ORDER 11246)

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.

2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

APPENDIX A

The following goal for female utilization in each construction craft and trade shall apply to all Contractors holding Federal and federally assisted construction contracts and subcontracts in excess of \$10,000. The goal is applicable to the Contractor's total on-site construction workforce, regardless of whether or not part of that workforce is performing work on a Federal, federally assisted or nonfederally related construction contract or subcontract.

AREA COVERED (STATEWIDE)

Goals for Women apply nationwide.

GOAL

	Goal (percent)
Female Utilization.....	... 6.9

APPENDIX B

Until further notice, the following goals for minority utilization in each construction craft and trade shall apply to all Contractors holding Federal and federally-assisted construction contracts and subcontracts in excess of \$10,000. to be performed in the respective geographical areas. The goals are applicable to the Contractor's total on-site construction workforce, regardless of whether or not part of that workforce is performing work on a Federal, federally-assisted or nonfederally related construction contract or subcontract.

<u>Economic Area</u>	<u>Goal (percent)</u>
056 Paducah, KY:	
Non-SMSA Counties -	5.2
IL - Hardin, Massac, Pope	
KY - Ballard, Caldwell, Calloway, Carlisle, Crittenden,	
Fulton, Graves, Hickman, Livingston, Lyon, McCracken, Marshall	

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<u>Economic Area</u>	<u>Goal (percent)</u>
080 Evansville, IN:	
Non-SMSA Counties -	3.5
IL - Edwards, Gallatin, Hamilton, Lawrence, Saline, Wabash, White	
IN - Dubois, Knox, Perry, Pike, Spencer	
KY - Hancock, Hopkins, McLean, Mublenberg, Ohio, Union, Webster	
081 Terre Haute, IN:	
Non-SMSA Counties -	2.5
IL - Clark, Crawford	
IN - Parke	
083 Chicago, IL:	
SMSA Counties:	19.6
1600 Chicago, IL -	
IL - Cook, DuPage, Kane, Lake, McHenry, Will	
3740 Kankakee, IL -	9.1
IL - Kankakee	
Non-SMSA Counties	18.4
IL - Bureau, DeKalb, Grundy, Iroquois, Kendall, LaSalle, Livingston, Putnam	
IN - Jasper, Laporte, Newton, Pulaski, Starke	
084 Champaign - Urbana, IL:	
SMSA Counties:	
1400 Champaign - Urbana - Rantoul, IL -	7.8
IL - Champaign	
Non-SMSA Counties -	4.8
IL - Coles, Cumberland, Douglas, Edgar, Ford, Piatt, Vermilion	
085 Springfield - Decatur, IL:	
SMSA Counties:	
2040 Decatur, IL -	7.6
IL - Macon	
7880 Springfield, IL -	4.5
IL - Mendard, Sangamon	
Non-SMSA Counties	4.0
IL - Cass, Christian, Dewitt, Logan, Morgan, Moultrie, Scott, Shelby	
086 Quincy, IL:	
Non-SMSA Counties	3.1
IL - Adams, Brown, Pike	
MO - Lewis, Marion, Pike, Ralls	
087 Peoria, IL:	
SMSA Counties:	
1040 Bloomington - Normal, IL -	2.5
IL - McLean	

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APPENDIX B (CONTINUED)

<u>Economic Area</u>	<u>Goal (percent)</u>
6120 Peoria, IL - IL - Peoria, Tazewell, Woodford	4.4
Non-SMSA Counties - IL - Fulton, Knox, McDonough, Marshall, Mason, Schuyler, Stark, Warren	3.3
088 Rockford, IL: SMSA Counties: 6880 Rockford, IL - IL - Boone, Winnebago	6.3
Non-SMSA Counties - IL - Lee, Ogle, Stephenson	4.6
098 Dubuque, IA: Non-SMSA Counties - IL - JoDaviess IA - Atlamakee, Clayton, Delaware, Jackson, Winnesheik WI - Crawford, Grant, Lafayette	0.5
099 Davenport, Rock Island, Moline, IA - IL: SMSA Counties: 1960 Davenport, Rock Island, Moline, IA - IL - IL - Henry, Rock Island IA - Scott	4.6
Non-SMSA Counties - IL - Carroll, Hancock, Henderson, Mercer, Whiteside IA - Clinton, DesMoines, Henry, Lee, Louisa, Muscatine MO - Clark	3.4
107 St. Louis, MO: SMSA Counties: 7040 St. Louis, MO - IL - IL - Clinton, Madison, Monroe, St. Clair MO - Franklin, Jefferson, St. Charles, St. Louis, St. Louis City	14.7
Non-SMSA Counties - IL - Alexander, Bond, Calhoun, Clay, Effingham, Fayette, Franklin, Greene, Jackson, Jasper, Jefferson, Jersey, Johnson, Macoupin, Marion, Montgomery, Perry, Pulaski, Randolph, Richland, Union, Washington, Wayne, Williamson MO - Bollinger, Butler, Cape Girardeau, Carter, Crawford, Dent, Gasconade, Iron, Lincoln, Madison, Maries, Mississippi, Montgomery, Perry, Phelps, Reynolds, Ripley, St. Francois, St. Genevieve, Scott, Stoddard, Warren, Washington, Wayne	11.4

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These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the provisions and specifications set forth in its federally assisted contracts, and its efforts to meet the goals established for the geographical area where the contract resulting from this solicitation is to be performed. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Illinois Division of Aeronautics will provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction contract and/or subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. This notification will list the name, address and telephone number of the subcontractor; employer identification number; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the contract is to be performed.

4. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is the entire State of Illinois for the goal set forth in APPENDIX A and the county or counties in which the work is located for the goals set forth in APPENDIX B.

STANDARD FEDERAL EQUAL EMPLOYMENT
OPPORTUNITY CONSTRUCTION CONTRACT
SPECIFICATIONS (EXECUTIVE ORDER 11246)

1. As used in these specifications:
 - a) "Covered area" means the geographical area described in the solicitation from which this contract resulted;
 - b) "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
 - c) "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941;
 - d) "Minority" includes:
 - (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
 - (iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
 - (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
2. Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000. the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.

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3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.
4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7a through p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered Construction Contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress toward its goals in each craft during the period specified.
5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
 - a) Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working as such sites or in such facilities.
 - b) Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
 - c) Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractors may have taken.

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- d) Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
- e) Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.
- f) Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreements; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
- g) Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with onsite supervisory personnel such as Superintendents, General Foreman, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- h) Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
- i) Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- j) Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's workforce.
- k) Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- l) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- m) Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
- n) Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- o) Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction Contractors and suppliers, including circulation of solicitations to minority and female Contractor associations and other business associations.

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- p) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a Contractor association, joint Contractor-union, Contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these Specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female workforce participation makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specified minority group of women is underutilized).
10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.
12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.
13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.
14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy his requirement, Contractors shall not be required to maintain separate records.
15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

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ANNUAL EEO-1 REPORT TO JOINT REPORTING COMMITTEE AS REQUIRED AT

41 CFR 60-1.7(a)

Any Contractor having a Federal contract of \$50,000 or more and 50 or more employees is required to file annual compliance reports on Standard Form 100 (EEO-1) with the Joint Reporting Committee in accordance with the instructions provided with the form. The Contractor will provide a copy of such a report to the contracting agency within 30 days after the award of a contract.

The Contractor shall require its subcontractors to file an SF 100 within 30 days after award of the subcontract if (1) it is not exempt from the provisions of these regulations in accordance with 60-1.5, (2) has 50 or more employees, (3) first tier subcontractor, and (4) has a subcontract amounting to \$50,000 or more.

Subcontractors below the first tier which perform construction work at the site of construction shall be required to file such a report if (1) it is not exempt from the provisions of these regulations in accordance with 60-1.5, (2) has 50 or more employees and has a subcontract amounting to \$50,000 or more.

The SF 100 is available at the following address:

Joint Reports Committee
EEOC - Survey Division
1801 "L" Street N.W.
Washington, D.C. 20750

Phone (202) 663-4968

DISADVANTAGED BUSINESS POLICY

I. NOTICE

This proposal contains the special provision entitled "Required Disadvantaged Business Participation." Inclusion of this Special Provision in this contract satisfies the obligations of the Department of Transportation under federal law as implemented by 49 CFR 23 and under the Illinois "Minority and Female Business Enterprise Act."

II. POLICY

It is public policy that the businesses defined in 49 CFR Part 23 shall have the maximum opportunity to participate in the performance of contracts financed in whole or in part with State or Federal funds. Consequently, the requirements of 49 CFR Part 23 apply to this contract.

III. OBLIGATION

The Contractor agrees to ensure that the businesses defined in 49 CFR Part 23 have the maximum opportunity to participate in the performance of this contract. In this regard, the Contractor shall take all necessary and reasonable steps, in accordance with 49 CFR Part 23, to ensure that the said businesses have the maximum opportunity to compete for and perform portions of this contract. The Contractor shall not discriminate on the basis of race, color, national origin, or sex in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

The Contractor shall include the above Policy and Obligation statements of this Special Provision in every subcontract, including procurement of materials and leases of equipment.

IV. DBE/WBE CONTRACTOR FINANCE PROGRAM

On contracts where a loan has been obtained through the DBE/WBE Contractor Finance Program, the Contractor shall cooperate with the Department by making all payments due to the DBE/WBE Contractor by means of a two-payee check payable to the Lender (Bank) and the Borrower (DBE/WBE Contractor).

V. BREACH OF CONTRACT

Failure to carry out the requirements set forth above and in the Special Provision shall constitute a breach of contract and may result in termination of the contract or liquidated damages as provided in the special provision.

(Rev. 9/21/92)

State of Illinois
Department of Transportation

SPECIAL PROVISION
FOR
DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION

- I. FEDERAL OBLIGATION: The Department of Transportation, as a recipient of federal financial assistance, is required to take all necessary and reasonable steps to ensure nondiscrimination in the award and administration of contracts. Consequently, the federal regulatory provisions of 49 CFR part 26 apply to this contract concerning the utilization of disadvantaged business enterprises. This Special Provision will also be used by the Department to satisfy the requirements of the Business Enterprise for Minorities, Females, and Persons with Disabilities Act, 30 ILCS 575. For the purposes of this Special Provision, a disadvantaged business enterprise (DBE) means a business certified by the Department in accordance with the requirements of 49 CFR part 26 and listed in the DBE Directory or most recent addendum.
- II. CONTRACTOR ASSURANCE: The Contractor makes the following assurance and agrees to include the assurance in each subcontract that the Contractor signs with a subcontractor:
- The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of federally-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.
- III. OVERALL GOAL SET FOR THE DEPARTMENT: As a requirement of compliance with 49 CFR part 26, the Department has set an overall goal for DBE participation in its federally assisted contracts. That goal is 22.77% of all federal-aid funds the Department will expend in its federally assisted contracts for the subject reporting fiscal year. The Department is required to make a good faith effort to achieve this goal. The dollar amount paid to all approved DBE firms performing work called for in this contract is eligible to be credited toward fulfillment of the Department's overall goal.
- IV. CONTRACT GOAL TO BE ACHIEVED BY THE CONTRACTOR: This contract includes a specific DBE utilization goal established by the Department. The goal has been included because the Department has determined that the work of this contract has subcontracting opportunities that may be suitable for performance by DBE companies. This determination is based on an assessment of the type of work, the location of the work, and the availability of DBE companies to do a part of the work. The assessment indicates that, in the absence of unlawful discrimination, and in an arena of fair and open competition, DBE companies can be expected to perform 0.0% of the work. This percentage is set as the DBE participation goal for this contract. Consequently, in addition to the other award criteria established for this contract, the Department will award this contract to a bidder who makes a good faith effort to meet this goal of DBE participation in the performance of the work. A bidder makes a good faith effort for award consideration if either of the following is done in accordance with the procedures set forth in this Special Provision:
- A. The bidder documents that firmly committed DBE participation has been obtained to meet the goal; or
- B. The bidder documents that a good faith effort has been made to meet the goal, even though the effort did not succeed in obtaining enough DBE participation to meet the goal.

- V. DBE LOCATOR REFERENCES: Bidders may consult the DBE Directory as a reference source for DBE companies certified by the Department. In addition, the Department maintains a letting and item specific DBE locator information system whereby DBE companies can register their interest in providing quotes on particular bid items advertised for letting. Information concerning DBE companies willing to quote work for particular contracts may be obtained by contacting the Department's Bureau of Small Business Enterprises at telephone number (217)785-4611, or by visiting the Department's web site at www.dot.state.il.us.
- VI. BIDDING PROCEDURES: Compliance with the bidding procedures of this Special Provision is required prior to the award of the contract and the failure of the as-read low bidder to comply will render the bid nonresponsive.
- A. In order to assure the timely award of the contract, the as-read low bidder must submit a Disadvantaged Business Utilization Plan on Department form SBE 2026 within seven (7) working days after the date of letting. To meet the seven (7) day requirement, the bidder may send the Plan by certified mail or delivery service within the seven (7) working day period. If a question arises concerning the mailing date of a Plan, the mailing date will be established by the U.S. Postal Service postmark on the original certified mail receipt from the U.S. Postal Service or the receipt issued by a delivery service. It is the responsibility of the as-read low bidder to ensure that the postmark or receipt date is affixed within the seven (7) working days if the bidder intends to rely upon mailing or delivery to satisfy the submission day requirement. The Plan is to be submitted to the Department of Transportation, Bureau of Small Business Enterprises, Contract Compliance Section, 2300 South Dirksen Parkway, Room 319, Springfield, Illinois 62764 (Telefax: (217) 785-1524). It is the responsibility of the bidder to obtain confirmation of telefax delivery. The Department will not accept a Utilization Plan if it does not meet the seven (7) day submittal requirement, and the bid will be declared nonresponsive. In the event the bid is declared nonresponsive due to a failure to submit a Plan or failure to comply with the bidding procedures set forth herein, the Department may elect to cause the forfeiture of the penal sum of the bidder's proposal guaranty, and may deny authorization to bid the project if re-advertised for bids. The Department reserves the right to invite any other bidder to submit a Utilization Plan at any time for award consideration or to extend the time for award.
- B. The Utilization Plan shall indicate that the bidder either has obtained sufficient DBE participation commitments to meet the contract goal or has not obtained enough DBE participation commitments in spite of a good faith effort to meet the goal. The Utilization Plan shall further provide the name, telephone number and telefax number of a responsible official of the bidder designated for purposes of notification of plan approval or disapproval under the procedures of this Special Provision.
- C. The Utilization Plan shall include a DBE Participation Commitment Statement, Department form SBE 2025, for each DBE proposed for the performance of work to achieve the contract goal. The signatures on these forms must be original signatures. All elements of information indicated on the said form shall be provided, including but not limited to the following:
1. The name and address of each DBE to be used;
 2. A description, including pay item numbers, of the commercially useful work to be done by each DBE;
 3. The price to be paid to each DBE for the identified work specifically stating the quantity, unit price and total subcontract price for the work to be completed by the DBE. If partial pay items are to be performed by the DBE, indicate the portion of each item, a unit price where appropriate and the subcontract price amount;
 4. A commitment statement signed by the bidder and each DBE evidencing availability and intent to perform commercially useful work on the project; and
 5. If the bidder is a joint venture comprised of DBE firms and non-DBE firms, the plan must also include a clear identification of the portion of the work to be performed by the DBE partner(s).

D. The contract will not be awarded until the Utilization Plan submitted by the bidder is approved. The Utilization Plan will be approved by the Department if the Plan commits sufficient commercially useful DBE work performance to meet the contract goal. The Utilization Plan will not be approved by the Department if the Plan does not commit sufficient DBE performance to meet the contract goal unless the bidder documents that it made a good faith effort to meet the goal. The good faith procedures of Section VIII of this special provision apply. If the Utilization Plan is not approved because it is deficient in a technical matter, unless waived by the Department, the bidder will be notified and will be allowed no less than a five (5) working day period in order to cure the deficiency.

VII. CALCULATING DBE PARTICIPATION: The Utilization Plan values represent work anticipated to be performed and paid for upon satisfactory completion. The Department is only able to count toward the achievement of the overall goal and the contract goal the value of payments made for the work actually performed by DBE companies. In addition, a DBE must perform a commercially useful function on the contract to be counted. A commercially useful function is generally performed when the DBE is responsible for the work and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. The Department and Contractor are governed by the provisions of 49 CFR part 26.55(c) on questions of commercially useful functions as it affects the work. Specific counting guidelines are provided in 49 CFR part 26.55, the provisions of which govern over the summary contained herein.

A. DBE as the Contractor: 100% goal credit for that portion of the work performed by the DBE's own forces, including the cost of materials and supplies. Work that a DBE subcontracts to a non-DBE firm does not count toward the DBE goals.

B. DBE as a joint venture Contractor: 100% goal credit for that portion of the total dollar value of the contract equal to the distinct, clearly defined portion of the work performed by the DBE's own forces.

C. DBE as a subcontractor: 100% goal credit for the work of the subcontract performed by the DBE's own forces, including the cost of materials and supplies. Work that a DBE subcontractor in turn subcontracts to a non-DBE firm does not count toward the DBE goal.

D. DBE as a trucker: 100% goal credit for trucking participation provided the DBE is responsible for the management and supervision of the entire trucking operation for which it is responsible. At least one truck owned, operated, licensed and insured by the DBE must be used on the contract. Credit will be given for the full value of all such DBE trucks operated using DBE employed drivers. Goal credit will be limited to the value of the reasonable fee or commission received by the DBE if trucks are leased from a non-DBE company.

E. DBE as a material supplier:

1. 60% goal credit for the cost of the materials or supplies purchased from a DBE regular dealer.
2. 100% goal credit for the cost of materials or supplies obtained from a DBE manufacturer.
3. 100% credit for the value of reasonable fees and commissions for the procurement of materials and supplies if not a regular dealer or manufacturer.

VIII. GOOD FAITH EFFORT PROCEDURES: If the bidder cannot obtain sufficient DBE commitments to meet the contract goal, the bidder must document in the Utilization Plan the good faith efforts made in the attempt to meet the goal. This means that the bidder must show that all necessary and reasonable steps were taken to achieve the contract goal. Necessary and reasonable steps are those which could reasonably be expected to obtain sufficient DBE participation. The Department will consider the quality, quantity and intensity of the kinds of efforts that the bidder has made. Mere *pro forma* efforts are not good faith efforts; rather, the bidder is expected to have taken those efforts that would be reasonably expected of a bidder actively and aggressively trying to obtain DBE participation sufficient to meet the contract goal.

- A. The following is a list of types of action that the Department will consider as part of the evaluation of the bidder's good faith efforts to obtain participation. These listed factors are not intended to be a mandatory checklist and are not intended to be exhaustive. Other factors or efforts brought to the attention of the Department may be relevant in appropriate cases, and will be considered by the Department.
1. Soliciting through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising and/or written notices) the interest of all certified DBE companies that have the capability to perform the work of the contract. The bidder must solicit this interest within sufficient time to allow the DBE companies to respond to the solicitation. The bidder must determine with certainty if the DBE companies are interested by taking appropriate steps to follow up initial solicitations.
 2. Selecting portions of the work to be performed by DBE companies in order to increase the likelihood that the DBE goals will be achieved. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise prefer to perform these work items with its own forces.
 3. Providing interested DBE companies with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.
 4. (a) Negotiating in good faith with interested DBE companies. It is the bidder's responsibility to make a portion of the work available to DBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available DBE subcontractors and suppliers, so as to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBE companies that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBE companies to perform the work.

(b) A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including DBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using DBE companies is not in itself sufficient reason for a bidder's failure to meet the contract DBE goal, as long as such costs are reasonable. Also, the ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the bidder of the responsibility to make good faith efforts. Prime contractors are not, however, required to accept higher quotes from DBE companies if the price difference is excessive or unreasonable.
 5. Not rejecting DBE companies as being unqualified without sound reasons based on a thorough investigation of their capabilities. The contractor's standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations (for example union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the contractor's efforts to meet the project goal.
 6. Making efforts to assist interested DBE companies in obtaining bonding, lines of credit, or insurance as required by the recipient or contractor.
 7. Making efforts to assist interested DBE companies in obtaining necessary equipment, supplies, materials, or related assistance or services.
 8. Effectively using the services of available minority/women community organizations; minority/women contractors' groups; local, state, and Federal minority/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBE companies.
- B. If the Department determines that the Contractor has made a good faith effort to secure the work commitment of DBE companies to meet the contract goal, the Department will award the contract provided that it is otherwise eligible for award. If the Department determines that a good faith effort has not been made, the Department will notify the bidder of that preliminary determination by contacting the responsible company official designated in the Utilization Plan. The preliminary determination shall include a statement of reasons why good faith efforts have not been found, and may include additional good faith efforts that the bidder could take. The notification will

designate a five (5) working day period during which the bidder shall take additional efforts. The bidder is not limited by a statement of additional efforts, but may take other action beyond any stated additional efforts in order to obtain additional DBE commitments. The bidder shall submit an amended Utilization Plan if additional DBE commitments to meet the contract goal are secured. If additional DBE commitments sufficient to meet the contract goal are not secured, the bidder shall report the final good faith efforts made in the time allotted. All additional efforts taken by the bidder will be considered as part of the bidder's good faith efforts. If the bidder is not able to meet the goal after taking additional efforts, the Department will make a pre-final determination of the good faith efforts of the bidder and will notify the designated responsible company official of the reasons for an adverse determination.

- C. The bidder may request administrative reconsideration of a pre-final determination adverse to the bidder within the five (5) working days after the notification date of the determination by delivering the request to the Department of Transportation, Division of Aeronautics, 1 Langhorne Bond Drive, Capital Airport, Springfield, IL 62707-8415 (Telefax: 217-785-4533). Deposit of the request in the United States mail on or before the fifth business day shall not be deemed delivery. The pre-final determination shall become final if a request is not made and delivered. A request may provide additional written documentation and/or argument concerning the issue of whether an adequate good faith effort was made to meet the contract goal. In addition, the request shall be considered a consent by the bidder to extend the time for award. The request will be forwarded to the Department's Reconsideration Officer. The Reconsideration Officer will extend an opportunity to the bidder to meet in person in order to consider all issues of whether the bidder made a good faith effort to meet the goal. After the review by the Reconsideration Officer, the bidder will be sent a written decision within ten (10) working days after receipt of the request for reconsideration, explaining the basis for finding that the bidder did or did not meet the goal or make adequate good faith efforts to do so. A final decision by the Reconsideration Officer that a good faith effort was made shall approve the Utilization Plan submitted by the bidder and shall clear the contract for award. A final decision that a good faith effort was not made shall render the bid nonresponsive.

IX. CONTRACT COMPLIANCE: Compliance with this Special Provision is an essential part of the contract. The Department is prohibited by federal regulations from crediting the participation of a DBE included in the Utilization Plan toward either the contract goal or the Department's overall goal until the amount to be applied toward the goals has been paid to the DBE. The following administrative procedures and remedies govern the compliance by the Contractor with the contractual obligations established by the Utilization Plan. After approval of the Plan and award of the contract, the Utilization Plan and individual DBE Participation Statements become part of the contract. If the contractor did not succeed in obtaining enough DBE participation to achieve the advertised contract goal, and the Utilization Plan was approved and contract awarded based upon a determination of good faith, the total dollar value of DBE work calculated in the approved Utilization Plan as a percentage of the awarded contract value shall become the amended contract goal.

- A. No amendment to the Utilization Plan may be made without prior written approval from the Division of Aeronautics. All requests for amendment to the Utilization Plan shall be submitted to the Department of Transportation, Division of Aeronautics, 1 Langhorne Bond Drive, Capital Airport, Springfield, IL 62707-8415. Telephone number (217) 785-8514. Telefax number (217) 785-4533.
- B. All work indicated for performance by an approved DBE shall be performed, managed and supervised by the DBE executing the Participation Statement. The Contractor shall not terminate for convenience a DBE listed in the Utilization Plan and then perform the work of the terminated DBE with its own forces, those of an affiliate or those of another subcontractor, whether DBE or not, without first obtaining the written consent of the Bureau of Small Business Enterprises to amend the Utilization Plan. If a DBE listed in the Utilization Plan is terminated for reasons other than convenience, or fails to complete its work on the contract for any reason, the Contractor shall make good faith efforts to find another DBE to substitute for the terminated DBE. The good faith efforts shall be directed at finding another DBE to perform at least the same amount of work under the contract as the DBE that was terminated, but only to the extent needed to meet the contract goal or the amended contract goal. The Contractor shall notify the Division of Aeronautics of any termination for reasons other than convenience, and shall obtain approval for inclusion of the substitute DBE in the Utilization Plan. If good faith efforts following a termination of a DBE for cause are not successful, the Contractor shall contact the Division and provide a full accounting of the efforts undertaken to obtain substitute DBE participation. The Division will evaluate the good faith efforts in light of all circumstances surrounding the performance status of the contract, and determine whether the contract goal should be amended.

- C. The Contractor shall maintain a record of payments for work performed to the DBE participants. The records shall be made available to the Department for inspection upon request. After the performance of the final item of work or delivery of material by a DBE and final payment therefor to the DBE by the Contractor, but not later than thirty (30) calendar days after payment has been made by the Department to the Contractor for such work or material without regard to any retainage withheld by the Department, the Contractor shall submit a DBE Payment Report on Department form SBE 2115 to the Division's Chief Engineer. If full and final payment has not been made to the DBE, the Report shall indicate whether a disagreement as to the payment required exists between the Contractor and the DBE or if the Contractor believes that the work has not been satisfactorily completed. If the Contractor does not have the full amount of work indicated in the Utilization Plan performed by the DBE companies indicated in the Plan, the Department will deduct from contract payments to the Contractor the amount of the goal not achieved as liquidated and ascertained damages.

- D. The Department reserves the right to withhold payment to the Contractor to enforce the provisions of this Special Provision. Final payment shall not be made on the contract until such time as the Contractor submits sufficient documentation demonstrating achievement of the goal in accordance with this Special Provision or after liquidated damages have been determined and collected.

Certification of Nonsegregated Facilities - as Required by 41 CFR 60-1.8

(Applicable to (1) contracts, (2) subcontracts, and (3) agreements with applicants who are themselves performing federally assisted construction contracts, exceeding \$10,000.00 which are not exempt from the provisions of the Equal Opportunity clause).

By the submission of this bid, the bidder, offeror, applicant, or subcontractor certifies that he does not maintain or provide for his employees any segregated facilities at any of his establishments and that that he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. He certifies further that he will not maintain or provide for his employees any segregated facilities at any of his establishments and that he will not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The bidder, offeror, applicant, or subcontractor agrees that a breach of his certification is a violation of the Equal opportunity clause in this contract. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin, because of habit, local custom, or otherwise. He further agrees that (except where he has obtained identical certifications from proposed subcontractors for specific time periods) he will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000.00 which are not exempt from the provisions of the Equal Opportunity clause; that he will retain such certifications in his files and that he will forward the following notice to such proposed subcontractors (except where the proposed subcontractors have submitted identical certifications for specific time periods):

**NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENT FOR
CERTIFICATIONS OF NONSEGREGATED FACILITIES**

A certification of Nonsegregated Facilities must be submitted prior to the award of a subcontract exceeding \$10,000.00 which is not exempt from the provisions of the Equal Opportunity clause. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e., quarterly, semiannually or annually).

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C 1001.

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS
Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms "covered transaction" "debarred" "suspended" "ineligible" "lower tier covered transaction" "participant" "person" "primary covered transaction" "principal" "proposal" and "voluntarily excluded" as used in this clause have the meaning set out in the Definitions and Coverage sections of the rules implementing Executive Order 12540. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Transaction", provided by the department or agency entering into this covered transaction without modification in all lower covered transactions and in all solicitations for lower covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to check the Nonprocurement List (Tel. #).
9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
10. Except for transactions authorized under paragraph 8 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and
Other Responsibility Matters - Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief that it and its principals:
 - a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by an Federal department or agency;
 - b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (Federal, State or Local) transaction or contract under a public transaction: violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction or destruction of records, making false statements, or receiving stolen property;
 - c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - d. Have not within a three-period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

CERTIFICATION REGARDING LOBBYING (Applicable to contracts in excess of \$100,000):

Certification for Contracts, Grants, Loans and Cooperative Agreements.

The undersigned bidder certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have paid or will be paid, by or behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an Officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

WORKERS' COMPENSATION INSURANCE

Prior to the execution of his construction contract by the Illinois Department of Transportation, Division of Aeronautics, hereinafter referred to as "Division", the Contractor shall furnish to the Division certificates of insurance covering Workers' Compensation, or satisfactory evidence that this liability is otherwise taken care of in accordance with Section 4.(a) of the "Workers' Compensation Act of the State of Illinois" as amended.

