

**If you plan to submit a bid directly to the Department of Transportation**

**PREQUALIFICATION**

Any contractor who desires to become pre-qualified to bid on work advertised by IDOT must submit the properly completed pre-qualification forms to the Bureau of Construction no later than 4:30 p.m. prevailing time twenty-one days prior to the letting of interest. This pre-qualification requirement applies to first time contractors, contractors renewing expired ratings, contractors maintaining continuous pre-qualification or contractors requesting revised ratings. To be eligible to bid, existing pre-qualification ratings must be effective through the date of letting.

**REQUESTS FOR AUTHORIZATION TO BID**

Contractors wanting to bid on items included in a particular letting must submit the properly completed "Request for Authorization to Bid/or Not For Bid Status" (BDE 124INT) and the ORIGINAL "Affidavit of Availability" (BC 57) to the proper office no later than 4:30 p.m. prevailing time, three (3) days prior to the letting date.

**WHO CAN BID ?**

Bids will be accepted from only those companies that request and receive written **Authorization to Bid** from IDOT's Central Bureau of Construction.

**WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID?:** When a prospective prime bidder submits a "Request for Authorization to Bid/or Not For Bid Status"(BDE 124INT) he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a **Proposal Denial and/or Authorization Form**, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If **Authorization to Bid** cannot be approved, the **Proposal Denial and/or Authorization Form** will indicate the reason for denial.

**ABOUT AUTHORIZATION TO BID:** Firms that have not received an authorization form within a reasonable time of complete and correct original document submittal should contact the department as to status. This is critical in the week before the letting. These documents must be received three days before the letting date. Firms unsure as to authorization status should call the Prequalification Section of the Bureau of Construction at the number listed at the end of these instructions.

**ADDENDA AND REVISIONS:** It is the contractor's responsibility to determine which, if any, addenda or revisions pertain to any project they may be bidding. Failure to incorporate all relevant addenda or revisions may cause the bid to be declared unacceptable.

Each addendum will be placed with the contract number. Addenda and revisions will also be placed on the Addendum/Revision Checklist and each subscription service subscriber will be notified by e-mail of each addendum and revision issued.

The Internet is the Department's primary way of doing business. The subscription server e-mails are an added courtesy the Department provides. It is suggested that bidders check IDOT's website at <http://www.dot.il.gov/desenv/delett.html> before submitting final bid information.

***IDOT IS NOT RESPONSIBLE FOR ANY E-MAIL FAILURES.***

Addenda Questions may be directed to the Contracts Office at (217)782-7806 or [D&Econtracts@dot.il.gov](mailto:D&Econtracts@dot.il.gov)

Technical Questions about downloading these files may be directed to Tim Garman (217)524-1642 or [Timothy.Garman@illinois.gov](mailto:Timothy.Garman@illinois.gov).

**WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?:** Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

**ABOUT SUBMITTING BIDS:** It is recommended that bidders deliver bids in person to insure they arrive at the proper location prior to the time specified for the receipt of bids. Any bid received at the place of letting after the time specified will not be accepted.

**WHO SHOULD BE CALLED IF ASSISTANCE IS NEEDED?**

<b>Questions Regarding</b>	<b>Call</b>
Prequalification and/or Authorization to Bid	217/782-3413
Preparation and submittal of bids	217/782-7806
Mailing of plans and proposals	217/782-7806

**ADDENDUMS AND REVISIONS TO THE PROPOSAL FORMS**

Planholders should verify that they have received and incorporated any addendum and/or revision prior to submitting their bid. Failure by the bidder to include an addendum or revision could result in a bid being rejected as irregular.

RETURN WITH BID

150

Proposal Submitted By
Name
Address
City

Letting April 3, 2009

BIDDERS NEED NOT RETURN THE ENTIRE PROPOSAL  
(See instructions inside front cover)

**NOTICE TO PROSPECTIVE BIDDERS**

This proposal can be used for bidding purposes by only those companies that request and receive written AUTHORIZATION TO BID from IDOT's Central Bureau of Construction.  
(SEE INSTRUCTIONS ON THE INSIDE OF COVER)

**Notice To Bidders,  
Specifications,  
Proposal, Contract  
and Contract Bond**



**Illinois Department  
of Transportation**

Springfield, Illinois 62764

Contract No. 62532  
COOK County  
Section 2021.1B-R  
Route FAI 57  
Project ESP-057-7(282)358  
District 1 Construction Funds

PLEASE MARK THE APPROPRIATE BOX BELOW:

- A Bid Bond is included.
- A Cashier's Check or a Certified Check is included

Prepared by  
Checked by F

(Printed by authority of the State of Illinois)

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## INSTRUCTIONS

**ABOUT IDOT PROPOSALS:** All proposals issued by IDOT are potential bidding proposals. Each proposal contains all Certifications and Affidavits, a Proposal Signature Sheet and a Proposal Bid Bond required for Prime Contractors to submit a bid after written **Authorization to Bid** has been issued by IDOT's Central Bureau of Construction.

**WHO CAN BID?:** Bids will be accepted from only those companies that request and receive written **Authorization to Bid** from IDOT's Central Bureau of Construction. To request authorization, a potential bidder must complete and submit Part B of the Request for Authorization to Bid/or Not For Bid Status form (BDE 124 INT) and submit an original Affidavit of Availability (BC 57).

**WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID?:** When a prospective prime bidder submits a "Request for Proposal Forms and Plans" he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a **Proposal Denial and/or Authorization Form**, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If **Authorization to Bid** cannot be approved, the **Proposal Denial and/or Authorization Form** will indicate the reason for denial. If a contractor has requested to bid but has not received a **Proposal Denial and/or Authorization Form**, they should contact the Central Bureau of Construction in advance of the letting date.

**WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?:** Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

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Questions Regarding	Call
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Preparation and submittal of bids	217/782-7806
Mailing of CD-ROMS	217/782-7806

RETURN WITH BID



PROPOSAL

TO THE DEPARTMENT OF TRANSPORTATION

1. Proposal of \_\_\_\_\_  
\_\_\_\_\_

Taxpayer Identification Number (Mandatory) \_\_\_\_\_

for the improvement identified and advertised for bids in the Invitation for Bids as:

**Contract No. 62532  
COOK County  
Section 2021.1B-R  
Project ESP-057-7(282)358  
Route FAI 57  
District 1 Construction Funds**

**Bridge deck replacement for the structure carrying 111th Street over northbound and southbound I-57 and traffic signal modernization, resurfacing and lighting at two intersections, located in Chicago (SN 016-0989).**

2. The undersigned bidder will furnish all labor, material and equipment to complete the above described project in a good and workmanlike manner as provided in the contract documents provided by the Department of Transportation. This proposal will become part of the contract and the terms and conditions contained in the contract documents shall govern performance and payments.

**RETURN WITH BID**

- ASSURANCE OF EXAMINATION AND INSPECTION/WAIVER.** The undersigned further declares that he/she has carefully examined the proposal, plans, specifications, form of contract and contract bond, and special provisions, and that he/she has inspected in detail the site of the proposed work, and that he/she has familiarized themselves with all of the local conditions affecting the contract and the detailed requirements of construction, and understands that in making this proposal he/she waives all right to plead any misunderstanding regarding the same.
- EXECUTION OF CONTRACT AND CONTRACT BOND.** The undersigned further agrees to execute a contract for this work and present the same to the department within fifteen (15) days after the contract has been mailed to him/her. The undersigned further agrees that he/she and his/her surety will execute and present within fifteen (15) days after the contract has been mailed to him/her contract bond satisfactory to and in the form prescribed by the Department of Transportation, in the penal sum of the full amount of the contract, guaranteeing the faithful performance of the work in accordance with the terms of the contract.
- PROPOSAL GUARANTY.** Accompanying this proposal is either a bid bond on the department form, executed by a corporate surety company satisfactory to the department, or a proposal guaranty check consisting of a bank cashier's check or a properly certified check for not less than 5 per cent of the amount bid or for the amount specified in the following schedule:

<u>Amount of Bid</u>	<u>Proposal Guaranty</u>	<u>Amount of Bid</u>	<u>Proposal Guaranty</u>
Up to \$5,000	to \$150	\$2,000,000	to \$100,000
\$5,000 to \$10,000	to \$300	\$3,000,000	to \$150,000
\$10,000 to \$50,000	to \$1,000	\$5,000,000	to \$250,000
\$50,000 to \$100,000	to \$3,000	\$7,500,000	to \$400,000
\$100,000 to \$150,000	to \$5,000	\$10,000,000	to \$500,000
\$150,000 to \$250,000	to \$7,500	\$15,000,000	to \$600,000
\$250,000 to \$500,000	to \$12,500	\$20,000,000	to \$700,000
\$500,000 to \$1,000,000	to \$25,000	\$25,000,000	to \$800,000
\$1,000,000 to \$1,500,000	to \$50,000	\$30,000,000	to \$900,000
\$1,500,000 to \$2,000,000	to \$75,000	over \$35,000,000	to \$1,000,000

Bank cashier's checks or properly certified checks accompanying proposals shall be made payable to the Treasurer, State of Illinois, when the state is awarding authority; the county treasurer, when a county is the awarding authority; or the city, village, or town treasurer, when a city, village, or town is the awarding authority.

If a combination bid is submitted, the proposal guaranties which accompany the individual proposals making up the combination will be considered as also covering the combination bid.

The amount of the proposal guaranty check is \_\_\_\_\_ \$( \_\_\_\_\_ ). If this proposal is accepted and the undersigned shall fail to execute a contract bond as required herein, it is hereby agreed that the amount of the proposal guaranty shall become the property of the State of Illinois, and shall be considered as payment of damages due to delay and other causes suffered by the State because of the failure to execute said contract and contract bond; otherwise, the bid bond shall become void or the proposal guaranty check shall be returned to the undersigned.

**Attach Cashier's Check or Certified Check Here**

In the event that one proposal guaranty check is intended to cover two or more proposals, the amount must be equal to the sum of the proposal guaranties which would be required for each individual proposal. If the guaranty check is placed in another proposal, state below where it may be found.

The proposal guaranty check will be found in the proposal for:

Item \_\_\_\_\_

Section No. \_\_\_\_\_

County \_\_\_\_\_

**Mark the proposal cover sheet as to the type of proposal guaranty submitted.**

**RETURN WITH BID**

6. **COMBINATION BIDS.** The undersigned further agrees that if awarded the contract for the sections contained in the following combination, he/she will perform the work in accordance with the requirements of each individual proposal comprising the combination bid specified in the schedule below, and that the combination bid shall be prorated against each section in proportion to the bid submitted for the same. If an error is found to exist in the gross sum bid for one or more of the individual sections included in a combination, the combination bid shall be corrected as provided in the specifications.

**When a combination bid is submitted, the schedule below must be completed in each proposal comprising the combination.**

**If alternate bids are submitted for one or more of the sections comprising the combination, a combination bid must be submitted for each alternate.**

**Schedule of Combination Bids**

Combination No.	Sections Included in Combination	Combination Bid	
		Dollars	Cents

7. **SCHEDULE OF PRICES.** The undersigned bidder submits herewith, in accordance with the rules and instructions, a schedule of prices for the items of work for which bids are sought. The unit prices bid are in U.S. dollars and cents, and all extensions and summations have been made. The bidder understands that the quantities appearing in the bid schedule are approximate and are provided for the purpose of obtaining a gross sum for the comparison of bids. If there is an error in the extension of the unit prices, the unit prices shall govern. Payment to the contractor awarded the contract will be made only for actual quantities of work performed and accepted or materials furnished according to the contract. The scheduled quantities of work to be done and materials to be furnished may be increased, decreased or omitted as provided elsewhere in the contract.
8. **CERTIFICATE OF AUTHORITY.** The undersigned bidder, if a business organized under the laws of another State, assures the Department that it will furnish a copy of its certificate of authority to do business in the State of Illinois with the return of the executed contract and bond. Failure to furnish the certificate within the time provided for execution of an awarded contract may be cause for cancellation of the award and forfeiture of the proposal guaranty to the State.

ILLINOIS DEPARTMENT OF TRANSPORTATION  
 SCHEDULE OF PRICES  
 CONTRACT  
 NUMBER - 62532

State Job # - C-91-336-02  
 PPS NBR - 1-77067-0002  
 County Name - COOK- -  
 Code - 31 - -  
 District - 1 - -  
 Section Number - 2021.1B-R

Project Number  
 ESP-0577/282/358

Route  
 FAI 57

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
XX104800	COMB CC&G TBV.12	FOOT	418.000				
X0320080	ROD & CL DUCT EX COND	FOOT	1,925.000				
X0322256	TEMP INFO SIGNING	SQ FT	237.000				
X0322679	C FDN TY P BM TS CONT	EACH	2.000				
X0322683	CONC FDN 24D	FOOT	72.000				
X0322684	CONC FDN 30D	FOOT	22.000				
X0322701	SERV INSTALL 100 AMP	EACH	1.000				
X0322712	STREET NAME SIGN	EACH	7.000				
X0323080	DRAINAGE SCUPPR DS-12	EACH	6.000				
X0323157	REM LUM FROM UNDRPASS	EACH	12.000				
X0323574	MAINTAIN LIGHTING SYS	CAL MO	8.000				
X0324182	EC C TRP #6 2C #8 1C	FOOT	1,550.000				
X0324571	MAINT ST LTG SYS CHGO	L SUM	1.000				
X0324900	SERV CON TO CECO LINE	EACH	1.000				
X0324902	REM EX TR SIGNAL FDN	EACH	7.000				



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X0325305	STR REP CON DP = < 5	SQ FT	2,223.000				
X0326347	ELCBL C 4 2C	FOOT	195.000				
X0329888	REM EX ST LIGHT EQUIP	L SUM	1.000				
X0329890	SS FAC 4P DT TBC BM	EACH	2.000				
X0934800	MA STL MONOTUBE 20	EACH	3.000				
X0934900	MA STL MONOTUBE 26	EACH	2.000				
X0935100	MA STL MONOTUBE 35	EACH	2.000				
X0935700	ELCBL C 12 19C	FOOT	1,087.000				
X0962500	REMOV EX TS EQUIP	L SUM	1.000				
X0966510	SN MESS E-ILUM FO MAM	EACH	4.000				
X0966520	SN MESS E-ILLUM FO BM	EACH	4.000				
X0966700	JUNC BOX POLE/POST MT	EACH	10.000				
X7011005	TR CONT-PROT TEMP DET	L SUM	1.000				
X7011015	TR C-PROT EXPRESSWAYS	L SUM	1.000				
X8210305	PROT-MAIN UNPASS LTG	L SUM	1.000				

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X8210407	LUMINAIRE INST ONLY	EACH	19.000				
X8250085	LTG CONTR DUP CONS TY	EACH	1.000				
X8300100	LT POLE ALUM W/MA IO	EACH	15.000				
X8410102	TEMP LIGHTING SYSTEM	L SUM	1.000				
Z0013798	CONSTRUCTION LAYOUT	L SUM	1.000				
Z0018500	DRAINAGE STR CLEANED	EACH	15.000				
Z0018800	DRAINAGE SYSTEM	L SUM	1.000				
Z0018940	DRILL EX MAN/HANDHOLE	EACH	30.000				
Z0030240	IMP ATTN TEMP NRD TL2	EACH	2.000				
Z0030250	IMP ATTN TEMP NRD TL3	EACH	4.000				
Z0048665	RR PROT LIABILITY INS	L SUM	1.000				
Z0064800	SELECTIVE CLEARING	UNIT	1.000				
Z0073300	TEMP SHORING & CRIB	L SUM	1.000				
Z0076600	TRAINEES	HOUR	1,000.000		0.800		800.000
20100110	TREE REMOV 6-15	UNIT	60.000				