Such insurance, or other means of protection as herein provided, shall be kept in force until all work to be performed under the terms of the contract has been completed and accepted in accordance with the specifications, and it is hereby understood and agreed that the maintenance of such insurance or other protection, until acceptance of the work by the Division is a part of the contract. Failure to maintain such insurance, cancellation by the Industrial Commission of its approval of such other means of protection as might have been elected, or any other act which results in lack of protection under the said "Workers' Compensation Act" may be considered as a breach of the contract.

SPECIAL PROVISION FOR DOMESTIC SOURCE FOR STEEL

Control of Materials: All steel products, as defined by the Illinois Steel Products Procurement Act, incorporated into this project shall be manufactured or produced in the United States and, in addition, shall be domestically fabricated. The Contractor shall obtain from the steel producer and/or fabricator, in addition to the mill analysis, a certification that all steel products meet these domestic source requirements.

CLAUSE TO BE INCLUDED IN ALL SOLICITATIONS,
CONTRACTS, AND SUBCONTRACTS RESULTING FROM PROJECTS FUNDED UNDER THE AIP

The Contractor or subcontractor, by submission of an offer and/or execution of a contract, certifies that it:

- a. is not owned or controlled by one or more citizens or nationals of a foreign country included in the list of countries that discriminate against U.S. firms published by the Office of the United States Trade Representative (USTR);
- b. has not knowingly entered into any contract or subcontract for this project with a Contractor that is a citizen or national of a foreign country on said list, or is owned or controlled directly or indirectly by one or more citizens or nationals of a foreign country on said list.
- c. has not procured any product nor subcontracted for the supply of any product for use on the project that is produced in a foreign country on said list.

Unless the restrictions of this clause are waived by the Secretary of Transportation in accordance with 49 CFR 30.17, no contract shall be awarded to a Contractor or subcontractor who is unable to certify to the above. If the Contractor knowingly procures or subcontracts for the supply of any product or service of a foreign country on the said list for use on the project, the Federal Aviation Administration may direct, through the sponsor, cancellation of the contract at no cost to the Government.

Further, the Contractor agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision for certification without modification in each contract and in all lower tier subcontracts. The Contractor may rely upon the certification of a prospective subcontractor unless it has knowledge that the certification is erroneous.

The Contractor shall provide immediate written notice to the sponsor if the Contractor learns that its certification or that of a subcontractor was erroneous when submitted or has become erroneous by reason of changed circumstances. The subcontractor agrees to provide immediate written notice to the Contractor, if at any time it learns that its certification was erroneous by reason of changed circumstances.

This certification is a material representation of fact upon which reliance was placed when making the award. If it is later determined that the Contractor or subcontractor knowingly rendered an erroneous certification, the Federal Aviation Administration may direct, through this sponsor, cancellation of the contract or subcontract for default at no cost to the Government.

Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by this provision. The knowledge and information of a Contractor is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

This certification concerns a matter within the jurisdiction of an agency of the United States of America and the making of false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code, Section 1001.

**MINIMUM WAGES FOR FEDERAL AND FEDERALLY
ASSISTED CONSTRUCTION CONTRACTS**

This project is funded, in part, with Federal-aid funds and, as such, is subject to the provisions of the Davis-Bacon Act of March 3, 1931, as amended (46 Sta. 1494, as amended, 40 U.S.C. 276a) and of other Federal statutes referred to in a 29 CFR Part 1, Appendix A, as well as such additional statutes as may from time to time be enacted containing provisions for the payment of wages determined to be prevailing by the Secretary of Labor in accordance with the Davis-Bacon Act and pursuant to the provisions of 29 CFR Part 1. The prevailing rates and fringe benefits shown in the General Wage Determination Decisions issued by the U.S. Department of Labor shall, in accordance with the provisions of the foregoing statutes, constitute the minimum wages payable on Federal and federally assisted construction projects to laborers and mechanics of the specified classes engaged on contract work of the character and in the localities described therein.

General Wage Determination Decisions, modifications and supersedes decisions thereto are to be used in accordance with the provisions of 29 CFR Parts 1 and 5. Accordingly, the applicable decision, together with any modifications issued, must be made a part of every contract for performance of the described work within the geographic area indicated as required by an applicable DBRA Federal prevailing wage law and 29 CFR Part 5. The wage rates and fringe benefits contained in the General Wage Determination Decision shall be the minimum paid by contractors and subcontractors to laborers and mechanics.

NOTICE

The most current **General Wage Determination Decisions** (wage rates) are available on the IDOT web site. They are located on the Letting and Bidding page at <http://www.dot.state.il.us/desenv/delett.html>.

In addition, ten (10) days prior to the letting, the applicable Federal wage rates will be e-mailed to subscribers. It is recommended that all contractors subscribe to the Federal Wage Rates List or the Contractor's Packet through IDOT's subscription service.

PLEASE NOTE: if you have already subscribed to the Contractor's Packet you will automatically receive the Federal Wage Rates.

The instructions for subscribing are at <http://www.dot.state.il.us/desenv/subsc.html>.

If you have any questions concerning the wage rates, please contact IDOT's Chief Contract Official at 217-782-7806.

BITUMINOUS MATERIALS COST ADJUSTMENTS (BDE) (RETURN FORM WITH BID)

Effective: December 1, 2006

Description. For projects with at least 1200 tons of work involving applicable bituminous materials, cost adjustments will be made to provide additional compensation to the Contractor, or credit to the Department, for fluctuations in the cost of bituminous materials when optioned by the Contractor. The adjustments shall apply to permanent and temporary hot-mix asphalt (HMA) mixtures, bituminous surface treatments (cover and seal coats), and pavement preservation type surface treatments. The adjustments shall not apply to bituminous prime coats, tack coats, crack filling/sealing, or joint filling/sealing.

The bidder shall indicate on the attached form whether or not this special provision will be part of the contract and submit the completed form with his/her bid. Failure to submit the form, or failure to fill out the form completely, shall make this contract exempt of bituminous materials cost adjustments.

Method of Adjustment. Bituminous materials cost adjustments will be computed as follows.

$$CA = (BPI_p - BPI_L \times (\%AC_v / 100)) \times Q$$

Where: CA = Cost Adjustment, \$.
BPI_p = Bituminous Price Index, as published by the Department @ <http://www.dot.il.gov/desenv/asphaltpi.html> for the month the work is performed, \$/ton.
BPI_L = Bituminous Price Index, as published by the Department @ <http://www.dot.il.gov/desenv/asphaltpi.html> for the month prior to the letting, \$/ton.
%AC_v = Percent of virgin Asphalt Cement in the Quantity being adjusted. For HMA mixtures, the % AC_v will be determined from the adjusted job mix formula. For bituminous materials applied, a performance graded or cutback asphalt will be considered to be 100% AC_v and undiluted emulsified asphalt will be considered to be 65% AC_v.
Q = Authorized construction Quantity, tons (see below).

For HMA mixtures measured in square yards: Q, tons = A x D x (G_{mb} x 46.8) / 2000. When computing adjustments for full-depth HMA pavement, separate calculations will be made for the base, leveling and surface courses to account for their different G_{mb} and % AC_v.

For bituminous materials measured in gallons: Q, tons = V x 8.33 lb/gal x SG / 2000

Where: A = Area of the HMA mixture, sq yd.
D = Depth of the HMA mixture, in.
G_{mb} = Average bulk specific gravity of the mixture, from the approved mix design.
V = Volume of the bituminous material, gal.
SG = Specific Gravity of bituminous material as shown on the bill of lading.

Basis of Payment. Bituminous materials cost adjustments may be positive or negative but will only be made when there is a difference between the BPI_L and BPI_p in excess of five percent, as calculated by:

$$\text{Percent Difference} = \{(BPI_L - BPI_p) \div BPI_L\} \times 100$$

Bituminous materials cost adjustments will be calculated for each calendar month in which applicable bituminous material is placed; and will be paid or deducted when all other contract requirements for the items of work are satisfied. The adjustments shall not apply during contract time subject to liquidated damages for completion of the entire contract.

Added 12/01/2006

Return With Bid

**ILLINOIS DEPARTMENT
OF TRANSPORTATION**

**OPTION FOR
BITUMINOUS MATERIALS COST ADJUSTMENTS**

The bidder shall submit this completed form with his/her bid. Failure to submit the form, or failure to fill out the form completely, shall make this contract exempt of bituminous materials cost adjustments. After award, this form, when submitted, shall become part of the contract.

Contract No.: _____

Company Name: _____

Contractor's Option:

Is your company opting to include this special provision as part of the contract?

Yes

No

Signature: _____ **Date:** _____

Added 12/01/2006

SECTION III

Special Provisions

For

**RE-MARK RUNWAY 10-28 AND RE-LIGHT RUNWAY END 28 THRESHOLD;
INSTALL RUNWAY 28 PAPI AND REIL LIGHTS**

**ILLINOIS PROJECT: DPA-3695
A.I.P. PROJECT: 3-17-0017-B21**

At

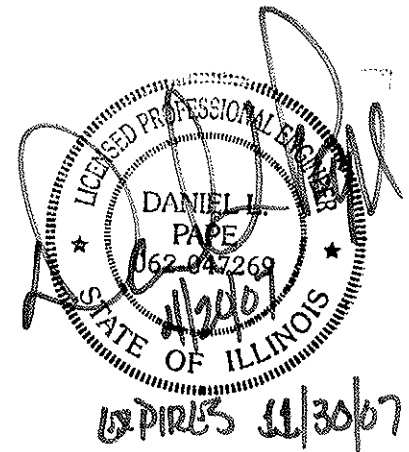
DUPAGE AIRPORT
WEST CHICAGO, ILLINOIS

Final Submittal

April 20, 2007

Prepared By:

CRAWFORD, MURPHY & TILLY, INC.
CONSULTING ENGINEERS
600 NORTH COMMONS DRIVE, SUITE 107
AURORA, ILLINOIS 60504
<http://www.cmtengr.com>



RECURRING SPECIAL PROVISIONS

The following RECURRING SPECIAL PROVISIONS indicated by an "X" are Applicable to this contract and are included by reference:

<u>Sheet</u> <u>No.</u>	<u>Item No.</u>	<u>Check</u>	<u>Page No.</u>
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2	AR106000	Apron Lighting	160
3	AR119000	Airport Obstruction Lighting (Not Included)	166
4	AR127000	Airport Navaid Installation (Not Included)	167
5	AR150510	Engineer's Field Office	168
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7	AR152540	Soil Stabilization Fabric	173
8	X AR156000	Erosion Control	175
9	AR156513	Separation Fabric	180
10	AR156540	Riprap	182
11	AR201001	Bituminous Base Course -Method I	185
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13	AR201003	Bituminous Base Course –Method I, Superpave	209
14	AR201004	Bituminous Base Course –Method II, Superpave	217
15	AR201661	Clean & Seal Bituminous Cracks	230
16	AR201663	Sand Mix Crack Repair	233
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24	AR401650	Bituminous Pavement Milling	293
25	AR401655	Butt Joint Construction	295
26	AR401900	Remove Bituminous Pavement	297
27	AR501001	Portland Cement Concrete -Pavement Method I	299
28	AR501002	Portland Cement Concrete -Pavement Method II	316
29	AR501003	Portland Cement Concrete -Pavement Method III	338
30	AR501115	Crack and Seal Pavement	362
31	AR501540	PCC Pavement Grooving	365
32	AR501550	PCC Pavement Milling	368
33	AR501900	Remove PCC Pavement	370
34	AR510500	Tie-down/Ground Rod	372
35	AR605000	Silicone Joint Sealing Filler	373

GENERAL

These Special Provisions, together with applicable Standard Specifications, Rules and Regulations, Contract Requirements for Airport Improvement Projects, Payroll Requirements and Minimum Wage Rates which are hereto attached or which by reference are herein incorporated, cover the requirements of the State of Illinois, Department of Transportation, Division of Aeronautics for the construction of the subject project at the DuPage Airport, West Chicago, Illinois.

GOVERNING SPECIFICATIONS AND RULES AND REGULATIONS

The "Standard Specifications for Construction of Airports", dated January 1985, State of Illinois Department of Transportation, Division of Aeronautics, and the "Supplemental Specifications and Recurring Special Provisions", dated July 1, 2004, State of Illinois Department of Transportation, Division of Aeronautics, indicated on the Check Sheet included herein shall govern the project except as otherwise noted in these Special Provisions. In cases of conflict with any part or parts of said specifications, the said Special Provisions shall take precedence and shall govern. As noted within the Special Provisions the Illinois Department of Transportation Standard Specifications for Road and Bridge Construction dated January 1, 2007 shall apply.

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SECTION 50 – Legal Relations And Responsibility To Public.....	3
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IDA POLICY MEMORANDUMS

- 96-1 ITEM 610, STRUCTURAL PORTLAND CEMENT CONCRETE: JOB MIX FORMULA APPROVAL & PRODUCTION TESTING
- 04-03 ACCEPTANCE PROCEDURE FOR FINELY DIVIDED MINEARLS USED IN PORTLAND CEMENT CONCRETE AND OTHER APPLICATIONS

IDOT STANDARDS

None Anticipated

APPENDIX A

FAA SPECIFICATIONS

FAA-GL-918C SPECIFICATION FOR CONSTRUCTION OF TERMINAL NAVIGATIONAL FACILITIES

SPECIFICATIONS SUPPLEMENTAL TO SPECIFICATIONS FAA-GL-840b AND FAA-GL-918C

FAA-STD-019e LIGHTNING AND SURGE PROTECTION, GROUNDING BONDING AND SHIELDING REQUIREMENTS FOR FACILITIES AND ELECTRONIC EQUIPMENT
FAA – SPECIAL SPECIFICATION

DIVISION I – GENERAL PROVISIONS

SECTION 10 – DEFINITION OF TERMS

10-23 ENGINEER

DELETE:
Paragraph (b).

SECTION 20 – SCOPE OF WORK

20-05 MAINTENANCE OF TRAFFIC

ADD:

The Contractor shall be responsible for cleaning and maintaining all haul roads and use a pick-up type sweeper on all pavements and adjacent roadways utilized in hauling operations when material is tracked onto said pavement. The Contractor shall have a sweeper on site and maintain all pavements clear of dirt and debris at all times or as requested by the Resident Engineer. If the Contractor fails to comply with the Standard Specifications, Contract Plans or these Special Provisions concerning traffic control, the Resident Engineer shall execute such work as may be deemed necessary to correct deficiencies and the cost thereof shall be deducted from compensation due or which may become due the Contractor under the contract. The Contractor shall be responsible for supplying, maintaining and moving all barricades required for construction. The cost thereof shall not be paid for separately, but shall be considered incidental to the contract unit prices.

20-09 AIRPORT OPERATIONS DURING CONSTRUCTION

a. Construction Activity and Aircraft Movements

For construction activity to be performed in other areas than active operational areas, the storage and parking of equipment and materials, when not in use or about to be installed, shall not encroach upon active operational areas. In protecting operational areas, the minimum clearances maintained for runways shall be in conformance with Part 77 of the Federal Aviation Regulations.

All construction operations shall conform to the plans and in accordance with AC 150/5370-2 (Latest Edition) Operational Safety on Airports During Construction.

b. Limitations On Construction

- (1) Open flame welding or torch cutting operations shall be prohibited, unless adequate fire and safety precautions are provided.
- (2) Open trenches, excavations and stockpiled material near any pavements shall be prominently marked with red flags and lighted by light units during hours of restricted visibility and/or darkness.
- (3) Stockpiled material shall be constrained in a manner to prevent movement resulting from aircraft blast or wind conditions.
- (4) The use of explosives shall be prohibited.
- (5) Burning shall not be allowed.

c. Debris

Waste and loose material capable of causing damage to aircraft landing gears, propellers, or being ingested in jet engines shall not be placed on active aircraft movement areas. Material tracked on these areas shall be removed continuously during the work project. The Contractor shall provide garbage cans in employee parking areas and storage areas for debris.

20-10 EXCAVATION/DEWATERING

The Contractor shall, at all times, provide and maintain in operation pumping and/or well point equipment for the complete dewatering of the excavation. No structure or pipe shall be permitted to be constructed in an excavated area in which any amount of water flows or is pooled. The Contractor shall design, furnish, install, test, maintain and remove any required excavation support system to maintain the excavation. The cost of excavation support system and dewatering shall be included in the unit price of the associated pay item and not paid for separately.

20-11 LINE ITEM PROPERTY LIST

The Contractor shall provide to the DuPage Airport a line item property listing in tabular format, consisting of all real and personal property that will be included in the Project. Real property shall be identified by each line item and cost (i.e. foundation size, building type and dimensions, systems, composition of access road and parking, linear feet of fencing and cabling.) Personal property listing shall include the bar code number (where applicable), manufacturer, full item description, part number and/or serial number, quantity, model number, cost, funding appropriation, etc. The cost data for each item shall be supported by a copy of the original invoice or billing statement and a copy of the construction contract along with verification of the contract acceptance date.

The cost of the Contractor providing the line item property list shall not be paid for separately, but shall be considered incidental to the respective pay item associated with.

SECTION 30 – CONTROL OF WORK

30-18 PLANS AND WORK DRAWINGS

DELETE:

References to “approval” in first paragraph and replace with “review”.

ADD: Revise references to the number of shop drawings to the following:

The Contractor shall submit at least twelve (12) copies of each shop drawing to be reviewed, of which ten (10) copies will be retained by the Project Engineer for his use and records. Two (2) copies of each drawing will be returned to the Contractor.

SECTION 40 – CONTROL OF MATERIALS

40-01 SOURCE OF SUPPLY AND QUALITY REQUIREMENTS

ADD: After the last paragraph

The Contractor shall certify all materials contained in the contract. Certification documentation shall be submitted to the Engineer. It shall be the sole responsibility of the Contractor to ensure the delivery of adequate and accurate documentation prior to the delivery of the materials.

If, upon delivery and incorporation of any materials, the Contractor has failed to provide the necessary submittals as required by Sections 30-18, 40-01, 40-03 and 40-11 of the Standard and Special Provisions, the pay item shall not be included on the Construction Progress Payment report until such submittals have been furnished.

40-03 CERTIFICATION OF COMPLIANCE

ADD:

Additional requirements are specified in Section 40-11 Certification of Materials.

40-11 CERTIFICATION OF MATERIALS

ADD:

The Contractor shall certify all materials incorporated into the contract. Certification documentation shall be submitted to the Resident Engineer. It shall be the **sole** responsibility of the Contractor to ensure the submittal of adequate and accurate documentation in order to satisfy the contract material certification requirements **prior** to the delivery of the materials. Materials without certification or those with certification that demonstrates the materials do not meet the requirements of the plans and specifications shall be considered nonconforming and subject to the provisions of Section 30-02.

As a guide to the certification process and requirements, the Contractor shall use the Illinois Department of Transportation/Division of Aeronautics MANUAL FOR DOCUMENTATION OF AIRPORT MATERIALS dated February 15, 2004 or latest edition including any addendums. Copies of this manual are available by contacting Mr. Mike Wilhelm-Division of Aeronautics at (217) 785-4282.

The cost of providing the required material documentation and certifications shall **not** be paid for separately, but shall be considered incidental to the associated item.

SECTION 50 – LEGAL RELATIONS AND RESPONSIBILITY TO PUBLIC

50-17 CONTRACTOR'S RESPONSIBILITY FOR UTILITY SERVICE AND FACILITIES OF OTHERS

ADD:

Special care shall be taken on all operations and particularly near pavement edges to avoid damage to edge lights and all underground electrical cable on the airport. The approximate location of existing underground cable is shown on drawings. Any airfield lights or cable that are broken and require replacement because of the Contractor's operations will be replaced by the contractor at his own expense.

Any airfield cable repairs or replacement to any part of the electrical system made necessary by the Contractor's operations will be made by him in the manner specified in Sections 108 and 125 at no cost to the airport. Cost of replacement to be borne by the Contractor shall include any expense incurred in locating as well as repairing or replacing damaged parts of the system by the owning agency.

It shall be the Contractor's responsibility to locate and protect all airport-owned utilities within the construction limits. This includes all electrical cables, storm sewer, drain tile, sanitary sewer and water main.

Special attention is necessary when working near FAA power and control cables. Any FAA utility that is damaged or cut during construction shall be repaired immediately. FAA requires that any damaged cable

be replaced in its entirety, no splices will be permitted. No additional compensation will be made for replacement or repair of FAA facilities or cables but, shall be incidental to the contract.

Should any utilities or cables require location, the following people shall be contacted:

DUPAGE AIRPORT

Utility Service or Facility	Person to Contact	Contact Phone
FAA Control & Communications Cable	FAA Sector Field Office 2710 International Dr. West Chicago, IL 60185	1-630-584-0444
City of West Chicago (Water and Sewer Services)	Joanne Gugliotta Community Development Director	1-630-293-2242
Comed - Electric Cables	JULIE	1-800-892-0123
SBC - Telephone Cables	JULIE	1-800-892-0123
NICOR - Gas Lines	JULIE	1-800-892-0123

SECTION 60 – PROSECUTION AND PROGRESS

60-05 LIMITATION OF OPERATIONS

ADD:

The Contractor shall not have access to any part of the active airfield (runways or taxiways) for any equipment or personnel without approval of the Director of Operations.

60-07 TEMPORARY SUSPENSION OF THE WORK

Replace references to “Resident Engineer” with “Engineer” throughout this section.

60-10 DEFAULT AND TERMINATION OF CONTRACT

Replace references to “Project Engineer” with “Engineer” throughout this section.

DIVISION II – PAVING CONSTRUCTION DETAILS

ITEM 610 – STRUCTURAL PORTLAND CEMENT CONCRETE

(SUPPLEMENTAL SPECIFICATION)

CONSTRUCTION METHODS

610-3.2 CONCRETE PROPORTIONS

Replace the last sentence of the sixth paragraph of Standard Specifications with “The air content of the concrete shall be between 5% and 8%, by volume.”

ITEM 620 – PAVEMENT MARKING

(SUPPLEMENTAL SPECIFICATION)

MATERIALS

620-2.2 PAINT

DELETE: Section 620-2.2-2 Epoxy of the Supplemental Specifications.

ADD:

to Supplemental at the end of the first paragraph: “The paint shall contain no lead, chromium, cadmium and barium.”

ADD to the end of Section 620-2.2.1 WATERBORNE:
Red and Green Paint shall conform to Federal Specification TT-P-1952D, Type 1.

CONSTRUCTION METHODS

620-3.5 APPLICATION.

ADD:

Glass spheres shall be distributed by an automatic dispensing unit on the paint machine to the surface of all permanently marked areas immediately after the final application of the paint. Beads shall not be required for black painted borders.

620-3.7 PAVEMENT MARKING REMOVAL

DELETE: Section 620-3.7 Pavement Marking Removal of the Supplemental Specifications.

ADD:

The Contractor shall remove existing and temporary markings as shown in the plans or as directed by the Engineer using water blasting. Pavement Marking Removal shall be vacuumed and cleaned according to

the IDOT Bureau of Design and Environment Special Provision "Water Blaster with Vacuum Recovery" #80163.

METHOD OF MEASUREMENT

620-4.1

Revise Supplemental Specifications to read as follows:

The quantity of permanent markings to be paid for shall be the number of square feet of painted with the specified material **measured only once to apply two coats** in conformance with the specifications and accepted by the Engineer. Quantities will not be distinguished between white, yellow or red colors of paint.

The quantity of pavement marking removal to be paid shall be the number of square feet of marking satisfactorily removed in accordance with the specifications and accepted by the Engineer.

BASIS OF PAYMENT

Payment will be made under:

ITEM AR620520	PAVEMENT MARKING - WATERBORNE	PER SQUARE FOOT.
ITEM AR620525	PAVEMENT MARKING - BLACK BORDER	PER SQUARE FOOT.
ITEM AR620900	PAVEMENT MARKING REMOVAL	PER SQUARE FOOT.

DIVISION V – TURFING

ITEM 901 – SEEDING

MATERIALS

901-2.1 SEED

DELETE: The seed mix table.

ADD:

The seed mixture shall be as follows:

SEEDING MIXTURE
SEEDING CLASS 1 – LAWN MIXTURES

<u>SEEDS</u>	<u>LBS/ACRE</u>
Kentucky Bluegrass	100
Perennial Ryegrass	60
Creeping Red Fescue	<u>40</u>
TOTAL	200

Alternate seed mixtures may be submitted to the Engineer for consideration.

901-2.2 LIME

ADD: The Contractor shall have the option of using an agricultural ground limestone per Section 1081.07 of the IDOT Standard Specifications for Road and Bridge Construction.

901-2.3 FERTILIZER

DELETE: This Section of the Supplemental Specifications.

REVISE last paragraph to read as follows:

Fertilizer shall be applied at rates that supply the following amounts of nutrients per acre to the distributed areas of seeding:

<u>NUTRIENTS</u>	<u>POUNDS PER ACRE</u>
Nitrogen	90
Phosphorus (P205)	90
Potassium (K20)	<u>90</u>
TOTAL	270

CONSTRUCTION METHODS

901-3.2 DRY APPLICATION METHOD

DELETE: Entire Section

ADD:

- (a) Description: This work shall consist of furnishing, transporting and installing all seeds, plant or other materials required for:

1. Any remedial operations in conformance with the plans as specified in these special provisions or as directed by the Engineer.
- (b) General Requirements: The site will be in the following condition:
 1. The grade will be shaped to the elevation shown on the plans.
 2. The topsoil will be free of clods, stones, roots, sticks, rivulets, gullies, crusting, caking and have a soil particle size of no larger than 1".
- (c) Seeding Equipment: Seeding equipment shall meet the following requirements. Any other equipment deemed necessary shall be subject to the approval of the Engineer.
 1. Disc: Any disc proposed for the use shall be in a good state of repair with sound, unbroken blades. The disc shall be weighted if necessary to achieve the required tillage depth.
 2. No-Till Planters and Drills: Rangeland type drills and no-till planters shall be designed specifically for the seeding of native grasses and forbs with depth control bands set at 1/4" - 1/8".
 3. Seedbed Preparation: Seedbed preparation methods shall be approved by the Engineer. Cultivation shall be accomplished at such a time that seeding may occur immediately and without delay. No seeds shall be sown until the Seedbed has been approved by the Engineer.
- (d) Seeding Methods: The Contractor shall submit for approval by the Engineer and schedule for seeding and/or planting at least two weeks prior to the scheduled commencement of work. Broadcast seeders will not be allowed. Seeder will be a drill type planter. The Engineer shall examine and then approve any equipment to be used. Prior to starting work, all seeding equipment shall be calibrated and adjusted to sow seeds at the proper seeding rate. Equipment shall be operated in a manner to insure complete coverage of the entire area to be seeded. The Engineer shall be notified 48 hours prior to beginning the seeding operations. Any gaps between areas of growth greater than eight square feet shall be resown and/or replanted.
 1. No-till or Drill Method: Rolling of the Seedbed will not be required with the use of rangeland type grass drill or no-till planters.

METHOD OF MEASUREMENT

901-4.1

ADD:

Areas of seeding not showing a uniform stand of grass in density and color shall not be approved for payment. Such areas shall be reseeded to the Owner's satisfaction at no additional cost to the contract.

BASIS OF PAYMENT

Payment will be made under:

ITEM AR901510	SEEDING	PER ACRE
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DIVISION VI – LIGHTING INSTALLATION

ITEM 108 – INSTALLATION OF UNDERGROUND CABLE FOR AIRPORTS

(SUPPLEMENTAL SPECIFICATION)

DESCRIPTION

108-1.1

ADD:

FAA Specification FAA-GL-918C Specification for Construction of Terminal Navigational Facilities and all other FAA Specifications are included as an appendix in this document. In the event of conflicts between the FAA document and this special provision, the FAA specification shall govern, unless otherwise approved by the Engineer.

EQUIPMENT AND MATERIALS

108-2.2

ADD:

Airfield Lighting cable under this item shall be:

- L-824, 1/C #8 5,000 V, Type C, in 3/4" unit duct

Power cables for PAPI and REIL under this item shall be:

- 1/C #1 600V UG Cable
- 1/C #4 600V UG Cable
- 1/C # 6 Ground

Counterpoise cable per FAA specification FAA-GL-918C under this item shall be:

- 1/C # 1/0 Counterpoise

All other cable shall meet the requirements of Section 16 of the FAA Specification for Construction of Terminal Navigation Aid Facilities and all other FAA Specifications, found in the appendix of this document.

108-2.4 CABLE CONNECTIONS

ADD:

To further reduce the possibility of water (moisture) entrance into the connector between the cables and the field-attached connector, heat shrinkable tubing with interior adhesive shall be applied over all cable connections.

The heat shrinkable tubing shall cover the entire L-823 connector. All connections shall be at handholes or light bases. **No direct burial splicing will be allowed.**

No splices will be allowed in the new cable. Cable shall be continuous between pull points. Any repairs necessary to cable damaged during installation shall be done at the Contractor's expense and shall consist of replacing the entire length of damaged cable between pull points.

In line connections for existing cables to be spliced or those that are cut during construction shall be repaired with the cast splice kit. The Contractor shall have a minimum of five (5) splice kits on the jobsite at all times for emergency repairs. Splice markers shall be installed over each splice in cables not to be abandoned. Cast splice kits shall be as specified in paragraph (a). All field splices shall be covered with a flexible polyolefin heat-shrinkable sleeve.

108-3.1 GENERAL

ADD:

The locations of existing cables are taken from available record maps and it will be necessary for the contractor to make field investigations to determine the exact locations of underground cable and conduits at critical points.

108-3.3 TRENCHING

ADD:

The installation of GRS conduit using the plowing-in method will not be allowed.

All cable in unit-duct may be installed using the plowing-in method or direct burial, (refer to Item 108-3.11) except at critical locations where required to protect existing cables or to facilitate construction.

Modify the Supplemental Specifications to be: Cable plowing shall be done at a minimum depth of 30" below finished grade.

108-3.8 SPLICING

DELETE: Paragraph (b) (c) and (e).

ADD:

Direct burial splicing will not be allowed.

Contractor shall use cast splicing kits as described in Article 108-2.4 for any splices made inside the electric handholes. The cast splicing kit shall be series 82-B1 Scotch cast or 90-B1 Scotch cast as manufactured by 3M or equal. Contractor shall provide shop drawing for splicing method and cast splicing kit. Contractor shall also leave minimum 30" of slack on each side of the cable being spliced. The cost of splicing shall be incidental to the cost of installation of underground cables.

108-3.10 TESTING

ADD:

Testing for 5000V and 600V Airfield Lighting Cable

The cable after installation and in case of series circuit after connection of all isolation transformers, but before connection to power source (constant current regulators, power transformers, disconnect switches, etc.) and/or connection to load other than isolation transformers shall be tested in the following manner:

1. Contractor shall meggar all new circuit after installation and before connection of the circuit to the regulator, power transformer, disconnect switches, etc.

Each test shall last for a minimum of one minute after instrument readings have been stabilized. The minimum acceptable insulation resistance value shall be 50 MEGOHMS.

2. When unacceptable readings are obtained, the Contractor shall locate the fault(s) and correct them.

3. The test equipment and power to operate it shall be furnished and operated by the Contractor to no additional cost. The equipment shall be approved by the Engineer before testing is commenced. All tests shall be witnessed by the Engineer and a representative of the Aurora Municipal Airport.

4. Circuit to remain in service will be tested prior to the start of construction to assure they are operational. These same circuit will be tested after construction has been completed, and the readings will be required to be the same or greater than the before construction meggar results.

5. All cable found to be defective due to installation methods shall be replaced by the Contractor at his expense.

The remaining existing field circuits within the working limits of this contract which are not scheduled to be added or deleted from shall also be megged before any work is performed in the presence of the Engineer and a representative of DuPage Airport. Any subsequent damage to these existing circuits shall be immediately repaired at no cost to the contract such that meggar readings taken after completion of the repair shall be, as a minimum, equal to the reading taken before the work began.

108-3.13 TERMINATIONS AND CONNECTIONS

ADD:

The Contractor shall have a minimum of five (5) splice kits on the jobsite at all times for emergency repairs.

If, due to the length of spool ordered by the Contractor, it is necessary to install additional handholes, the Contractor shall supply same at no additional cost to the project. The handhole shall be the size as directed by the Engineer.

METHOD OF MEASUREMENT

108-4.1

ADD:

Only power cables between the existing electric service pedestal, PAPI power and control unit and master REIL will be measured for payment. All power and control cables between the PAPI PCU, handhole and light assemblies, master and slave REILS, current sensor cables connected to the runway edge circuit and any other cable required for a complete and operational system shall be considered incidental to the PAPI system and REIL relocations.

Counterpoise cables as shown on the plans and detailed in the FAA specifications FAA-GL-918C, including ground rods will be measured for payment.

Trenching and backfilling for power cables and counter poise will not be measure separately, but shall be considered incidental to the associated pay item.

BASIS OF PAYMENT

108-5.1

Payment will be made under:

ITEM AR108158	1/C # 8 5 KV UG CABLE IN UD	PER LINEAR FOOT.
ITEM AR108404	1/C # 4 600V UG CABLE	PER LINEAR FOOT.
ITEM AR108756	1/C # 6 GROUND	PER LINEAR FOOT.
ITEM AR800093	1/C # 1 600V UG CABLE	PER LINEAR FOOT.
ITEM AR800177	1/C # 1/0 COUNTERPOISE	PER LINEAR FOOT.

ITEM 110 – INSTALLATION OF AIRPORT UNDERGROUND ELECTRICAL DUCT

(SUPPLEMENTAL SPECIFICATION)

DESCRIPTION

110-1.1

ADD:

This item shall consist of the construction of the new steel duct jacked, including appropriate duct markers and handhole at the locations shown in the plans or as directed by the Engineer.

Contractor shall provide pull wire for each conduit and cap the unused conduits for future use.

FAA Specification FAA-GL-918C Specification for Construction of Terminal Navigational Facilities and all other FAA Specifications are included as an appendix in this document. In the event of conflicts between the FAA document and this special provision, the FAA specification shall govern, unless otherwise approved by the Engineer.

EQUIPMENT AND MATERIALS

110-2.8 DUCT MARKER

ADD:

The Contractor shall provide duct markers for each new or existing duct being used as detailed in the plans. The cost of installation of the duct markers shall be incidental to the contract.

CONSTRUCTION METHODS

110-3.5 BACKFILLING

ADD:

Crushed Stone conforming to the requirements of Item 208 gradation shall be used for backfill at the pavement crossings for the new duct installation. The granular material shall be compacted to not less than 95% of Standard Proctor laboratory density.

BASIS OF PAYMENT

110-5.1

ADD:

Payment will be made at the contract unit price per lineal foot for each type and size of GRS conduit completed and accepted. These prices shall be full compensation for furnishing all materials and for all preparation, assembly, duct markers, aggregate backfill, backfill compaction, sawcutting and installation of these materials, and for all labor, equipment, tools, and incidentals necessary to complete these items.

Trenching and backfilling shall also be included in the installation or removal of the duct and conduit and shall not be paid for separately.

The quantity of Handhole shown on the plans for PAPI system shall not be paid for separately. It shall be included in the pay item AR125615 PAPI (L-880 SYSTEM).

Payment will be made under:

ITEM AR110314 4" STEEL DUCT, JACKED PER LINEAL FOOT.

ITEM 125 – INSTALLATION OF AIRPORT LIGHTING SYSTEMS

(SUPPLEMENTAL SPECIFICATION)

DESCRIPTION

125-1.1

ADD:

Airfield lighting improvements and modifications shall include:

- Removal of the existing 4 box VASI system and deliver to the FAA Sector Maintenance office (SMO)
- Installation of Government supplied equipment - PAPI system, 4-Box PAPI light assemblies.
- Relocation of Existing Runway 28 REIL system.
- Installation of new splice cans, handholes and cabling as shown on the plans.
- Retrofitting of existing elevated and in-pavement runway edge lights as shown on the plans.

FAA Specification FAA-GL-918C Specification for Construction of Terminal Navigational Facilities and all other FAA Specifications are included as an appendix in this document. In the event of conflicts between the FAA document and this special provision, the FAA specification shall govern, unless otherwise approved by the Engineer.

125-1.2 INSPECTION, TEST AND WARRANTY

VISUAL EXAMINATION

The most important of all inspection and test procedures is thorough visual inspections. Visual inspections shall be made frequently during installation, at completion of installation, and before energizing the circuits. A careful visual inspection can reveal defects that can be corrected prior to acceptance tests and energization. Serious damage may occur if defects are subjected to electrical tests or energization. Visual inspections shall include appraisal of:

- a. Correctness of external connections.

- b. Good work performance.
- c. Cleanliness.
- d. Safety hazards.
- e. Specific requirements listed herein for individual items. While all equipment manufactured under specifications pass strict factory tests prior to shipment, it shall be inspected for shipping damage immediately upon receipt.

ELECTRICAL TESTS ON SERIES LIGHTING CIRCUITS

Before modifying any series circuit, verify the performance of the existing circuit by checking the supply voltage to the regulator and measuring the output current from the regulator on all brightness steps under existing load.

- a. For home run segments that will not be replaced, disconnect at S-1 cutout and at first fixture and verify cable continuity.
- b. Check cable connections and perform electrical tests on cable as specified in Section L-108.

LIGHTING FIXTURES

An inspection shall be made to determine that the color, quantity, and locations of light are in accordance with the installation drawings. Each light shall be inspected to determine that it is operable, glass is not broken or cracked, correct lamps are installed, and it has been properly leveled and aimed, in accordance with technical orders and manufacturers instructions, where applicable.

CONSTANT CURRENT REGULATORS

The supply voltage and input and output current shall be checked at the regulator to see that they operate properly and that regulators are not overloaded due to shorts to ground or excessive leakage.

- a. Visual Examination. Each constant current regulator shall be visually examined to insure that porcelain bushings are not cracked, no shipping damage has occurred, internal and external connections are correct, switches and relays operate freely and are not tied or blocked, fuses (if required) are correct, and that the oil level of oil-filled regulators is correct. Relay panel covers only shall be removed for this examination; it is not necessary to open the main tank of oil-filled regulators. The instructions on the plates attached to the regulator shall be accomplished. After examination and tests are completed, replace all covers tightly.
- b. Electric Tests. The supply voltage and input tap shall be checked to see that they correspond. With the load disconnected, the regulator shall be energized and the open circuit protector observed to see that it de-energizes the regulator within 2 or 3 seconds.

MISCELLANEOUS COMPONENTS

Vault components related to each lighting circuit being modified shall be visually inspected for damage, correct connections, proper fuse and circuit breaker ratings, and compliance with codes.

FINAL ACCEPTANCE TESTS

After components and circuits have been inspected, as specified in the preceding paragraphs, the entire system shall be inspected and tested as follows:

- a. Operate each switch for the modified lighting circuits from the remote control position (L-821 Panel) so that each switch position is reached at least twice. During this process, all lights and vault equipment shall be observed to determine that each switch properly controls the corresponding circuit.
- b. Repeat the above test using the local control switches on the regulators.
- c. Each lighting circuit shall be tested by operating it continuously at maximum brightness for at least 6 hours. Visual inspection shall be made at the beginning and end of this test to determine that the correct number of lights is operating at full brightness. Dimming of some or all of the lights in a circuit is an indication of grounded cables.
- d. In addition to the above, all equipment shall be subjected to any and all performance tests specified in the manufacturer's instructions.
- e. Photometric testing. The Airport may, upon completion of the lighting installation and as part of acceptance testing, perform field photometric testing of each new light fixture to assure the installed runway lights meet the photometric requirements specified by FAA. The test results will be recorded and furnished to the Contractor, with any noted deficiencies. The Contractor is responsible for correcting any deficiencies at no additional cost to the Owner.

125-1.3 GUARANTEE

All equipment furnished and work performed under the Contract Documents shall be guaranteed against defects in materials or workmanship for a period of one (1) year from the date of final acceptance. This guarantee does not replace any responsibility for errors or omissions as set forth in state law. Any long-term warranties issued or offered by manufacturers for items of equipment shall be turned over to the Airport.

125-1.4

Any failure of equipment or work due to defects in materials or workmanship shall be corrected by the Contractor at no cost to the Airport.

125-1.5

The Contractor shall ascertain that all lighting system components furnished by him (including FAA approved equipment) are compatible in all respects with each other and the remainder of the new/existing system. Any incompatible components furnished by the Contractor shall be replaced by him at no additional cost to the Airport with a similar unit approved by the Engineer (different model or manufacturer) that is compatible with the remainder of the airport lighting system.

125-1.6

The Contractor-installed equipment (including FAA furnished and approved) shall not generate any electromagnetic interference in the existing and/or new communications, weather and air traffic control equipment. Any equipment generating such interferences shall be replaced by the Contractor at no additional cost with the equipment meeting applicable specifications and not generating any interference.

EQUIPMENT AND MATERIALS

125-2.1 GENERAL

ADD:

All new equipment shall be listed in Advisory Circular 150/5345-1(Latest Edition) - Approved Airport Lighting Equipment.

Before any electrical materials are ordered, the Contractor shall furnish the Engineer a list of the materials and equipment to be incorporated in the work. This list shall include the name of each item, the Federal Aviation Administration specification number, the manufacturer's name, the manufacturer's catalog number, and the size, type and/or rating of each item, catalog cuts, test data, fuse curves, outline drawings, nameplate drawings, wiring diagrams, and schematic diagrams.

After the list has been approved by the Engineer and prior to installation, the Contractor shall assemble the equipment and materials at a single location, on-site, and request inspection by the Engineer. None of the equipment or materials, other than duct or conduit, may be used on the job until such as inspection has been completed.

All test results from required tests shall be submitted to the Engineer for review and approval.

Airport lighting equipment and materials covered by FAA specifications shall have prior approval of the Federal Aviation Administration, Airport Service, Washington, DC 20591, and shall be listed in the current edition of FAA Advisory Circular AC 150/5345-53, Airport Lighting Equipment Certification Program. All other equipment and materials covered by other referenced specifications shall be subject to acceptance through manufacturer's certification of compliance with the applicable specification, when required by the Engineer.

All FAA Advisory Circular referenced in this specification refer to the most recent edition in circulation.

New materials for the PAPI installation and REIL relocation shall conform to FAA Specification FAA-GL-918C Specification for Construction of Terminal Navigational Facilities and all other FAA Specifications in Appendix A of this Special Provision.

125-2.9 TAPE

Rubber and plastic electrical tapes shall be Scotch Electrical Tape Numbers 23 and 88, respectively, as manufactured by the Minnesota Mining and Manufacturing Company, or an approved equal.

125-2.14 Runway 28 PAPI (L-880)

ADD:

This item shall include the installation of the government furnished equipment of the 4-box PAPI and power and control unit on new legs and new concrete foundations as shown on the plans and specified herein. New items to be furnished and installed by the Contractor include the following but are not limited to: all splice cans, handholes, concrete foundations, power and control cables, grounding electrodes and ground wires, cable splices, structural legs, anchor foundation, mounting plates, aiming and testing of the PAPI system, aggregate maintenance areas, restoration of the existing VASI site, conduits and miscellaneous electrical fittings and couplings.

The installation of 600V power cables and counterpoise between the electric service pedestal, PAPI Power and Control Rack and testing of the cables shall be per Item 108 of the specifications.

The removal and disposal of off airport property of the existing VASI system includes the following but is not limited to: concrete foundations for VASI's, concrete foundation for PCU, aggregate pads, legs for VASI's and mounting system for PCU. The removed VASI system shall be removed and care and salvaged parts shall be delivered to FAA maintenance.

Installation for the PAPI shall conform to FAA Specification FAA-GL-918C Specification for Construction of Terminal Navigational Facilities and all other FAA Specifications in Appendix A of this Special Provision.

CONSTRUCTION METHODS

125-3.1 GENERAL

ADD:

The Contractor shall exercise caution in the installation and removal of all light units. Any units damaged by the Contractor's operations shall be repaired or replaced to the satisfaction of the Engineer at no additional cost to the contract.

125-3.3 PHASING AND INTERRUPTIONS

All existing electrical equipment and lighting systems not included in the phase of work being performed must be kept in operation, unless prior approval of the Owner has been received and as otherwise specified below and on the Drawings. The Contractor may use salvaged materials for temporary construction where required. The permission for temporary work and using salvaged materials shall be obtained from the Owner. Lighting for active runway and taxiway surfaces shall be maintained. Work shall be coordinated with paving operations.

Refer to the special provision of the specification for notification requirements and other information regarding work interruptions due to airport operational requirements or Contractor anticipation for exceeding the limitations described in the above paragraph.

125-3.7 RELOCATION OF REILS

The Contractor shall remove the existing REIL lights and re-install the REIL lights on new concrete foundations as shown on the plans and as required by FAA to be a complete and operational system. The contractor shall install airfield lighting cable and current sensor to connect the relocated REILS to the existing runway 10/28 edge lighting circuit.

The installation of 600V power cables and counterpoise between the PAPI Power and Control Rack and Master REIL and testing of the cables shall be per Item 108 of the specifications.

125-3.8 RETROFIT EXISTING ELEVATED LIGHT

The Contractor shall remove existing inner lenses from the elevated high intensity runway edge lights (L-862) and replace with Amber/Clear lenses in the direction of each Runway end as shown on the plans. Contractor to verify the make and part number for the lenses to be replaced. The two existing threshold lights to be retrofitted shall also include the replacement of the lamps to be consistent with the brightness level of remaining of the runway edge lights.

125-3.8 RETROFIT EXISTING IN-PAVEMENT LIGHT

The Contractor shall remove existing in-pavement runway edge light fixture (L-850C Style 3) and replace with a new L-850C light fixture with Amber/Clear lenses in the direction of each Runway end as shown on the plans.

METHOD OF MEASUREMENT

125-4.1

DELETE: Entire section.

ADD:

The quantities to be paid for under this item shall consist of:

The quantity of PAPI system to be paid under this item shall be per each, including but not limited to all removals, topsoiling, restoration, excavation, concrete foundations, conduit, bare copper ground wire, power and control cables between PCU and LHA's , splice cans, handholes, ground rods, aiming and testing of the FAA furnished PAPI system, aggregate pads, installation of 4 LHAs, installation of PCU, modifications to the existing electric service as shown in the plans for a complete and operational system and accepted by the Engineer and FAA. PAPI power cables and counterpoise shall not be paid for under this item but shall be measured and paid for under applicable sections of Item 108.

The quantity of relocated REIL system to be paid under this item shall be per PAIR, including but not limited to all removals, topsoiling, restoration, excavation, concrete foundations, conduit, bare copper ground wire, control cables, splice cans, ground rods, aiming and testing of the relocated master and slave REILS, aggregate pads, control cables between the master and slave REILS as shown in the plans for a complete and operational system and accepted by the Engineer and FAA. Power cables between the PAPI PCU and master REIL and counterpoise shall not be paid for under this item but shall be measured and paid for under applicable sections of Item 108.

The quantity of removal of existing VASI's to be paid under this item shall be per each, including but not limited to all removals of existing VASI's, delivery to SMO, restoration and any site work as shown on the plans and accepted by the Engineer.

The quantity of retrofitting of existing elevated light to be paid under this item shall be per each, including but not limited to the replacement of inner lenses, lamps, testing of the runway edge lights as shown in the plans for a complete and operational system and accepted by the Engineer.

The quantity of retrofitting of existing in-pavement light to be paid under this item shall be per each, including but not limited to the replacement of existing in-pavement light fixture, testing of the runway edge lights as shown in the plans for a complete and operational system and accepted by the Engineer.

BASIS OF PAYMENT

125-5.1

ADD:

Payment will be made at the contract unit price for each complete item furnished and installed in place by the Contractor and accepted by the Engineer. This price shall be full compensation for furnishing all materials and for all preparation, removals, modifications, relocation, assembly, and installation of these materials, and for all labor, equipment, tools, and incidentals necessary to complete this item.

Payment for topsoiling and seeding of the Item 125 installation areas shall not be paid for separately but shall be considered incidental to the associated item.

Payment will be made under:

ITEM AR125615	PAPI (L-880 SYSTEM)	PER EACH.
ITEM AR125902	REMOVE BASE MOUNTED LIGHT	PER EACH.
ITEM AR125909	REMOVE VASI	PER EACH.
ITEM AR125967	RELOCATE REILS	PER PAIR.
ITEM AR800175	RETROFIT EXISTING ELEVATED LIGHT	PER EACH.
ITEM AR800176	RETROFIT EXISTING IN-PAVEMENT LIGHT	PER EACH.

DIVISION VIII – MISCELLANEOUS

ITEM 156000 – EROSION CONTROL

CHECK SHEET #8

156-1.1

ADD:

All entrances to the construction site shall have a stabilized entrance constructed in accordance with Standard IL-630 of the Natural Resources Conservation Service and the current Illinois Urban Manual.

CONSTRUCTION METHODS

156-3.9 INLET PROTECTION

Erosion control fencing and bales shall be placed around all proposed inlets and catch basins as shown on the plans, or as directed by the Engineer.

156-3.10

In the event that temporary erosion and pollution control measures are ordered by the Engineer due to the Contractor's negligence or carelessness, the work shall be performed by the Contractor at no additional cost to the Owner.

METHOD OF MEASUREMENT

156-4.2

DELETE: This Section.

156-4.4

The number of inlet protection paid for shall be the number shown in the plans or ordered by the Resident Engineer used to control erosion.

Inlet protection unit price per each shall include silt fence, bales and filter wrap as a completed unit, no separate payment will be made for each item.

BASIS OF PAYMENT

156-5.1

ADD:

Inlet protection unit price per each shall include silt fence, bales and filter wrap as a completed unit, no separate payment will be made for each item. This price shall be full compensation for furnishing all materials, for all preparation, and installation of these materials, including excavation, placement, staples, maintenance and removal and for all labor, equipment, tools and incidentals necessary to complete this item.

Stabilized construction entrances shall not be measured for payment. It shall be considered incidental to Item 156 – Erosion Control

Payment will be made under

ITEM AR156510	SILT FENCE	PER LINEAR FOOT
ITEM AR156520	INLET PROTECTION	PER EACH

ITEM 800119 – REMOVE GRAVEL

DESCRIPTION

800119-1.1

This item shall consist of removing an existing gravel roadway of the thickness and to the grades shown on the plans.

CONSTRUCTION METHODS

800119-2.1

Material obtained from removal operations shall be hauled to the existing west haul road location and graded smoothly and compacted by the Contractor as shown on the plans or as designated by the Resident Engineer. No additional compensation will be made for hauling removed material. Upon gravel removal, landscaping the site shall be in accordance with Item 901 and 800053.

METHOD OF MEASUREMENT

800119-3.1

The yardage to be paid for shall be the number of cubic yards of gravel removal as measured in the field, completed and accepted.

BASIS OF PAYMENT

800119-4.1

The accepted quantities of gravel removal will be paid for at the contract unit price per cubic yard which price and payment shall be full compensation for furnishing of all materials, equipment, labor, hauling and disposal and all other incidental items necessary to complete the work to the satisfaction of the Engineer.

Topsoil placement shall not be paid for separately, but shall be considered incidental to this item. Placement of seed and soil guard will be paid for under the provisions of ITEM 901 and 800053 respectively.

Payment will be made under:

ITEM AR800119	REMOVE GRAVEL	PER CUBIC YARD.
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ITEM 800053 – SOIL GUARD

DESCRIPTION

800053-1.1

This item consists of the application of a bonded fiber matrix to provide erosion control as shown on the plans or as directed by the Engineer.

MATERIALS

800053-2.1

The erosion materials used shall be Weyerhaeuser SOIL GUARD, or approved equal. When considering equals, it shall be the IDA Materials Engineer's sole authority to determine equals. Substitute non-conforming materials with credit will not be considered.

CONSTRUCTION METHODS

800053-3.1

All erosion control materials shall be placed in accordance with the manufacturer's recommendations. Applicators shall be certified by the manufacturer. Proof of written certification shall be provided to the Engineer prior to installation.

800053-4.1

Soil Guard application shall be measured in square yards on the basis of the actual surface area acceptably mulched.

BASIS OF PAYMENT

800053-5.1

Payment will be made at the contract unit price per square yard for soil guard mulching. This price shall be full compensation for furnishing all materials and for placing the materials, and for all labor, equipment, tools, and incidentals necessary to complete this item.

Payment will be made under:

ITEM AR800053 SOIL GUARD PER SQUARE YARD

IDA POLICY MEMORANDUMS

State of Illinois
Department of Transportation
Division of Aeronautics

POLICY MEMORANDUM

January 1, 2004

Springfield

Number 96-1

TO: CONSULTING ENGINEERS

SUBJECT: ITEM 610, STRUCTURAL PORTLAND CEMENT CONCRETE:
JOB MIX FORMULA APPROVAL & PRODUCTION TESTING.

- I. This policy memorandum addresses the Job Mix Formula (JMF) approval process and production testing requirements when Item 610 is specified for an airport construction contract.
- II. PROCESS
 - a. The contractor may submit a mix design with recent substantiating test data or he may submit a mix design generated by the Illinois Division of Highways with recent substantiating test data for approval consideration. The mix design should be submitted to the Resident Engineer.
 - b. The Resident Engineer should verify that each component of the proposed mix meets the requirements set forth under Item 610 of the *Standard Specifications for Construction of Airports* and/or the contract special provisions.
 - c. The mix design should also indicate the following information:
 1. The name, address, and producer/supplier number for the concrete.
 2. The source, producer/supplier number, gradation, quality, and SSD weight for the proposed coarse and fine aggregates.
 3. The source, producer/supplier number, type, and weight of the proposed flyash and/or cement.
 4. The source, producer/supplier number, dosage rate or dosage of all admixtures.
 - d. After completion of Items b and c above, the mix with substantiating test data shall be forwarded to the Division of Aeronautics for approval. Once the mix has been approved the production testing shall be at the rate in Section III as specified herein.

III. PRODUCTION TESTING

- a. One set of cylinders or beams, depending on the strength specified, shall be cast for acceptance testing for each day the mix is used. In addition, at least one slump and one air test shall be conducted for each day the mix is used. If more than 100 c.y. of the mix is placed in a given day, additional tests at a frequency of 1 per 100 c.y. shall be taken for strength, slump, and air. In **no** case will concrete with a slump greater than 4 inches be allowed for use on the project.
- b. If the total proposed amount of Item 610 Structural Portland Cement Concrete as calculated by the Resident Engineer is less than 50 c.y. for the entire project, the following shall apply:
 - The Resident Engineer shall provide a copy of the calculations of the quantity of Item 610 to the Division of Aeronautics.
 - One set of cylinders or beams, depending the strength specified, shall be cast for acceptance testing.
 - One air content and one slump test shall be taken for acceptance testing.
 - In no case will concrete with a slump greater than 4 inches be allowed for use on the project.
- c. The Resident Engineer shall collect actual batch weight tickets for every batch of Item 610 concrete used for the project. The actual batch weight tickets shall be kept with the project records and shall be available upon request of the Department of Transportation.

Steven J. Long, P.E.
Acting Chief Engineer

Supersedes Policy Memorandum 96-1 dated January 1, 2003

**State of Illinois
Department of Transportation
Bureau of Materials and Physical Research**

POLICY MEMORANDUM

January 15, 2004

Springfield

04-03

TO: DISTRICT ENGINEERS, HIGHWAY BUREAU CHIEFS, AND
MANUFACTURERS AND SUPPLIERS OF FINELY DIVIDED MINERALS

SUBJECT: ACCEPTANCE PROCEDURE FOR FINELY DIVIDED MINERALS USED
IN PORTLAND CEMENT CONCRETE AND OTHER APPLICATIONS

DEFINITIONS

Department - Illinois Department of Transportation.

Bureau - Bureau of Materials and Physical Research, at 126 East Ash Street, Springfield, Illinois 62704-4766.

Finely Divided Mineral - A finely divided material which has cementitious or pozzolanic properties. Examples are fly ash, microsilica (silica fume), ground granulated blast-furnace (GGBF) slag, and high-reactivity metakaolin (HRM).

Manufacturer - A company that manufactures a finely divided mineral. The term Producer is also used.

Supplier - A company that supplies a finely divided mineral which it does not manufacture.

Source - The name and location of the manufacturing process from which the finely divided mineral is obtained.

Approved Source - A source that is approved by the Bureau to ship a finely divided mineral for immediate use on Department projects.

Unapproved Source - A source that ships a finely divided mineral which must be sampled, tested, and approved by the Bureau before it is used on Department projects.

Cement - Portland cement.

Fly Ash - A finely divided residue that results from the combustion of ground or powdered coal, transported from the combustion chamber by exhaust gas, collected by mechanical or electrical means, and stored in stockpiles or bins.

Microsilica - An amorphous silica of high silica content and purity possessing high pozzolanic activity.

Ground Granulated Blast-Furnace (GGBF) Slag - A glassy granular material, formed when molten blast-furnace slag is rapidly chilled, and then finely ground.

High-Reactivity Metakaolin (HRM) - A reactive aluminosilicate pozzolan formed by calcining purified kaolinite at a specific temperature range.

Reference Material - A portland cement used for the control mortar and corresponding test mortars, of a finely divided mineral, to determine its strength activity index.

Preliminary (PRE) Sample - A sample used to determine, in advance, if the finely divided mineral will comply with Department specifications.

Process Control (PRO) Sample - A sample used for the purpose of controlling production of finely divided minerals proposed for incorporation into Department projects.

Acceptance (ACC) Sample - A sample used for accepting/rejecting finely divided minerals prior to its use on Department projects and/or unassigned stock for future use on projects. The quantity represented by acceptance samples must be given.

Independent Assurance (IND) Sample - A sample used to provide an independent check on the reliability of the manufacturer's quality control program.

Investigation (INV) Sample - A destination sample used to verify the acceptability of a finely divided mineral from a source.