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20101000	TEMPORARY FENCE	FOOT	520.000				
20101100	TREE TRUNK PROTECTION	EACH	5.000				
20700400	POROUS GRAN EMB SPEC	CU YD	180.000				
21101615	TOPSOIL F & P 4	SQ YD	0.400				
25000210	SEEDING CL 2A	ACRE	0.400				
25000400	NITROGEN FERT NUTR	POUND	37.000				
25000500	PHOSPHORUS FERT NUTR	POUND	37.000				
25000600	POTASSIUM FERT NUTR	POUND	37.000				
25100630	EROSION CONTR BLANKET	SQ YD	2,036.000				
28000400	PERIMETER EROS BAR	FOOT	537.000				
28000510	INLET FILTERS	EACH	20.000				
40600200	BIT MATLS PR CT	TON	2.000				
40600300	AGG PR CT	TON	6.000				
40600400	MIX CR JTS FLANGEWYS	TON	0.400				
40600635	LEV BIND MM N70	TON	155.000				

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40600895	CONSTRUC TEST STRIP	EACH	2.000				
40603340	HMA SC "D" N70	TON	233.000				
40800050	INCIDENTAL HMA SURF	TON	5.000				
42001300	PROTECTIVE COAT	SQ YD	311.000				
42001400	BR APPROACH PAVT SPL	SQ YD	369.000				
42001420	BR APPR PVT CON (PCC)	SQ YD	140.000				
42400410	PC CONC SIDEWALK 8	SQ FT	1,960.000				
42400800	DETECTABLE WARNINGS	SQ FT	97.000				
44000100	PAVEMENT REM	SQ YD	153.000				
44000198	HMA SURF REM VAR DP	SQ YD	2,637.000				
44000500	COMB CURB GUTTER REM	FOOT	423.000				
44000600	SIDEWALK REM	SQ FT	1,960.000				
44000700	APPROACH SLAB REM	SQ YD	368.000				
44200104	PAVT PATCH T1 9	SQ YD	100.000				
44200108	PAVT PATCH T2 9	SQ YD	80.000				

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44200112	PAVT PATCH T3 9	SQ YD	100.000				
44200114	PAVT PATCH T4 9	SQ YD	50.000				
44300200	STRIP REF CR CON TR	FOOT	843.000				
50102400	CONC REM	CU YD	41.300				
50104800	REM EXIST CONC DECK	L SUM	1.000				
50157300	PROTECTIVE SHIELD	SQ YD	1,610.000				
50200100	STRUCTURE EXCAVATION	CU YD	180.000				
50300225	CONC STRUCT	CU YD	48.400				
50300255	CONC SUP-STR	CU YD	525.900				
50300260	BR DECK GROOVING	SQ YD	1,241.000				
50300300	PROTECTIVE COAT	SQ YD	1,730.000				
50500405	F & E STRUCT STEEL	POUND	11,130.000				
50500715	JACK & REM EX BEARING	EACH	42.000				
50800205	REINF BARS, EPOXY CTD	POUND	107,390.000				
50800515	BAR SPLICERS	EACH	138.000				

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50901730	BRIDGE FENCE RAILING	FOOT	517.000				
51500100	NAME PLATES	EACH	2.000				
52000110	PREF JT STRIP SEAL	FOOT	340.000				
52100010	ELAST BEARING ASSY T1	EACH	42.000				
52100520	ANCHOR BOLTS 1	EACH	168.000				
55100400	STORM SEWER REM 10	FOOT	11.000				
58700300	CONCRETE SEALER	SQ FT	876.000				
59000200	EPOXY CRACK INJECTION	FOOT	75.000				
60202505	CB TA 4D T1FOL (CHGO)	EACH	1.000				
60300305	FR & LIDS ADJUST	EACH	18.000				
60406520	FR & LIDS OL (CHGO)	EACH	10.000				
60406530	FR & LIDS CL (CHGO)	EACH	10.000				
60500050	REMOV CATCH BAS	EACH	1.000				
67000400	ENGR FIELD OFFICE A	CAL MO	12.000				
67100100	MOBILIZATION	L SUM	1.000				

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70101800	TRAF CONT & PROT SPL	L SUM	1.000				
70300100	SHORT-TERM PAVT MKING	FOOT	224.000				
70300210	TEMP PVT MK LTR & SYM	SQ FT	61.000				
70300220	TEMP PVT MK LINE 4	FOOT	502.000				
70300240	TEMP PVT MK LINE 6	FOOT	1,879.000				
70301000	WORK ZONE PAVT MK REM	SQ FT	1,240.000				
70400100	TEMP CONC BARRIER	FOOT	1,600.000				
72000100	SIGN PANEL T1	SQ FT	24.000				
72000200	SIGN PANEL T2	SQ FT	11.000				
72400320	REMOV SIGN PANEL T2	SQ FT	11.000				
72800100	TELES STL SIN SUPPORT	FOOT	43.000				
73100100	BASE TEL STL SIN SUPP	EACH	2.000				
78000100	THPL PVT MK LTR & SYM	SQ FT	71.000				
78000200	THPL PVT MK LINE 4	FOOT	1,856.000				
78000400	THPL PVT MK LINE 6	FOOT	621.000				

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78000600	THPL PVT MK LINE 12	FOOT	402.000				
78000650	THPL PVT MK LINE 24	FOOT	130.000				
78003100	PREF PL PM TB LTR-SYM	SQ FT	205.000				
78003110	PREF PL PM TB LINE 4	FOOT	836.000				
78003130	PREF PL PM TB LINE 6	FOOT	341.000				
78003150	PREF PL PM TB LINE 12	FOOT	100.000				
78003180	PREF PL PM TB LINE 24	FOOT	108.000				
78200530	BAR WALL MKR TYPE C	EACH	128.000				
78300100	PAVT MARKING REMOVAL	SQ FT	963.000				
81012600	CON T 2 PVC	FOOT	1,100.000				
81012800	CON T 3 PVC	FOOT	112.000				
81013000	CON T 4 PVC	FOOT	151.000				
81028080	CON B&P CNC 3	FOOT	948.000				
81100320	CON AT ST 1 PVC GS	FOOT	820.000				
81100805	CON AT ST 3 PVC GALVS	FOOT	950.000				



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 CONTRACT  
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State Job # - C-91-336-02  
 PPS NBR - 1-77067-0002  
 County Name - COOK- -  
 Code - 31 - -  
 District - 1 - -  
 Section Number - 2021.1B-R

Project Number  
 ESP-0577/282/358

Route  
 FAI 57

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
81200230	CON EMB STR 2 PVC	FOOT	510.000				
81300220	JUN BX SS AS 6X6X4	EACH	12.000				
81300530	JUN BX SS AS 12X10X6	EACH	6.000				
81700110	EC C EPR RHW 1C 10	FOOT	2,860.000				
81702400	EC C XLP USE 3-1C 2	FOOT	150.000				
81900302	TR & BKFIL W SCR/SAND	FOOT	1,314.000				
82107100	UNDERPAS LUM 70W HPS	EACH	12.000				
84200500	REM EX LT UNIT SALV	EACH	12.000				
84200700	LIGHTING FDN REMOV	EACH	3.000				
87301155	ELCBL C SIGNAL 12 7C	FOOT	575.000				
88040070	SH P LED 1F 3S BM	EACH	4.000				
88040090	SH P LED 1F 3S MAM	EACH	12.000				
88040110	SH P LED 1F 4S BM	EACH	2.000				
88040120	SH P LED 1F 4S MAM	EACH	2.000				
88102810	PED SH P LED 1F BM	EACH	12.000				

ILLINOIS DEPARTMENT OF TRANSPORTATION  
 SCHEDULE OF PRICES  
 CONTRACT  
 NUMBER - 62532

State Job # - C-91-336-02  
 PPS NBR - 1-77067-0002  
 County Name - COOK- -  
 Code - 31 - -  
 District - 1 - -  
 Section Number - 2021.1B-R

Project Number  
 ESP-0577/282/358

Route  
 FAI 57

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
89000100	TEMP TR SIG INSTALL	EACH	2.000				

**CONTRACT NUMBER**                **62532**

**THIS IS THE TOTAL BID**                                **\$** \_\_\_\_\_

**NOTES:**

1. Each **PAY ITEM** should have a **UNIT PRICE** and a **TOTAL PRICE**.
2. The **UNIT PRICE** shall govern if no **TOTAL PRICE** is shown or if there is a discrepancy between the product of the **UNIT PRICE** multiplied by the **QUANTITY**.
3. If a **UNIT PRICE** is omitted, the **TOTAL PRICE** will be divided by the **QUANTITY** in order to establish a **UNIT PRICE**.
4. A bid may be declared **UNACCEPTABLE** if neither a unit price nor a total price is shown.

## RETURN WITH BID

### **STATE REQUIRED ETHICAL STANDARDS GOVERNING CONTRACT PROCUREMENT: ASSURANCES, CERTIFICATIONS AND DISCLOSURES**

#### **I. GENERAL**

**A.** Article 50 of the Illinois Procurement Code establishes the duty of all State chief procurement officers, State purchasing officers, and their designees to maximize the value of the expenditure of public moneys in procuring goods, services, and contracts for the State of Illinois and to act in a manner that maintains the integrity and public trust of State government. In discharging this duty, they are charged by law to use all available information, reasonable efforts, and reasonable actions to protect, safeguard, and maintain the procurement process of the State of Illinois.

**B.** In order to comply with the provisions of Article 50 and to carry out the duty established therein, all bidders are to adhere to ethical standards established for the procurement process, and to make such assurances, disclosures and certifications required by law. By execution of the Proposal Signature Sheet, the bidder indicates that each of the mandated assurances has been read and understood, that each certification is made and understood, and that each disclosure requirement has been understood and completed.

**C.** In addition to all other remedies provided by law, failure to comply with any assurance, failure to make any disclosure or the making of a false certification shall be grounds for termination of the contract and the suspension or debarment of the bidder.

#### **II. ASSURANCES**

**A.** The assurances hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous assurance, and the surety providing the performance bond shall be responsible for the completion of the contract.

##### **B. Felons**

1. The Illinois Procurement Code provides:

Section 50-10. Felons. Unless otherwise provided, no person or business convicted of a felony shall do business with the State of Illinois or any state agency from the date of conviction until 5 years after the date of completion of the sentence for that felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-10.

##### **C. Conflicts of Interest**

1. The Illinois Procurement Code provides in pertinent part:

Section 50-13. Conflicts of Interest.

(a) Prohibition. It is unlawful for any person holding an elective office in this State, holding a seat in the General Assembly, or appointed to or employed in any of the offices or agencies of state government and who receives compensation for such employment in excess of 60% of the salary of the Governor of the State of Illinois, or who is an officer or employee of the Capital Development Board or the Illinois Toll Highway Authority, or who is the spouse or minor child of any such person to have or acquire any contract, or any direct pecuniary interest in any contract therein, whether for stationery, printing, paper, or any services, materials, or supplies, that will be wholly or partially satisfied by the payment of funds appropriated by the General Assembly of the State of Illinois or in any contract of the Capital Development Board or the Illinois Toll Highway authority.

(b) Interests. It is unlawful for any firm, partnership, association or corporation, in which any person listed in subsection (a) is entitled to receive (i) more than 7 1/2% of the total distributable income or (ii) an amount in excess of the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.

(c) Combined interests. It is unlawful for any firm, partnership, association, or corporation, in which any person listed in subsection (a) together with his or her spouse or minor children is entitled to receive (i) more than 15%, in the aggregate, of the total distributable income or (ii) an amount in excess of 2 times the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.

(d) Securities. Nothing in this Section invalidates the provisions of any bond or other security previously offered or to be offered for sale or sold by or for the State of Illinois.

(e) Prior interests. This Section does not affect the validity of any contract made between the State and an officer or employee of the State or member of the General Assembly, his or her spouse, minor child or any combination of those persons if that contract was in existence before his or her election or employment as an officer, member, or employee. The contract is voidable, however, if it cannot be completed within 365 days after the officer, member, or employee takes office or is employed.

The current salary of the Governor is \$177,412.00. Sixty percent of the salary is \$106,447.20.

## RETURN WITH BID

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-13, or that an effective exemption has been issued by the Board of Ethics to any individual subject to the Section 50-13 prohibitions pursuant to the provisions of Section 50-20 of the Code and Executive Order Number 3 (1998). Information concerning the exemption process is available from the Department upon request.

### **D. Negotiations**

1. The Illinois Procurement Code provides in pertinent part:

Section 50-15. Negotiations.

(a) It is unlawful for any person employed in or on a continual contractual relationship with any of the offices or agencies of State government to participate in contract negotiations on behalf of that office or agency with any firm, partnership, association, or corporation with whom that person has a contract for future employment or is negotiating concerning possible future employment.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-15, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

### **E. Inducements**

1. The Illinois Procurement Code provides:

Section 50-25. Inducement. Any person who offers or pays any money or other valuable thing to any person to induce him or her not to bid for a State contract or as recompense for not having bid on a State contract is guilty of a Class 4 felony. Any person who accepts any money or other valuable thing for not bidding for a State contract or who withholds a bid in consideration of the promise for the payment of money or other valuable thing is guilty of a Class 4 felony.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-25, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

### **F. Revolving Door Prohibition**

1. The Illinois Procurement Code provides:

Section 50-30. Revolving door prohibition. Chief procurement officers, associate procurement officers, State purchasing officers, their designees whose principal duties are directly related to State procurement, and executive officers confirmed by the Senate are expressly prohibited for a period of 2 years after terminating an affected position from engaging in any procurement activity relating to the State agency most recently employing them in an affected position for a period of at least 6 months. The prohibition includes, but is not limited to: lobbying the procurement process; specifying; bidding; proposing bid, proposal, or contract documents; on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to persons who terminate an affected position on or after January 15, 1999.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-30, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

### **G. Reporting Anticompetitive Practices**

1. The Illinois Procurement Code provides:

Section 50-40. Reporting anticompetitive practices. When, for any reason, any vendor, bidder, contractor, chief procurement officer, State purchasing officer, designee, elected official, or State employee suspects collusion or other anticompetitive practice among any bidders, offerors, contractors, proposers, or employees of the State, a notice of the relevant facts shall be transmitted to the Attorney General and the chief procurement officer.

2. The bidder assures the Department that it has not failed to report any relevant facts concerning the practices addressed in Section 50-40 which may involve the contract for which the bid is submitted.

### **H. Confidentiality**

1. The Illinois Procurement Code provides:

Section 50-45. Confidentiality. Any chief procurement officer, State purchasing officer, designee, or executive officer who willfully uses or allows the use of specifications, competitive bid documents, proprietary competitive information, proposals, contracts, or selection information to compromise the fairness or integrity of the procurement, bidding, or contract process shall be subject to immediate dismissal, regardless of the Personnel code, any contract, or any collective bargaining agreement, and may in addition be subject to criminal prosecution.

2. The bidder assures the Department that it has no knowledge of any fact relevant to the practices addressed in Section 50-45 which may involve the contract for which the bid is submitted.

## RETURN WITH BID

### **I. Insider Information**

1. The Illinois Procurement Act provides:

Section 50-50. Insider information. It is unlawful for any current or former elected or appointed State official or State employee to knowingly use confidential information available only by virtue of that office or employment for actual or anticipated gain for themselves or another person.

2. The bidder assures the Department that it has no knowledge of any facts relevant to the practices addressed in Section 50-50 which may involve the contract for which the bid is submitted.

### **III. CERTIFICATIONS**

**A.** The certifications hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous certification, and the surety providing the performance bond shall be responsible for completion of the contract.

#### **B. Bribery**

1. The Illinois Procurement Code provides:

Section 50-5. Bribery.

- (a) Prohibition. No person or business shall be awarded a contract or subcontract under this Code who:

- (1) has been convicted under the laws of Illinois or any other state of bribery or attempting to bribe an officer or employee of the State of Illinois or any other state in that officer's or employee's official capacity; or

- (2) has made an admission of guilt of that conduct that is a matter of record but has not been prosecuted for that conduct.

- (b) Businesses. No business shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of the business if the employee or agent is no longer employed by the business and:

- (1) the business has been finally adjudicated not guilty; or

- (2) the business demonstrates to the governmental entity with which it seeks to contract, and that entity finds that the commission of the offense was not authorized, requested, commanded, or performed by a director, officer, or high managerial agent on behalf of the business as provided in paragraph (2) of subsection (a) of Section 5-4 of the Criminal Code of 1961.

- (c) Conduct on behalf of business. For purposes of this Section, when an official, agent, or employee of a business committed the bribery or attempted bribery on behalf of the business and in accordance with the direction or authorization of a responsible official of the business, the business shall be chargeable with the conduct.

- (d) Certification. Every bid submitted to and contract executed by the State shall contain a certification by the contractor that the contractor is not barred from being awarded a contract or subcontract under this Section. A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

2. The bidder certifies that it is not barred from being awarded a contract under Section 50.5.

#### **C. Educational Loan**

1. Section 3 of the Educational Loan Default Act provides:

§ 3. No State agency shall contract with an individual for goods or services if that individual is in default, as defined in Section 2 of this Act, on an educational loan. Any contract used by any State agency shall include a statement certifying that the individual is not in default on an educational loan as provided in this Section.

2. The bidder, if an individual as opposed to a corporation, partnership or other form of business organization, certifies that the bidder is not in default on an educational loan as provided in Section 3 of the Act.

#### **D. Bid-Rigging/Bid Rotating**

1. Section 33E-11 of the Criminal Code of 1961 provides:

§ 33E-11. (a) Every bid submitted to and public contract executed pursuant to such bid by the State or a unit of local government shall contain a certification by the prime contractor that the prime contractor is not barred from contracting with any unit of State or local government as a result of a violation of either Section 33E-3 or 33E-4 of this Article. The State and units of local government shall provide the appropriate forms for such certification.

## RETURN WITH BID

(b) A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

A violation of Section 33E-3 would be represented by a conviction of the crime of bid-rigging which, in addition to Class 3 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be barred for 5 years from the date of conviction from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

A violation of Section 33E-4 would be represented by a conviction of the crime of bid-rotating which, in addition to Class 2 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be permanently barred from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

2. The bidder certifies that it is not barred from contracting with the Department by reason of a violation of either Section 33E-3 or Section 33E-4.

### **E. International Anti-Boycott**

1. Section 5 of the International Anti-Boycott Certification Act provides:

§ 5. State contracts. Every contract entered into by the State of Illinois for the manufacture, furnishing, or purchasing of supplies, material, or equipment or for the furnishing of work, labor, or services, in an amount exceeding the threshold for small purchases according to the purchasing laws of this State or \$10,000.00, whichever is less, shall contain certification, as a material condition of the contract, by which the contractor agrees that neither the contractor nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the U.S. Export Administration Act of 1979 or the regulations of the U.S. Department of Commerce promulgated under that Act.

2. The bidder makes the certification set forth in Section 5 of the Act.

### **F. Drug Free Workplace**

1. The Illinois "Drug Free Workplace Act" applies to this contract and it is necessary to comply with the provisions of the "Act" if the contractor is a corporation, partnership, or other entity (including a sole proprietorship) which has 25 or more employees.

2. The bidder certifies that if awarded a contract in excess of \$5,000 it will provide a drug free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance, including cannabis, is prohibited in the contractor's workplace; specifying the actions that will be taken against employees for violations of such prohibition; and notifying the employee that, as a condition of employment on such contract, the employee shall abide by the terms of the statement, and notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.

(b) Establishing a drug free awareness program to inform employees about the dangers of drug abuse in the workplace; the contractor's policy of maintaining a drug free workplace; any available drug counseling, rehabilitation, and employee assistance programs; and the penalties that may be imposed upon employees for drug violations.

(c) Providing a copy of the statement required by subparagraph (1) to each employee engaged in the performance of the contract and to post the statement in a prominent place in the workplace.

(d) Notifying the Department within ten (10) days after receiving notice from an employee or otherwise receiving actual notice of the conviction of an employee for a violation of any criminal drug statute occurring in the workplace.

(e) Imposing or requiring, within 30 days after receiving notice from an employee of a conviction or actual notice of such a conviction, an appropriate personnel action, up to and including termination, or the satisfactory participation in a drug abuse assistance or rehabilitation program approved by a federal, state or local health, law enforcement or other appropriate agency.

(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the actions and efforts stated in this certification.

## RETURN WITH BID

### **G. Debt Delinquency**

1. The Illinois Procurement Code provides:

Section 50-11 and 50-12. Debt Delinquency.

The contractor or bidder certifies that it, or any affiliate, is not barred from being awarded a contract under 30 ILCS 500. Section 50-11 prohibits a person from entering into a contract with a State agency if it knows or should know that it, or any affiliate, is delinquent in the payment of any debt to the State as defined by the Debt Collection Board. Section 50-12 prohibits a person from entering into a contract with a State agency if it, or any affiliate, has failed to collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act. The contractor further acknowledges that the contracting State agency may declare the contract void if this certification is false or if the contractor, or any affiliate, is determined to be delinquent in the payment of any debt to the State during the term of the contract.

### **H. Sarbanes-Oxley Act of 2002**

1. The Illinois Procurement Code, Section 50-60(c), provides:

The contractor certifies in accordance with 30 ILCS 500/50-10.5 that no officer, director, partner or other managerial agent of the contracting business has been convicted of a felony under the Sarbanes-Oxley Act of 2002 or a Class 3 or Class 2 felony under the Illinois Securities Law of 1953 for a period of five years prior to the date of the bid or contract. The contractor acknowledges that the contracting agency shall declare the contract void if this certification is false.

### **I. Addenda**

The contractor or bidder certifies that all relevant addenda have been incorporated in to this contract. Failure to do so may cause the bid to be declared unacceptable.

### **J. Section 42 of the Environmental Protection Act**

The contractor certifies in accordance with 30 ILCS 500/50-12 that the bidder or contractor is not barred from being awarded a contract under this Section which prohibits the bidding on or entering into contracts with the State of Illinois or a State agency by a person or business found by a court or the Pollution Control Board to have committed a willful or knowing violation of Section 42 of the Environmental Protection Act for a period of five years from the date of the order. The contractor acknowledges that the contracting agency may declare the contract void if this certification is false.

### **K. Apprenticeship and Training Certification (Does not apply to federal aid projects)**

In accordance with the provisions of Section 30-22 (6) of the Illinois Procurement Code, the bidder certifies that it is a participant, either as an individual or as part of a group program, in the approved apprenticeship and training programs applicable to each type of work or craft that the bidder will perform with its own forces. The bidder further certifies for work that will be performed by subcontract that each of its subcontractors submitted for approval either (a) is, at the time of such bid, participating in an approved, applicable apprenticeship and training program; or (b) will, prior to commencement of performance of work pursuant to this contract, begin participation in an approved apprenticeship and training program applicable to the work of the subcontract. The Department, at any time before or after award, may require the production of a copy of each applicable Certificate of Registration issued by the United States Department of Labor evidencing such participation by the contractor and any or all of its subcontractors. Applicable apprenticeship and training programs are those that have been approved and registered with the United States Department of Labor. The bidder shall list in the space below, the official name of the program sponsor holding the Certificate of Registration for all of the types of work or crafts in which the bidder is a participant and that will be performed with the bidder's forces. Types of work or craft work that will be subcontracted shall be included and listed as subcontract work. The list shall also indicate any type of work or craft job category that does not have an applicable apprenticeship or training program. **The bidder is responsible for making a complete report and shall make certain that each type of work or craft job category that will be utilized on the project as reported on the Construction Employee Workforce Projection (Form BC-1256) and returned with the bid is accounted for and listed.**

**NA - FEDERAL**

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The requirements of this certification and disclosure are a material part of the contract, and the contractor shall require this certification provision to be included in all approved subcontracts. In order to fulfill this requirement, it shall not be necessary that an applicable program sponsor be currently taking or that it will take applications for apprenticeship, training or employment during the performance of the work of this contract.

### **L. Executive Order Number 1 (2007) Regarding Lobbying on Government Procurements**

The bidder hereby warrants and certifies that they have complied and will comply with the requirements set forth in this Order. The requirements of this warrant and certification are a material part of the contract, and the contractor shall require this warrant and certification provision to be included in all approved subcontracts.



## RETURN WITH BID

### M. Disclosure of Business Operations in Iran

Public Act 95-0616 provides that each bid, offer, or proposal submitted for a State contract shall include a disclosure of whether or not the Company acting as the bidder, offer or, or proposing entity, or any of its corporate parents or subsidiaries, within the 24 months before submission of the bid, offer, or proposal had business operations that involved contracts with or provision of supplies or services to the Government of Iran, companies in which the Government of Iran has any direct or indirect equity share, consortiums or projects commissioned by the Government of Iran, or companies involved in consortiums or projects commissioned by the Government of Iran and either of the following conditions apply:

- (1) More than 10% of the Company's revenues produced in or assets located in Iran involve oil-related activities or mineral-extraction activities; less than 75% of the Company's revenues produced in or assets located in Iran involve contracts with or provision of oil-related or mineral-extraction products or services to the Government of Iran or a project or consortium created exclusively by that government; and the Company has failed to take substantial action.
- (2) The Company has, on or after August 5, 1996, made an investment of \$20 million or more, or any combination of investments of at least \$10 million each that in the aggregate equals or exceeds \$20 million in any 12-month period, which directly or significantly contributes to the enhancement of Iran's ability to develop petroleum resources of Iran.

The terms "Business operations", "Company", "Mineral-extraction activities", "Oil-related activities", "Petroleum resources", and "Substantial action" are all defined in the Act.

Failure to make the disclosure required by the Act shall cause the bid, offer or proposal to be considered not responsive. The disclosure will be considered when evaluating the bid, offer, or proposal or awarding the contract. The name of each Company disclosed as doing business or having done business in Iran will be provided to the State Comptroller.

Check the appropriate statement:

Company has no business operations in Iran to disclose.

Company has business operations in Iran as disclosed the attached document.

### N. Registration with the State Board of Elections.

Public Act 95-0971, amending the Illinois Procurement Code, 30 ILCS 500, adding new sections 20-160 and 50-37, and Executive Order 3 (2008) establish new requirements affecting contributions that contractors, consultants, vendors and bidders, including affiliated persons and entities, may make to state officeholders, declared candidates for state offices and political organizations established to benefit such officeholders and candidates. These provisions do not apply to federal-aid contracts.

By submission of a bid, the bidder acknowledges and agrees that it has read and understands the requirements of PA 95-0971 and Executive Order 3 (2008), including but not limited to, all reporting requirements and all restrictions on soliciting and making contributions to state officeholders, declared candidates for state offices and covered political organizations that promote the candidacy of an officeholder or declared candidate for office. In addition, the bidder makes the following certifications:

- (1) As to Executive Order 3 (2008), the bidder certifies that no contribution will be made that would violate the order, and that the bidder will report all contributions as required by the order.
- (2) As to PA 95-0971, the bidder shall check either of the following certifications that apply:

The bidder is not required to register as a business entity with the State Board of Elections.

The bidder has registered as a business entity with the State Board of Elections, and acknowledges a continuing duty to update the registration as required the Act. **A copy of the time-stamped certificate of registration is enclosed with the bid. The Department will not award this contract without the submission of a certificate of registration.**

In accordance with Article 101.09 of the Standard Specifications for Road and Bridge Construction, this certification shall be part of the contract. Compliance with PA 95-0971 and Executive Order 3 (2008) is a material part of the contract and any breach shall be cause to void the contract under Section 50-60 of the Illinois Procurement Code.

**TO BE RETURNED WITH BID**

**IV. DISCLOSURES**

**A.** The disclosures hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous disclosure, and the surety providing the performance bond shall be responsible for completion of the contract.

**B. Financial Interests and Conflicts of Interest**

1. Section 50-35 of the Illinois Procurement Code provides that all bids of more than \$10,000 shall be accompanied by disclosure of the financial interests of the bidder. This disclosed information for the successful bidder, will be maintained as public information subject to release by request pursuant to the Freedom of Information Act.

The financial interests to be disclosed shall include ownership or distributive income share that is in excess of 5%, or an amount greater than 60% of the annual salary of the Governor, of the bidding entity or its parent entity, whichever is less, unless the contractor or bidder is a publicly traded entity subject to Federal 10K reporting, in which case it may submit its 10K disclosure in place of the prescribed disclosure. If a bidder is a privately held entity that is exempt from Federal 10K reporting, but has more than 400 shareholders, it may submit the information that Federal 10K companies are required to report, and list the names of any person or entity holding any ownership share that is in excess of 5%. The disclosure shall include the names, addresses, and dollar or proportionate share of ownership of each person making the disclosure, their instrument of ownership or beneficial relationship, and notice of any potential conflict of interest resulting from the current ownership or beneficial interest of each person making the disclosure having any of the relationships identified in Section 50-35 and on the disclosure form.

In addition, all disclosures shall indicate any other current or pending contracts, proposals, leases, or other ongoing procurement relationships the bidding entity has with any other unit of state government and shall clearly identify the unit and the contract, proposal, lease, or other relationship.

2. Disclosure Forms. Disclosure Form A is attached for use concerning the individuals meeting the above ownership or distributive share requirements. Subject individuals should be covered each by one form. In addition, a second form (Disclosure Form B) provides for the disclosure of current or pending procurement relationships with other (non-IDOT) state agencies. **The forms must be included with each bid or incorporated by reference.**

**C. Disclosure Form Instructions**

**Form A: For bidders that have previously submitted the information requested in Form A**

The Department has retained the Form A disclosures submitted by all bidders responding to these requirements for the April 24, 1998 or any subsequent letting conducted by the Department. The bidder has the option of submitting the information again or the bidder may check the following certification statement indicating that the information previously submitted by the bidder is, as of the date of submission, current and accurate. Before checking this certification, the bidder should carefully review its prior submissions to ensure the Certification is correct. If the Bidder checks the Certification, the Bidder should proceed to Form B instructions.

**CERTIFICATION STATEMENT**

**I have determined that the Form A disclosure information previously submitted is current and accurate, and all forms are hereby incorporated by reference in this bid. Any necessary additional forms or amendments to previously submitted forms are attached to this bid.**

\_\_\_\_\_  
(Bidding Company)



\_\_\_\_\_  
Signature of Authorized Representative

\_\_\_\_\_  
Date

**Form A: For bidders who have NOT previously submitted the information requested in Form A**

If the bidder is a publicly traded entity subject to Federal 10K reporting, the 10K Report may be submitted to meet the requirements of Form A. If a bidder is a privately held entity that is exempt from Federal 10K reporting, but has more than 400 shareholders, it may submit the information that Federal 10K companies are required to report, and list the names of any person or entity holding any ownership share that is in excess of 5%. If a bidder is not subject to Federal 10K reporting, the bidder must determine if any individuals are required by law to complete a financial disclosure form. To do this, the bidder should answer each of the following questions. A "YES" answer indicates Form A must be completed. If the answer to each of the following questions is "NO", then the NOT APPLICABLE STATEMENT on the second page of Form A must be signed and dated by a person that is authorized to execute contracts for the bidding company. Note: These questions are for assistance only and are not required to be completed.