Grab Sample - A sample secured from a conveyor, from bulk storage, or from a bulk shipment in one operation.

Composite Sample - Combined grab samples taken at prescribed intervals over a period of time.

NIST - National Institute of Standards and Technology.

CCRL - Cement and Concrete Reference Laboratory.

ISO 9000 Series - A program of international quality management system standards developed by the International Organization for Standardization (ISO).

1.0 PURPOSE

To establish procedures whereby materials of mineral origin, furnished by a **Manufacturer** or **Supplier**, will be accepted for use on **Department** projects.

2.0 SCOPE

This procedure is available to all **Manufacturers** or **Suppliers** of domestic and foreign **Finely Divided Minerals**. **Sources** in North America may be **Approved** or **Unapproved**. **Sources** located outside of North American will not be given **Approved Source** status, and the procedures in Sections 5.1 and 5.3 shall apply.

3.0 SPECIFICATION REQUIREMENTS, SAMPLING, AND TEST PROCEDURES

- 3.1 **Finely Divided Minerals** used on **Department** projects shall meet the material requirements of the **Department's** "Standard Specifications for Road and Bridge Construction", dated January 1, 1997, and current special provisions.
- 3.2 **Fly Ash** used on **Department** projects shall meet the standard physical and chemical requirements of AASHTO M 295, "Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Portland Cement Concrete," for Class C or Class F. A limitation of available alkalis, as Na_2O , of 1.5%, shall apply to fly ashes used in portland cement concrete mixtures and cement aggregate mixture II containing alkali-sensitive aggregates or admixtures.
- 3.3 **GGBF Slag** used on **Department** projects shall meet the standard physical and chemical requirements of AASHTO M 302, "Ground Iron Blast-Furnace Slag for Use in Concrete and Mortars," for a Grade 100 or a Grade 120 material.
- 3.4 **Microsilica** used on **Department** projects shall meet the standard physical and chemical requirements of AASHTO M 307, "Microsilica for Use in Concrete and Mortar," except that the Strength Activity Index requirement shall not apply. The **Microsilica** shall meet the "Accelerated pozzolanic activity index: With portland cement at 7 days," as specified by ASTM C 1240, "Standard Specification for Silica Fume for Use as a Mineral Admixture in Hydraulic-Cement Concrete, Mortar, and Grout." A limitation of available alkalis, as Na_2O , of 1.5% shall apply to **Microsilica** used in mixtures containing alkali-sensitive aggregates or admixtures.

- 3.5 **High-Reactivity Metakaolin (HRM)** used on **Department** projects shall meet the standard physical and chemical requirements of AASHTO M 295, "Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Portland Cement Concrete," for Mineral Admixture Class N, except that the Strength Activity Index requirement shall not apply. The **HRM** shall meet the "Accelerated pozzolanic activity index: With portland cement at 7 days," as specified by ASTM C 1240, "Standard Specification for Silica Fume for Use as a Mineral Admixture in Hydraulic Cement Concrete, Mortar, and Grout." A limitation of available alkalis, as Na₂O, of 1.5%, shall apply to **HRM** used in mixtures containing alkali-sensitive aggregates or admixtures.

4.0 APPROVED SOURCE PROCEDURE

- 4.1 A **Manufacturer** or **Supplier** requesting **Source** approval of a **Finely Divided Mineral** shall provide the following to the **Bureau**:

- (1) The **Manufacturer's** or **Supplier's** name and location.
- (2) The **Source** name, location (station), and number of generating units.
- (3) The name of the **Finely Divided Mineral** and its class or grade.
- (4) A certification that the **Finely Divided Mineral** meets the applicable requirements of Section 3.0.
- (5) A 6-month testing history.
- (6) A copy of the **Manufacturer's** or **Supplier's** quality control program.
- (7) A copy of the last **CCRL** inspection report of the testing laboratory used by the **Manufacturer** or **Supplier** of the **Finely Divided Mineral**, with documentation of resolution of any discrepancies noted therein. The **Manufacturer** or **Supplier** of **HRM** or **Microsilica** shall provide a copy of the testing laboratory's **CCRL** inspection report and/or an **ISO 9000 Series** certificate.
- (8) A copy of the Material Safety Data Sheet (MSDS) for the **Finely Divided Mineral**.

At the time of application, the **Manufacturer** or **Supplier** shall obtain a **Preliminary (PRE) Grab Sample** of the **Finely Divided Mineral** from current production. The **Manufacturer** or **Supplier** shall split the **PRE Sample** and place one portion in an airtight container and deliver it to the **Bureau**. A sample of the **Reference Material** used by the **Manufacturer** or **Supplier** for testing shall be included. The **Manufacturer** or **Supplier** shall assume the cost to deliver the samples to the **Bureau**. The size of the **Bureau's** portion of the **PRE Sample**, and the **Reference Material**, shall not be less than 3 kg (6 lb.) each and the samples shall be properly identified as required in Attachment 1. The **Manufacturer** or **Supplier** shall test the retained portion of the **PRE Sample** for

the standard physical and chemical properties listed in the applicable specification in Section 3.0 and deliver a copy of the test results to the **Bureau** for comparison.

The **Bureau** will test its portion of the **PRE Grab Sample** for conformance to Section 3.0. The **Bureau** will compare the results obtained by both laboratories to determine compliance with the allowable difference between two laboratories set forth in the precision statement of each test method. Additional split sample testing will be required if the test results obtained on the **PRE Grab Sample** do not comply with the specification requirements of this policy memorandum.

An inspector from the **Bureau** may conduct a scheduled visit to inspect the laboratory facilities designated by the **Manufacturer** or **Supplier** to test the **Finely Divided Mineral**; the **Source** manufacturing process, the **Source** storage facilities; and the quality control policies, procedures, and practices used by the **Manufacturer** or **Supplier**. The **Manufacturer** or **Supplier** shall be responsible for payment of transportation, per diem (meals), lodging, and incidental travel costs incurred by the **Department**.

The **Bureau** will notify the **Manufacturer** or **Supplier**, in writing, if the request for **Approved Source** status is granted or denied. A request may be denied if the **Manufacturer** or **Supplier** fails to meet the requirements of this policy memorandum, or for other reasons determined by the **Department**.

4.2 Quality Control Requirements For **Approved Sources**:

The **Manufacturer** or **Supplier** shall establish and maintain quality control policies and procedures for sampling and testing that are approved by the **Bureau**. The **Bureau** shall be notified of any changes in the **Manufacturer's** or **Supplier's** quality control program.

Testing laboratories used by the **Manufacturers** or **Suppliers** of **Fly Ash** or **GGBF Slag** shall participate in the **CCRL** pozzolan program of the **NIST**, which includes inspection of facilities and testing of comparative samples. Testing laboratories used by the **Manufacturers** or **Suppliers** of **Microsilica** or **HRM** shall participate in the **CCRL** pozzolan program of the **NIST** and/or shall have implemented a quality management system based on the **ISO 9000 Series** standards.

4.3 Reporting Requirements For **Approved Sources**:

The **Manufacturer** or **Supplier** shall deliver a test report to the **Bureau** each month listing the results of all **Grab** and **Composite Samples** taken and tested for the month. Sampling, testing, and reporting shall be done according to the applicable specification in Section 3.0.

4.4 Record Requirements For **Approved Sources**:

Records of production control tests shall be maintained by the **Manufacturer** or **Supplier** for a minimum period of 5 years, and shall be made available to the **Bureau** upon request.

Copies of bills of lading of quantities of **Finely Divided Minerals** shipped shall be maintained by the **Manufacturer** or **Supplier** for a minimum period of 3 years, and shall be made available to the **Bureau** upon request.

4.5 Sampling and Test Requirements for **Approved Sources**:

Each January, April, July, and October (unless otherwise specified by the **Bureau**) the **Manufacturer** or **Supplier** shall obtain a **Process Control (PRO) Grab Sample** of the **Finely Divided Mineral**, which shall be split for testing by the **Manufacturer** or **Supplier** and the **Bureau**. At this time, a sample of the current **Reference Material** used by the **Manufacturer** or **Supplier** for testing shall also be split. The **Bureau** samples shall be placed in airtight containers, properly identified as required in Attachment 2, and immediately delivered to the **Bureau**. Each **Finely Divided Mineral** sample and **Reference Material** sample shall not be less than 3 kg (6 lb).

The **Manufacturer** or **Supplier** shall test the retained portion of each **PRO Sample**, using the retained portion of the **Reference Material**, for the standard physical and chemical properties listed in the applicable specification in Section 3.0. When all tests are completed, the **Manufacturer** or **Supplier** shall record the test results on a report form that identifies the sample as a **PRO Sample**, and promptly deliver the report to the **Bureau**.

The test results obtained by the **Manufacturer** or **Supplier** and the **Bureau** on all split samples will be compared for compliance with the allowable differences for two laboratories set forth in the precision statement of each test method and for compliance with Section 3.0. If significant differences exist in the split sample test results, the **Department** will investigate sampling and test procedures, or require additional comparative sampling to determine the cause of the variation.

4.6 **Department** Inspections of **Approved Sources**:

An inspector from the **Bureau** may conduct unscheduled visits, at **Department** expense, to each **Approved Source** or one of its terminals. During this visit, the inspector will either take or witness the taking of a random **Independent Assurance (IND) Grab Sample**. The inspector will split the sample and deliver an equal portion to the **Manufacturer** or **Supplier**. The **Manufacturer** or **Supplier** shall test the retained portion of the split sample for the standard physical and chemical properties listed in the applicable specification and deliver the test results to the **Bureau**, as specified in Section 4.5, for comparison and compliance with Section 3.0.

Random **Investigation (INV) Samples** of the **Finely Divided Minerals** and the project **Cement** will be obtained at final destination by a representative of the **Department**. The representative will either take or witness the taking of the **INV Samples**. **INV Samples** will be **Grab Samples** and shall not be less than 3 kg (6 lb). (Note: **Cement** samples will be taken according to ASTM C 183). The sampling location and frequency for obtaining **INV Samples** will be determined by the **Bureau** in consultation with the district offices.

The **Bureau** will test **INV Samples** to ascertain the results of **Finely Divided Mineral-project Cement** combinations. To verify that **Finely Divided Minerals** shipped from **Approved Sources** meet the requirements of Section 3.0, the **Bureau** will test **INV Samples** with the appropriate **Reference Material**.

4.7 Revocation of **Approved Source** Status:

Failure of a **Manufacturer** or **Supplier** to meet the requirements of Sections 3.0 and 4.0 of this policy memorandum will be sufficient cause to revoke **Approved Source** status.

Failure to resolve significant differences in testing, as indicated by the test results obtained on **PRO** or **IND Samples** split with the **Manufacturer** or **Supplier** will be sufficient cause to revoke **Approved Source** status.

Failure of the testing laboratory, used by the **Manufacturer** or **Supplier** of a **Finely Divided Material**, to satisfactorily resolve the discrepancies noted in the **CCRL** inspection report and/or to maintain a quality management system based on the **ISO 9000 Series** will be sufficient cause to revoke **Approved Source** status.

Revocation of **Approved Source** status will be reported to the **Manufacturer** or **Supplier** in writing. The **Manufacturer** or **Supplier** may not re-apply for **Approved Source** status until 30 days have elapsed from the date of the written notice of revocation.

5.0 UNAPPROVED SOURCE PROCEDURE

5.1 A **Manufacturer** or **Supplier** requesting approval of a **Finely Divided Mineral** from an **Unapproved Source** shall provide the following to the **Bureau**:

- (1) The **Manufacturer's** or **Supplier's** name and location.
- (2) The **Source** name, location (station), and number of generating units.
- (3) The name of the **Finely Divided Mineral** and its class or grade.

- (4) A current test report, in English, which indicates the standard physical and chemical composition of the **Finely Divided Mineral** as per Section 3.0.
- (5) The transportation method and location at which an inspector from the **Bureau** will be able to obtain **Acceptance (ACC) Samples**.
- (6) If requested by the **Bureau**, the **Manufacturer** or **Supplier** shall deliver to the **Bureau** a 24-hr **Composite Preliminary (PRE) Sample** of the **Finely Divided Mineral** from current shipments. The **Manufacturer** or **Supplier** shall assume the cost to deliver it to the **Bureau**. The size of the **PRE Sample** shall not be less than 3 kg (6 lb) and the sample shall be properly identified as required in Attachment 1.

5.2 Sampling and Test Requirements for **Unapproved Sources** in North America:

- (1) **Finely Divided Minerals** from an **Unapproved Source** will be sampled, tested, and approved by the **Bureau** before use on **Department** projects. The **Bureau** has the option to affix a seal to secure **Finely Divided Minerals** in storage (e.g. silo, truck, railroad car, or barge) until the **Bureau's** testing is completed.
- (2) Upon arrival of the **Finely Divided Mineral** to Illinois, an inspector from the **Bureau** will obtain **Acceptance (ACC) Grab Samples** according to the applicable specifications. The **Bureau** will determine the number of representative samples required.
- (3) The **Manufacturer** or **Supplier** may request the **Bureau** to sample the **Finely Divided Mineral** prior to arrival in Illinois. In the event the request is approved, the **Manufacturer** or **Supplier** shall be responsible for payment of transportation, per diem (meals), lodging, and incidental travel costs incurred by the **Department** inspector. If the **Department** determines that it lacks the resources to accomplish out-of-state inspection, the **Finely Divided Mineral** may be sampled and tested according to the procedures in Section 5.3.
- (4) **Acceptance (ACC) Samples** will be tested by the **Bureau** for conformance to Section 3.0, and to approve the **Finely Divided Mineral** for use on **Department** projects.
- (5) **Random Investigation (INV) Samples** of **Finely Divided Minerals** may be obtained at final destination by a representative of the **Department**. The representative will either take or witness the taking of the **INV Samples**. **INV Samples** will be **Grab Samples** and will be taken according to the applicable specification. The sampling location and frequency for obtaining **INV Samples** will be determined by the **Bureau** in consultation with the district offices. The **Bureau** will use **INV Samples** to verify that the **Finely Divided Mineral** shipped meets the requirements of Section 3.0.

5.3 Sampling and Test Requirements for **Unapproved Sources** Located Outside North America:

An agent of the importer shall obtain an **Independent Assurance (IND) Grab Sample** from each barge of foreign **Finely Divided Mineral** loaded at the port of entry and destined for Illinois.

The agent shall split each barge **Grab Sample** and mail one portion to the **Bureau**. The other portion shall be mailed to the importer's testing laboratory that is approved by the **Department**. The importer of the **Finely Divided Mineral** shall be responsible for all sampling and mailing costs.

The importer's laboratory shall test its portion of each barge **Grab Sample** for the standard physical requirements of the applicable specifications. One random barge **Grab Sample**, representing the **Finely Divided Mineral** in each hold of the vessel shall be tested for chemical composition.

Upon completion of the tests, the importer shall deliver to the **Bureau** a certification that states the **Finely Divided Mineral** in the vessel unloaded at the port of entry has been tested by the importer, and complies with the applicable specifications. Attached to the certification shall be a test report of all barge samples. The report shall include the name of the vessel, the source of the **Finely Divided Mineral**, the barge number, the hold number, the date the sample was taken, the quantity of **Finely Divided Mineral** in the barge, and the physical and chemical test results obtained on the samples.

The importer shall immediately notify the **Bureau** if a barge sample fails to meet the applicable specification requirements.

The **Bureau** will review the certification and compare the importer's test data to the test data obtained by the **Bureau** on its portion of each split sample.

When the certification and the accompanying test report are examined and determined to be correct, the **Bureau** will notify the importer and the district offices that the **Finely Divided Mineral** is approved for state projects.

Random Investigation (INV) Samples, from one or more barges, may be taken by a **Department** inspector when the barges arrive at the Illinois terminal(s).

The **Department** will reject any foreign **Finely Divided Mineral** tested by the **Bureau**, or the importer, that does not meet the specification requirements. The **Department** may reject any barge of **Finely Divided Mineral** wherein the differences in test values, obtained by the **Department** and the importer on the split sample, exceeds the multilaboratory precision of the test method, but the **Finely Divided Mineral** is within specifications.

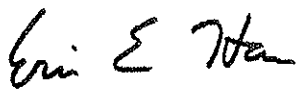
Alternative proposals to the sampling and test requirements stated in this section will be considered for **Finely Divided Minerals** which have an acceptable quality history, and which have previously been approved by the **Department**. Requests shall be directed to the **Bureau of Materials and Physical Research** for approval.

6.0 ACCEPTANCE OF FINELY DIVIDED MINERALS

- 6.1 **Finely Divided Minerals** will be accepted according to the **Department's** current "Standard Specifications for Road and Bridge Construction," current special provisions, and this policy memorandum.
- 6.2 The **Bureau** will maintain and circulate a current list of **Approved Sources of Finely Divided Minerals** which meet the requirements of this policy memorandum. This list will include the name, location, and Producer/Supplier Number of each approved **Manufacturer** or **Supplier of Finely Divided Minerals**. These **Manufacturers** or **Suppliers** may ship **Finely Divided Minerals** for immediate use on **Department** projects.
- 6.3 **Finely Divided Minerals** from **Unapproved Sources** will be approved by the **Bureau** before use on **Department** projects.

7.0 REJECTION OF FINELY DIVIDED MINERALS

- 7.1 A **Finely Divided Mineral** that fails to conform to the requirements of Section 3.0 of this policy memorandum shall be rejected for use on **Department** projects.
- 7.2 The **Bureau** will notify the **Manufacturer** or **Supplier** when a **Finely Divided Mineral** is rejected for use on **Department** projects.



Eric E. Harm, P.E.
Engineer of Materials
and Physical Research

Attachments

This policy memorandum supersedes Policy Memorandum 99-5 dated April 1, 1999.

DAD/dsg

PRE SAMPLE IDENTIFICATION

MEMO TO: Illinois Department of Transportation
Bureau of Materials and Physical Research

SUBJECT: Preliminary (PRE) Sample

DATE: _____

The enclosed Preliminary (PRE) Sample is submitted to the Bureau of Materials and Physical Research for testing:

This PRE Sample is identified as follows:

1. Manufacturer/Supplier Name: _____
2. Material Name (i.e. Fly Ash, GGBF Slag, etc.): _____
3. Material Class or Grade: _____
4. Name and Location of Source of Material: _____

5. Date Sample Was Taken: _____
6. Identification Number (If Used): _____
7. Sample Taken From (i.e. Truck, Silo, etc.): _____
8. Remarks: _____

Instructions: Include this sample identification sheet with each PRE Sample and mail to:

Illinois Department of Transportation
Bureau of Materials and Physical Research
126 East Ash Street
Springfield, Illinois 62704-4766
ATTN: J. R. Oglesby, Cement Technology Engineer

PRO SAMPLE IDENTIFICATION

MEMO TO: Illinois Department of Transportation
Bureau of Materials and Physical Research

SUBJECT: Process Control (PRO) Sample

DATE: _____

The enclosed Process Control (PRO) Sample is submitted to the Bureau of Materials and Physical Research for testing:

This PRO Sample is identified as follows:

1. Manufacturer/Supplier Name: _____
2. Material Name (i.e. Fly Ash, GGBF Slag, etc.): _____
3. Material Class or Grade: _____
4. Name and Location of Source of Material: _____

5. Date Sample Was Taken: _____
6. Identification Number (If Used): _____
7. Sample Taken From (i.e. Truck, Silo, etc.): _____
8. Remarks: _____

Instructions: Include this sample identification sheet with each PRO Sample and mail to:

Illinois Department of Transportation
Bureau of Materials and Physical Research
126 East Ash Street
Springfield, Illinois 62704-4766
ATTN: J. R. Oglesby, Cement Technology Engineer

**Special Provisions
DuPage Airport
West Chicago, IL**

**IL Project: DPA-3695/A.I.P. Proj: 3-17-0017-B21
Re-Mark Runway 10-28; Runway 28 PAPI
Final Submittal**

I.D.O.T. STANDARD DRAWINGS

APPENDIX A

FAA SPECIFICATIONS

PLEASE RECYCLE.

DEPARTMENT OF TRANSPORTATION
FEDERAL AVIATION ADMINISTRATION
GREAT LAKES REGION
CHICAGO, ILLINOIS

FAA-GL-918C
November 30, 1994

SPECIFICATION FOR CONSTRUCTION OF
TERMINAL NAVIGATIONAL
AID FACILITIES

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DIVISION 1 - GENERAL REQUIREMENTS
SECTION 1A
SPECIAL CONDITIONS

1A.1 SCOPE.

- a. This specification covers general requirements for construction of an Instrument Landing System (ILS) and Visual Guidance Lighting Systems. The complete ILS consists of several component facilities. The term visual guidance lighting systems covers lighting facilities. Refer to the solicitation package for types of facilities to be constructed. This specification includes requirements common to all facilities and requirements specific to individual facility types. In general, all parts of this specification covering construction required on project drawings and in other contract documents, are applicable to this contract.
- b. The contractor shall furnish all plant, labor, materials (except Government-furnished property), equipment, energy, transportation, and other services necessary to construct all elements of the systems required in the specifications, drawings, and other contract documents. Construction shall include all miscellaneous and incidental work necessary for a complete and operational system, whether or not such work is specifically shown or specified.

1A.2 GOVERNMENT-FURNISHED PROPERTY. Government-furnished property (GFP) is also known as Government-furnished material (GFM). Government-furnished property for this contract is shown on the Government-Furnished Property List. The Government-Furnished Property List is the sole contract document which validly identifies Government-furnished property under this contract. The contract drawings give little or no indication of which items are Government-furnished. To determine whether an item of equipment or other material is Government-furnished, see the Government-Furnished Property List. For Government-furnished property, the contractor shall provide for and pay for loading of this property at the storage location (location indicated on the Government-Furnished Property List) and transportation to, and unloading at, the job site.

1A.3 CONTRACTOR-FURNISHED MATERIAL. The contractor shall furnish all material under this contract per Paragraph 1A.1b, except the Government-furnished property identified on the Government-Furnished Property List. The instruction install on the drawings means furnish and install unless the item(s) to which the instruction applies is Government-furnished property included in the Government-Furnished Property List. The contractor shall be aware that certain materials to be furnished by the contractor, may be long-lead-time items. Therefore, the successful bidder should determine the availability of all material immediately after contract award, and initiate procurement action on long-lead-time items at the earliest possible date. To facilitate the use of this specification in procuring material and equipment, see the Material and Equipment Specification Index at the end of this section. Where the specifications mention material or equipment by brand, it is regarded as a known acceptable source, as it meets specifications.

1A.4 SUBMITTALS AND BRAND NAME USAGE.

- a. Introduction. Each product required for use in the contract drawings and specifications must meet the actual minimum needs of the Government as demonstrated in the salient (prominent, important) characteristics for that product. If a brand name product is used in the drawings or specifications, it should be regarded as a "known acceptable source" (i.e., a product that meets the actual minimum needs, and demonstrates the appropriate salient characteristics). The product used can be identical or equal to the brand name product or known acceptable source in meeting the salient characteristics, but it need not exceed the actual minimum requirements. Any brand name product or known acceptable source mentioned will, however, not be required for use in order to comply with the specification or drawing unless those documents make it clear that the brand name product is required, and substitution is prohibited. The following submittal procedure shall be followed in order to:
- (1) Insure adherence to functional and quality standards in substitute contractor-furnished material.
 - (2) Inform the FAA of the contractor's plans to use certain material and equipment, e.g., splicing materials and tools, even if they are a known acceptable source.
- b. Definition. A submittal is a collection of information required by specifications, or by the Contracting Officer, presenting detailed information on:
- (1) Material or equipment items the contractor proposes to use.
 - (2) Methods or plans of action which the contractor intends to employ in specific situations.
- c. Requirements. Submittal requirements are formally defined in a paragraph of the contract Special Specifications. Submittal guidance of varying extent is presented in this specification (FAA-GL-918C), as indicated in the Material and Equipment Specification Index at the end of this section. Each product that a contractor wishes to use that is not a known acceptable source, must be approved before use, by the Contracting Officer or the Contracting Officer's designee. To gain approval, the contractor must submit documents and/or samples that will demonstrate that that product clearly will meet the Government's minimum needs, and demonstrates appropriate salient characteristics. All submittals must be in writing. The Contracting Officer shall have the right to require submittals from the contractor where the contractor makes an unsolicited change proposal. The information presented in a submittal shall be sufficient to demonstrate that all specification requirements for the subject material, equipment, methods,

1A.4c

or plans, are met by the contractor's proposal. The informational materials may include documents such as shop drawings, sketches, calculations, data sheets, written plans of action, manufacturers' catalog cuts, brochures, and/or specification sheets. If the specifications or Contracting Officer requires actual samples of material or equipment, the contractor shall provide them. For any documentary submittal, the contractor shall submit four identical sets of documents.

- d. Submittal Review. When submitting before the Notice to Proceed date, the contractor shall send the submittal package(s) directly to the Contracting Officer. When submitting after contract work has begun, the contractor shall give submittal packages to the Resident Engineer, who will forward them promptly to the Contracting Officer. The Contracting Officer may personally evaluate the submittal, or request FAA engineers to evaluate it. In either case, the submittal will return directly from the Contracting Officer to the contractor, with the Contracting Officer's approval, approval with comments, or disapproval.
- e. Submittal Time Frame. To provide adequate time for document transmission and submittal review, the FAA reserves the right to take two weeks to complete a review, transmission date to transmission date. Terminal navi-gational aid contracts are brief contracts. The review process can therefore span a substantial portion of the contract period. For this reason:
- (1) The contractor is urged to initiate submittals as soon as feasible after contract award, and to expedite document transmission.
 - (2) The Contracting Officer and other reviewers (if any) will expedite reviews and document transmission insofar as feasible.

Maximum use of fast document transmission modes (e.g., fax, couriers, and overnight freight forwarders) is encouraged.

- f. Procurement Before Approval. The contractor is advised not to procure any item for which submittal approval is required but not yet granted. If approval is denied, the contractor will be prevented from installing the disapproved item(s). The contractor must transmit a new submittal package for the new items replacing the disapproved items, and must procure only approved items. The contractor shall take responsibility for the delivery and installation of any items installed before submittal approval is granted. The FAA reserves the right to discontinue field work on any item furnished without submittal approval. Procuring and/or installing material which is later disapproved could result in substantial losses of money and time for the contractor.

- 1A.5 PRE-CONSTRUCTION CONFERENCE. The contractor shall attend a pre-construction conference when required by the contracting officer or airport management. The contractor shall abide by all agreements reached at the conference regarding safety practices, ingress and egress routes to the site, maintenance of airport security (locking gates, etc.), deference to air traffic, and other operational procedures.
- 1A.6 COORDINATION. All coordination between the contractor and the airport management and local FAA personnel, shall be accomplished through the Resident Engineer.
- 1A.7 PROJECT DRAWINGS.
- a. Conflict Between Site Drawings and Standard Drawings. If any conflict should exist between site drawings (location-specific drawings) and standard drawings (drawings not referring to a particular location), the site drawings shall govern.
 - b. Drawings Referenced But Not Provided. Unless otherwise specified, drawings which are referenced on contract drawings, but which are not listed in the list of specifications and drawings, do not apply to the contract.
- 1A.8 TEMPORARY ELECTRICAL POWER. Unless otherwise specified, the contractor shall make all arrangements and pay all costs for temporary electrical power needed for construction of the facility.
- 1A.9 COMPLIANCE WITH LOCAL AND OTHER CODES. The contractor shall comply with standards (e.g., National Electrical Code) adopted by the contract documents, and with local and other codes. Where the requirements of the specifications and drawings exceed those of the adopted and local codes, the contractor shall comply with the requirements of the specifications and drawings.
- 1A.10 SANITARY FACILITIES. Sanitary facilities are not available at the work sites. The contractor shall provide temporary toilet facilities as required for his employees. The locations of the toilet facilities shall be where directed by the Resident Engineer.