1. Does anyone in your organization have a direct or beneficial ownership share of greater than 5% of the bidding entity or parent entity? YES \_\_\_ NO \_\_\_
2. Does anyone in your organization have a direct or beneficial ownership share of less than 5%, but which has a value greater than \$102,600.00? YES \_\_\_ NO \_\_\_
3. Does anyone in your organization receive more than \$106,447.20 of the bidding entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.) YES \_\_\_ NO \_\_\_
4. Does anyone in your organization receive greater than 5% of the bidding entity's or parent entity's total distributive income, but which is less than \$106,447.20? YES \_\_\_ NO \_\_\_  
(Note: Only one set of forms needs to be completed per person per bid even if a specific individual would require a yes answer to more than one question.)

A "YES" answer to any of these questions requires the completion of Form A. The bidder must determine each individual in the bidding entity or the bidding entity's parent company that would cause the questions to be answered "Yes". Each form must be signed and dated by a person that is authorized to execute contracts for your organization. **Photocopied or stamped signatures are not acceptable.** The person signing can be, but does not have to be, the person for which the form is being completed. The bidder is responsible for the accuracy of any information provided.

If the answer to each of the above questions is "NO", then the NOT APPLICABLE STATEMENT on page 2 of Form A must be signed and dated by a person that is authorized to execute contracts for your company.

**Form B: Identifying Other Contracts & Procurement Related Information** Disclosure Form B must be completed for each bid submitted by the bidding entity. *Note: Checking the NOT APPLICABLE STATEMENT on Form A does not allow the bidder to ignore Form B. Form B must be completed, checked, and dated or the bidder may be considered nonresponsive and the bid will not be accepted.*

The Bidder shall identify, by checking Yes or No on Form B, whether it has any pending contracts (including leases), bids, proposals, or other ongoing procurement relationship with any other (non-IDOT) State of Illinois agency. If "No" is checked, the bidder only needs to complete the check box on the bottom of Form B. If "Yes" is checked, the bidder must do one of the following:

Option I: If the bidder did not submit an Affidavit of Availability to obtain authorization to bid, the bidder must list all non-IDOT State of Illinois agency pending contracts, leases, bids, proposals, and other ongoing procurement relationships. These items may be listed on Form B or on an attached sheet(s). Do not include IDOT contracts. Contracts with cities, counties, villages, etc. are not considered State of Illinois agency contracts and are not to be included. Contracts with other State of Illinois agencies such as the Department of Natural Resources or the Capital Development Board must be included. Bidders who submit Affidavits of Availability are suggested to use Option II.

Option II: If the bidder is required and has submitted an Affidavit of Availability in order to obtain authorization to bid, the bidder may write or type "See Affidavit of Availability" which indicates that the Affidavit of Availability is incorporated by reference and includes all non-IDOT State of Illinois agency pending contracts, leases, bids, proposals, and other ongoing procurement relationships. For any contracts that are not covered by the Affidavit of Availability, the bidder must identify them on Form B or on an attached sheet(s). These might be such things as leases.

**D. Bidders Submitting More Than One Bid**

Bidders submitting multiple bids may submit one set of forms consisting of all required Form A disclosures and one Form B for use with all bids. Please indicate in the space provided below the bid item that contains the original disclosure forms and the bid items which incorporate the forms by reference.

- The bid submitted for letting item \_\_\_\_\_ contains the Form A disclosures or Certification Statement and the Form B disclosures. The following letting items incorporate the said forms by reference:

---



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RETURN WITH BID/OFFER

**ILLINOIS DEPARTMENT  
OF TRANSPORTATION**

**Form A  
Financial Information &  
Potential Conflicts of Interest  
Disclosure**

Contractor Name		
Legal Address		
City, State, Zip		
Telephone Number	Email Address	Fax Number (if available)

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Code (30 ILCS 500). Vendors desiring to enter into a contract with the State of Illinois must disclose the financial information and potential conflict of interest information as specified in this Disclosure Form. This information shall become part of the publicly available contract file. This Form A must be completed for bids in excess of \$10,000, and for all open-ended contracts. **A publicly traded company may submit a 10K disclosure (or equivalent if applicable) in satisfaction of the requirements set forth in Form A. See Disclosure Form Instructions.**

**DISCLOSURE OF FINANCIAL INFORMATION**

**1. Disclosure of Financial Information.** The individual named below has an interest in the BIDDER (or its parent) in terms of ownership or distributive income share in excess of 5%, or an interest which has a value of more than \$106,447.20 (60% of the Governor's salary as of 3/1/09). **(Make copies of this form as necessary and attach a separate Disclosure Form A for each individual meeting these requirements)**

**FOR INDIVIDUAL (type or print information)**

**NAME:** \_\_\_\_\_

**ADDRESS** \_\_\_\_\_

**Type of ownership/distributable income share:**

stock \_\_\_\_\_ sole proprietorship \_\_\_\_\_ Partnership \_\_\_\_\_ other: (explain on separate sheet):  
% or \$ value of ownership/distributable income share: \_\_\_\_\_

**2. Disclosure of Potential Conflicts of Interest.** Check "Yes" or "No" to indicate which, if any, of the following potential conflict of interest relationships apply. If the answer to any question is "Yes", please attach additional pages and describe.

(a) State employment, currently or in the previous 3 years, including contractual employment of services.  
Yes \_\_\_ No \_\_\_

If your answer is yes, please answer each of the following questions.

1. Are you currently an officer or employee of either the Capitol Development Board or the Illinois Toll Highway Authority? Yes \_\_\_ No \_\_\_

2. Are you currently appointed to or employed by any agency of the State of Illinois? If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds \$106,447.20, (60% of the Governor's salary as of 3/1/09) provide the name the State agency for which you are employed and your annual salary. \_\_\_\_\_

**RETURN WITH BID/OFFER**

- 3. If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds \$106,447.20, (60% of the Governor's salary as of 3/1/09) are you entitled to receive (i) more than 7 1/2% of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of the salary of the Governor? Yes \_\_\_ No \_\_\_
  
- 4. If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds \$106,447.20, (60% of the Governor's salary as of 3/1/09) are you and your spouse or minor children entitled to receive (i) more than 15% in aggregate of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of 2 times the salary of the Governor? Yes \_\_\_ No \_\_\_

---

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes \_\_\_ No \_\_\_

If your answer is yes, please answer each of the following questions.

- 1. Is your spouse or any minor children currently an officer or employee of the Capitol Development Board or the Illinois Toll Highway Authority? Yes \_\_\_ No \_\_\_
  
- 2. Is your spouse or any minor children currently appointed to or employed by any agency of the State of Illinois? If your spouse or minor children is/are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds \$106,447.20, (60% of the Governor's salary as of 3/1/09) provide the name of the spouse and/or minor children, the name of the State agency for which he/she is employed and his/her annual salary. \_\_\_\_\_
  
- 3. If your spouse or any minor children is/are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds \$106,447.20.00, (60% of the salary of the Governor as of 3/1/09) are you entitled to receive (i) more than 7 1/2% of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of the salary of the Governor? Yes \_\_\_ No \_\_\_
  
- 4. If your spouse or any minor children are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds \$106,447.20, (60% of the Governor's salary as of 3/1/09) are you and your spouse or any minor children entitled to receive (i) more than 15% in the aggregate of the total distributable income from your firm, partnership, association or corporation, or (ii) an amount in excess of 2 times the salary of the Governor? Yes \_\_\_ No \_\_\_

---

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes \_\_\_ No \_\_\_

---

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes \_\_\_ No \_\_\_

---

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United State of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of the expenses incurred in the discharge of that office currently or in the previous 3 years. Yes \_\_\_ No \_\_\_

---

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes \_\_\_ No \_\_\_

---

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes \_\_\_ No \_\_\_

---

**RETURN WITH BID/OFFER**

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes \_\_\_ No \_\_\_

(i) Compensated employment, currently or in the previous 3 years, by any registered election or reelection committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes \_\_\_ No \_\_\_

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who was a compensated employee in the last 2 years by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes \_\_\_ No \_\_\_

**APPLICABLE STATEMENT**

**This Disclosure Form A is submitted on behalf of the INDIVIDUAL named on previous page.**

Completed by:  \_\_\_\_\_ Date \_\_\_\_\_  
Signature of Individual or Authorized Representative

**NOT APPLICABLE STATEMENT**

**I have determined that no individuals associated with this organization meet the criteria that would require the completion of this Form A.**

**This Disclosure Form A is submitted on behalf of the CONTRACTOR listed on the previous page.**

\_\_\_\_\_ Date \_\_\_\_\_  
Signature of Authorized Representative

RETURN WITH BID/OFFER

**ILLINOIS DEPARTMENT  
OF TRANSPORTATION**

**Form B  
Other Contracts &  
Procurement Related Information  
Disclosure**

Contractor Name		
Legal Address		
City, State, Zip		
Telephone Number	Email Address	Fax Number (if available)

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Act (30 ILCS 500). This information shall become part of the publicly available contract file. This Form B must be completed for bids in excess of \$10,000, and for all open-ended contracts.

**DISCLOSURE OF OTHER CONTRACTS AND PROCUREMENT RELATED INFORMATION**

**1. Identifying Other Contracts & Procurement Related Information.** The BIDDER shall identify whether it has any pending contracts (including leases), bids, proposals, or other ongoing procurement relationship with any other State of Illinois agency: Yes \_\_\_ No \_\_\_

If **“No” is checked**, the bidder only needs to complete the signature box on the bottom of this page.

**2. If “Yes” is checked.** Identify each such relationship by showing State of Illinois agency name and other descriptive information such as bid or project number (attach additional pages as necessary). SEE DISCLOSURE FORM INSTRUCTIONS:

**THE FOLLOWING STATEMENT MUST BE CHECKED**

<input type="checkbox"/>	_____	_____
	Signature of Authorized Representative	Date

## **RETURN WITH BID**

### **SPECIAL NOTICE TO CONTRACTORS**

The following requirements of the Illinois Department of Human Rights' Rules and Regulations are applicable to bidders on all construction contracts advertised by the Illinois Department of Transportation:

#### **CONSTRUCTION EMPLOYEE UTILIZATION PROJECTION**

- (a) All bidders on construction contracts shall complete and submit, along with and as part of their bids, a Bidder's Employee Utilization Form (Form BC-1256) setting forth a projection and breakdown of the total workforce intended to be hired and/or allocated to such contract work by the bidder including a projection of minority and female employee utilization in all job classifications on the contract project.
- (b) The Department of Transportation shall review the Employee Utilization Form, and workforce projections contained therein, of the contract awardee to determine if such projections reflect an underutilization of minority persons and/or women in any job classification in accordance with the Equal Employment Opportunity Clause and Section 7.2 of the Illinois Department of Human Rights' Rules and Regulations for Public Contracts adopted as amended on September 17, 1980. If it is determined that the contract awardee's projections reflect an underutilization of minority persons and/or women in any job classification, it shall be advised in writing of the manner in which it is underutilizing and such awardee shall be considered to be in breach of the contract unless, prior to commencement of work on the contract project, it submits revised satisfactory projections or an acceptable written affirmative action plan to correct such underutilization including a specific timetable geared to the completion stages of the contract.
- (c) The Department of Transportation shall provide to the Department of Human Rights a copy of the contract awardee's Employee Utilization Form, a copy of any required written affirmative action plan, and any written correspondence related thereto. The Department of Human Rights may review and revise any action taken by the Department of Transportation with respect to these requirements.





**RETURN WITH BID**

**Contract No. 62532  
COOK County  
Section 2021.1B-R  
Project ESP-057-7(282)358  
Route FAI 57  
District 1 Construction Funds**

**PART II. WORKFORCE PROJECTION - continued**

- B. Included in "Total Employees" under Table A is the total number of **new hires** that would be employed in the event the undersigned bidder is awarded this contract.

The undersigned bidder projects that: (number) \_\_\_\_\_ new hires would be recruited from the area in which the contract project is located; and/or (number) \_\_\_\_\_ new hires would be recruited from the area in which the bidder's principal office or base of operation is located.

- C. Included in "Total Employees" under Table A is a projection of numbers of persons to be employed directly by the undersigned bidder as well as a projection of numbers of persons to be employed by subcontractors.

The undersigned bidder estimates that (number) \_\_\_\_\_ persons will be directly employed by the prime contractor and that (number) \_\_\_\_\_ persons will be employed by subcontractors.

**PART III. AFFIRMATIVE ACTION PLAN**

- A. The undersigned bidder understands and agrees that in the event the foregoing minority and female employee utilization projection included under **PART II** is determined to be an underutilization of minority persons or women in any job category, and in the event that the undersigned bidder is awarded this contract, he/she will, prior to commencement of work, develop and submit a written Affirmative Action Plan including a specific timetable (geared to the completion stages of the contract) whereby deficiencies in minority and/or female employee utilization are corrected. Such Affirmative Action Plan will be subject to approval by the contracting agency and the **Department of Human Rights**.
- B. The undersigned bidder understands and agrees that the minority and female employee utilization projection submitted herein, and the goals and timetable included under an Affirmative Action Plan if required, are deemed to be part of the contract specifications.

Company \_\_\_\_\_ Telephone Number \_\_\_\_\_

Address \_\_\_\_\_

**NOTICE REGARDING SIGNATURE**

The Bidder's signature on the Proposal Signature Sheet will constitute the signing of this form. The following signature block needs to be completed only if revisions are required.

Signature:  \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_

- Instructions: All tables must include subcontractor personnel in addition to prime contractor personnel.
- Table A - Include both the number of employees that would be hired to perform the contract work and the total number currently employed (Table B) that will be allocated to contract work, and include all apprentices and on-the-job trainees. The "Total Employees" column should include all employees including all minorities, apprentices and on-the-job trainees to be employed on the contract work.
- Table B - Include all employees currently employed that will be allocated to the contract work including any apprentices and on-the-job trainees currently employed.
- Table C - Indicate the racial breakdown of the total apprentices and on-the-job trainees shown in Table A.

## RETURN WITH BID

### ADDITIONAL FEDERAL REQUIREMENTS

In addition to the Required Contract Provisions for Federal-Aid Construction Contracts (FHWA 1273), all bidders make the following certifications.

- A. By the execution of this proposal, the signing bidder certifies that the bidding entity has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action, in restraint of free competitive bidding in connection with the submitted bid. This statement made by the undersigned bidder is true and correct under penalty of perjury under the laws of the United States.
- B. CERTIFICATION, EQUAL EMPLOYMENT OPPORTUNITY:
1. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause. YES \_\_\_\_\_ NO \_\_\_\_\_
  2. If answer to #1 is yes, have you filed with the Joint Reporting Committee, the Director of OFCC, any Federal agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements of those organizations?  
YES \_\_\_\_\_ NO \_\_\_\_\_

**RETURN WITH BID**

**Contract No. 62532  
COOK County  
Section 2021.1B-R  
Project ESP-057-7(282)358  
Route FAI 57  
District 1 Construction Funds**

PROPOSAL SIGNATURE SHEET

The undersigned bidder hereby makes and submits this bid on the subject Proposal, thereby assuring the Department that all requirements of the Invitation for Bids and rules of the Department have been met, that there is no misunderstanding of the requirements of paragraph 3 of this Proposal, and that the contract will be executed in accordance with the rules of the Department if an award is made on this bid.

(IF AN INDIVIDUAL)

Firm Name \_\_\_\_\_  
Signature of Owner \_\_\_\_\_  
Business Address \_\_\_\_\_  
\_\_\_\_\_

---

(IF A CO-PARTNERSHIP)

Firm Name \_\_\_\_\_  
By \_\_\_\_\_  
Business Address \_\_\_\_\_  
Name and Address of All Members of the Firm: \_\_\_\_\_  
\_\_\_\_\_

---

(IF A CORPORATION)

Corporate Name \_\_\_\_\_  
By \_\_\_\_\_  
Signature of Authorized Representative \_\_\_\_\_  
Typed or printed name and title of Authorized Representative \_\_\_\_\_

(IF A JOINT VENTURE, USE THIS SECTION FOR THE MANAGING PARTY AND THE SECOND PARTY SHOULD SIGN BELOW)

Attest \_\_\_\_\_  
Signature \_\_\_\_\_  
Business Address \_\_\_\_\_

---

(IF A JOINT VENTURE)

Corporate Name \_\_\_\_\_  
By \_\_\_\_\_  
Signature of Authorized Representative \_\_\_\_\_  
Typed or printed name and title of Authorized Representative \_\_\_\_\_

Attest \_\_\_\_\_  
Signature \_\_\_\_\_  
Business Address \_\_\_\_\_

If more than two parties are in the joint venture, please attach an additional signature sheet.



Return with Bid

Division of Highways
Proposal Bid Bond
(Effective November 1, 1992)

Item No. \_\_\_\_\_

Letting Date \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS, That We \_\_\_\_\_

as PRINCIPAL, and \_\_\_\_\_

\_\_\_\_\_ as SURETY, are held jointly, severally and firmly bound unto the STATE OF ILLINOIS in the penal sum of 5 percent of the total bid price, or for the amount specified in Article 102.09 of the "Standard Specifications for Road and Bridge Construction" in effect on the date of invitation for bids, whichever is the lesser sum, well and truly to be paid unto said STATE OF ILLINOIS, for the payment of which we bind ourselves, our heirs, executors, administrators, successors and assigns.

THE CONDITION OF THE FOREGOING OBLIGATION IS SUCH, that whereas, the PRINCIPAL has submitted a bid proposal to the STATE OF ILLINOIS, acting through the Department of Transportation, for the improvement designated by the Transportation Bulletin Item Number and Letting Date indicated above.

NOW, THEREFORE, if the Department shall accept the bid proposal of the PRINCIPAL; and if the PRINCIPAL shall, within the time and as specified in the bidding and contract documents, submit a DBE Utilization Plan that is accepted and approved by the Department; and if, after award by the Department, the PRINCIPAL shall enter into a contract in accordance with the terms of the bidding and contract documents including evidence of the required insurance coverages and providing such bond as specified with good and sufficient surety for the faithful performance of such contract and for the prompt payment of labor and material furnished in the prosecution thereof; or if, in the event of the failure of the PRINCIPAL to make the required DBE submission or to enter into such contract and to give the specified bond, the PRINCIPAL pays to the Department the difference not to exceed the penalty hereof between the amount specified in the bid proposal and such larger amount for which the Department may contract with another party to perform the work covered by said bid proposal, then this obligation shall be null and void, otherwise, it shall remain in full force and effect.

IN THE EVENT the Department determines the PRINCIPAL has failed to comply with any requirement as set forth in the preceding paragraph, then Surety shall pay the penal sum to the Department within fifteen (15) days of written demand therefor. If Surety does not make full payment within such period of time, the Department may bring an action to collect the amount owed. Surety is liable to the Department for all its expenses, including attorney's fees, incurred in any litigation in which it prevails either in whole or in part.

In TESTIMONY WHEREOF, the said PRINCIPAL and the said SURETY have caused this instrument to be signed by

their respective officers this \_\_\_\_\_ day of \_\_\_\_\_ A.D., \_\_\_\_\_ .

PRINCIPAL

\_\_\_\_\_  
(Company Name) (Company Name)

By \_\_\_\_\_ By: \_\_\_\_\_  
(Signature & Title) (Signature of Attorney-in-Fact)

Notary Certification for Principal and Surety

STATE OF ILLINOIS,

County of \_\_\_\_\_

I, \_\_\_\_\_, a Notary Public in and for said County, do hereby certify that

\_\_\_\_\_ and \_\_\_\_\_  
(Insert names of individuals signing on behalf of PRINCIPAL & SURETY)

who are each personally known to me to be the same persons whose names are subscribed to the foregoing instrument on behalf of PRINCIPAL and SURETY, appeared before me this day in person and acknowledged respectively, that they signed and delivered said instrument as their free and voluntary act for the uses and purposes therein set forth.

Given under my hand and notarial seal this \_\_\_\_\_ day of \_\_\_\_\_ A.D. \_\_\_\_\_

My commission expires \_\_\_\_\_

Notary Public

In lieu of completing the above section of the Proposal Bid Form, the Principal may file an Electronic Bid Bond. By signing the proposal and marking the check box next to the Signature and Title line below, the Principal is ensuring the identified electronic bid bond has been executed and the Principal and Surety are firmly bound unto the State of Illinois under the conditions of the bid bond as shown above.

Electronic Bid Bond ID# \_\_\_\_\_ Company / Bidder Name \_\_\_\_\_ Signature and Title \_\_\_\_\_



# PROPOSAL ENVELOPE



## PROPOSALS

for construction work advertised for bids by the  
Illinois Department of Transportation

Item No.	Item No.	Item No.

Submitted By:

Name:
Address:
Phone No.

Bidders should use an IDOT proposal envelope or affix this form to the front of a 10" x 13" envelope for the submittal of bids. If proposals are mailed, they should be enclosed in a second or outer envelope addressed to:

Engineer of Design and Environment - Room 326  
Illinois Department of Transportation  
2300 South Dirksen Parkway  
Springfield, Illinois 62764

### **NOTICE**

**Individual bids, including Bid Bond and/or supplemental information if required, should be securely stapled.**

# CONTRACTOR OFFICE COPY OF CONTRACT SPECIFICATIONS

## NOTICE

None of the following material needs to be returned with the bid package unless the special provisions require documentation and/or other information to be submitted.

**Contract No. 62532  
COOK County  
Section 2021.1B-R  
Project ESP-057-7(282)358  
Route FAI 57  
District 1 Construction Funds**



**Illinois Department of Transportation**



## NOTICE TO BIDDERS

- 1. TIME AND PLACE OF OPENING BIDS.** Sealed proposals for the improvement described herein will be received by the Department of Transportation at the Harry R. Hanley Building, 2300 South Dirksen Parkway, in Springfield, Illinois until 10:00 o'clock a.m., April 3, 2009. All bids will be gathered, sorted, publicly opened and read in the auditorium at the Department of Transportation's Harry R. Hanley Building shortly after the 10:00 a.m. cut off time.
- 2. DESCRIPTION OF WORK.** The proposed improvement is identified and advertised for bids in the Invitation for Bids as:

**Contract No. 62532  
COOK County  
Section 2021.1B-R  
Project ESP-057-7(282)358  
Route FAI 57  
District 1 Construction Funds**

**Bridge deck replacement for the structure carrying 111th Street over northbound and southbound I-57 and traffic signal modernization, resurfacing and lighting at two intersections, located in Chicago (SN 016-0989).**

- 3. INSTRUCTIONS TO BIDDERS.** (a) This Notice, the invitation for bids, proposal and letter of award shall, together with all other documents in accordance with Article 101.09 of the Standard Specifications for Road and Bridge Construction, become part of the contract. Bidders are cautioned to read and examine carefully all documents, to make all required inspections, and to inquire or seek explanation of the same prior to submission of a bid.  
  
(b) State law, and, if the work is to be paid wholly or in part with Federal-aid funds, Federal law requires the bidder to make various certifications as a part of the proposal and contract. By execution and submission of the proposal, the bidder makes the certification contained therein. A false or fraudulent certification shall, in addition to all other remedies provided by law, be a breach of contract and may result in termination of the contract.
- 4. AWARD CRITERIA AND REJECTION OF BIDS.** This contract will be awarded to the lowest responsive and responsible bidder considering conformity with the terms and conditions established by the Department in the rules, Invitation for Bids and contract documents. The issuance of plans and proposal forms for bidding based upon a prequalification rating shall not be the sole determinant of responsibility. The Department reserves the right to determine responsibility at the time of award, to reject any or all proposals, to readvertise the proposed improvement, and to waive technicalities.

By Order of the  
Illinois Department of Transportation

Gary Hannig,  
Acting Secretary



INDEX  
 FOR  
 SUPPLEMENTAL SPECIFICATIONS  
 AND RECURRING SPECIAL PROVISIONS

Adopted January 1, 2009

This index contains a listing of SUPPLEMENTAL SPECIFICATIONS and frequently used RECURRING SPECIAL PROVISIONS.

ERRATA Standard Specifications for Road and Bridge Construction (Adopted 1-1-07) (Revised 1-1-09)

SUPPLEMENTAL SPECIFICATIONS

<u>Std. Spec. Sec.</u>	<u>Page No.</u>
201 Clearing, Tree Removal and Protection .....	1
205 Embankment .....	2
251 Mulch .....	3
253 Planting Woody Plants .....	4
280 Temporary Erosion Control .....	6
443 Reflective Crack Control Treatment .....	7
502 Excavation for Structures .....	10
503 Concrete Structures .....	11
504 Precast Concrete Structures .....	12
505 Steel Structures .....	13
540 Box Culverts .....	14
581 Waterproofing Membrane System .....	15
633 Removing and Reerecting Guardrail and Terminals .....	16
669 Removal and Disposal of Regulated Substances .....	17
672 Sealing Abandoned Water Wells .....	18
701 Work Zone Traffic Control and Protection .....	19
733 Overhead Sign Structures .....	20
783 Pavement Marking and Marker Removal .....	21
801 Electrical Requirements .....	22
805 Electrical Service Installation – Traffic Signals .....	23
836 Pole Foundation .....	24
838 Breakaway Devices .....	25
862 Uninterruptable Power Supply .....	26
873 Electric Cable .....	28
878 Traffic Signal Concrete Foundation .....	30
1004 Coarse Aggregates .....	31
1008 Structural Steel Coatings .....	32
1010 Finely Divided Materials .....	33
1020 Portland Cement Concrete .....	34
1022 Concrete Curing Materials .....	43
1024 Nonshrink Grout .....	44
1042 Precast Concrete Products .....	45
1062 Reflective Crack Control System .....	47
1069 Pole and Tower .....	49

1074	Control Equipment .....	52
1076	Wire and Cable .....	57
1081	Materials for Planting .....	58
1083	Elastomeric Bearings .....	60
1094	Overhead Sign Structures .....	61
1101	General Equipment .....	62
1102	Hot-Mix Asphalt Equipment .....	63
1106	Work Zone Traffic Control Devices .....	64

RECURRING SPECIAL PROVISIONS

The following RECURRING SPECIAL PROVISIONS indicated by an "X" are applicable to this contract and are included by reference:

<u>CHECK SHEET #</u>	<u>PAGE NO.</u>
1 x Additional State Requirements For Federal-Aid Construction Contracts (Eff. 2-1-69) (Rev. 1-1-07) .....	65
2 x Subletting of Contracts (Federal-Aid Contracts) (Eff. 1-1-88) (Rev. 5-1-93) .....	67
3 x EEO (Eff. 7-21-78) (Rev. 11-18-80) .....	68
4 Specific Equal Employment Opportunity Responsibilities Non Federal-Aid Contracts (Eff. 3-20-69) (Rev. 1-1-94) .....	78
5 Required Provisions - State Contracts (Eff. 4-1-65) (Rev. 1-1-07) .....	83
6 Reserved .....	88
7 Reserved .....	89
8 Haul Road Stream Crossings, Other Temporary Stream Crossings, and In-Stream Work Pads (Eff. 1-2-92) (Rev. 1-1-98) .....	90
9 Construction Layout Stakes Except for Bridges (Eff. 1-1-99) (Rev. 1-1-07) .....	91
10 x Construction Layout Stakes (Eff. 5-1-93) (Rev. 1-1-07) .....	94
11 Use of Geotextile Fabric for Railroad Crossing (Eff. 1-1-95) (Rev. 1-1-07) .....	97
12 Subsealing of Concrete Pavements (Eff. 11-1-84) (Rev. 1-1-07) .....	99
13 Hot-Mix Asphalt Surface Correction (Eff. 11-1-87) (Rev. 1-1-09) .....	103
14 Pavement and Shoulder Resurfacing (Eff. 2-1-00) (Rev. 1-1-09) .....	105
15 PCC Partial Depth Hot-Mix Asphalt Patching (Eff. 1-1-98) (Rev. 1-1-07) .....	106
16 Patching with Hot-Mix Asphalt Overlay Removal (Eff. 10-1-95) (Rev. 1-1-07) .....	108
17 Polymer Concrete (Eff. 8-1-95) (Rev. 1-1-08) .....	109
18 PVC Pipeliner (Eff. 4-1-04) (Rev. 1-1-07) .....	111
19 Pipe Underdrains (Eff. 9-9-87) (Rev. 1-1-07) .....	112
20 x Guardrail and Barrier Wall Delineation (Eff. 12-15-93) (Rev. 1-1-97) .....	113
21 Bicycle Racks (Eff. 4-1-94) (Rev. 1-1-07) .....	117
22 Temporary Modular Glare Screen System (Eff. 1-1-00) (Rev. 1-1-07) .....	119
23 Temporary Portable Bridge Traffic Signals (Eff. 8-1-03) (Rev. 1-1-07) .....	121
24 x Work Zone Public Information Signs (Eff. 9-1-02) (Rev. 1-1-07) .....	123
25 x Night Time Inspection of Roadway Lighting (Eff. 5-1-96) .....	124
26 English Substitution of Metric Bolts (Eff. 7-1-96) .....	125
27 English Substitution of Metric Reinforcement Bars (Eff. 4-1-96) (Rev. 1-1-03) .....	126
28 Calcium Chloride Accelerator for Portland Cement Concrete (Eff. 1-1-01) .....	127
29 Reserved .....	128
30 Quality Control of Concrete Mixtures at the Plant (Eff. 8-1-00) (Rev. 1-1-09) .....	129
31 x Quality Control/Quality Assurance of Concrete Mixtures (Eff. 4-1-92) (Rev. 1-1-09) .....	137
32 Asbestos Bearing Pad Removal (Eff. 11-1-03) .....	149
33 Asbestos Hot-Mix Asphalt Surface Removal (Eff. 6-1-89) (Rev. 1-1-09) .....	150

## TABLE OF CONTENTS

LOCATION OF PROJECT .....	1
DESCRIPTION OF PROJECT .....	1
START OF WORK .....	1
COMPLETION DATE PLUS WORKING DAYS.....	2
WORK RESTRICTIONS .....	2
RAILROAD PROTECTIVE LIABILITY INSURANCE (BDE).....	3
MAINTENANCE OF ROADWAYS .....	4
STATUS OF UTILITIES TO BE ADJUSTED .....	4
BRIDGE APPROACH PAVEMENT (SPECIAL).....	5
APPROACH SLAB REMOVAL .....	5
HOT-MIX ASPHALT SURFACE REMOVAL.....	6
SELECTIVE CLEARING .....	6
CLEANING EXISTING DRAINAGE STRUCTURES .....	7
REMOVAL OF EXISTING CONCRETE DECK .....	7
DRAINAGE SYSTEM.....	8
REMOVE, STORE AND RE-ERECT SIGN PANEL .....	10
TEMPORARY SHORING AND CRIBBING .....	11
TRAFFIC CONTROL FOR WORK ZONE AREAS .....	11
TRAFFIC CONTROL AND PROTECTION FOR TEMPORARY DETOUR .....	12
KEEPING THE EXPRESSWAY OPEN TO TRAFFIC .....	12
FAILURE TO OPEN TRAFFIC LANES TO TRAFFIC .....	14
TRAFFIC STAGING.....	14
TRAFFIC CONTROL PLAN .....	15
WORK ZONE TRAFFIC CONTROL (LUMP SUM PAYMENT) .....	16
TRAFFIC CONTROL AND PROTECTION (EXPRESSWAYS).....	17
TEMPORARY INFORMATION SIGNING .....	20
IDOT LIGHTING (UNDERPASS).....	21
GENERAL ELECTRICAL REQUIREMENTS.....	21
WIRE AND CABLE .....	23
UNDERPASS LUMINAIRE, HPS, STAINLESS STEEL HOUSING .....	25
MAINTENANCE OF LIGHTING SYSTEMS.....	47
LUMINAIRE.....	50
PROTECTION AND MAINTENANCE OF EXISTING UNDERPASS LIGHTING .....	58
EXPOSED RACEWAYS .....	60
CDOT LIGHTING (OVERPASS).....	64