MATERIAL AND EQUIPMENT SPECIFICATION INDEX

Does the paragraph include:

<u>Material or Equipment Specified</u>	<u>Relevant Paragraph(s)</u>	<u>Product(s) listed?</u>	<u>Submittal guidance?</u>
air conditioner	16A.17e	N	N
anti-seize compound	13A.2d(1) 13C.2b	Y Y	N N
cable			
600V power cable, DEB	Section 16B	N	N
600V armored power cable, DEB	Section 16C	N	Y
5,000V power cable, DEB	Section 16D	N	Y
clamp	13A.2d(2)	Y	N
control cable	Section 16E	N	Y
connector protection	16A.24	Y	N
end caps	16A.8	Y	N
splicing connectors			
power	13A.6c	Y	Y
power and control	16F.6	Y	Y
splicing kits			
MALS power	13A.6b	Y	Y
power and control	16F.6	Y	Y
circuit breakers	16A.14b&e	Y	N
conduit	16A.1 16A.3	N N	N N
door hardware for shelters	13E.4	Y	N
electrical coating	16A.25	Y	N
electrical enclosures and wireways	16A.15	N	N
electrical tape	16A.21	Y	N

MATERIAL AND EQUIPMENT SPECIFICATION INDEX (CONTINUED)

<u>Material or Equipment Specified</u>	<u>Does the paragraph include:</u>		
	<u>Relevant Paragraph(s)</u>	<u>Product(s) listed?</u>	<u>Submittal guidance?</u>
environmental equipment for shelters	16A.17	Y	N
exothermic welding kits	16A.4f	Y	Y
expansion couplings	16A.27	Y	N
fiber forms for concrete piers	3B.7b	Y	N
fire and arc proofing	16A.23	Y	N
framing, commercial metal	16A.26	Y	N
frangible couplings	16A.20	Y	N
fuses for switches	16A.13f	Y	N
geotextile	2B.3a	Y	Y
grounding electrode material	16A.4c	N	N
crimped connectors for	16A.4g	Y	Y
grounding conductor	16A.4d	N	N
heater	16A.17c	Y	N
heater timer unit (components)	16A.17d	Y	N
landscape fabric	2B.3b	Y	Y
lamp, MALS 120-watt	13A.5	Y	Y
lighting equipment for shelters	16A.17f	Y	N
	16A.17g	Y	N
lightning protection equipment	16A.18	Y	N
paint	9A	N	N
	13E.7	Y	N
panelboard	16A.14	Y	N
pre-stretched rubber tubing	16A.22	Y	N

MATERIAL AND EQUIPMENT SPECIFICATION INDEX (CONTINUED)

Does the paragraph include:

<u>Material or Equipment Specified</u>	<u>Relevant Paragraph(s)</u>	<u>Product(s) listed?</u>	<u>Submittal guidance?</u>
safety disconnect switches	16A.13	Y	N
screw anchor foundations	Section 13D	Y	Y
shelter steel siding	13E.8	Y	N
splicing connectors and kits	see under cable		
surge arrester	13F.7	Y	N
	16A.16	Y	Y
switches, safety	16A.13	Y	N
fuses for	16A.13	Y	N
tape	see electrical tape		
terminal strips for control cable	16A.19	Y	N
vent fan	16A.17a	Y	N
thermostat for	16A.17b	Y	N

DIVISION 3 - CONCRETE
SECTION 3A
CONCRETE FORMWORK AND REINFORCEMENT

3A.1 DESCRIPTION OF WORK. Extent of work is indicated on the drawings and by the requirements of this section.

3A.2 CONCRETE FORMWORK.

- a. Design of Forms. Forms shall conform to shapes, lines, and dimensions of the members shown on the plans, and shall be sufficiently tight to prevent leakage of mortar. They shall be properly tied together so as to maintain position and shape.
- b. Form Removal. Forms shall not be loosened or removed until the concrete members have acquired strength sufficient to support their own weight. No additional loads shall be placed on the concrete for at least 48 hours after placing.
- c. Form Ties. Form ties for concrete shall be of a type that will break back 1 1/2 inches from the concrete surface. Ties shall be removed to a minimum depth of 1 1/2 inches, and the surface patched.

3A.3 CONCRETE REINFORCEMENT.

- a. Materials. Reinforcement bars shall conform to "Specifications for Billet - Steel Bars for Concrete Reinforcement", ASTM A-615. All bars shall be intermediate grade deformed bars.
- b. Cleaning and Bending Reinforcement. At the time concrete is placed, metal reinforcement shall be free from rust scale or other coatings that will destroy or reduce the bond. All bent bars shall be bent cold. No bars partially embedded in concrete shall be field bent except as shown on plans.
- c. Placing Reinforcement. Metal reinforcement shall be accurately placed according to the plans, and adequately secured in position by concrete, metal, or other approved chairs, spacers, or ties.
- d. Splices in Reinforcement. No splices or reinforcement shall be made except as shown on the plans or as authorized by the Resident Engineer. All welding shall conform to the American Welding Society's recommended practices for welding reinforcing steel, metal inserts and connections in reinforced concrete construction (AWS D12.1).

3A.3e

- e. Concrete Protection for Reinforcement. The reinforcement shall be protected by the thickness of concrete shown on the drawings. Where not shown, the thickness of concrete over the reinforcement shall be as follows:
- (1) Where concrete is deposited against the ground without the use of forms, not less than 3 inches.
 - (2) Where concrete is exposed to the weather or to the ground but placed in forms, not less than 2 inches for bars larger than number 5, and 1 1/2 inches for number 5 bars or smaller.

DIVISION 13 - SPECIAL CONSTRUCTION
SECTION 13C
VASI, REIL, PAPI, AND RVR SYSTEMS

13C.1 DESCRIPTION OF WORK. This section applies to special construction required for a Visual Approach Slope Indicator (VASI), Runway End Identifier Lights (REIL), Precision Approach Path Indicator (PAPI), and New Generation Runway Visual Range (RVR).

13C.2 FRANGIBLE SUPPORTS FOR VASI, REIL, PAPI, AND RVR EQUIPMENT.

- a. Description. Frangible couplings will be used to support VASI, REIL, PAPI, and RVR equipment installed near runways. Each frangible coupling has a hexagonal throat with a break-off groove in the middle, designed to break at low impact, thereby minimizing damage to colliding aircraft.
- b. Coupling Installation. When installing the frangible coupling, take care to use a wrench which will grip only the lower portion of the hexagonal throat of the coupling, i.e., that portion immediately below the break-off groove. If the wrench grips the upper portion of the hexagonal throat, the coupling may break when torque is applied. See Paragraph 16A.20 for thread remediation. Whether thread remediation is performed or not, apply anti-seize compound to the threads of the frangible coupling, to facilitate removal. The compound shall be an anti-seize assembly lubricant formulated to provide protection for stainless steel and dissimilar metal threaded fasteners against galling, seizure, and heat-freeze. Do not use plumber's pipe-joint compound. The frangible coupling shall be screwed down tightly into the conduit coupling.
- c. Cable Connectors. Where cable connectors are required within the frangible couplings, the connectors shall have the capability of separating easily upon breakage of the frangible couplings. Therefore, apply silicone grease of high dielectric strength to the mating surfaces of the connector plug and receptacle housings in the frangible couplings. Do not allow the silicone grease to make contact with the plug and receptacle terminals, and do not place electrical tape over the connector joints. A cable clamp shall firmly grip the receptacle connector of the lower cable assembly (never the plug connector of the upper cable assembly). The connectors shall be vertically positioned such that the joint between the two connectors is as close as feasible to the breakoff groove.

13C.3 EQUIPMENT ELEVATIONS.

- a. Elevations of Record. After the contractor has installed the VASI, REIL, PAPI, or RVR lighting unit foundations (concrete or screw anchor), he shall survey and record all such independent foundation top elevations to the nearest

13C.3a

0.01 foot and deliver this information to the Resident Engineer for as-built drawing record. Elevations should be clearly referenced to locations where measured, such as a specific corner of a screw anchor or anchor plate. It is emphasized that all foundations of every lighting unit shall be measured such as the four legs of an individual VASI box.

- b. Elevation Verification. Runway elevations indicated on the drawings were established on the date indicated. Prior to using these elevations for construction survey proposes, the contractor shall verify, through the Resident Engineer, that such runways have not been resurfaced after the date of the engineering survey. If resurfacing has occurred, new benchmarks will be established by the Resident Engineer. The VASI unit light slot elevations shall not be altered from those indicated on the drawings.

13C.4 ALIGNMENT AND AIMING ANGLE TOLERANCES.

- a. Aiming Angles. Refer to site drawings for locations and aiming angles for individual VASI, REIL, PAPI, or RVR lighting units.
- b. VASI and PAPI Lamp Housing Assembly (LHA) Unit Tolerances.
 - (1) Longitudinal Alignment Tolerances. Front face of each LHA unit shall be located within ± 6 inches of single line perpendicular to the runway centerline.
 - (2) Azimuthal Alignment Tolerance. Longitudinal axis of each LHA unit shall be parallel to the runway centerline within $\pm 1/2$ degree.
 - (3) Mounting Height Tolerance. Light beam centerline of each LHA unit (within a bar for VASI) shall be located on a single horizontal plane within \pm one inch.
 - (4) Aiming Angle Tolerance. Aiming angle of each LHA unit shall be within ± 2 minutes of angle specified.

13C.5 SYSTEM CONTROL. Unless otherwise indicated, VASI, REIL, or PAPI system construction shall include a method of on/off control shown on the drawings or specified herein. REIL control will also include provision for varying the lighting intensity.

13C.6 OPERATIONAL TESTS. The contractor shall demonstrate that the VASI, REIL, or PAPI system will operate satisfactorily by a series of operational test cycles and a continuous test run of 24 hours minimum. The tests shall clearly indicate that the system meets all the requirements of the drawings, specifications, and the manufacturer's instruction manuals.

DIVISION 16 - ELECTRICAL
SECTION 16A
BASIC METHODS AND MATERIALS

16A.1 APPLICABLE DOCUMENTS.

- a. Federal Documents. The following Federal Specifications in effect on the date of the invitation for bids or request for proposals, form a part of this specification.

(1) WW-C-581 Conduit, Metal, Rigid; and Coupling,
Elbow, and Nipple, Electrical Conduit:

Zinc-Coated

(2) WW-C-563 Conduit, Metal Rigid; Electrical, Thinwall
Steel Type (Electrical Metallic Tubing);
Straight Lengths, Elbows, and Bends

(3) W-F-408 Fittings for Conduit, Metal, Rigid
(Thickwall) and Thin-Wall (EMT)

- b. Electrical Codes. The following publications and regulations, in effect on date of the invitation for bids or request for proposals, form a part of this specification and are applicable to the extent specified herein.

(1) NFPA Number 70 National Electrical Code.

(2) The rules and regulations of local utility companies providing service.

(3) Local governing body rules and regulations.

16A.2 REQUIREMENTS.

- a. General. The contractor shall install all electrical work in accordance with the applicable drawings and specifications. All electrical work shall be installed to meet the provisions of the current issue of the National Electrical Code, NFPA-70, and all state and local regulations.

16A.2b

b. Contract Drawings.

- (1) Where the electrical drawings indicate or (diagrammatically or otherwise) the work intended and the functions to be performed (even though some minor details are not shown), the contractor shall furnish all equipment, material (other than Government-furnished items) and labor to complete the installation work, and accomplish all the indicated functions of the electrical installation.
- (2) Minor departures from exact dimensions shown on the drawings may be permitted where required to avoid conflict or unnecessary difficulty in placement of the dimensioned item, provided all other contract requirements are met. The contractor shall promptly obtain approval from the FAA Resident Engineer for any such proposed departure.

c. Materials. Materials and equipment, to be acceptable, must comply with all contract requirements. Materials to be furnished by the contractor under this specification shall be new and, unless specified otherwise, the standard products of a manufacturer's latest designs. Wherever standards have been established by Underwriters' Laboratories, Inc., the materials shall bear the UL label.

16A.3 CONDUIT.

- a. Where electrical metallic tubing is shown on the drawings, at exterior or interior locations, it shall be used without substitution.
- b. Except where specified otherwise, conduit exposed to the weather, in concrete, or below grade shall be galvanized rigid steel with threaded joints. All conduit and conduit fittings in contact with earth shall be field coated with asphaltum or have a factory PVC coating.
- c. Except where otherwise specified, conduit used entirely indoors shall be rigid or electrical metallic tubing. Compression type fittings shall be used with metallic tubing.
- d. Minimum size of conduit shall be 3/4-inch unless otherwise noted on the drawings. Each conduit run shall be installed complete before cable is pulled through.
- e. All outdoor connections of conduit to enclosures shall be made with weatherproof hub fittings unless otherwise specified. Indoor connections of rigid conduit to enclosures shall be made with double locknuts and bushings. Refer to grounding section for disconnect switch conduit terminations.

16A.3f

- f. Ends of conduits installed but not used, shall be closed with bushings and pennies. All underground conduit shall be temporarily plugged during construction to prevent entrance of foreign material.
- g. Wherever conduit from outdoors or underground enters an enclosure or junction box, either indoors or outdoors, seal space between conduit and cables with conduit seal.
- h. Exposed conduit shall be installed parallel to or at right angles with equipment and building wall surfaces unless shown otherwise. Field bends shall be avoided where possible, and where necessary shall be made with a hickey or conduit-bending device. Radius of field bends shall not be less than ten times the inside diameter of the conduit. Conduit shall be fastened securely to adjacent members or surfaces with galvanized clamps, straps.
- i. The contractor shall install one #6 copper pull wire in underground duct or conduit which is installed or utilized under this contract. This is in addition to all power or control cables installed under this contract. The pull wire shall be continuous through the duct or conduit, and shall extend five feet beyond each end of the duct or conduit.
- j. Flexible conduit shall be installed where specified on the drawings.

16A.4 GROUNDING.

- a. Equipment, Structures, and Raceways.
 - (1) All metallic non-current carrying parts of electrical equipment (including enclosures) and supporting structures installed under this contract, whether used either for power or control, shall be grounded with an equipment grounding conductor, whether or not shown on the drawings. The grounding conductor shall be sized in accordance with the National Electrical Code, but shall be of larger gauge if so shown on the drawings. In no case shall the grounding conductor be smaller than #12 AWG, unless shown otherwise on the drawings.
 - (2) A service entrance conduit or any other power feeder conduit emerging from below grade and supplying power to another facility or system component shall terminate with grounding bushings at both ends. These requirements apply unless shown otherwise on the drawings.

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- (3) The equipment grounding conductor shall be connected to the grounded conductor (neutral) only at the service entrance disconnecting means. The equipment grounding conductor shall be installed in the same conduit as its related branch and feeder conductors, and shall be connected to the ground bus in the branch or distribution panelboard. The equipment grounding conductor shall be connected to all grounding bushings on conduits through which the conductor passes. The equipment grounding conductor shall be connected to all other grounding conductors in enclosures and bodies through which the conductor passes.
 - (4) Where there are parallel feeders installed in more than one raceway, a properly sized equipment grounding conductor shall be installed in each raceway. The metallic conduit carrying the equipment grounding conductor shall be electrically continuous, forming a path parallel to the equipment grounding conductor. Under no circumstances shall the equipment grounding conductor be omitted from the electrical system. Nor shall any separate grounding system such as the signal ground, be used for an alternate grounding system or an alternate path to the grounding electrode, unless so shown on the drawings.
 - (5) All connections to the equipment to be grounded shall be made with a grounding connector specifically intended for that purpose. Connecting screws or mounting bolts and screws are not suitable for use as grounding connections. All ground lugs shall be of a non-corroding material suitable for use as a grounding connection, and must be compatible with the type of metal being grounded. **REMOVE PAINT AND OTHER NON-CONDUCTING MATERIALS FROM SURFACES OF GROUNDING CONNECTIONS.**
 - (6) Unless otherwise specified, control equipment enclosures, pull boxes, and raceways, shall be grounded as above for power wiring.
 - (7) Where surface-mounted square duct, other wireways, or cable tray systems are installed, a separate copper conductor shall be installed in the raceway, and shall be properly bonded to each section. Unless otherwise specified, the minimum size ground conductor shall be #6 green insulated copper.
- b. Service Entrance Disconnect Switches and Breakers. All facility service entrance disconnect switches and breakers shall be grounded as follows:
- (1) The neutral bar or lug shall be grounded with a green insulated copper grounding electrode conductor, running directly to the grounding electrode. The grounding

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electrode conductor size shall be in accordance with the NEC, but in no case shall the wire size be smaller than No. 4 AWG.

(2) The switch box or panelboard enclosure shall be grounded to the grounded neutral bar or lug with a green insulated conductor, or other service grounding means.

- c. Grounding Electrode. Grounding electrodes (rods) shall be copper clad steel, 3/4-inch by 10 feet, except where otherwise specified. The top of the grounding electrode shall be a minimum of 12 inches below finished grade. Lightning down conductors shall be attached to electrodes with exothermic welds only. Shelter perimeter grounding conductors shall be attached to electrodes with exothermic welds only, except in grounding access wells. In a grounding access well, grounding conductors shall be attached with bolted mechanical connectors. Other grounding conductor(s) shall be attached to the electrode with an exothermic weld or by hydraulically crimped compression connectors, as specified below.
- d. Grounding Conductor. All grounding conductors shall be copper. All grounding conductors which are totally above grade shall be green-insulated conductors. All grounding conductors which are either entirely or partially direct-earth buried, shall be #6 AWG bare conductors, unless noted otherwise on the drawings.
- e. Buried Guard Wire. Underground cables which are not completely enclosed in ferrous metal conduit, shall be protected by a #6 AWG bare solid copper guard wire. The guard wire shall be embedded in the soil 10 inches directly above, and parallel to, the highest of the cables in the trench or duct system. The guard wire shall be bonded to the grounding electrode system at each end of the cable run, and to grounding electrodes along the cable run at intervals not exceeding 300 feet. The guard wire shall be connected to the electrodes with exothermic welds, or by hydraulic crimping, as specified below.
- f. Exothermic Process for Connecting Grounding Conductors to Metal Objects. Where the drawings and/or specifications require connection of a grounding conductor to a metal object by exothermic process, the contractor shall supply the correct exothermic welding kit for the application. The mold and cartridge used shall be selected on the basis of size, number, and type of conductors to be connected, composition and surface shape of object, and position in which the weld will be made. Two sources of exothermic welding kits are Thermoweld (Continental Industries) and Cadweld (Erico Products, Inc.). Some of the kits are listed on the tabulation at the end of this section. Regardless of the source of the kits he selects, the contractor shall

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submit catalog cuts or other manufacturer information, demonstrating that the kits fit their intended applications on the above described basis. See Paragraph 1A.4 above. The contractor shall provide and use the proper preparation tools in applying the exothermic process to insure an adequate weld. Torch welds and/or brazing will not be permitted. No single-use exothermic weld molds, such as Thermoweld "Single Shot" and Cadweld "One Shot", will be permitted.

- g. Hydraulically Crimped Connections. Grounding conductors (except lightning conductors, shelter perimeter grounding conductors, and conductors inside a grounding access well) may be connected to grounding electrodes with compression connectors crimped with a force of at least 24,000 pounds. All grounding conductors (except shelter lightning protection system conductors) may be connected to each other with compression connectors crimped with a force of at least 24,000 pounds. Connectors, tools, dies, and crimping procedures shall be compatible to the application and to each other, and shall conform to the manufacturer's catalog and instructions. Each connector shall be clearly marked with catalog number, conductor size, and installation die information. The tooling shall be of the type that embosses or engraves the die index number on the connector in the crimping process. All connectors shall be listed in conformance with Underwriters Laboratories Standard UL467 and the National Electrical Code. Burndy Hyground Compression System connectors, matching tools, and crimping procedures, are one system of products which meet these specifications. Regardless of the source of the connectors, tools, and dies selected, the contractor shall submit catalog cuts or other manufacturer information, demonstrating that these items fit their intended applications as described above. See Paragraph 1A.4 above.
- h. Testing. Electrode grounds shall be tested for resistance intended applications as described above. See Paragraph 1A.4 above. at each location. Resistance to ground for each grounding location shall be 10 ohms or less. If this value is not achieved with the grounding electrodes, as shown on the drawings, additional grounding electrodes, spaced at least 6 feet apart, or electrode extensions of the same construction and diameter, shall be installed until the resistance value does not exceed the maximum of 10 ohms. A tabulated report of the final resistance value at each location shall be provided to the Resident Engineer.

16A.5 SPARE FUSES. Unless specified otherwise, for every fused switch the contractor installs, he shall furnish the Resident Engineer one full set of spare fuses in addition to the fuses installed in the switch. If the drawings require more than one full set, the contractor shall comply with the drawings.

- 16A.6 GROUND FAULT INTERRUPTING RECEPTACLE. All outdoor receptacles provided by the contractor shall be ground fault interrupting duplex receptacles in properly sized weatherproof boxes.
- 16A.7 CABLE ABANDONMENT. Ends of cables to be abandoned shall be buried two feet below grade unless otherwise specified.
- 16A.8 WATERPROOFING CABLE ENDS. All cable ends which will be exposed to weather, water, ground, or corrosive environment prior to termination, shall be sealed against these elements while awaiting termination. This also applies to all cable ends in manholes or handholes. The sealing material shall be properly sized, easily removable heat shrinkable end caps (3M ICEC are acceptable), or electrical tape (see Paragraph 16A.21 below), with an application of brushed-on protective electrical coating.
- 16A.9 CONDUIT AND CABLING FOR ENGINE GENERATOR. Where engine generator standby power will be extended to a facility, conduit shall run continuously, without intermediate manholes or handholes, from the engine generator to the facility. In the continuous conduit, power cables shall be installed without splices from the engine generator bypass switch to the facility service entrance switch.
- 16A.10 ELECTRICAL EQUIPMENT NAME PLATES.
- a. Each of the following types of equipment shall be identified with a name plate showing the functional name of the unit, voltage utilized, one or three phase as applicable, and additional information if specified or requested by the Resident Engineer:
 - Switches (Except Local Lighting)
 - Panelboards
 - Main Circuit Breakers
 - Motor Controllers
 - b. Name plates shall be non-ferrous metal or rigid plastic, stamped, embossed, or engraved with 3/8-inch minimum height letters and numerals. Name plates shall be secured to the equipment with at least two screws, except main breaker plates may be epoxy glued.
- 16A.11 PANELBOARD CIRCUIT DIRECTORIES. The contractor shall clearly and neatly mark panelboard circuit directories, identifying each circuit he establishes, re-establishes, or changes, as to the circuit's function.
- 16A.12 COVERING HOLES IN ENCLOSURES. No electrical enclosure will be accepted which has an unused open hole, except weep holes or vent holes. Holes in enclosures where conduits, bolts, or other objects were removed and not reinstalled, shall be closed with panels of the same material, thickness, color, and shade as the enclosure.

16A.13 SAFETY DISCONNECT SWITCHES AND FUSES. Safety disconnect switches and fuses shall meet the following specifications.

- a. General. Unless specified otherwise, all switches for circuit voltages of 600VAC or less, shall be heavy duty (Type HD), UL listed, and shall bear the UL label. The switches shall be NEMA 1 or NEMA 3R, as required by the drawings or special specifications.
- b. Switch Interiors. All switches shall have switch blades which are fully visible in the OFF position when the switch door is open. All current-carrying parts shall be of high-conductivity copper, designed to carry the rated load without excessive heating. Switches shall have removable arc suppressors where necessary to permit easy access to line side lugs. Lugs shall be front removable and UL listed for 60°C or 75°C, aluminum or copper wires.
- c. Switch Mechanism. Switches shall quick-make, quick-break, such that during normal operation of the switch, the operation of the contacts will not be capable of being restrained by the operating handle after the closing or opening action of the contacts has started. The operating handle shall be an integral part of the box, not of the cover. Switches shall have provisions for padlocking the switches in the OFF position with at least three locks. Switches shall have a dual cover interlock to prevent unauthorized opening of the switch door when the handle is in the ON position, and to prevent closing of the switch mechanism with the door open. The handle position shall indicate whether the switch is ON or OFF.
- d. Enclosures. Covers on NEMA 1 enclosures shall be attached with pin type hinges. NEMA 3R enclosures shall be securable in the open position. NEMA 3R enclosures for switches through 200 amperes shall have provisions for interchangeable bolt-on hubs. Hubs shall accommodate the conduits of the diameters indicated on the drawings. NEMA 3R enclosures shall be manufactured from galvanized steel. All enclosures shall have a gray baked enamel finish, electrodeposited on cleaned, phosphatized steel.
- e. Ratings. All fusible switches rated 100 through 600 amperes at 240 volts, and 30 through 600 amperes at 600 volts, shall have a UL-approved method of field conversion from standard Class H fuse spacing to Class J fuse spacing. The switch also must accept Class R fuses, and have provisions for field installation of a UL-listed rejection feature to reject all fuses except Class R. The UL-listed short circuit rating of the switches shall be 200,000 rms symmetrical amperes when Class R or Class J fuses are used with the appropriate rejection scheme. The UL-listed short circuit rating of the switch, when equipped with Class H fuses, shall be 10,000 rms symmetrical amperes.

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- f. Fuses. All fused switches meeting the above specifications shall be fused with dual element, time-delay, UL Class RK5 fuses, of the continuous current rating specified on the drawings. The fuses' interrupting rating shall be at least 10,000 rms symmetrical amperes. Bussmann Fusetron switch fuses are among the products that meet these specifications.
- g. Switches Meeting Specifications. The following eight Square D 240V, single phase, 3-wire switches, are among switches meeting the above specifications:
 - (1) 30-amp-rated, for indoor use, Cat. No. H221A, with field-installable solid neutral assembly Cat. No. H60SNC.
 - (2) 30-amp-rated, for outdoor use, Cat. No. H221AWK, with field-installable solid neutral assembly Cat. No. H60SNC.
 - (3) 60-amp-rated, for indoor use, Cat. No. H222A, with field-installable solid neutral assembly Cat. No. H60SNC.
 - (4) 60-amp-rated, for outdoor use, Cat. No. H222AWK, with field-installable solid neutral assembly Cat. No. H60SNC.
 - (5) 100-amp-rated, for indoor use, Cat. No. H223A, with field-installable solid neutral assembly Cat. No. H100SNC.
 - (6) 100-amp-rated, for outdoor use, Cat. No. H223AWK, with field-installable solid neutral assembly Cat. No. H100SNC.
 - (7) 200-amp-rated, for indoor use, Cat. No. H224A, with field-installable solid neutral assembly Cat. No. H200SNC.
 - (8) 200-amp-rated, for outdoor use, Cat. No. H224AWK, with field-installable solid neutral assembly Cat. No. H200SNC.

16A.14 PANELBOARDS AND CIRCUIT BREAKERS. Panelboards and circuit breakers shall meet the following specifications.

- a. General. Unless otherwise specified, all panelboards for circuits of 240VAC or less, shall be surface mounted, and equipped with bolt-on circuit breakers with frame and trip ratings. Panelboards and circuit breakers shall be UL rated, and shall bear the UL label. When installed as service equipment, panelboards shall be suitable for use as service equipment.
- b. Circuit Breakers. Circuit breakers shall be one-pole or two-pole thermal-magnetic molded-case circuit breakers. The two-pole breakers shall have an integral crossbar to assure simultaneous opening of both poles. Breakers shall have an overcenter, trip-free, toggle-type operating mechanism with quick-make, quick-break action and positive handle indication. Handles shall have ON, OFF, and TRIPPED positions. In addition, trip indication shall include a visible trip indicator appearing in the window of the

breaker case. The circuit breakers shall be able to be installed in the panelboard without requiring additional mounting hardware. Circuit breakers shall be UL-listed in accordance with UL Standard 489 and shall be rated 240 VAC maximum with continuous current ratings as noted on the drawings. Circuit breakers up to but not including an ampere rating of 70 amperes, shall have an interrupting rating of 10,000 rms symmetrical amperes for a 120/240VAC circuit. Circuit Breakers with ampere ratings of 70 amperes or more, shall have an interrupting rating of 22,000 rms symmetrical amperes for a 120/240 VAC circuit. Single-pole 15 and 20-ampere circuit breakers for routine switching of fluorescent lighting loads, shall carry the SWD marking.

- c. Bussing Assembly and Temperature Rise. Panelboard bus structure and main lugs or main circuit breaker shall have current ratings as shown on the drawings. Such ratings shall be established by heat rise tests, conducted in accordance with UL Standard 67. Bus structures shall be insulated. All current-carrying parts shall be of high-conductivity copper, designed to carry the rated load without excessive heating.
- d. Cabinets and Fronts. The panelboard bus assembly shall be enclosed in a steel cabinet. The rigidity and gauge of the steel shall be as specified in UL Standard 50 for cabinets. Wiring gutter space shall be in accordance with UL Standard 67 for panelboards. The box shall be fabricated from galvanized steel or equivalent rust-resistant steel. Each front shall include a door, and shall have a flush, cylinder tumbler-type lock with catch and spring-loaded stainless steel door pull. All panelboard locks shall be keyed alike. Fronts shall have adjustable indicating trim clamps which shall be completely concealed when the doors are closed. Doors shall be mounted with completely concealed steel hinges. Fronts shall not be removable with the door in the locked position. A circuit directory frame and card with a clear plastic covering shall be provided on the inside of the door.
- e. Panelboards Meeting Specifications. The following panelboards are among panelboards which meet the above specifications.