MAINTENANCE OF STREET LIGHTING SYSTEM (CITY OF CHICAGO) ..... 64

ITEM 108, TRENCH AND BACKFILL WITH SCREENINGS..... 64

ITEM 120, DRILL EXISTING MANHOLE OR HANDHOLE..... 65

ITEM 136, PVC CONDUIT IN TRENCH 2" (SCHEDULE #80)..... 66

PVC CONDUIT EMBEDDED IN STRUCTURE, 2" (SCHEDULE #80)..... 66

ITEM 203, POLE, STEEL, ANCHOR BASE, 8-1/2" DIAMETER, 7 GAUGE, 32' - 6"..... 68

ITEM 204, POLE, STEEL, ANCHOR BASE, 8-1/2" DIAMETER, 3 GAUGE, 32' - 6"..... 68

ITEM 221, MAST ARM, STEEL, 12 FOOT ..... 68

ITEM 229, LUMINAIRE, STREET LIGHT, HPS, 400 WATT, 240 VOLT, ARTERIAL CUT-OFF ..... 69

ITEM 240, SERVICE INSTALLATION 100 AMP ..... 71

ITEM 249, ELECTRIC CABLE IN CONDUIT, TRIPLEX NO. 6, 2-1C AND NO.8, 1-1C ..... 72

ITEM 267, SERVICE CONNECTION TO CECO LINE..... 73

ITEM 268, SERVICE CABLE, 3/C #2 ..... 73

ITEM 354, REMOVE EXISTING STREET LIGHTING EQUIPMENT ..... 74

ITEM 706 POLE, ALUMINUM, ARTERIAL, DAVIT, 15"BOLT CIRCLE ..... 74

ITEM 706B, MAST ARM, DAVIT, ALUMINUM, ARTERIAL, 12 FOOT ..... 75

TEMPORARY LIGHTING SYSTEM ..... 76

BREAK DOWN STREET LIGHT FOUNDATION..... 77

PICKUP, TRANSPORTION AND INSTALLATION OF LIGHT POLES, MAST ARMS AND LUMINAIRES  
 ..... 77

ITEM 135, GALVANIZED STEEL CONDUIT PUSHED 3" ..... 78

ITEM 137, PVC CONDUIT IN TRENCH 3" (SCHEDULE #80)..... 78

ITEM 138, PVC CONDUIT IN TRENCH 4" (SCHEDULE #80)..... 78

ITEM 144, ROD AND CLEAN DUCT IN EXISTING CONDUIT SYSTEM..... 80

ITEM 145, CONCRETE FOUNDATION FOR TYPE "P" BASE MOUNTED TRAFFIC SIGNAL  
 CONTROLLER..... 81

ITEM 151, CONCRETE FOUNDATION, 24" DIAMETER, 1 1/4" ANCHOR RODS, 15" BOLT CIRCLE, 9  
 FEET ..... 82

ITEM 153, CONCRETE FOUNDATION, 30" DIAMETER, 1 1/2" ANCHOR RODS, 16 1/2" BOLT CIRCLE  
 ..... 82

ITEM 196A, COILABLE CONDUIT, HDPE #80, DIRECTIONAL BORING, 3" ..... 83

ITEM 206, POLE STEEL, ANCHOR BASE, 10" DIAMETER, 3 GAUGE, 34'-6" ..... 85

ITEM 208, POLE STEEL, ANCHOR BASE, 12.5" DIAMETER, 3 GAUGE, 34'-6"..... 85

ITEM 303, SIGNAL HEAD, POLYCARBONATE, LED, 3-SECTION, BRACKET MOUNTED ..... 86

ITEM 304, SIGNAL HEAD, POLYCARBONATE, LED, 4-SECTION, BRACKET MOUNTED ..... 86

ITEM 309, SIGNAL HEAD, POLYCARBONATE, LED, 3-SECTION, MAST ARM MOUNTED ..... 87

ITEM 310, SIGNAL HEAD, POLYCARBONATE, LED, 4-SECTION, MAST ARM MOUNTED ..... 87

ITEM 315, PEDESTRIAN SIGNAL HEAD, POLYCARBONATE, LED, BRACKET MOUNTED ..... 89

ITEM 316, JUNCTION BOX, POLE OR POST MOUNTED ..... 90

ITEM 326, MAST ARM, STEEL, MONOTUBE, 20 FOOT ..... 91

ITEM 327, MAST ARM, STEEL, MONOTUBE, 26 FOOT ..... 91

ITEM 329, MAST ARM, STEEL, MONOTUBE, 35 FOOT ..... 91

ITEM 347, ELECTRIC CABLE IN CONDUIT NO. 4, 2/C..... 92

ITEM 348, ELECTRIC CABLE IN CONDUIT NO. 14, 7/C..... 92

ITEM 350, ELECTRIC CABLE IN CONDUIT NO. 14 19/C..... 92

ITEM 353, REMOVE EXISTING TRAFFIC SIGNAL EQUIPMENT..... 94

ITEM 371A, SIGN, SYMBOLIC NLT, LED ILLUMINATED, BRACKET MOUNTED ..... 94

ITEM 372A, SIGN, SYMBOLIC NLT, LED ILLUMINATED, MAST ARM MOUNTED ..... 94

ITEM 371B, SIGN, SYMBOLIC NRT, LED ILLUMINATED, BRACKET MOUNTED..... 94

ITEM 372B, SIGN, SYMBOLIC NRT, LED ILLUMINATED, MAST ARM MOUNTED..... 94

ITEM 3771, CONTROLLER, TRAFFIC, MASTER, 12 LOAD BAY, P CABINET ..... 96

ITEM 389, STREET NAME SIGNS..... 97

MATERIAL SPECIFICATIONS ..... 97

SPECIFICATION 1382..... 100

II/TYPE III DISTRIBUTION ..... 100

SPECIFICATION 1428..... 105

THERMAL MAGNETIC CIRCUIT BREAKER..... 105

SPECIFICATION 1441..... 108

CABLE: SINGLE CONDUCTOR AERIAL, #6 AWG ..... 108

WEATHERPROOFED WITH POLYETHYLENE JACKET ..... 108

SPECIFICATION 1443..... 110

SECONDARY RACK, 2 OR 3 WIRE, WITH INSULATORS ..... 110

SPECIFICATION 1447..... 112

POLE: ANCHOR BASE, 3 AND 7 GAUGE,..... 112

TAPERED TUBULAR STEEL, WITH HANDHOLE ENTRY ..... 112

MAST ARMS: ALUMINUM, TRUSS TYPE AND DAVIT TYPE..... 121

INSPECTION ..... 124

SPECIFICATION 1454..... 126

MAST ARM: TRAFFIC SIGNAL MONO-TUBE..... 126

SPECIFICATION 1457..... 131

CABLE: SERVICE ENTRANCE,..... 131

THREE INSULATED CONDUCTORS IN ONE OVERALL JACKET, 600 VOLT ..... 131

SPECIFICATION 1462..... 135

RIGID STEEL CONDUIT ..... 135

(HOT DIPPED GALVANIZED)..... 135

SPECIFICATION 1463..... 141

TRAFFIC SIGNAL MOUNTING BRACKETS FOR MONOTUBE ARMS .....	141
SPECIFICATION 1465.....	143
GROUND RODS.....	144
SPECIFICATION 1467.....	145
ROD: ANCHOR, STEEL, WITH HARDWARE.....	145
SPECIFICATION 1471.....	147
CONTROL: PHOTOELECTRIC, FOR ROADWAY LIGHTING, BUTTON, AND TWIST LOCK TYPE ....	147
SPECIFICATION 1473.....	151
POLE MOUNTED CAST ALUMINUM BOX FOR MAIN SERVICE DISCONNECT .....	151
SPECIFICATION 1475.....	153
CORD: TRAFFIC SIGNAL, EIGHT CONDUCTOR NO. 16 AWG, 600 VOLT .....	153
SPECIFICATION 1493.....	157
TRAFFIC SIGNAL: VEHICULAR, TWELVE-INCH .....	157
SINGLE FACE, SINGLE OR MULTIPLE-SECTION,.....	157
POLYCARBONATE, LED OR INCANDESCENT .....	157
TRAFFIC SIGNAL MOUNTING BRACKET .....	164
POLYCARBONATE, SIDE OF POLE .....	164
SPECIFICATION 1497.....	166
ARTERIAL STREET LIGHTING CONTROLLER .....	167
SPECIFICATION 1524.....	170
LAMPS: HIGH PRESSURE SODIUM FOR STREET LIGHTING.....	170
SPECIFICATION 1533.....	171
NON-METALLIC CONDUIT .....	172
SPECIFICATION 1534.....	173
CABLE: SINGLE-CONDUCTOR, COPPER 600 VOLT.....	173
TENSILE STRENGTH, MINIMUM PERCENT OF UNAGED VALUE.....75 .....	175
ELONGATION AT RUPTURE, MINIMUM PERCENT OF UNAGED VALUE.....75 .....	175
SPECIFICATION 1537.....	178
CABLE: TRAFFIC SIGNAL, MULTIPLE CONDUCTOR,.....	178
COPPER WIRE, 600 VOLT .....	178
JACK AND REMOVE EXISTING BEARINGS .....	185
CLEANING AND PAINTING CONTACT SURFACE AREAS OF EXISTING STEEL STRUCTURES.....	186
CLEANING AND PAINTING NEW METAL STRUCTURES.....	191
POROUS GRANULAR EMBANKMENT, SPECIAL.....	198
STRUCTURAL REPAIR OF CONCRETE .....	198
DEMOLITION PLANS FOR REMOVAL OF EXISTING STRUCTURES.....	206
SUSPENSION OF SLIPFORMED PARAPETS.....	206
CSXT SPECIAL PROVISIONS AND INSURANCE REQUIREMENTS.....	206

INSURANCE REQUIREMENTS ..... 212

AMERICAN RECOVERY AND REINVESTMENT ACT SIGNING (BDE) ..... 213

ALKALI-SILICA REACTION FOR CAST-IN-PLACE CONCRETE (BDE) ..... 217

APPROVAL OF PROPOSED BORROW AREAS, USE AREAS, AND/OR WASTE AREAS INSIDE ILLINOIS STATE BORDERS (BDE) ..... 220

CEMENT (BDE) ..... 220

CONCRETE ADMIXTURES (BDE) ..... 223

CONSTRUCTION AIR QUALITY – DIESEL VEHICLE EMISSIONS CONTROL (BDE)..... 226

CONSTRUCTION AIR QUALITY - IDLING RESTRICTIONS (BDE)..... 227

DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION (BDE) ..... 229

ENGINEER’S FIELD OFFICE TYPE A (BDE) ..... 236

EQUIPMENT RENTAL RATES (BDE)..... 238

HOT-MIX ASPHALT - FIELD VOIDS IN THE MINERAL AGGREGATE (BDE)..... 239

HOT-MIX ASPHALT – PLANT TEST FREQUENCY (BDE)..... 241

HOT-MIX ASPHALT – TRANSPORTATION (BDE) ..... 242

HOT-MIX ASPHALT MIXTURE IL-9.5L (BDE) ..... 243

IMPACT ATTENUATORS, TEMPORARY (BDE)..... 243

LIQUIDATED DAMAGES (BDE)..... 245

MAST ARM ASSEMBLY AND POLE (BDE)..... 246

METAL HARDWARE CAST INTO CONCRETE (BDE)..... 247

MONTHLY EMPLOYMENT REPORT (BDE) ..... 247

NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM / EROSION AND SEDIMENT CONTROL DEFICIENCY DEDUCTION (BDE) ..... 250

ORGANIC ZINC-RICH PAINT SYSTEM (BDE)..... 250

PAYMENTS TO SUBCONTRACTORS (BDE) ..... 254

PAYROLLS AND PAYROLL RECORDS (BDE)..... 255

PERSONAL PROTECTIVE EQUIPMENT (BDE) ..... 257

REFLECTIVE SHEETING ON CHANNELIZING DEVICES (BDE) ..... 257

REINFORCEMENT BARS (BDE) ..... 258

REINFORCEMENT BARS - STORAGE AND PROTECTION (BDE)..... 259

SEEDING (BDE) ..... 259

SILT FILTER FENCE (BDE) ..... 261

SUBCONTRACTOR MOBILIZATION PAYMENTS (BDE)..... 261

TEMPORARY EROSION CONTROL (BDE) ..... 262

THERMOPLASTIC PAVEMENT MARKINGS (BDE) ..... 262

TRAINING SPECIAL PROVISIONS ..... 264

STEEL COST ADJUSTMENT (BDE) (RETURN FORM WITH BID) ..... 266

BITUMINOUS MATERIALS COST ADJUSTMENTS (BDE) (RETURN FORM WITH BID)..... 270



## STATE OF ILLINOIS

### SPECIAL PROVISIONS

The following Special Provisions supplement the "Standard Specifications for Road and Bridge Construction", adopted January 1, 2007, the latest edition of the "Manual on Uniform Traffic Control Devices for Places and Highways", and the "Manual of Test Procedures for Materials" in effect on the date of invitation for bids; the National Electrical Code, latest edition; the National Electrical Manufacturers Association, herein referred to as NEMA; Standards Publication for Traffic Control Systems, latest edition; AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaries, and Traffic Signals, and the Supplemental Specifications and Recurring Special Provisions indicated on the Check Sheet included herein which apply to and govern the construction of FAI 57 (I-57 @ 111<sup>th</sup> Street), Project ESP-057-7 (282) 358, Section 2021.1B-R in Cook County, Illinois, and in case of conflict with any part, or parts, of said Specifications, the said Special Provisions shall take precedence and shall govern.

FAI 57 (I-57 @ 111th Street)  
Over Northbound and Southbound Interstate 57  
RESURFACING AND STRUCTURE REHABILITATION  
Project ESP-057-7 (282) 358  
SECTION 2021.1B-R  
COOK COUNTY, ILLINOIS  
CONTRACT 62532

#### **LOCATION OF PROJECT**

The project begins at a point on the centerline of existing 111th Street, near Ashland Ave and extends easterly along the said centerline to approximately 175 feet east of the intersection of 111th Street and Hamlet Avenue, in the City of Chicago, in Cook County.

#### **DESCRIPTION OF PROJECT**

The project consists of resurfacing along 111th Street as well as Hamlet and Marshfield Avenues and rehabilitation of the 111th bridge structure. The work to be performed under this contract shall include, but not be limited to, bridge deck replacement, underpass luminaire replacement, substructure repair, approach slab replacement, resurfacing, concrete curb & gutter removal and replacement, sidewalk removal and replacement, temporary lighting, temporary traffic signals, replacement of lighting and traffic signal equipment and all incidental and collateral work necessary to complete the project as shown on the plans and as described herein.

#### **START OF WORK**

Effective: August 7, 1997

The Contractor will not be allowed to proceed with any construction operations on the pavement which involve permanent lane closures or to otherwise interfere with traffic as determined by the Engineer, prior to April 1, 2010, or prior to the completion of Contract 62533 (112<sup>th</sup> Place). The Engineer's written approval shall be obtained by the Contractor before proceeding with any work on this project, prior to the above stipulated date.

**COMPLETION DATE PLUS WORKING DAYS**

Effective: September 30, 1985

Revised: January 1, 2007

Revise Article 108.05 (b) of the Standard Specifications as follows:

"When a completion date plus working days is specified, the Contractor shall complete all contract items and safely open all roadways to traffic by 11:59 PM on August 31, 2010, except as specified herein.

The Contractor will be allowed to complete all clean-up work and punch list items within 5 working days after the completion date for opening the roadway to traffic. Under extenuating circumstances the Engineer may direct that certain items of work, not affecting the safe opening of the roadway to traffic, may be completed within the working days allowed for clean up work and punch list items. Temporary lane closures for this work may be allowed at the discretion of the Engineer.

Article 108.09 or the Special Provision for "Failure to Complete the Work on Time", if included in this contract, shall apply to both the completion date and the number of working days.

**WORK RESTRICTIONS**

As stated in the Start of Work Special Provision, the Contractor will not be allowed to proceed with any construction operations on the pavement (or bridge structure) which involve permanent lane closures or to otherwise interfere with traffic as determined by the Engineer, prior to April 1, 2010 or prior to the completion of Contract 62533 (112th Place).

## **RAILROAD PROTECTIVE LIABILITY INSURANCE (BDE)**

Effective: December 1, 1986

Revised: January 1, 2006

Description. Railroad Protective Liability and Property Damage Liability Insurance shall be carried according to Article 107.11 of the Standard Specifications. A separate policy is required for each railroad unless otherwise noted.

NUMBER & SPEED OF NUMBER & SPEED OF  
NAMED INSURED & ADDRESS PASSENGER TRAINS FREIGHT TRAINS

---

CSX TRANSPORTATION, INC.

500 WATER ST., SCJ 350

**JACKSONVILLE, FL 32202-5052**

DOT/AAR No.: #163605L RR Mile Post: MP.;DC13.38

RR Division: RR Sub-Division:

For Freight/Passenger Information Contact: Dave Fette Phone: 859-344-8137

For Insurance Information Contact: Walter Tylor Phone: 904-633-5090

Approval of Insurance. The original and one certified copy of each required policy shall be submitted to the following address for approval:

Illinois Department of Transportation  
Bureau of Design and Environment  
2300 South Dirksen Parkway, Room 326  
Springfield, Illinois 62764

The Contractor will be advised when the Department has received approval of the insurance from the railroad(s). Before any work begins on railroad right-of-way, the Contractor shall submit to the Engineer evidence that the required insurance has been approved by the railroad(s). The Contractor shall also provide the Engineer with the expiration date of each required policy.

Basis of Payment. Providing Railroad Protective Liability and Property Damage Liability Insurance will be paid for at the contract unit price per Lump Sum for RAILROAD PROTECTIVE LIABILITY INSURANCE.

**MAINTENANCE OF ROADWAYS**

Effective: September 30, 1985 Revised: November 1, 1996

Beginning on the date that work begins on this project, the Contractor shall assume responsibility for normal maintenance of all existing roadways within the limits of the improvement. This normal maintenance shall include all repair work deemed necessary by the Engineer, but shall not include snow removal operations. Traffic control and protection for maintenance of roadways will be provided by the Contractor as required by the Engineer.

If items of work have not been provided in the contract, or otherwise specified for payment, such items, including the accompanying traffic control and protection required by the Engineer, will be paid for in accordance with Article 109.04 of the Standard Specifications.

**STATUS OF UTILITIES TO BE ADJUSTED**

Utilities companies involved in this project have provided the following estimated dates:

Name of Utility	Type	Location	Estimated Dates for Start and Completion of Relocation or Adjustments
City of Chicago	911 Emergency	111 <sup>th</sup> Bridge Deck	Contractor to temporarily support and protect during construction, and reinstall prior to completion.
City of Chicago	Drainage and Utility Frames and Lids	111 <sup>th</sup> St	During construction

The above represents the best information available to the Department and is included for the convenience of the bidder. The applicable portions of Articles 105.07 and 107.31 of the Standard Specifications shall apply.

### **BRIDGE APPROACH PAVEMENT (SPECIAL)**

**Description:** This item shall consist of furnishing all labor, materials, tools, and equipment necessary to construct the new bridge approach pavements as detailed in the plans, described herein and in applicable provisions of Section 420 of the Standard Specifications, and as directed by the Engineer.

**Method of Measurement:** Bridge Approach Pavement (Special) shall be measured for pavement in square yards.

**Basis of Payment:** The work will be paid for at the contract unit price per square yard for BRIDGE APPROACH PAVEMENT (SPECIAL). The unit price shall include concrete, tie bars, expansion joint system, polyethylene bond breaker, granular subbase, reinforcement bars, the concrete pad and reinforcement, and all other items necessary to complete this item of work.

### **APPROACH SLAB REMOVAL**

**Description:** This work shall be in accordance with Article 440 and 501 of the Standard Specifications. This work shall consist of the complete removal and satisfactory disposal of existing bridge approach slabs, bridge approach shoulders, and existing earthwork, piles and/or mud jacking of the types specified and at the locations shown on the plans.

The bridge approach slabs, bridge approach shoulders, and existing earthwork, piles and/or mudjacking shall be removed to the depth necessary to construct the new bridge approaches as specified in the plans.

Any voids between the removed bridge approach and the proposed bridge approach shall be filled with Porous Granular Embankment, Subgrade at no additional cost.

The Contractor shall remove the existing approach slabs in a manner so as not to damage the adjacent structures which will remain. Any damage to the existing structures to remain shall be repaired and/ or replaced at the expense of the Contractor, and to the satisfaction of the Engineer.

It is the responsibility of the Contractor to determine the thickness of the existing approach slabs pavement, including overlays and other appurtenances to be removed. No additional compensation will be allowed due to variations in thickness and reinforcement encountered.

**Method of Measurement:** APPROACH SLAB REMOVAL will be measured for payment in square yards according to the pay limits indicated on the plan details for each approach.

**Basis of Payment:** This work will be paid for at the contract unit price per square yard for APPROACH SLAB REMOVAL which price includes payment in full for removal and satisfactory disposal and all labor, tools, equipment, and incidentals required to perform the work as specified herein.

## **HOT-MIX ASPHALT SURFACE REMOVAL**

Description: This work shall be in accordance with Article 440 of the Standard Specifications. This work shall consist of the removal and satisfactory disposal of all existing HMA surfaces as shown in the plans. It shall be the responsibility of the Contractor to determine the thickness of the existing pavement structure, including overlays, and other appurtenances to be removed, and the extent to which they are reinforced. No additional compensation will be allowed because of variations from the assumed thickness(s) or from the thickness(s) shown on the plans.

Method of Measurement: HOT-MIX ASPHALT SURFACE REMOVAL of the specified thickness or HOT-MIX ASPHALT SURFACE REMOVAL (VARIABLE DEPTH) will be measured for payment in square yards.

The existing HMA overlay shall be milled down to bare PCC pavement. Record plans indicate a 2 ½" existing overlay. It shall be the responsibility of the Contractor to determine the thickness of the existing pavement structure, including overlays, and other appurtenances to be removed. No additional compensation will be allowed because of variations from the assumed thickness(s) or from the thickness(s) shown on the plans.

The existing HMA surface adjacent to structures in the curb and gutter shall be carefully removed to avoid any damage to the existing structure, frame, or lid. Any damage to the existing structure, frame, or lid as determined by the Engineer shall be repaired or replaced as determined by the Engineer and at the expense of the Contractor.

Basis of Payment: This work will be paid for at the contract unit price per square yard for HOT-MIX ASPHALT SURFACE REMOVAL of the specified thickness or HOT-MIX ASPHALT SURFACE REMOVAL (VARIABLE DEPTH), which price includes payment in full for all labor, tools, equipment, and incidentals required to perform the work as specified herein.

No additional compensation will be allowed due of variations from the assumed thickness(s) or from the thickness(s) shown on the plans.

## **SELECTIVE CLEARING**

Effective: February 8, 2007

Description. This work shall consist of extensive removal and disposal of shrubs, brush, debris (including rocks, bottles, etc.) and selected trees up to six (6) inches (150 mm) in diameter. All trees and shrubs to be saved shall be carefully protected as provided by Article 201.05 of the Standard Specifications. Locations for Selective Clearing and vegetation to be cleared or saved shall be designated by the Engineer.

The undesirable trees and brush (Siberian Elm, European Buckhorn, Mulberry, etc.) shall be cut flush with the ground and all stubs or stumps shall be treated with a re-sprout herbicide approved by the Engineer to prevent re-growth from the stumps. Trees of Tree of Heaven shall not be cut off as specified above, but shall be pulled or grubbed in such a manner as to insure complete removal. Branches on remaining trees shall be pruned off up to 6 feet (2 meters) from the ground.

All cleared areas shall be graded, trimmed, smoothed, and finished uniformly to the satisfaction of the Engineer with equipment approved by the Engineer. Disposal of material shall be done in accordance with Article 202.03.

Method of Measurement. Selective Clearing will be measured in units of 1,000 square feet (90 square meters). Areas not meeting the satisfaction of the Engineer shall not be measured for payment. Plan quantities are estimates only. Actual quantities will be measured in place. Agreement to plan quantities will not be allowed.

Basis of Payment. This work will be paid for at the contract unit price per unit for SELECTIVE CLEARING.

### **CLEANING EXISTING DRAINAGE STRUCTURES**

Effective: September 30, 1985

Revised: January 1, 2007

All existing storm sewers, pipe culverts, manholes, catch basins and inlets shall be considered as drainage structures insofar as the interpretation of this Special Provision is concerned. When specified for payment, the location of drainage structures to be cleaned will be shown on the plans.

All existing drainage structures which are to be adjusted or reconstructed shall be cleaned in accordance with Article 602.15 of the Standard Specifications. This work will be paid for in accordance with Article 602.16 of the Standard Specifications.

All other existing drainage structures which are specified to be cleaned on the plans will be cleaned according to Article 602.15 of the Standard Specifications.

Basis of Payment. This work will be paid for at the contract unit price each for DRAINAGE STRUCTURES TO BE CLEANED, and at the contract unit price per foot (meter) for STORM SEWERS TO BE CLEANED.

### **REMOVAL OF EXISTING CONCRETE DECK**

Prepared by: Teng & Associates, Inc.

Date: January 16, 2009

Description: This work item shall consist of the removal and satisfactory disposal of existing concrete deck as detailed in the plans, described herein and applicable provisions of Section 501 of the Standard Specifications, and as directed by the Engineer. The scope of this item shall also include removal and disposal of miscellaneous items appurtenant to the deck, including but not limited to bridge expansion joint materials and anchorages, reinforcing steel, existing protective shield, railings, parapets, conduits, lighting, fencing, etc., but excluding asbestos electrical conduits. In addition, the scope of this item shall include testing welds and girder flanges at the end of girder cover plates. The Contractor shall remove all loose rust, loose mill scale, and all other loose, detrimental foreign material from the portions of flanges of beams or girders in concrete.

Existing Plans: Available plans for the existing roadways and structures involved in this work will be made available to the Contractor by the Department upon his/her written request to the Chief of Bureau of Maintenance, Illinois Department of Transportation - District One, 201 West Center Court, Schaumburg, Illinois 60196. The Contractor shall make an appointment with at least 48 hours notice to view or retrieve available microfilm drawings of the existing roadways and structures. The completeness of these plans is not guaranteed and no responsibility is assumed by the Department for their accuracy. Information is furnished for whatever value may be derived by the Contractor, and is to be used solely at the Contractor's risk.

Construction Requirements: The removal of existing concrete deck shall be performed in accordance with applicable provisions of Section 501 of the Standard Specifications. Materials that are required to be salvaged (if any) under the contract are listed in the plans. Materials to be salvaged shall be carefully removed and stored near the project site at a location designated by the Engineer.

The Contractor shall provide temporary support and protection in-place for the existing asbestos cement conduit ducts (4 conduits at 3½") suspended beneath the bridge deck.

The Contractor shall take all necessary precautions to ensure no damage occurs to the conduit ducts. The Contractor shall provide the details for duct temporary support and protection to the Engineer for review and approval prior to any construction or deck removal. Any damage to the asbestos cement conduits or duct shall be replaced to the satisfaction of the Engineer at the expense of the Contractor. The cost of this work shall not be paid for separately, but shall be included in the cost of Removal of Existing Concrete Deck. The Contractor shall coordinate with the utility in accordance with Section 105.07 of the Standard Specifications.

Testing of welds and girder flanges at the ends of girder cover plates shall be performed in accordance with the plans.

Method of Measurement: No separate measurement will be made for removal of existing concrete deck. The removal limits for each superstructure number or designation shall be as defined in the plans. Quantities for removal items, if provided in the plans, are based on the available existing plans, and are provided for Contractor's information only.

Basis of Payment: This item will be paid for at the contract lump sum price for REMOVAL OF EXISTING CONCRETE DECK, which payment shall constitute full compensation for all labor, materials, tools and equipment required for removal and disposal of existing concrete deck and incidental items, as detailed in the plans, described herein and as directed by the Engineer within the limits shown in the plans.

## **DRAINAGE SYSTEM**

Effective: June 10, 1994

Revised: August 27, 2004



Description. This work shall consist of furnishing and installing a bridge drainage system as shown on the plans, including all piping, fittings, support brackets, inserts, bolts, and splash blocks when specified. Included in this work shall be painting of exposed ductile iron piping and fittings above ground level.

Material. All pipe and fittings shown on the contract plans as Fiberglass Drain Pipe shall be reinforced fiberglass according to ASTM D 2996 RTRP with a 207 MPa (30,000 psi) minimum short-time rupture strength hoop tensile stress. All pipes and fittings shown on the contract plans as Ductile Iron Pipe shall be Extra Heavy Ductile Iron Pipe conforming to the requirements of ASTM A746 with plain end.

The reinforced fiberglass shall also have an apparent stiffness factor at 5 percent deflection exceeding 22.6 cu mm-kPa (200 cu in.-lbf/sq in) and a minimum wall thickness of 2.54 mm (0.10 in.). All pipe supports and associated hardware shall be hot dip galvanized according to AASHTO M232. The fiberglass pipe and fittings furnished shall be pigmented through out, or have a resin-rich pigmented exterior coat, specifically designed for overcoating fiberglass, as recommended by the manufacturer. The color shall be as specified by the Engineer. The resin in either case shall have an ultraviolet absorber designed to prevent ultraviolet degradation. The supplier shall certify that the materials supplied meet or exceed these requirements.

The exposed ductile iron piping and fittings shall be painted. The metal surfaces shall be cleaned and pretreated with a wash primer in accordance with the Steel Structures Painting Council's SSPC-SP1 & SSPC-Paint 27 prior to applying paint coats. The paint system shall be according to the Special Provision for Cleaning and Painting New Metal Structures. The color of the finish coat shall be as requested and approved by the Engineer.

Installation. All connections of pipes and fittings shown on the plans to facilitate future removal for maintenance cleanout or flushing shall be made with a threaded, gasketed coupler or a bolted gasketed flange system. Adhesive bonded joints will be permitted for runs of fiberglass pipe between such connections. The end run connection shall feature a minimum nominal 150 mm (6 in.) female threaded fiberglass outlet. Straight runs may utilize a 45° degree reducing saddle bonded to the pipe. The female outlet shall be filled with a male threaded PVC plug.

Runs of pipe shall be supported at spacings not exceeding those recommended by the manufacturer of the pipe. Supports that have point contact or narrow supporting areas shall be avoided. Standard slings, clamps, clevis hangers and shoe supports designed for use with steel pipe may be used. A minimum strap width for hangers shall be 40 mm (1 ½ inch) for all pipe under 300 mm (12 inch) in diameter and 50 mm (2 inch) for diameters 300 mm (12 inch) or greater. Straps shall have 120 degrees of contact with the pipe. Pipes supported on less than 120 degrees of contact shall have a split fiberglass pipe protective sleeve bonded in place with adhesive.

Where the drainage system abuts or is suspended from a concrete surface, the pipe and related hardware shall be secured by bolting into the inserts in the concrete surface. When drilling holes for inserts or grouting threaded rods, care shall be taken so as not to cut or damage reinforcement bars. The Contractor shall submit his/her proposed method of locating reinforcement bars, for Engineer's approval, prior to drilling any holes in concrete surfaces.