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(1) 12-Space Panelboards. Panelboards assembled from the following Square D components, including 100-amp main lug or 100-amp main circuit breaker (CB) interiors with 12 single-pole branch breaker spaces:

	<u>Indoor (NEMA 1)</u>	<u>Outdoor (NEMA 3R)</u>
Interior	NQOD12L100CU (main lugs) NQOD12M100CU (main CB's)	NQOD12L100CU (main lugs) NQOD12M100CU (main CB's)
Enclosure	MH20 (main lugs) MH23 (main CB's)	MH20WP (main lugs) MH23WP (main CB's)
Interior Trim Kit	None	MH20TK (main lugs) MH23TK (main CB's)
Circuit Breakers	QOB style	QOB style

(2) 20-Space Panelboards. Panelboards assembled from the following Square D components, including 100-amp main lug or 100-amp main circuit breaker (CB) interiors with 20 single-pole breaker spaces):

	<u>Indoor (NEMA 1)</u>	<u>Outdoor (NEMA 3R)</u>
Interior	NQOD20L100CU (main lugs) NQOD20M100CU (main CB's)	NQOD20L100CU (main lugs) NQOD20M100CU (main CB's)
Enclosure	MH23 (main lugs) MH26 (main CB's)	MH23WP (main lugs) MH26WP (main CB's)
Interior Trim Kit	None	MH23TK (main lugs) MH26TK (main CB's)
Circuit Breakers	QOB style	QOB style

16A.15 ELECTRICAL ENCLOSURES AND WIREWAYS. Unless specified otherwise, electrical enclosures and wireways shall meet the following specifications.

- a. Material. Electrical enclosures and wireways shall be constructed of code gauge sheet steel.
- b. Corrosion-Resistant Coating. Enclosure and wireway sheet steel shall be coated by ASTM 525 G90 (galvanneal) galvanizing or corrosion-resistant phosphate primer, or both.

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- c. Finish. Finish shall be dark gray enamel inside and out, or ANSI 61 gray polyester coating inside and out, or ASA-49 gray epoxy paint inside and out.
- d. Industry Standards.
 - (1) Enclosures. NEMA 1 enclosures shall meet NEMA Type 1 and UL 50 Type 1 standards. NEMA 3R enclosures shall meet NEMA Type 3R and UL 50 Type 3R standards.
 - (2) Wireway. NEMA 1 wireway (including troughs) shall meet NEMA 1 and UL 870 standards. NEMA 3R wireway (including troughs) shall meet NEMA 3R and UL 870 standards.
- e. Hardware. All hardware shall be plated to prevent corrosion.

16A.16 FACILITY AC SURGE ARRESTER. The contractor shall furnish and install an AC surge arrester (power arrester) on the line side of the facility shelter service disconnecting means, as shown on the drawings. The arrester shall meet the following specifications.

- a. Operating Lifetime. The arrester shall safely dissipate the number and amplitude of surges listed in Table 1, below. In this table, the 8x20us waveform defines a transient with a rise time of 8 microseconds (us) from inception to peak value that exponentially decays to 50 percent of peak value 20us after inception.

TABLE 1: LINE-TO-GROUND SURGE LEVELS FOR 120/208V, 120/240V, AND 277/480V AC SERVICES LINES
(Tabulated values are from Table I of FAA-STD-019b, dated August 28, 1990.)

Surge Current Amplitude 8x20 Microsecond Waveform	Number of Surges (Lifetime)	
	Normal Phase Current	
	100A or Less	Greater than 100A
10,000 amperes	1,000 surges	1,500 surges
20,000 amperes	500 surges	700 surges
30,000 amperes	250 surges	375 surges
40,000 amperes	25 surges	50 surges
50,000 amperes	1 surge	5 surges
60,000 amperes	0 surge	2 surges
70,000 amperes	0 surge	1 surge

Clamp (discharge) voltage shall not change more than ten percent over the operating life of the arrester.

b. Operational Characteristics. The arrester shall have the following operational characteristics.

- (1) Reverse Standoff (Maximum Operating) Voltage. Reverse standoff voltage is the maximum voltage that can be applied across arrester terminals with the arrester remaining in an OFF (non-conducting) state. The reverse standoff voltage shall be 125 ± 5 percent of normal line voltage.
- (2) Leakage Current. Leakage current shall not exceed 1 milliamp at reverse standoff voltage.
- (3) Turnon Voltage. Turnon voltage is the minimum voltage across arrester terminals that will cause the arrester to turn on and conduct. Turnon voltage shall not exceed 150 percent of reverse standoff voltage.
- (4) Clamp (Discharge) Voltage. Clamp voltage (discharge voltage) is the maximum sustained voltage that appears across an arrester output terminal while conducting surge currents. For 120/240V and 120/208V arresters, clamp voltage, each phase to ground, either polarity, shall not exceed those shown in the following tabulation:

<u>Surge Current</u>	<u>Clamp Voltage</u>	<u>Surge Current</u>	<u>Clamp Voltage</u>
5,000 amps	400 volts	40,000 amps	900 volts
10,000 amps	480 volts	60,000 amps	1,100 volts
20,000 amps	650 volts	80,000 amps	1,350 volts

- (5) Overshoot Voltage. Overshoot voltage is the surge voltage that appears across the arrester terminals before the arrester turns on and clamps the surge to the clamp voltage. The overshoot voltage shall not exceed two times the arrester clamp voltage for more than 10 nanoseconds.
- (6) Self-Restoring Capability. The surge arrester shall automatically return to the OFF state after surge dissipation when line voltage returns to normal.
- (7) Fusing and Lamps.
 - (a) The input to each arrester phase component shall be internally fused to protect the AC power supply equipment against overload should an arrester device short. This fusing shall not increase the clamp voltage of the arrester. The fusing shall pass the surge current levels given in Table 1 without opening. The arrester internal fusing shall open on application of a steady state current at a level low enough to prevent damage to

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the AC power supply. The multiple arrester phase components shall be individually fused. A failed component shall blow its own fuse, and be automatically removed from the circuit, with the remaining components providing continued protection.

- (b) Lamps. Each phase shall have two indicator lamps in parallel, to continuously monitor the arrester condition. The lamps shall be coordinated with the fuses such that the lamps dim or go out when the last arrester component remains. The arrester elements shall be connected line-to-neutral.
- c. Composition and Construction. All components of the arrester shall be assembled and mounted in a single NEMA 4 waterproof enclosure. Heavy duty, screw-type studs shall be provided for all input and output connections. The arrester elements shall be connected line-to-neutral. The arrester shall have an internal means of easily disconnecting incoming power, so the arrester may be maintained without disconnecting facility power. The arrester elements shall be electrically isolated from the enclosure to a minimum of 10 megohms resistance. The enclosure door shall be hinged and electrically bonded to the enclosure when shut. The hinges shall not be used to provide electrical bonding. Indicator lamps shall be mounted on the front door. Fuses, lights, fuse wires, and arrester components shall be readily accessible for inspection and replacement.
- d. Arrester Meeting Specifications. For 120/240V, single phase, 60Hz applications, the Lightning Protection Corporation (Goleta, California) Model No. LPC 20206-7 AC surge arrester is one of the products that meet the above specifications. If the contractor intends to furnish a substitute, or if a different power configuration must be accommodated, the contractor shall submit to the Contracting Officer, full manufacturer's literature on the substitute arrester, and shall not procure the substitute before receiving the Contracting Officer's approval. See Paragraph 1A.4 above.
- e. Installation. The arrester shall be installed as close as practical to the facility service disconnecting means, but not more than 12 inches away from the disconnecting means. Wiring connections shall be on the line side of the service disconnecting means.
 - (1) Phase Cables. Surge arrester phase lugs shall be connected to corresponding phase terminals of the service disconnecting means with insulated #4 AWG (minimum gauge) stranded copper cable. These cables shall be as short and shall run as directly as feasible, without loops, sharp bends or kinks.

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(2) Surge Grounding Cable. The surge grounding cable shall be routed as directly as feasible, without loops, sharp bends or kinks, from the surge grounding terminal:

- (a) To the nearest grounding electrode, or
- (b) To the neutral bus in the service disconnecting means, if so shown on the drawings.

The surge grounding cable shall be insulated #4 AWG (minimum gauge) stranded copper cable. This cable shall be color coded white when connected from the arrester to the service disconnecting means.

(3) Equipment Grounding Conductor. The surge arrester enclosure shall be connected to the ground bus in the service disconnecting means enclosure with a #6 AWG green insulated copper cable.

16A.17 SHELTER ENVIRONMENTAL AND LIGHTING EQUIPMENT. If required on the drawings, equipment for an equipment shelter (building) nominally sized 10'x12', shall meet the following specifications.

- a. Vent Fan. For a MALSR shelter, the vent fan shall be at least 1/25 HP, and shall move at least 424 CFM at zero gauge pressure. Greenheck Model GW-75-D is one of the products that meet these specifications. For an ILS shelter, the vent fan installation shall be supplemented with a power damper and two-position damper motor. The Honeywell D640 power damper with two-position damper motor Honeywell M436A116, is one of the products that meet these specifications. The intake damper for the ILS shelter shall be a power damper with two-position damper motor identical to the vent fan power damper.
- b. Vent Fan Thermostat. The vent fan thermostat shall be a 120VAC wall-mounted airswitch controller operating in a temperature range from at least 35° to 95°F. Honeywell Part No. T651A is one of the products that meet these specifications. This item applies to buildings without environmental control panels, e.g., MALSR and ILS marker shelters.
- c. Heater. The heater shall be a 240V, 4,000-watt wall-mounted electric heater with surface mounting box. The QMark Cat. No. AWH-4404 heater is one of the products that meet these specifications.

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- d. Heater Timer Unit. The heater timer unit shall consist of a 1-hour 240-volt manual timer, and contactor with 208/240-volt coil and 30-amp rated contacts, mounted on and in a minimum 12"x12"x4" NEMA 1 hinged cover box with matching mounting panel. The following components are among components that meet these specifications: Timer, Dayton Stock No. 6X546; contactor, Honeywell Part No. R4243B1046; enclosure, Hoffman Cat. No. A-12N124, with A-12N12P mounting panel. The heater timer unit is applied to buildings without environmental control panels, e.g., MALSR and ILS marker shelters.
- e. Air Conditioner. The air conditioner shall be nominally 240V (unless specified otherwise on the drawings), front air discharge model, with EER of at least 9.0, and shall have either a through-wall sleeve or a slide-out chassis. Air conditioners are applied to buildings with environmental control panels, e.g., ILS localizer and glide slope shelters.
- f. Interior Light Fixture and Lamps. Each interior light fixture shall be surface mounted, 120-volt, having a white-painted steel chassis and a light-controlling plastic lens enclosure. The lens enclosure shall be of a wraparound style which illuminates the ceiling as well as the room. The fixture shall be nominally four feet long, and shall accommodate two 48"-long T-8 fluorescent lamp tubes. The ballast shall have a radio frequency suppressor. The ballast shall operate normally at temperatures above 20°F. The lamp tubes shall be 32-watt 48"-long T-8 fluorescent lamp tubes, each with an initial rating of 2,850 lumens. The Holophane Prismawrap Cat. No. M7100-4-1-A-6 light fixture is among fixtures which meet these specifications. The following 32-watt lamp tubes are among lamps which meet these specifications: General Electric Trimline, Philips TL70, and Sylvania Octron.
- g. Exterior Light Fixture. The exterior light fixture shall be a 50-watt high pressure sodium unit, rated for 24,000-hour lamp life, having a cast aluminum housing, and a photocontrol installed inside the housing. Holophane Wallpакette luminaire, Cat. No. WP-2-A-050HP-12-GR-P, is among products which meet these specifications.

16A.18 SHELTER LIGHTNING PROTECTION EQUIPMENT. All shelters (buildings) shall have a lightning protection system installed per the requirements of the Lightning Protection Code, National Fire Protection Association (NFPA 78), and Underwriters Laboratories Master Labeled System (UL96A). Specific lightning protection equipment items shall meet the following specifications. Catalog numbers given in a through k below, are of Thompson Lightning Protection, Inc. of St. Paul, Minnesota.

- a. Air Terminal Point. Air terminal points shall be nickel-tipped copper, 1/2" diameter x 36" long. Cat. No. 660 meets these specifications.

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- b. Point Bracket. For a roof ridge, the point bracket shall be made of pressed copper, shall bend to fit any roof slope, and shall hold the point and cable slightly above the center of the roof ridge. The bracket shall have a pressure cable clamp, and a stud to engage the point. Cat. No. 532 meets these specifications.
- c. Air Terminal Brace. The air terminal brace shall be a 36"-long galvanized tripod assembly, with legs adjustable to accommodate any roof slope. Cat. No. 83 meets these specifications.
- d. Roof and Down Conductors. Roof and down conductors shall each have 32 strands of #17 copper wire, 7/16" overall diameter, braided smooth twist, 65,500 circular mils, and a net weight of 215 pounds per 1000 feet. Cat. No. 32 meets these specifications.
- e. Ridge Cable Support. Ridge cable supports shall be pressed copper cable supports at least 2" wide, to hold the roof cable above the top of the roof. The ridge cable supports shall be sized to accommodate the roof conductor. Cat. No. 533 meets these specifications.
- f. Cable Holder. Cable holders shall be 1"-wide copper bent-strap type loops with 1/4" mounting holes. The cable holders shall be sized to accommodate the roof conductor. Cat. No. 166XX meets these specifications.
- g. Parallel Clamp. Parallel clamps shall be bronze 2"-long clamps for connecting two conductors together, one conductor of maximum diameter 1/2", and the other conductor from 1/6" dia to 5/16" dia. Cat. No. 565 meets these specifications.
- h. Flexible Bonding Strap. Flexible bonding straps, for connecting steel doors to steel door frames, shall be braids each composed of 480 #30 copper wires, with flat bronze or copper connectors crimped on at each end. The connectors shall have holes to take either 5/16" or 3/8" machine screws.
- i. Pipe Clamp. Pipe clamps shall be adjustable tinned bronze clamps for bonding cables to pipes, and fitting pipes up to and including 1 1/4" O.D., and cables up to and including 1/2" diameter. Cat. No. 240 meets these specifications.
- j. Bonding Equipment. Bond the steel siding, vent fan, hood, door frame, junction boxes, and any miscellaneous exterior metal objects to down conductors. If included, air conditioners, junction boxes, and flight check antenna masts shall be likewise bonded. Use the following equipment to perform the bonding:

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- (1) Bonding Plate. Bonding plates shall be 8-sq. inch tinned bronze plates with 2"-long pressure type cable connectors, designed to bond a continuous run of cable to metallic objects along their path. Each plate shall have two holes fitting sheet metal screws or 1/4" machine screws. Cat. No. 702 meets these specifications.
 - (2) Bonding Conductor. Bonding conductor shall be minimum #6 bare soft drawn copper, 1/6" dia, 26,250 circular mils, net weight 80 pounds per 1000 feet. Cat. No. 14X (#6 bare solid) and Cat. No. 509X (#4 bare solid) meet these specifications.
- k. Ground Rod Clamps. In the grounding access well, the #6 grounding electrode conductor shall be connected to the 3/4"-diameter grounding electrode with a bronze 2-bolt ground rod clamp. Cat. No. 519 meets these specifications. In the grounding access well, the 4/0 counterpoise cable (perimeter ground) shall be connected to the 3/4"-diameter grounding electrode with a bronze clamp which will accept one vertical cable and one horizontal cable. Cat. No. 693 meets these specifications.
- l. Grounding Access Well Frame and Lid. If shown on the drawings, the frame and lid of the grounding access well shall fit snugly into the opening of a 15"-diameter corrugated pipe. The frame and lid shall be ASTM A48 Class 35B gray cast iron. The lid shall be solid, not of an open construction. Neenah Foundry Co. (Neenah, Wisconsin) Cat. No. R-5900-B is one of the products that meet these specifications.

16A.19 CONTROL CABLE TERMINAL STRIPS. Unless specified otherwise, contractor-furnished control (telephone) cable terminal strips shall be units assembled from compatible components all from the same manufacturer. The individual blocks of the strips shall be miniature style (1/4" O.C.) nylon blocks with screw-activated tubular conductor clamps. The blocks shall be rated for a maximum voltage of at least 300 volts and a maximum current of at least 30 amperes. The conductor clamps shall accept wire sizes at least from #14 to #22. Stab-in wire connection blocks shall not be used. The blocks shall be mounted in a mounting channel. The assembled strip of blocks shall have a marking strip and holding plugs or end barriers. For terminating control cables on these strips, see Paragraph 16F.7 below. The following terminal strip components are among components which meet these specifications:

16A.19a

- a. Buchanan: Blocks No. 125 mounted in channel No. 12 with clamps No. 11. Marking strip No. 15. Holding plug No. 16.
- b. Square D: Blocks No. GM-3, with mounting channel and marking strip of the GH series, with end barrier No. GM3B.

16A.20 FRANGIBLE COUPLINGS.

- a. Material Specification. Unless specified otherwise, contractor-furnished frangible couplings shall be 2" diameter cast aluminum couplings having a hexagonal clamping ring. The couplings shall accommodate 2"-diameter EMT conduit, and shall meet Military Specification MS-17814-1. Frangible coupling Cat. No. 961A by Multi Electric Mfg., Inc. of Chicago, Illinois, is one of the products that meet these specifications.
- b. Thread Remediation. Often, the conduit threads of frangible couplings (both contractor-furnished and Government-furnished) are cast with mismatched halves. Often, this imperfection causes the threads to bind in the rigid coupling threads conduit threads of the required mating object), before the required engagement is reached, even when anti-seize compound is used. When this binding occurs, the contractor shall rework the frangible coupling threads to achieve the required thread engagement. This remediation may consist of rethreading with a straight conduit thread die, and/or of grinding off the threads on the two diametrically opposite sides of the thread helix where the cast thread discontinuity is found. This remediation must continue until the required thread engagement is achieved. All burrs and galls must be removed from the reworked threads.
- c. Installation. For approach lighting systems, see Paragraph 13A.2c. For VASI, REIL, PAPI, and RVR, see Paragraph 13C.2b.

- 16A.21 ELECTRICAL TAPE. Unless specified otherwise, electrical tape shall meet the following specifications. The tape material shall be based on PVC polyvinyl and/or PVC copolymers. The tape shall have a rubber-based, pressure-sensitive adhesive. The tape shall be 8.5 mils thick, and be UL listed and marked per UL Standard 510 as "Flame Retardant, Cold and Weather Resistant." The tape must be applicable at temperatures ranging from 0°F through 100°F (-18°C through 38°C). The tape shall be classified for both indoor and outdoor use. The tape shall be compatible with synthetic cable insulations, jackets, and splicing compounds. Scotch Super 88 Vinyl Electrical Tape by 3M is one of the products that meet these specifications.

16A.22 PRE-STRETCHED RUBBER TUBING. Pre-stretched rubber tubing shall be open-ended tubular rubber sleeve, factory expanded and assembled onto a removable core. The tubing is supplied for field installation in this pre-stretched condition. The tube is positioned for installation over an inline connection, terminal lug, sleeve splice, or other cable insulation discontinuity requiring protection. Then the core is removed, allowing the tube to shrink to produce a waterproof seal.

The tubing shall be made of EPDM (ethylene propylene diene methylene) rubber containing no chlorides or sulfurs. The tubing must be capable of operation at emergency overload cable temperatures of 130°C. It must be usable without additional covering or adhesive, both indoors and outdoors, in overhead, direct buried or submerged applications, on cables rated up to 1,000 volts. The tubing must be applied without additional heat or flame and, when applied per the manufacturer's instructions, be immediately energizable. It must not be adversely affected by moisture, mild acids or alkalies, ozone or ultraviolet light. It must conform to the requirements of ANSI C119.1 1974, appropriate sections of Western Underground Guide 2.14 and UL 486D. The tubing must have been accepted by the U.S. Department of Agriculture, Rural Electrification Administration (REA), for both submersible and aerial application. PST Cold Shrink Connector Insulators 8420 Series by 3M are among products which meet these specifications. All applications must be performed per the manufacturer's instructions.

16A.23 FIRE AND ARC PROOFING. Fire and arc proofing shall consist of a flexible conformable unsupported (having no adhesive) intumescent elastomer. The intumescent property causes the tape to expand in fire, thus providing an insulating firewall between the flame and cable. The tape shall be not less than .030 inches thick. The tape shall be capable of over 100% elongation. The tape shall be non-corrosive to metallic cable sheaths. It shall be compatible with synthetic cable jackets such as semi-conducting URD type, polyethylene, and PVC. The tape shall be self-extinguishing, i.e., shall not support combustion. The tape shall not deteriorate when subjected to water, salt water, gases, and sewage. The wrapped tape shall be secured by a band consisting of two layers (the second wrapped directly over the first) of glass cloth electrical tape at both ends of the fire and arc proofing wrap. The completed installation of a single half-lapped layer of fire and arc proofing shall be capable of withstanding a high 60 Hz current fault arc temperature of 13,000°K for 70 cycles. Scotch 77 Fire and Arc Proofing tape secured with Scotch 69 Glass Cloth Electrical Tape are among products that meet these specifications, when applied per the manufacturer's instructions. All applications must be performed per the manufacturer's instructions.

16A.24 CABLE CONNECTOR PROTECTION.

- a. Primary Connections. Where single-conductor plug and receptacle cable connectors are joined in light bases or other underground enclosures, the joint shall be sealed with heat-shrinkable tubing specifically designed for this purpose. Each tubing unit shall consist of a polyolephin heat-shrinkable sleeve with sealant at each end. The tubing shall meet the performance specifications of ANSI C-119.1 and Western Underground Guide (2.5, 2.4), and shall be REA listed under "secondary" tap or splice cover, submersible.

The sleeve is placed over the cable connectors, their joint, and a short length of cable at the ends of the connectors. The sleeve is shrunk with a torch or heat gun, with heat applied from the center of the sleeve toward the ends, to avoid trapping air. The sleeve shrinks under the heat, to conform to the shape of the connectors and the cables. The sealant at the ends of the sleeve forms a watertight seal around the cables. These sleeves shall be applied to cable connector joints between two isolation transformer primary leads, a primary lead and a cable, or two cables, wherever these joints are specified in a light base or other underground enclosure. The sleeves must be of a type designed for easy removal by applying a small amount of heat, slitting the sleeve with a knife, and peeling away the sleeve. Airport Lighting Connector Protection tubing, Series APL-823A, by Sigmaform Corporation of Vicksburg, Mississippi, is one of the products that meet these specifications. Substitutes require submittals per Paragraph 1A.4 above.

- b. Secondary Connections. Where two-conductor plug and receptacle cable connectors are joined in light bases or other underground enclosures, the joint between the two connectors shall be sealed with at least two layers of electrical tape and an application of protective electrical coating. Where two-conductor plug and receptacle connectors are joined in a frangible coupling, apply no tape or any other protection.

16A.25 ELECTRICAL COATING. Cable connections, splices, or other joints wrapped with plastic electrical tape, shall be sealed with an electrical coating meeting Military Specification MIL-P-18623. Scotchkote electrical coating is among the products meeting this specification.

- 16A.26 COMMERCIAL METAL FRAMING. Where specified for mounting of electrical equipment or other purpose, the contractor shall furnish and install commercial metal framing. The channel framing members shall be formed from strip steel, with one side of the channel having a continuous slot with inturned lips. The principle of attachment is application of nuts which engage the inturned lips of the channel. For outdoor applications, framing members shall be hot-dip galvanized per ASTM Specification A-123 or A-153. For indoor applications, 16A.26 framing members shall be factory coated with enamel or epoxy coatings, or electro-galvanized per ASTM Specification B633, or pre-galvanized with a G90 zinc coating per ASTM Specification A-525. Uncoated framing members, or framing members coated only with oil, are not acceptable. Properly sized and matched channel framing members, fittings, and hardware from Unistrut Corporation of Wayne, Michigan, and from B-Line Systems, Inc. of Highland, Illinois are among products meeting the above specifications. Installation shall be in accordance with manufacturer's instructions.
- 16A.27 EXPANSION COUPLING. Where shown on the drawings, rigid metal conduits which emerge vertically from below grade to make a direct connection to an above-grade junction box or structure, shall be fitted with an expansion coupling. The purpose of the expansion coupling is to accommodate relative vertical movement, such as the movement due to frost heave. The coupling shall be rigid metal, and shall be threaded onto the rigid conduits at both ends of the coupling. The coupling must accommodate 8 inches of movement, unless space limitations prohibit installing such a coupling. If there are such space limitations, a coupling allowing only 4 inches of movement may be substituted. For expansion couplings accommodating 8 inches of movement, couplings of the Appleton XJ-8 series are among couplings meeting these specifications. For expansion couplings accommodating 4 inches of movement, couplings of the Appleton XJ-4 series are among couplings meeting these specifications. Electrical continuity across the expansion coupling must be maintained by installing a bonding jumper. Bonding jumpers of the Appleton XJB-4 series meet these specifications for 4"-movement expansion couplings. Bonding jumpers of the Appleton XJB-8 series meet these specifications for 8"-movement expansion couplings.

CADWELD EXOTHERMIC WELDING KITS

GROUNDING ELECTRODE	CABLE SIZE (RUN WIRE)	CABLE SIZE (TAP WIRE)	CONNECTION TYPE DESIGNATION		WELD METAL	CONNECTION DESCRIPTION
			AND MOLD NUMBER			
Copperclad		#6 Solid	GR	GRT-181G	32	These are connections in which a horizontal copper cable terminates at the top of a vertical 3/4" grounding electrode.
		#6 Stranded	GR	GRT-181H	32	
		#2 Stranded ¹	GR	GRC-188D ²	115	
Stainless Steel		#6 Solid	GR	GRT-331G	32	
		#6 Stranded	GR	GRT-331H	32	
		#2 Stranded ¹	GR	GRC-338D ²	115	
Copperclad	#6 Solid		GT	GTP-181G	45	These are connections in which a through run cable connects to the top of a vertical 3/4" grounding electrode.
	#6 Stranded		GT	GTP-181H	45	
Stainless Steel	#6 Solid		GT	GTP-331G	45	
	#6 Stranded		GT	GTP-331H	45	
Copperclad	#4/0 Stranded		GY	GYE-182Q	150	These are connections in which a through run cable connects to the side of a vertical 3/4" grounding electrode.
Stainless Steel	#4/0 Stranded		GY	GYE-332Q	150	
	#6 Solid	#6 Solid	PC	PCC-1G1G	25	PC designates parallel connections of horizontal cables, with the tap on top.
	#6 Stranded	#6 Stranded	PC	PCC-1H1H	25	
	#2 Stranded	#6 Solid	PC	PCC-1V1G	32	
	#2 Stranded	#2 Stranded	PC	PCC-1V1V	65	TA designates tee connections of horizontal run and tap cables.
	4/0 Stranded	4/0 Stranded	TA	TAC-2Q2Q	150	
	4/0 Stranded	#32 Thompson	TA	TAC-2Q8C	115	
	4/0 Stranded	#506 Thompson	TA	TAC-2Q8F	150	

NOTE: 1. Lightning conductor, #2 copper stranded 17 AWG, 59500 CM, 187.5 lb/1000 ft., IPC #32S, approximately 15/32" diameter.

2. Use Cadweld E-Z Change Handle, Catalog Number L-160, when using this mold.

DIVISION 16 - ELECTRICAL
SECTION 16B
600-VOLT POWER CABLE FOR UNDERGROUND INSTALLATION

- 16B.1 SCOPE. This section covers the material requirements for all contractor-furnished single-conductor 600-volt power cable required for direct earth burial installation. Installation of power cable is covered in Section 16F.
- 16B.2 GENERAL REQUIREMENTS. Cable construction shall include copper single conductor and XLP (thermosetting crosslinked polyethylene) insulation. Cable shall be UL listed as Type USE or RHW or RHH for use in circuits not exceeding 600 volts at conductor temperatures of 90°C for continuous normal operation, 130°C for emergency overload conditions, and 250°C for short circuit conditions. Cables shall be suitable for direct burial and above-grade installation in wet or dry locations.
- 16B.3 APPLICABLE SPECIFICATIONS.
- a. Underwriters Laboratories Standard 854 for Service Entrance Cables.
 - b. Underwriters Laboratories Standard 44 for Rubber-Insulated Wires and Cables.
 - c. ICEA Publication Number S-66-524, NEMA Publication Number WC7 for Crosslinked Polyethylene-Insulated Wire and Cable.
 - d. Federal Specification J-C-30A.
- 16B.4 CABLE CONSTRUCTION. Cable characteristics shall include the following materials and construction:
- a. Conductors. Conductors shall be solid or Class B stranded annealed uncoated copper, per UL Standards 854 and 44.
 - b. Separator. A suitable separator over the conductor may be used at the option of the manufacturer.
 - c. Insulation. Each conductor shall be insulated with XLP (crosslinked polyethylene) complying with the physical and electrical requirements of UL Standard 854 for Type USE and UL Standard 44 for Types RHW and RHH and Paragraph 3-6 of ICEA Publication Number S-66-524. The insulation shall be applied lightly to the conductor and shall be free-stripping.
- 16B.5 IDENTIFICATION. The cable shall be identified by surface marking indicating manufacturer's conductor size and metal, voltage rating, UL Symbol and type designation, and year of manufacture.

16B.6 TESTS. Cable shall be tested in accordance with requirements of UL Standard 854 for Type USE, UL Standard 44 for Types RHW and RHH, and ICEA Publication Number S-66-524, Paragraph 3.6.

16B.7 DATE OF MANUFACTURE. Year of manufacture of all cable shall be no earlier than one calendar year immediately preceding contract award date.

16B.8 PACKAGING. All cable shall be provided on wooden or steel reels, and ends of all cable shall be sealed to prevent entry of moisture. All reels shall identify type, length, and year of manufacture of cable packaged on such reels. All such identification shall be clearly provided by the manufacturer.

DIVISION 16 - ELECTRICAL
SECTION 16C
600-VOLT ARMORED POWER CABLE

16C.1 DESCRIPTION. This section covers the material requirements for all contractor-furnished 600-volt 3-conductor armored power cable required for direct earth burial installation. Installation of power cable is covered in Section 16F.

16C.2 GENERAL REQUIREMENTS. Cable construction shall include three copper conductors with XLP (thermosetting crosslinked polyethylene) insulation, galvanized steel interlocking armor, and PVC jackets under and over armor. Cable shall be UL listed as type MC for use in circuits not exceeding 600 volts phase to phase at conductor temperatures of 90°C in dry locations, or 75°C in wet locations, 130°C for emergency overload conditions, and 250°C for short circuit conditions in wet or dry locations. Cables shall be designed and labeled for direct burial use.

16C.3 APPLICABLE SPECIFICATIONS. The following specifications form a part of this specification to the extent specified herein:

- a. UL Standard 1569 for Metal-Clad Cables.
- b. UL Standard 44 for Rubber Insulated Wires and Cables.
- c. ICEA Publication Number S-66-524, NEMA Publication Number WC7 for Crosslinked-polyethylene insulated Wire and Cable.
- d. IEEE 383 Type Tests of Class 1E Electric Cables, Field Splices and Connections for Nuclear Power Generating Stations.

CABLE CONSTRUCTION. Cable construction shall include the following materials and construction:

- a. Conductors. Class B stranded annealed uncoated copper per Part 2 of ICEA.
- b. Separator. A suitable separator over the conductor may be used at the option of the manufacturer.
- c. Insulation. XLP crosslinked polyethylene meeting the requirements of ICEA Part 3, Paragraph 3.6 and Type XHHW requirements of UL 44. Average thickness of insulation shall be as specified in UL 44 for Type XHHW conductors and in the Table 3-1 of ICEA. Minimum thickness at any point shall be not less than 90% of the specified average thickness.

16C.4d

- d. Phase Identification. Insulated phase conductors shall be printed with the numeral "1", "2", and "3" on the surface of the insulation.
- e. Assembly. Three phase conductors shall be cabled together with a Class B stranded, uncoated copper grounding conductor and suitable nonhygroscopic fillers to make round. Length of lay shall not exceed 35 times the phase conductor diameter. The grounding conductor shall comply with the requirements of UL Standard 1569. A suitable nonhygroscopic cable tape shall be applied over the assembly.
- f. Inner PVC Jacket. PVC meeting the requirements of ICEA, Part 4 and the Sunlight Resistant requirements of UL 1569. Average jacket thickness shall be in accordance with UL 1569. Minimum thickness at any point shall be not less than 70 percent of the specified average thickness.
- g. Armor. Galvanized steel interlocked armor shall be applied over the inner PVC jacket. Armor shall be in accordance with UL requirements for Type MC cable and Part 4 of ICEA.
- h. Outer PVC Jacket. PVC meeting the requirements of ICEA, Part 4 and the Sunlight Resistant requirements of UL 1569. Average jacket thickness shall be in accordance with UL 1569. Minimum thickness at any point shall be not less than 70 percent of specified average thickness.

16C.5 TESTS. Conductors and completed cables shall be tested in accordance with UL requirements for Type MC cables having XHHW conductors.

16C.6 IDENTIFICATION. Cable shall be identified by surface marking indicating manufacturer's identification, conductor size and metal, voltage rating, UL symbol and type designation, year of manufacture, and "direct burial" designation.

16C.7 DATE OF MANUFACTURE. Year of manufacture of all cable shall be no earlier than one calendar year immediately preceding contract award date.

16C.8 PACKAGING. All cable shall be provided on wooden or steel reels, and ends of all cable shall be sealed to prevent entry of moisture. All reels shall identify type, length, and year of manufacture of cable packaged on such reels. All such identification shall be clearly provided by the manufacturer.

16C.9 SUBMITTALS. For the specific cable that the contractor proposes to use, the contractor shall submit the manufacturer's complete cable specifications, including compliance with all cable requirements, codes, and standards referenced herein, and a drawing showing cable construction details. Submit these items, and receive the Contracting Officer's approval before installing any cable specified herein. See Paragraph 1A.4 above.

DIVISION 16 - ELECTRICAL
SECTION 16E
CONTROL CABLE

16E.1 SCOPE. This section covers the material requirements for all contractor-furnished exterior standard and gopher-resistant filled control (telephone) cable to be installed as shown on the drawings. Installation of control cables is covered in Section 16F.

16E.2 APPLICABLE SPECIFICATIONS.

- a. United States Department of Agriculture, Rural Electrification Administration (REA), Specification PE-39 for "Filled Telephone Cable" (Bulletin 345-67) latest edition, including all addendums and attachments thereto, forms a part of these specifications and is applicable in its entirety.
- b. Certain requirements, specified herein, supplement the requirements of Specification PE-39, and shall receive special attention by the cable manufacturer and contractor.

16E.3 GENERAL REQUIREMENTS.

- a. Definition. The term "control cable" used throughout these specifications and on the project drawings is a general FAA term for cable used to transmit voice and control functions. The required cable is termed "telephone" or "telephone exchange" cable by the cable manufacturing industry.
- b. Quality. All control (telephone) cables shall be the standard products of a single major cable manufacturer and shall be designed and manufactured according to the highest industry standards. All cables shall be free of any imperfection which could affect serviceability and design life.

16E.4 STANDARD CABLE CONSTRUCTION. Cable requirements, complying with these specifications and Specification PE-39, include the following materials and construction.

- a. Conductors. #19 AWG solid annealed copper.
- b. Conductor Insulation. Solid polypropylene or polyethylene color coded in accordance with telephone industry "standard" coding.
- c. Twisted Pairs. Individual conductors twisted into pairs with varying lays to minimize crosstalk.
- d. Forming of Cable Core. Cables having 25 pairs or less are assembled into a single cylindrical group. Cables having more than 25 pairs are assembled in units, each individually identified by color coded unit binders.

16E.4e

- e. Filling Compound. Water resistant non-hardening compound to fill and seal all interstices between the conductor pairs.
- f. Core Covering. Non-hygroscopic dielectric tape.
- g. Flooding Compound. Water resistant and bonding compound to fill all voids between the core wrap and shield and between the shield and jacket.
- h. Shield. Corrugated electrically continuous and longitudinally applied 0.008 inch coated aluminum or 0.005 inch copper.
- i. Jacket. High molecular weight polyethylene or high-molecular weight ethylene copolymer.

16E.5 GOPHER-RESISTANT CABLE CONSTRUCTION.

- a. General. If gopher-resistant cable is required by drawings or special specifications, cable construction shall comply with all construction requirements for standard cable in Subsection 16E.4 above (including conformance with REA Specification PE-39) excepting for item h, "Shield", which shall comply with the following:
- b. Gopher-Resistant Shield. Corrugated electrically continuous and longitudinally applied overlapping metal shield consisting of one of the following materials:
 - (1) 0.010 inch copper.
 - (2) 0.006 inch copper/stainless steel/copper bimetallic alloy.
 - (3) 0.007 inch Alloy 194 for 6 pr #19 cable.
 - (4) 0.006 inch Alloy 194 for cables larger than 6 pr #19.
 - (5) 0.008 inch coated aluminum with 0.006 inch coated steel.

16E.6 CABLE IDENTIFICATION. In accordance with Specification PE-39, all cable shall have jacket printed at periodic intervals with the name of the manufacturer, manufacturer's standard designation, year of manufacture, number of pairs, conductor gauge, sequential length marks, and notation signifying compliance with Specification PE-39 (if not clearly referenced in the manufacturer's submittals). In addition, the gopher-resistant shield shall be clearly identified.

16E.7 DATE OF MANUFACTURE. Year of manufacture of all cable shall be no earlier than one calendar year immediately preceding contract award date.

16E.8 PACKAGING. In accordance with Specification PE-39, all cable shall be stored and shipped on reels affording the required protection. Thermal wrapping shall be provided and ends of all cables shall be capped against exposure to moisture. All reels shall be labeled by the manufacturer and shall bear the manufacturer's name, year of manufacture, REA cable designation, description of cable, actual shipping length, and identification referenced to tests of record as required herein.

16E.9 TESTS.

- a. All project cable furnished shall satisfy all test requirements of Specification PE-39. Records of all such tests shall be retained by the manufacturer, according to Paragraph 29 of Specification PE-39, and shall be promptly made available to the Federal Aviation Administration upon request. All tests shall be specifically and clearly referenced to all reels of cable furnished.
- b. Basic cable design, for all project cable furnished, shall have proven acceptable to REA through "qualification testing" according to Paragraph 27 of Specification PE-39.
- c. Electrical tests, according to paragraph 28.1 of Specification PE-39, shall be performed on 100 percent of all project cable furnished.
- d. Quality assurance (capability) tests, according to Paragraph 28.2 of Specification PE-39, shall be performed on such periodic production basis so as to represent quality of all project cable furnished.

16E.10 SUBMITTALS. Prior to procuring any cable specified herein, the contractor shall submit the following documents for the specific cable that the contractor proposes to use, to the Contracting Officer, and receive written approval therefrom (see Paragraph 1A.4 above):

- a. Manufacturer's complete cable specifications, including manufacturer's statement of compliance with REA Specification PE-39.
- b. Drawing showing cable construction details.

DIVISION 16 - ELECTRICAL
SECTION 16F
CABLE INSTALLATION

16F.1 DESCRIPTION OF WORK. The extent of work is indicated on the drawings and by the provisions of this section. Included in this section are installation, splicing, and testing of power and control cables.

16F.2 GENERAL REQUIREMENTS.

- a. Service Interruptions. Existing sources of power and control are indicated on the drawings. For circuits actively in use, the contractor shall coordinate temporary interruptions of service with users and suppliers, the Resident Engineer, and the airport management.
- b. Cable Protection.
 - (1) All cable ends which will be exposed to weather, water, ground, or corrosive environment prior to termination, shall be sealed against these elements while awaiting termination or splicing. This requirement also applies to all cable ends in manholes or handholes. The sealing material shall be properly sized, easily removable heat shrinkable end caps (3M ICEC are acceptable), or electrical tape (see Paragraph 16A.19 above), with an application of brushed-on electrical coating.
 - (2) Cables shall not be bent at radii less than radii recommended by the manufacturer, or 10 times cable diameter (12 times diameter for armored cable), whichever is greater. Any cables damaged in any way by sharp bending shall be replaced.
 - (3) Special care should be taken when working with filled cables, especially when the temperature is below 35°F. This type of cable becomes more difficult to bend and work as the temperature decreases, and there is a possibility of cable damage at temperatures near 0°F.
- c. 600-Volt Wire and Cable Color Coding.
 - (1) All single conductor 600 volt wire and cable for 120/240 volt power circuits shall be color coded black for line 1, red for line 2, and white for the neutral.
 - (2) For conductor sizes smaller than #8 AWG, conductor insulation shall be color coded. For sizes #8 AWG and larger, and for armored power cable, colored tape shall be used to identify the conductors if insulation is not color identified.

16F.2c(3)

- (3) Conductors shall be color-coded in junction boxes, square duct, terminal boxes, or any other place accessible to view. In no case shall green be used for other than grounding, nor white for other than the system grounded (neutral) conductor.
- d. Designation of Armored Cable. On drawings and other contract documents, the letter A immediately following the AWG number of a cable, indicates that the cable is armored (e.g., 3/C #8A, 600V).

16F.3 DIRECT-EARTH BURIAL CABLE INSTALLATION.

- a. Installation Method. Unless otherwise specified, outdoor cables running from one structure or item of equipment to another, shall be direct earth buried. Direct-earth burial cables shall be installed either by the trench and backfill method or by the cable plowing method in accordance with all the requirements specified herein.
- b. General Requirements.
 - (1) Underground cables shall be installed in straight lines between terminating locations or points of directional change.
 - (2) Unless otherwise specified, cables shall be installed a minimum of 24 inches and 30 inches below finished grade on airport property and off airport lands, respectively.
 - (3) Wherever possible, cable shall be installed in one continuous length without splices from connection to connection. The number of splices shall be kept to a minimum. Cable ends shall be effectively sealed against moisture immediately after cutting any type of cable. See the MALSR splice restrictions of Paragraph 13A.6.
 - (4) The contractor shall prepare a schedule for installing each reel of underground cable and shall submit it to the Resident Engineer for approval before installing any cable. The plan shall be predicated on use of the longest practical lengths of cable, in order to minimize splicing.
 - (5) A cable loop of at least three feet shall be left on each end of every cable run, on at least one side of every splice, and at all points where cable is brought above ground. A 3-foot minimum surplus cable length shall be left on both sides of splices in handholes and light bases. The slack loop shall be installed with the same minimum depth requirements as the cable run. Where cable is brought above ground, enough additional slack cable shall be left to make the required connections.

16F.3c

c. Trench and Backfill Installation Method.

- (1) Comply with all trenching, backfilling, compaction, and restoration requirements in Division 2.
- (2) The contractor shall unreel the cable adjacent to or over the trench and manually place it in the trench. Do not pull the cable into the trench or drag it along the trench.
- (3) Where more than one cable is installed in the same trench, maintain separation as hereinafter specified. Multiple cables shall be installed in the same relative positions throughout the cable trench. Cables shall not be stacked, crossed or intertwined in any manner.

d. Cable Plowing Method.

- (1) Vibratory cable plowing equipment, adequate for installation of the types of cables to be installed and for the depth required, may be used, provided that soil conditions are suitable, equipment is in good working order, and proper installation procedures are utilized.
- (2) While cable is being plowed into place, one person in addition to the operator of the plowing vehicle shall be present to assure that the cables do not kink or bind tightly while entering the plow.
- (3) If, during plowing operations, it appears that the soil contains sharp objects, rocks over 2 inches in diameter, or any other hazard to the cable, plowing shall be discontinued, and the Resident Engineer notified. The Resident Engineer shall determine whether plowing will be allowed to continue, or whether another cable placement method shall be used.
- (4) The slice left by the plow shall be closed by tamping or other approved method, after cable placement, to minimize the disturbance of the surface by the slice.

e. Cable Separation - Direct Burial.

- (1) Where new buried power cables cross over or under control or telephone cables, power cables shall be installed in a length of PVC duct extending two feet each side of the crossing. Minimum separation shall be twelve inches.
- (2) Power cables of the same circuit may be laid together in the trench without separation, except as noted below. Series lighting cables may be considered being of the same circuit.

16F.3e(3)

- (3) Power cables, of the same or different circuits of less than 600 volts, may be laid together in the same trench without separation.
 - (4) All power cables, 5,000 volts and below, shall be separated from all control, telephone and coaxial type cables by a minimum of 6 inches.
 - (5) Power cable, of more than 5,000 volts, shall be separated from all other cables by a minimum of 12 inches.
 - (6) Control, telephone, and coaxial cables may be laid in the trench without separation from each other.
- f. Buried Ground Wire (Counterpoise). Unless specified otherwise, all direct-earth burial power, control and coaxial cables shall include the installation of #6 bare copper ground wire (counterpoise) per Paragraph 16A.4e above.
- g. Cable Markers.
- (1) Cable runs shall be marked by concrete cable markers according to project drawings. Cable markers for underground cable shall be installed at all changes of direction in cable runs, at 300 feet intervals in straight-line cable run segments, and at all splice locations.
 - (2) Markers shall not be poured in place. The markers shall be installed flat in the ground immediately above the cable and with approximately one inch projecting above the surface. Impress additional circuit identification symbols on markers if so directed by the Resident Engineer. Existing cable markers removed or displaced shall be replaced after installation of new cable.

16F.4 CABLE INSTALLATION IN UNDERGROUND DUCTS AND CONDUIT.

a. Precautions.

- (1) Because almost all cable failures are caused by mechanical damage occurring during installation, the contractor should employ workmen experienced in underground cable installation, and utilize all the proper and unique equipment necessary for successful cable installation. Excessive direct tension, excessive sidewall pressure, sidewall impact, abrasion, sharp bending, and moisture intrusion will either destroy or shorten the useful life of cables installed.

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- (2) The following conditions and installation procedures, capable of damaging cable, shall be avoided:
 - (a) Sediment in ducts.
 - (b) Scoring of duct bends by pulling ropes.
 - (c) Inadequate support of guiding pulleys and pull tubes, resulting in binding of mechanisms and misalignment.
 - (d) Inadequate cable and duct lubrication, especially at bends.
 - (e) Dragging cables over manhole frame edges, duct entrances, and ground or pavement surfaces.
 - (f) Exposure to pedestrian or vehicular traffic.
 - (g) Looping in and out of manholes to avoid splicing.
 - (h) Power pulling at locations other than at ends of cable.
 - (i) "Jerking" of cables caused by too weak rope that elongates under tension, exerts momentary sharp pull on cable, recovers, and elongates for another like cycle.
 - (j) Sheaves and pulleys that stop rolling during pull, due to inadequate support or lubrication.
 - (k) Inadequate sealing and mechanical protection of cable ends.
 - (l) Reel surface and edge damage from poor hoisting techniques.
 - (m) Pulling distances too great.
- b. Installation Equipment. Major equipment items, required for installing cable in underground ducts, shall include the following:
 - (1) Power winch.
 - (2) Cable feed-in tubing guide capable of producing a uniform and rigid 3 and 4-foot and greater radius bend, and having a nominal diameter equal to that of the ducts.
 - (3) Single pulleys or sheaves providing a minimum cable bending radius (not overall sheave radius) of 10 times the largest cable diameter. Such sheaves shall be

16F.4b(3)

used for minor cable bends within "through cable" manholes and at feed-in manhole rims (if necessary). Sheaves shall have ball or roller bearings.

- (4) Adjustable gang pulleys with three or more pulleys capable of producing up to a 4-foot smooth cable bending radius. Each pulley shall have minimum cable bending radius of 10 times the largest cable diameter.
- (5) Lubrication equipment to pre-lubricate ducts, cables at guide-in tubing, and cables at intermediate pull-through manholes.
- (6) Cable reel support equipment including stands, arbor, and braking mechanism.
- (7) Dynamometer for measuring pulling tensions.
- (8) Communications equipment.
- (9) Pulling ropes or cords having the following characteristics:
 - (a) A working strength at least equal to the maximum allowable cable tensions as specified herein. "Working strength" is normally 10 to 14 percent of published rope "breaking strength".
 - (b) Rope or cord shall be a twisted or braided synthetic fiber unaffected by water and having a low level of elongation under load. Material shall have a texture non-injurious to plastic duct when pulled against bends. Wire rope, if proposed, shall have a smooth and rigidly adhering synthetic material covering.
 - (c) All pulling ropes or cords shall have swivel devices at cable attachment ends.
- (10) Cable lubricant specifically manufactured for electrical and control (telephone) cables. Do not use soap lubricants or those containing soap which are harmful to polyethylene- sheathed cables.
- (11) Cable pulling devices (secured to ends of cable as specified below).

c. Cable Pulling Devices.

- (1) Pulling devices for securing cable to pulling rope shall be factory-installed pulling eyes, field-installed pulling eyes, or basket weave cable grips. All shall be provided with integral or separate swivels.
- (2) Factory-installed pulling eyes necessitate that each cable pulling segment be cut to length by the cable manufacturer. Greater tensions and longer pulling lengths can be used with factory pulling eyes for straight duct bank segments.
- (3) Field-installed pulling eyes for control cable shall be a 4-crimp series, sized to the cable. Power cable pulling eyes shall be a type secured to conductors and approved by the Resident Engineer.
- (4) Basket weave cable pulling grips shall be carefully sized to the specific diameters of the cables to be installed. Use grips with a rotating eye feature for power and control (telephone) cables.

d. Duct Cleanout and Pre-Lubrication.

- (1) If any new or existing underground duct or conduit displays any evidence of contamination by soil or other foreign matter, such ducts or conduit shall be cleaned with a stiff bristle brush, swabbed, and flushed clean with water under pressure, before proceeding with cable pulling operations. Even a minor amount of soil or sediment in the bottom area of a duct will greatly increase the coefficient of friction and pulling tension required. With soil contamination, cable lubricant is of little value. Therefore, it is of utmost importance that conduit be cleaned prior to installation of cable.
- (2) It is the contractor's responsibility to determine whether ducts designated for occupancy should be cleaned. The contractor shall assume complete responsibility for any difficulties or damage to the cable in placing cable in ducts.
- (3) In addition to cable lubrication as specified elsewhere, all ducts to receive cables under this contract, shall be pre-lubricated using the same lubricant as for cables. Lubrication shall be thoroughly applied with applicators designed for this purpose. Lubrication on cable only, will rub off to a large degree, especially at duct bank offsets at manholes.

16F.4e

e. Setting Up Cable Reels and Apparatus.

- (1) The contractor shall inspect cable reels for flange protrusions which could damage the cable sheath. Also, the contractor shall inspect for any obstructions that could interfere with proper unwinding of the cable.
- (2) Careful control shall be exercised in the movement of cable reels. Where it is necessary to roll a reel to a desired location, it shall be rolled in the direction indicated by the arrows painted on the reel flanges. The reel shall not be allowed to tilt. A substantial runway of heavy planks should be employed where uneven ground conditions exist that may cause the reel to tilt. Where it is necessary to move a reel of cable with heavy equipment, a cable reel sling or equivalent should be used.
- (3) In conduit sections containing curves, the cable reel shall be set up at the manhole near the curve unless other conditions do not permit.
- (4) Cable reels shall be set up on the same side of the manhole as the conduit section in which the cable is to be placed. The reel shall be made level and brought into proper alignment with the conduit section so that the cable may be passed from the top of the reel in a long smooth bend at maximum radius into the duct without twisting and making more than a 90-degree bend. This is of utmost importance in handling filled type cable in temperature ranges of 35°F and lower. Under no circumstances shall the cable be pulled from the bottom of a reel.
- (5) It is essential that the cable reel be in proper alignment and level during the placing operation. Incorrect location of the reel will cause unnecessary binding which will result in uneven cable feed.
- (6) Do not permit adjacent turns of cable on the reel to stick together and cause binding as the cable is payed off the reel. Feed the cable by rotating the reel manually.
- (7) Other cable support equipment, such as pulleys, sheaves, and gang-pulley equipment shall be set up rigidly within intermediate manholes to smoothly guide cables to exiting ducts.

f. Attaching Pulling Grips. All pulling grips shall be stretched onto the cables such that the entire lengths of the grip woven material will exert tension on the cable, thereby distributing stress. If the end of any cable grip

(furthest from the cable end) does not grip as tightly as the lead end, secure same to cable with a steel banding. Inspect cable grips frequently, and the first pull of control (telephone) cable in particular (in the first intermediate manhole), to ascertain that this requirement is fulfilled. If any uneven gripping is evident, banding will be required for all remaining cable installation of the applicable cable type and size.

g. Feeding and Pulling Cable.

- (1) All cable shall be installed using methods that will prevent excessive and harmful stretching, twisting, and flexing of the cable. Such damaging treatment will mechanically weaken the cable and destroy the electrical properties immediately or in a short time.
- (2) Cable may be pulled by hand or power winch. Pull rope shall be attached to cables with pulling eye or basket weave pulling grips (all equipped with swivels) for each cable pulled. Do not exceed maximum allowable pulling tension as hereinafter specified. Do not use cable manufacturer's maximum pulling tensions except for cable factory-installed pulling eyes.
- (3) All splices shall occur in manholes only. Splices shall not be pulled into ducts or manholes.
- (4) Cable feed-in tubing guide, same size as conduit, of suitable length shall be secured in the manhole between the cable reel and the face of the duct to protect the cable and guide it at the maximum possible smooth radius into the duct as it is payed off the reel.
- (5) A cable lubricator (funnel) shall be placed around the cable just ahead of the cable feed-in guide to facilitate lubrication of the cable. The quantity of lubricant shall conform to the lubricant manufacturer's recommendations.
- (6) Before starting to pull, check the equipment carefully to make sure that it is properly set up in order to minimize the chance of interruption once pulling has started. Tension shall be kept on both the cable reel and the pulling line at the start of the pull. Excessive slack and the twist of the pulling line may cause the connecting links to turn and catch in the duct. As far as possible, the cable shall be pulled in without stopping. A pulling speed of 80 to 100 feet per minute is recommended to minimize friction forces.

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- (7) A person experienced with cable handling shall be posted continuously at the cable reel while pulling cable. In addition to braking the reels and observing cable lubrication, he shall carefully inspect cable paying off the reel for cable sheath and other defects. If defects are noticed, the pulling operation shall be stopped immediately and the Resident Engineer promptly notified of the defect. Kinks and/or irregularities in the cable sheath shall be removed or corrected as directed by the Resident Engineer.
- (8) Careful attention shall be paid to signals from the installation crew as the cable is being pulled so that pulling may be stopped instantly whenever necessary to avoid damage to the cable.
- (9) If for any reason the pulling operation is halted between manholes, the winch operator shall not release the tension on the winch unless directed to do so. In restarting the pulling operation, the inertia of the cable shall be overcome by gradually increasing the tension in steps a few seconds apart until the cable once again is in motion.
- (10) The leading end of the cable at intermediate manholes shall be guided into the duct and a feeder tube nozzle placed around the cable to prevent the cable from rubbing on the edge of the duct.
- (11) All pulled ends shall be examined for evidence of damage due to the pulling operation. The cable sheath shall not be pulled beyond the cable core. Notify the Resident Engineer for inspection, and for repair or replacement action that must be taken where cracks or openings are found in the cable sheath following the pulling operations.
- (12) Cable ends shall be kept sealed at all times using REA-approved cable end caps and electrical tape. After the cable has been placed, the exposed cable in the manholes should be wiped clean of cable lubricant with a cloth before leaving the manhole.
- (13) All individual cable segments shall be pulled in one direction only. Both ends of a cut cable segment shall not be introduced into an intermediate manhole and pulled in two different directions. Also, no cable segments shall be pulled out of any manhole and introduced into the same manhole for a continuation of a cable segment pull. These unacceptable pulling practices, used to avoid splicing, result in abrasion from dragging over ground surfaces and manhole frame, exposure to pedestrian and vehicular traffic,

damage to cable layers from twisting and small bending radii when pulling cable loops through manhole frame. Shields of cables so pulled are almost always damaged.

- (14) Sidewall cable pressure from duct bends, feed-in tubes, and pulleys, frequently govern the length of cable that can be pulled. The greater the radii, the less the sidewall pressure. Therefore, the contractor shall use the maximum radius at every manhole where a 90-degree pull is permitted. Adjustable gang pulleys with three or more pulleys shall be used for horizontal bends in manholes. Individual pulleys within the gang pulley device shall have a cable bending radius of minimum 10 times outside diameter of largest cable to be pulled. Width of pulleys shall be adequate to support the cable group to be pulled. Adjust gang pulleys to produce a smooth 90 degree curvature bend where such changes in direction occur.
 - (15) If cables will be spliced in a manhole where duct banks enter and leave 90 degrees apart, separate cable segments shall be introduced into the manhole and pulled in different directions unless pulling is permitted around a horizontal gang pulley within the manhole.
 - (16) Where more than one cable will be installed in a single duct, all shall be pulled into the duct concurrently.
- h. Cable Spoil. All cable pulling ends shall be trimmed back to remove cable material always damaged by pulling eyes or basket weave pulling grips. To remove such spoil, cut each cable off a distance from the end equal to three times the length of pulling eye or twice the length of the basket weave pulling grip as a minimum. These amounts shall be cut off for all cables including those to be spliced or terminated by others.
- i. Use of Dynamometer.
- (1) The dynamometer shall be accurately calibrated and secured to properly indicate tension exerted on the cable. The dynamo-meter reading will usually give the resultant force exerted on the anchoring device, which shall be converted to the horizontal component to give correct value of pulling tension.
 - (2) Dynamometer readings shall be made only in the presence of the Resident Engineer. If any pulling tension is approaching the maximum allowable, and if in the judgment of the Resident Engineer, the allowable will be appreciably exceeded for the proposed run, pulling

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operations shall be immediately stopped, and the cable run spliced in the preceding manhole.

- j. Maximum Cable Pulling Tensions. Maximum allowable cable pulling tensions, as measured by dynamometer, shall not exceed the following values for single cables. For multiple cables, add the tension values for the number of cables being pulled. Use a pulling rope having a working strength [not breaking strength -- reference subsection 16F.4b(9)] at least equal to the "maximum allowable pulling tension" values below.

<u>Cable</u>	<u>Maximum Allowable Pulling Tension (lbs)</u>
1-1/C #8	125
1-1/C #6	200
1-1/C #4	325
1/1-C #2	500
1-6 PR #19	125
1-12 PR #19	250
1-25 PR #19	500

- k. Separation of Cables Installed in Conduit or Duct.
- (1) Power cables of the same voltage may be installed in the same duct.
 - (2) Power cables of less than 600 volts may be installed in the same duct.
 - (3) Power cables of less than 600 volts shall not be installed in the same duct with control, telephone, or coaxial type cables.
 - (4) Power cables of more than 600 volts shall not be installed in the same duct with control, telephone, coaxial, or power cables of less than 600 volts.
 - (5) Control, telephone, and coaxial cables may be installed in the same duct.

l. Cable Installation in Manholes or Handholes.

- (1) Power and control cables shall be installed in separate manholes or handholes unless otherwise specified. If installed in same manhole, install power and control cables on opposite sides. At splice locations, use cable racks at different elevations to separate power and control cables.

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- (2) Cable racking surplus shall be pulled back by hand into intermediate manholes. Pull surplus one manhole at a time beginning near both ends of cable segment. Do not use power winch unless permitted by the Resident Engineer.
- (3) Cables shall be carefully routed around manhole interiors, taking all necessary precautions to prevent sharp bending. Cable racks shall be plastic or galvanized steel with properly sized porcelain insulators for the latter. Fasten all cables to plastic racks with nylon ties and to steel racks by means of the insulators.
- (4) Where a splice occurs, cable shall make one loop around the manhole, and the splice located near the center of the loop.
- (5) Where power and control cables are installed in the same manhole, the entire exposed length of all power and control (telephone) cables shall be fireproofed by applying fire and arc proofing tape per Paragraph 16A.23 above.

16F.5 CABLE TAGGING.

- a. All cables shall be tagged in each manhole and in each terminal cabinet with not less than two tags per cable, one near each duct entrance hole. Tags shall be attached to cables immediately after installation of each cable.
- b. Tags shall be circular in shape and 2 inches in diameter. Material shall be minimum 0.020-inch thick copper or brass or 0.0625-inch thick lead. 1/4-inch high steel lettering dies or equivalent size engraving equipment shall be used to make the tags. Tags shall be secured firmly to cables with Number 14 AWG copper wire.
- c. Tag markings shall consist of an abbreviation of the facility served by the cable and the letter "P" or "C" denoting power or control. The facility shall include the applicable runway. Where like multiple control cables are routed between the same facilities, further identify such cables throughout the run with a single-digit number following the letter "C". All individual-conductor power circuits shall be bundled under the same tag as opposed to separate tags for each conductor.

16F.6 SPLICING.

- a. General Requirements.
 - (1) Splices shall be performed only by experienced and qualified cable splicers regularly engaged in this type of work.

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- (2) Cable armor and/or shielding shall be bonded together across splices to provide continuous electrical paths.
 - (3) Where a cable is cut preparatory to splicing, the work shall proceed without delay. When an unavoidable delay is encountered in completing a splice, the opened cable shall be protected to prevent the entrance of moisture and foreign matter.
 - (4) Any splicing material (such as resin) older than the do-not-use-after date on the package, shall be replaced with new material at the contractor's expense.
 - (5) Unless otherwise specified, where multiple runs of single-conductor underground power cables are spliced, each single-conductor cable shall be spliced in a separate envelope.
 - (6) Approved stress reduction methods shall be used in splicing all shielded high voltage power cables (5KV and higher voltage).
- b. Underground Power Cable Splices (600 Volts or Less). All low voltage splices shall be encapsulated in pressure resin in clear plastic envelopes, except as otherwise specified in Paragraph 13A.6 above, on drawings, or in special specifications. All low voltage splices shall be made with compression connectors specified in Paragraph 13A.6 above, except as otherwise specified on drawings and in special specifications.
- c. Underground Control (Telephone Cable) Splices.
- (1) Kit and Resin. The splices shall consist of a rigid polypropylene mold body with a built-in spacer web to provide cable centering and proper compound coverage. The mold body shall be filled with a flexible polyurethane electrical compound capable of continuous operation at 90°C, with an emergency overload temperature rating of 130°C. Splices must have provisions for inline splicing of shielded or non-shielded plastic or rubber-jacketed control (telephone) cables. The splices shall be rated for direct burial applications. For control cables with outside diameters between 0.25 inches and 3.25 inches, 3M Scotchcast Signal and Control Cable Inline Splicing kits of the 72N series are approved, as they are among kits which meet specifications.
 - (2) Connectors. Control cable splice connectors shall be in-line type, in which two conductors are spliced by laying one conductor in each end of the connector, and crimping the connector with a special tool selected to match the connector type and size. Before crimping,

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the connector is open on one side of its length. After crimping, the connector is closed all around its length. The connector bodies shall be made with a tin-plated phosphor bronze piece on the inside, to contact the cable conductors, and bonded polyester insulation on the outside, to insulate the connection. The insulation shall be color coded to denote wire size range. The cable splice connectors and tools shall incorporate the insulation displacement termination technique which uses a slotted, tin-plated contact to displace the conductor insulation, thus providing four redundant electrical contact points. Connectors which require prestripping the conductor shall not be used. AMP, Inc. (Harrisburg, PA) Picabond connectors sized for conductor size, and matching AMP tooling, are among products meeting the above specifications, and are approved.

- d. Submittals. See Paragraph 1A.4 above. If the contractor --
- (1) Intends to splice using materials different from those specified in Paragraphs b and c above, or
 - (2) Intends to splice a 5KV or higher voltage power cable,
- then the contractor shall submit to the Contracting Officer, shop drawings or catalog cuts for all splicing materials, tools, and dies. The contractor shall splice no cables before he has received the Contracting Officer's approval of these items.

16F.7 CONTROL (TELEPHONE) CABLE TERMINATIONS.

a. Cable Routing and Support.

- (1) Cable jackets shall be removed within terminating enclosures such that no more than 2 inches of jacket material is visible within the enclosures. Ground shielding and armor as specified below.
- (2) Exposed cable conductor bundles shall be lock-stitched laced together with nylon lacing twine spaced at approximate 5/8- inch intervals. Each bundle shall contain maximum 25 pairs of conductors which shall be neatly routed and secured to backing panels with nylon clamps.

b. Cable Pair Terminations.

- (1) Terminated pairs shall have the same sequence on each terminal strip. (For terminal block specifications, see Paragraph 16A.19, above.) The color code termination sequence on the terminal strips shall be in accordance with the following schedule. The white

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mates shall start at the top or left-hand side of the terminal block with color continuing down or across the block according to the following schedule:

<u>MATE COLORS</u>	<u>PRIMARY WIRE COLORS</u>
WHITE	BLUE
"	ORANGE
"	GREEN
"	BROWN
"	SLATE
RED	BLUE
"	ORANGE
"	GREEN
"	BROWN
"	SLATE
BLACK	BLUE
"	ORANGE
"	GREEN
"	BROWN
"	SLATE
YELLOW	BLUE
"	ORANGE
"	GREEN
"	BROWN
"	SLATE
VIOLET	BLUE
"	ORANGE
"	GREEN
"	BROWN
"	SLATE

- (2) When cables do not have the preceding color code, like pairs shall be terminated in the same sequence at both ends of the cable.

16F.8 CABLE ARMOR AND SHIELD GROUNDING.

a. Grounding Locations.

- (1) Control cable armor and/or shielding shall be grounded at one end of each cable run only.
- (2) Power cable armor shall be grounded at both ends of each cable run.
- (3) Shielding and armor of control and power cables shall not be grounded at splice locations.

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b. Grounding Procedures.

- (1) Use #14 AWG stranded copper grounding conductors for grounding shielding and armor. Secure grounding conductors to shielding and armor by using UL-approved grounding connectors specifically designed for this purpose. Neatly tape ends of butted cable to conceal the connections.
- (2) Attach crimp-type lugs of proper size to free ends of grounding conductors, and secure lugs to enclosure interior wall with a machine screw and nut.

16F.9 CABLE TESTING.

a. General Requirements.

- (1) Both before and after installation, all contractor-furnished and Government-furnished power and control (telephone) cables shall be tested as required herein. Testing after installation shall be accomplished across splices.
- (2) All testing shall be accomplished in the presence of the Resident Engineer. Furnish two signed and dated copies of all test results, clearly tabulated for all segments of cable tested, to the Resident Engineer.
- (3) The contractor shall use his own test equipment, which shall bear current calibration certification from a certified instrument calibration laboratory.
- (4) Any measured values not conforming to specified values shall be cause for rejection of the defective cable installation. After repair or replacement, if so required by the Resident Engineer, cable shall be retested and additional remedial work performed until satisfactory test results are obtained. All repair and replacement work shall be accomplished at no additional cost to the Government.

b. 600-Volt Power Cable Testing.

- (1) Conductor continuity shall test positive.
- (2) Armor continuity shall test positive.
- (3) Dielectric strength/insulation resistance shall test 50 megohms minimum at 500 volts D.C. between the following:
 - (a) Conductor and ground for single-conductor cable.

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(b) Individual conductors for multi-conductor armored cable.

(c) Individual conductors and grounded armor.

c. Control (Telephone) Cable Testing.

- (1) Conductor continuity shall test positive.
- (2) Shield continuity shall test positive.
- (3) Armor continuity shall test positive.
- (4) Dielectric strength/insulation resistance shall test 50 megohms minimum at 500 volts D.C. between paired conductors and between individual conductors and grounded shield.
- (5) After installing control cable, the minimum number of acceptable paired conductors shall comply with the following:
 - (a) For 11 pair or less cable, all pairs shall test acceptable.
 - (b) For 12 to 25 pair cable, all pairs except one shall test acceptable.

1. SUBMITTALS AND BRAND NAME USAGE

Each product required for use in the contract drawings and specifications must meet the actual minimum needs of the Government as demonstrated in the salient (prominent, important) characteristics for that product. If a brand name product is used in the drawings or specifications, it should be regarded as "known acceptable source" (i.e., a product that meets the actual minimum needs, and demonstrates the appropriate salient characteristics). The product used can be identical or equal to the brand name product or known acceptable source in meeting the salient characteristics, but it need not exceed the actual minimum requirements. Any brand name product or known acceptable source mentioned will, however, not be required for use in order to comply with the specification or drawing unless those documents make it clear that the brand name product is required, and substitution is prohibited. See paragraph 1A.4 or Specification FAA-GL-918C. IN THE FOLLOWING SUBMITTALS SPECIFICATIONS, WHERE PARAGRAPHS ARE REFERENCED WITHOUT DOCUMENT REFERENCE, THE PARAGRAPHS ARE OF SPECIFICATION FAA-GL-918C.

A. Submittals for proposed substitutions.

Each product that a Contractor wishes to use that is not a known acceptable source, must be approved before use by the Contracting Officer or the Contracting Officer's designee. To gain approval, the contractor must submit documents and/or samples that will demonstrate that the product clearly will meet the Government's minimum needs, and demonstrates appropriate salient characteristics. All submittals proposing substitutions must be in writing.

The Contractor shall furnish submittals for any and all items that are proposed to substitute for the following items approved in Specification FAA-GL-918C.

- (1) Splicing Connectors. See paragraphs 13A.6c and 16F.6d
- (2) Power Cable Splicing Kits. See paragraph 13A.6.
- (3) Control Cable Splicing Kits. See paragraphs 16F.6c thru 16F.6d
- (4) NOT USED
- (5) Geotextile. See Paragraph 2B.3a. The minimum requirement values of Geotextile physical properties are tabulated in Paragraph 2B.3a(2). Submittals must include values of these properties for the substitute Geotextile.
- (6) NOT USED
- (7) Lightning Protection Equipment. See paragraph 16A.18
- (8) NOT USED
- (9) NOT USED
- (10) Screw Anchor Foundations. See Paragraph 13D9 for submittal requirements.
- (11) NOT USED
- (12) Cable connectors. See paragraph 23 of these special Specification

(13) Frangible Couplings. See paragraph 24 of these special Specification

(14) NOT USED

C. Long lead items.

THE CONTRACTOR SHALL BE AWARE THAT SOME MATERIAL THAT REQUIRE SUBMITTALS ARE "LONG LEAD ITEMS!" THESE SUBMITTALS MUST BE SUBMITTED (ANYTIME AFTER AWARD) FOR APPROVAL AS SOON AS POSSIBLE!

2. DISPOSAL OF REFUSE:

Conduct cleaning and disposal operations to comply with codes, ordinances, regulations and anti-pollution laws. The Contractor shall make a special effort to keep the construction area neat and orderly. It is the Contractor's responsibility to provide containers for debris and deposit and schedule collections and disposal of debris.

3. LOCATION OF UNDERGROUND UTILITIES:

Prior to beginning any excavation, the Contractor shall contact the Airport Management, local FAA maintenance personnel and utility companies to have underground utilities located and staked out.

4. BURIED GUARD WIRE.

The following paragraph supplements and supersedes Paragraph 16A.4e of Specification FAA-GL-918C.

- e. Buried Guard Wire. Buried cables (including armored cables) not completely enclosed in ferrous conduit, shall be protected by a bare copper guard wire. Unless specified otherwise, or shown otherwise on the drawings, the guard wire shall be #1/0 AWG. Embed the guard wire in the soil at least 10 inches directly above and parallel to the cables being protected. Where the width of the run of cables or ducts does not exceed 3 feet, install one guard wire centered over the cable or duct run. Where the cable or duct run is more than 3 feet wide, install two guard wires. Space the two guard wires at least 12 inches apart, and 12 to 18 inches inside the outermost wires or outermost edges of the duct. Weld the guard wire exothermically to a grounding electrode at each end, and to grounding electrodes at approximately 90-foot intervals. The spacing between the grounding electrodes shall vary by 10 to 20 percent, to prevent resonance.

The following paragraph supplements and supersedes Paragraph 16F.3f of Specification FAA-GL-918C.

- f. Buried Guard Wire. Unless specified otherwise, all direct-earth burial power, control, and coaxial cables shall include the installation of #1/0 bare copper ground wire per Paragraph 16A.4e above.

5. AIR TRAFFIC CONTROL SAFETY:

The Construction Contractor shall perform all work in a manner which does not conflict with, or adversely affect, the air traffic operational environment. In the event of any actual or potential conflicts, air traffic activities shall have priority over all construction activities.

The Contractor shall maintain a heightened degree of sensitivity to airport operations, security, project scheduling and interim runway/taxiway closures which may be required during construction, including other ancillary tasks associated while working at the airport.

6. NIGHT WORK:

In general, some work tasks required in this project may be performed at night, weekends and/or around the clock to minimize the effect on air traffic operations. The specific tasks to be accomplished at night shall be determined by FAA (air traffic and airway facilities) and the airport operations personnel. The contractor shall provide 48 hour prior notification to the FAA Resident Engineer before proceeding with any of the accelerated work tasks.

7. RUNWAY ENDS AND BENCHMARKS:

The threshold elevation of the existing end of Runway 33 centerline is indicated on the construction drawings.

8. FACILITY LAYOUT SURVEY:

The Contractor shall have all the nav aids facilities staked out by a registered Surveyor.

9. CRUSHED ROCK:

All crushed rock and or aggregates shown on the construction drawings except otherwise specified shall be per paragraph 2B.3c(1) of Specification FAA-GL-918C.

10. GEOTEXTILE:

All crushed rock surfaces (roads, turnaround and parking areas, walkways, facility work areas, etc.) shall be underlain with Geotextile per Paragraph 3a, Section 2B, Specification FAA-GL-918C, whether shown on the drawings or not.

11. LIGHTNING ARRESTER: NOT USED

12. CONTROL CABLE SHIELD GROUNDING:

Control cable shield shall be grounded at each end of each cable run. This requirement overrides Specification FAA-GL-918C, Paragraph 16F.8a(1) and Specification FAA-GL- 840B, Paragraph 16F .8a(1).

13. MATERIAL SAFETY DATA SHEETS (MSDS):

The Contractor shall give the Resident Engineer a copy of the MSDS for every building material for which an MSDS is issued.

14. GROUNDING SUPPLEMENT.

A. Equipment, Structures and Conduits.

(1) All metallic non-current carrying parts of electrical equipment and supporting structures installed under this contract and used for either power or control, shall be grounded with green insulated copper equipment grounding conductors, shall be sized according to the National Electrical Code and larger, if so specified herein or on the drawings.

(2) The equipment grounding conductor shall be connected to the grounded conductor (neutral) only at the main service disconnect means. The equipment grounding conductor shall be installed in the same conduit as its related branch and feeder conductors and shall be connected to the ground bus in the branch or distribution panelboard.

(2) Where there are parallel feeders installed in more than one raceway, a full-sized equipment grounding conductor shall be installed in each raceway. The metallic conduit housing the equipment grounding

conductor shall also be electrically continuous forming a parallel path to the equipment grounding conductor. Under no circumstances shall this conductor be omitted from the electrical system, nor shall any separate grounding system such as the signal ground, be used for an alternate grounding system or an alternate path to the grounding electrode. All connections to the equipment to be grounded shall be made with a ground connector specifically intended for that purpose. Connecting screws or mounting bolts and screws are not suitable for use as grounding connections. All ground lugs shall be of a non-corrosive material suitable for use as a grounding connection, and must be compatible with type of metal being grounded.

(4) Unless otherwise specified, control equipment enclosures, pull boxes and raceways shall be grounded as above for power wiring and the conductor shall be connected to an equipment grounding conductor used for power or control which is ultimately carried to ground through the entrance service switch.

B. Duct Bank Counterpoise.

The Contractor shall install a # 1/0 bare grounding/counterpoise wire 10 inches above all duct banks installed under this contract. The conductor shall be terminated at each end to existing facility counterpoise or grounding electrodes or to a new grounding electrode where none exists. This shall be done whether it is shown on the drawings or not and is in addition to the #6 AWG equipment grounds per Paragraph 4.(A).2 above.

C. Raceway Grounding.

When surface metal raceways, wireways or cable tray systems are installed, a separate copper conductor shall be installed in the raceway and shall be properly bonded to each section. Unless otherwise indicated, the minimum size ground conductor shall be #6 green insulated copper.

15. **GROUNDING ELECTRODE.** The following specifications supersede Paragraph 16A.4c of Specification FAA-GL-918C.

c. **Grounding Electrode.** Grounding electrodes (rods) shall be copper clad steel, 3/4-inch by 10 feet, except where otherwise specified. The top of the grounding electrode shall be a minimum of 12 inches below finished grade. Conductors shall be attached to electrodes with exothermic welds only, except where fire or explosion hazards exist, as near existing fuel tanks. Where such hazards exist, hydraulically crimped connections will be permitted as specified below.

16. **ELECTRODE GROUND TESTING.** The following specifications supersede Paragraph 16A.4h of Specification FAA-GL-918C.

h. **Testing.** Electrode grounds shall be tested for resistance at each location. Resistance to ground for each grounding location shall be 10 ohms or less. If this value is not achieved with the grounding electrodes as shown on the drawings, additional grounding electrodes spaced at least 6 feet apart, or electrode extensions of the same construction and diameter, shall be installed until the resistance value does not exceed the maximum of 10 ohms. A tabulated report of the final resistance value at each location shall be provided to the Resident Engineer.

17. **AIR TERMINAL BRACE.** The following specifications supersede Paragraph 16A.18c of Specification FAA-GL-918C.

c. **Air Terminal Brace Assembly.** The air terminal brace assembly for a 36" air terminal shall be a 24"-long galvanized tripod assembly, with legs adjustable to accommodate any roof slope. Cat. No. 82 meets these specifications.

18. GROUND ROD CLAMPS. Paragraph 16A.18k, Ground Rod Clamps, of Specification FAA-GL-918C, is deleted.
19. CONTROL CABLE. The following specifications supplement Paragraph 16E of Specification FAA-GL-918C.
- Specification. Control cable shall be either:
- REA Specification PE-39 cable meeting all the requirements of Section 16E, or
 - REA Specification PE-89 cable (having foamed polyethylene or propylene conductor insulation with a solid skin of the same material), meeting all requirements of Specification FAA-GL-918C Section 16E except the REA Specification PE-39 requirements.
20. FACILITY AC SURGE ARRESTER. NOT USED
21. CONSTRUCTION SAFETY PLAN:
- The Construction Safety Plan is as shown on the plans.
22. AIRPORT CONSTRUCTION ACCESS:
- The Contractor shall use the access route shown on the safety plan unless directed otherwise.
23. SPLICES:
- The Contractor shall provide sufficient continuous length of cable to avoid splices. However some of the long duct pulls will require splices in the electric handholes. No resin older than the date on the package shall be used for any splice
24. TESTING OF 600V POWER CABLE:
- After installation, 600V power cables, splices, and terminations shall test positive for electrical continuity, test free of grounds, and shall have a minimum of 50 megohms between conductors and from conductors to ground. The Contractor shall perform the test at not less than 500 volts DC. Any measured insulation resistance value less than 50 megohms shall be caused for rejection of the installation, and the installation shall be replaced or repaired to meet the minimum 50 megohms standard at no additional cost to the government.
25. MALS PAR-38 LAMPS
- Specifications. The following specifications override Paragraph 13A.5. The Contractor shall furnish ninety PAR-38 halogen incandescent spot lamps. The lamps shall be rated by the manufacturer to have 120-watt power, approximately 1900 lumens and 25000 center beam candlepower (CBCP), and 3000 hours lamp life at 120 volts. Sylvania lamps of lamp designation 120PAR/CAP/SPL/SP and NAED (Vendor I.D. No.) code 14856 meet these specifications. If the Contractor intends to furnish lamps other than Sylvania No. 14856, the Contractor shall submit to the Contracting Officer the substitute lamp's candlepower distribution curve and manufacturer's technical data sheets demonstrating that the substitute meets the power, brightness, and lamp life and lumen maintenance criteria of the above specifications. The Contractor shall also furnish a sample lamp, and shall demonstrate that it will fit the lamp aiming device supplied with the MALSR.
- B. Application. The Contractor shall install 45 of these lamps on the light structures. The remaining 45 lamps shall be delivered to the Resident Engineer for use as spares. The installed lamps shall be aimed vertically to the aiming angles specified on the drawings.

- C. PAR-38 LAMPHOLDER SOCKET RETENTION SCREWS. If DME Corp. MALS equipment is furnished by either the FAA or the contractor, the contractor shall inspect the PAR-38 lampholders. It is probable that the heads of the socket retention screws furnished with the lampholders are too wide to fit into the socket recesses. If they are, the contractor shall furnish stainless steel 6-32 x 1 1/4" socket head cap screws to install the sockets in the lampholders. Two cap screws are required per PAR-38 lampholder.

26. CONTRACTOR-FURNISHED CABLE CONNECTORS.

The Contractor shall furnish all the cable connectors to be applied under this contract. All cable connectors shall meet the requirements of FAA Advisory Circular AC 150/5345-26B, Specification for L-823 Plug and Receptacle, Cable Connectors, hereinafter called the AC. In the following specifications, Type, Class, and Style are as defined in the AC, namely:

- ◆ Type II 2 conductor, 20 ampere, 600 volt
 - ◆ Class B Field-attached to conductor(s)
 - ◆ Style 4 Plug connector, Type II, Class B
 - ◆ Style 11 Receptacle connector, Type II, Class B
- A. For PAR-56 Light Upper Cable Assemblies. For each upper cable assembly of the eighteen (18) PAR-56 MALS threshold lights, apply a Style 4 plug connector designed to fit two 1/C #12 stranded Type THWN cables (.137" cable O.D.). Connectors Elastimold Cat. No. 90P-S6 and Crouse-Hinds Cat. No. 823KS-0PS6 are among connectors meeting these specifications. The Contractor shall furnish twenty-two (22) each of the plug connectors.
- B. For PAR-38 Light Upper Cable Assemblies. For each upper cable assembly of the forty-five (45) PAR-38 MALS white lights, apply a Style 4 plug connector designed to fit two 1/C #14 stranded Type THW cables (.17" cable O.D.). Connectors Elastimold Cat. No. 90P-A8 and Crouse-Hinds Cat. No. 823KS-0PA8 are among connectors meeting these specifications. The Contractor shall furnish fifty (50) each of the plug connectors.
- C. For Lower Cable Assemblies. For each lower cable assembly of the two PAR-56 MALS threshold bar outboard lights, and of the MALS LIR light bar structures, apply a style 11 receptacle connector designed to fit two 1/C#10 stranded or solid type U.S.E. cables (20" cable O.D.). Connectors Elastimold Cat No. 90R-B6 and Crouse-Hinds Cat.No. 823KS-ORB6 are among connectors meeting these specifications. The Contractor shall furnish seventy two (72) each of the plug connectors.

If the Contractor intends to furnish substitute cable connectors, the Contractor shall submit to the Contracting Officer, catalog cuts demonstrating that the substitute connectors meet the AC requirements, are of the specified styles, and fit the specified cables .At the End of construction, The Contractor shall turn all spare connectors over to the Resident Engineer, who will deliver them to the FAA maintenance personnel as spares.

27. CONTRACTOR-FURNISHED FRANGIBLE COUPLINGS

The following specifications supersede Paragraph 16A.20a of Specification FAA GL- 918C.

The Contractor shall furnish all the frangible couplings to be applied under this contract.

All frangible couplings shall be 2" diameter cast aluminum couplings having a hexagonal clamping ring. The coupling shall accommodate 2" diameter EMT conduit. The frangible couplings shall meet the requirements of either Military Specification MS –17814-1, or of FAA Drawing C-6046. The straight-thread Multi Electric Cat No. 961-A frangible coupling is among couplings meeting MS-17814-1. The tapered-thread Multi-Electric CAT. No. 961-1AT frangible coupling is among couplings conforming to FAA Drawing C-6046. If the Contractor intends to furnish substitute frangible couplings, the Contractor shall submit to the Contracting Officer, catalog cuts demonstrating that the substitute couplings meet the specifications herein. The Contractor shall furnish at least 70 each of the frangible couplings. The Contractor shall turn all spare frangible couplings over to the Resident Engineer who will deliver them to FAA maintenance personnel as spares.

28. LIR TOWERS

A. Epoxy Resin: Chemlock 304-1 and 304-2, epoxy resin and hardener, respectively are available from :

Lord Corp. Chemical Product Group
2000 W. Grandview Blvd.
Erie, Pennsylvania 16509
Tel: (814) 868-3611

Jaiquith Industries, Inc.
E. Brighton and Glen Avenues
Syracuse, New York 13205
Tel: (315) 478-5700

B. LIR Tower Test: The Contractor shall demonstrate to the satisfaction of the Resident Engineer that the installed tilting LIR towers will tilt down smoothly, without undue force and without binding. The towers shall be adjusted as necessary and testing repeated until accepted by the Resident Engineer.

29. ELECTRIC POWER COMPANY COORDINATION: The Contractor shall coordinate with Commonwealth Edison Electric Company on the establishment of electric service. Point of contact is Katie Oliva at Tel. No. 847-608-2338.

30. RUNWAY SHUTDOWN: Runway shutdown shall be coordinated with the Airport Director and FAA-RFD-SSC Manager. Notice shall be given no less than 48 hours prior to shutdown. FAA (POC) is Dan Geist at Tel. No. 815-509-3200.

31. AIRPORT COORDINATION: The FAA Contractor shall fully coordinate their work with the FAA maintenance and Electronic installation personnel and the Airport authority. All coordination shall be done through the Resident Engineer.

32. STEEL SIDING. The following paragraph supplements and supersedes Paragraph 13E.8 of Specification FAA-GL-918C.

13E.8 STEEL SIDING. Furnish and install steel siding panels and accessories in accordance with manufacturer's instructions and the following material specifications.

- a. Steel Sheet and Coating. The siding is made from roll formed 0.0172 inch (nominal) thick hot-dipped galvanized steel complying with ASTM A653 and having minimum yield and ultimate strength of 33,000 and 55,000 psi respectively. The coating is polyvinyl chloride, 4 mils dry film thickness. Unless otherwise specified on the drawings, the outside finish color is white.
- b. Siding Meeting Specifications. Coated steel siding by Rollex Corporation, Elk Grove Village, Illinois is among products meeting these specifications. Substitutes require submittals per Paragraph 1A.4 above.

33. Fluorescent Light Fixtures and Ballasts. Not used

34. ANTI-SEIZE COMPOUND. See Specification FAA-GL-918C, Paragraph 13A.2d(1) for anti-seize compound specification, and application to frangible EMT mountings. The Paragraph 13A.2d(1) requirements apply to all frangible EMT mountings under this contract. The contractor shall also apply anti-seize compound to all stainless steel hardware before assembling the hardware, and before tightening stainless steel nuts and bolts. This requirement applies to all stainless steel hardware applied to light bases and base plates, LIR structures, or anywhere else stainless steel hardware is used under this contract. The compound used shall be suitable for use on stainless steel, as witnessed by a message so stating on the container label. The compound shall be used in a quantity and in a way that precludes binding, galling, or any other mode of seizure of the stainless steel hardware.