Drilling of holes in the bridge deck will not be allowed. Concrete inserts shall be cast into the deck concrete for any supports to be attached to the bridge deck.

All reinforced fiberglass pipe, fittings, and expansion joints shall be handled and installed according to guidelines and procedures recommended by the manufacturer or supplier of the material.

Shop Drawings. Shop Plans shall be submitted in accordance Article 105.04.

Basis of Payment. This work will be paid for at the contract lump sum price for DRAINAGE SYSTEM, which price shall be payment in full for furnishing all material, and installing the complete drainage system as shown on the plans and as specified herein including hangers, attachment hardware and painting.

## **REMOVE, STORE AND RE-ERECT SIGN PANEL**

Description: This item shall include all materials, labor, equipment, transportation and storage necessary to carefully remove the existing bridge mounted sign structure and sign, store the sign structure and sign, and reinstall the sign structure and sign as noted herein.

The existing bridge mounted sign structure and sign panel will be re-erected at the location of the original sign structure placement.

The existing electrical connection to the bridge mounted sign luminaire(s) shall be temporarily disconnected prior to removal of the sign structure, and shall be re-connected upon re-erection of the sign structure and sign panel.

The top bracket connection to the new parapet wall shall be replaced as new as shown in the plans prior to the installation of the pedestrian railing.

Any damage to, or loss of, the existing bridge mounted sign structure or sign panel shall be repaired or replaced by the Contractor to the satisfaction of the Engineer.

Method of Measurement: REMOVE, STORE AND RE-ERECT SIGN PANEL will not be measured for payment separately, but shall be included in the cost of REMOVAL OF EXISTING CONCRETE DECK.

Basis of Payment: This work will not be paid for separately, but shall be included in the cost of REMOVAL OF EXISTING CONCRETE DECK.

## **TEMPORARY SHORING AND CRIBBING**

Effective: July 16, 1992

Revised: January 16, 2009

Description: This item shall consist of furnishing all material, equipment and labor to support the affected beam(s) during the substructure repairs as shown on the plans, as herein specified and as directed by the Engineer. Also included in this work is any excavation necessary for installation of the support system.

Construction Requirements: The Contractor shall submit details and calculations of the support system he/she proposes to use for approval of the Engineer prior to ordering of material and implementation. The Contractor's plans and procedures shall be designed and sealed by an Illinois Licensed Structural Engineer. Such approval shall in no way relieve the Contractor of responsibility for the safety of the structure. The supports used shall be such that vertical adjustments may be made in order to maintain the existing beam profile. Prior to starting substructure repairs, the temporary supports shall be used to place an upward reaction on the affected beams designated in the plans, equal to but not larger than the dead load reactions given in the plans, thus relieving the superstructure dead load reaction from the substructure unit to be repaired. It is not the intention to raise affected beams. As the vertical load is incrementally increased to the specified load, if vertical movement is detected the load shall not be increased further.

Basis of Payment: The work specified herein, as shown on the plans and as directed by the Engineer, shall be paid for at the contract price lump sum price for TEMPORARY SHORING AND CRIBBING.

## **TRAFFIC CONTROL FOR WORK ZONE AREAS**

Effective: 9/14/95

Revised: 1/1/07

Work zone entry and exit openings shall be established daily by the Contractor with the approval of the Engineer. All vehicles including cars and pickup trucks shall exit the work zone at the exit openings. All trucks shall enter the work zone at the entry openings. These openings shall be signed in accordance with the details shown elsewhere in the plans and shall be under flagger control during working hours.

The Contractor shall plan his trucking operations into and out of the work zone as well as on to and off the expressway to maintain adequate merging distance. Merging distances to cross all lanes of traffic shall be no less than 1/2 mile. This distance is the length from where the trucks enter the expressway to where the trucks enter the work zone. It is also the length from where the trucks exit the work zone to where the trucks exit the expressway. The stopping of expressway traffic to allow trucks to change lanes and/or cross the expressway is prohibited.

Failure to comply with the above requirements will result in a Traffic Control Deficiency charge. The deficiency charge will be calculated as outlined in Article 105.03 of the Standard Specifications. The Contractor will be assessed this daily charge for each day a deficiency is documented by the Engineer.

**TRAFFIC CONTROL AND PROTECTION FOR TEMPORARY DETOUR**

Effective: September 1, 1995  
 Revised: January 1, 2007

When traffic is to be directed over a detour route, the Contractor shall furnish, erect, maintain and remove all applicable traffic control devices along the detour route according to the details shown in the plans.

Basis of Payment. This work will be paid for at the contract unit price each for TRAFFIC CONTROL AND PROTECTION FOR TEMPORARY DETOUR.

**KEEPING THE EXPRESSWAY OPEN TO TRAFFIC**

Effective: March 22, 1996  
 Revised: February 9, 2005

Whenever work is in progress on or adjacent to an expressway, the Contractor shall provide the necessary traffic control devices to warn the public and to delineate the work zone as required in these Special Provisions, the Standard Specifications, the State Standards and the District Freeway details. All Contractors' personnel shall be limited to these barricaded work zones and shall not cross the expressway.

The Contractor shall request and gain approval from the Illinois Department of Transportation's Expressway Traffic Operations Engineer (847-705-4151) twenty-four (24) hours in advance of all daily lane, ramp and shoulder closures and seventy-two (72) hours in advance of all permanent and weekend closures on all Freeways and/or Expressways in District One. This advance notification is calculated based on workweek of Monday through Friday and shall not include weekends or Holidays.

LOCATION: **I-57 @ 111<sup>th</sup> Street**

WEEK NIGHT	TYPE OF CLOSURE	ALLOWABLE LANE CLOSURES HOURS	
		INBOUND	OUTBOUND
Sunday thru Thursday	One Lane	8:00 PM to 5:00 AM	9:00 PM to 6:00 AM
	Two Lanes	10:00 PM to 5:00 AM	11:59 PM to 6:00 AM
Friday	One Lane	8:00 PM (Fri) to 9:00 AM (Sat)	9:00 PM (Fri) to 10:00 AM (Sat)
	Two Lanes	11:00 PM (Fri) to 6:00 AM (Sat)	11:59 PM (Fri) to 7:00 AM (Sat)
Saturday	One Lane	8:00 PM (Sat) to 10:00 AM (Sun)	9:00 PM (Sat) to noon (Sun)
	Two Lanes	11:00 PM (Sat) to 8:00 AM (Sun)	11:59 PM (Sat) to 9:00 AM (Sun)

In addition to the hours noted above, temporary shoulder and partial ramp closures are allowed weekdays between 9 A.M. and 3 P.M.

Narrow lanes and permanent shoulder closures will not be allowed between Dec. 1st and April 1st.

Full Expressway Closures will only be permitted for a maximum of 15 minutes at a time during the low traffic volume hours of **1:00 A.M. to 5:00 A.M. Monday thru Friday and from 1:00 A.M. to 7:00 A.M. on Sunday**. During Full Expressway Closures, the Contractor will be required to close off all lanes except one, using Freeway Standard Closures. Police forces should be notified and requested to close off the remaining lane at which time the work item may be removed or set in place.

The District One Traffic Operations Department shall be notified (847-705-4151) at least 3 working days (weekends and holidays DO NOT count into this 72 hours notification) in advance of the proposed road closure and will coordinate the closure operations with police forces.

All stage changes requiring the stopping and/or the pacing of traffic shall take place during the allowable hours for Full Expressway Closures and shall be approved by the Department.

All daily lane closures shall be removed during adverse weather conditions such as rain, snow, and/or fog and as determined by the Engineer.

Additional lane closure hour restrictions may have to be imposed to facilitate the flow of traffic to and from major sporting events and/or other events.

All lane closure signs shall not be erected any earlier than one-half (1/2) hour before the starting hours listed above. Also, these signs should be taken down within one-half (1/2) hour after the closure is removed.

The Contractor will be required to cooperate with all other contractors when erecting lane closures on the expressway. All lane closures (includes the taper lengths) without a three (3) mile gap between each other, in one direction of the expressway, shall be on the same side of the pavement. Lane closures on the same side of the pavement with a half (1/2) mile or less gap between the end of one work zone and the start of taper of next work zone should be connected. The maximum length of any lane closure on the project and combined with any adjacent projects shall be three (3) miles. Gaps between successive permanent lane closures shall be no less than two (2) miles in length.

Private vehicles shall not be parked in the work zone. Contractor's equipment and/or vehicles shall not be parked on the shoulders or in the median during non-working hours. The parking of equipment and/or vehicles on State right-of-way will only be permitted at the locations approved by the Engineer.

## **FAILURE TO OPEN TRAFFIC LANES TO TRAFFIC**

Effective: March 22, 1996

Revised: February 9, 2005

Should the Contractor fail to completely open and keep open all the traffic lanes to traffic in accordance with the limitations specified under the Special Provisions for "Keeping the Expressway Open to Traffic", the Contractor shall be liable to the Department for the amount of:

One lane or ramp blocked = \$ 3,000

Two lanes blocked = \$ 5,000

Not as a penalty but as liquidated and ascertained damages for each and every 15 minute interval or a portion thereof that a lane is blocked outside the allowable time limitations. Such damages may be deducted by the Department from any monies due the Contractor. These damages shall apply during the contract time and during any extensions of the contract time.

## **TRAFFIC STAGING**

Prior to actual beginning and completion of the various stages of construction and traffic protection, the Contractor will be required to provide lane closures and barricade systems, for preparation work such as pavement marking removal, temporary lane marking, placing temporary concrete barrier, etc. These lane closures and barricade systems, including barricades, drums, cones, lights, signs, flag persons, etc. shall be provided in accordance with details in the plans and these Special Provisions and as approved by the Engineer. The cost of this work will not be paid for separately but shall be considered included in the contract lump sum price for TRAFFIC CONTROL AND PROTECTION (EXPRESSWAYS) and TRAFFIC CONTROL AND PROTECTION (SPECIAL).

The following is a brief description of the minimum amount of traffic control and protection, which will be required from the Contractor during rehabilitation of 111th Street. The following description shall be correlated with the Traffic Staging and Traffic Control Protection Details located in the contract plans and these Special Provisions.

The Contractor, prior to implementing main traffic staging, will be required to provide pre-stages for patching of roadway and bridge decks, revision of the pavement markings, and installation of maintenance of detour per 111<sup>th</sup> street bridge deck reconstruction detour plans.

### **PRE-STAGE**

**INSTALL TRAFFIC DETOUR PER 111<sup>th</sup> STREET BRIDGE DECK RECONSTRUCTION DETOUR PLANS.**

### **STAGE 1**

**INSTALL TEMPORARY BARRIER WALL ALONG FRONTAGE ROADS CLOSING DOWN 111<sup>th</sup> STREET BRIDGE DECK.**

CLOSE BOTH INSIDE AND OUTSIDE SHOULDERS USING TEMPORARY CONCRETE BARRIER ALONG NORTHBOUND AND SOUTHBOUND INTERSTATE 57.  
INSTALL TEMPORARY TRAFFIC SIGNALS AND TEMPORARY LIGHTING  
RECONSTRUCT BRIDGE DECK AND APPROACHES AS SHOWN IN THE PLANS  
INSTALL PROPOSED TRAFFIC SIGNALS AND LIGHTING

**STAGE II**

FRONTAGE ROAD RESURFACING, CURB AND GUTTER AND SIDEWALK REMOVAL AND REPLACEMENT PER ROADWAY PLANS  
PLACE PERMANENT PAVEMENT MARKING AND REPLACE SIGNS.

**TRAFFIC CONTROL PLAN**

Effective: September 30, 1985

Revised: January 1, 2007

Traffic Control shall be according to the applicable sections of the Standard Specifications, the Supplemental Specifications, the "Illinois Manual on Uniform Traffic Control Devices for Streets and Highways", any special details and Highway Standards contained in the plans, and the Special Provisions contained herein.

Special attention is called to Article 107.09 of the Standard Specifications and the following Highway Standards, Details, Quality Standard for Work Zone Traffic Control Devices, Recurring Special Provisions and Special Provisions contained herein, relating to traffic control.

The Contractor shall contact the District One Bureau of Traffic at least 72 hours in advance of beginning work.

**STANDARDS:**

	OFF-RD OPERATIONS, MULTILANE, 15' (4.5 M) TO 24" (600 MM)
701101	FROM PAVEMENT EDGE
701301	LANE CLOSURE 2L, 2W, SHORT TIME OPERATIONS
701401	LANE CLOSURE, FREEWAY/ EXPRESSWAY
701446	TWO LANE CLOSURE FREEWAY/ EXPRESSWAY
	LANE CLOSURE, MULTILANE, AT ENTRANCE OR EXIT RAMP, FOR
701411	SPEEDS $\geq$ 45 MPH
	URBAN LANE CLOSURE, MULTILANE, 1W OR 2W WITH
701601	NONTRAVERSABLE MEDIAN
701701	URBAN LANE CLOSURE, MULTILANE INTERSECTION
	LANE CLOSURE, MULTILANE 1W OR 2W CROSSWALK OR
701801	SIDEWALK CLOSURE
701901	TRAFFIC CONTROL DEVICES
704001	TEMPORARY CONCRETE BARRIER

DETAILS:

- TC08 ENTRANCE AND EXIT RAMP CLOSURE DETAILS  
TRAFFIC CONTROL AND PROTECTION FOR SIDE ROADS,
- TC10 INTERSECTIONS AND DRIVEWAYS
- TC16 PAVEMENT MARKING LETTERS AND SYMBOLS FOR TRAFFIC STAGING  
TRAFFIC CONTROL FOR SHOULDER CLOSURES AND PARTIAL RAMP
- TC17 CLOSURES  
SIGNING AND PAVEMENT MARKING TREATMENT FOR RAILROAD
- TC23 CROSSINGS
- TC24 CITY OF CHICAGO TYPICAL PAVEMENT MARKINGS
- TC24 CITY OF CHICAGO TYPICAL PAVEMENT MARKINGS

SPECIAL PROVISIONS:

Traffic Control for Work Zone Areas  
Traffic Control and Protection for Temporary Detour

Keeping the Expressway Open To Traffic  
Failure to Open Traffic Lanes to Traffic  
Traffic Staging

Traffic Control Plan  
Work Zone Traffic Control (Lump Sum Payment)  
Traffic Control and Protection (Expressways)  
Temporary Information Signing

BDE SPECIAL PROVISIONS  
Impact Attenuators, Temporary  
Reflective Sheeting on Channelizing Devices  
Personal Protective Equipment

SUPPLEMENTAL SPECIFICATIONS AND RECURRING SPECIAL PROVISIONS  
Work Zone Public Information Signs  
Night Time Inspection of Roadway Lighting

**WORK ZONE TRAFFIC CONTROL (LUMP SUM PAYMENT)**

Effective: February 1, 1996  
Revised: January 1, 2007

Specific traffic control plan details and Special Provisions have been prepared for this contract. This work shall include all labor, materials, transportation, handling and incidental work necessary to furnish, install, maintain and remove all traffic control devices required as indicated in the plans and as approved by the Engineer.



Method of Measurement: All traffic control (except traffic control pavement marking) indicated on the traffic control plan details and specified in the Special Provisions will be measured for payment on a lump sum basis. Traffic control pavement markings will be measured per foot (meter).

Basis of Payment: All traffic control and protection will be paid for at the contract lump sum price for TRAFFIC CONTROL AND PROTECTION (SPECIAL).

SHORT TERM PAVEMENT MARKING, TEMPORARY PAVEMENT MARKING and PAVEMENT MARKING TAPE TYPE III will be paid for separately.

### **TRAFFIC CONTROL AND PROTECTION (EXPRESSWAYS)**

Effective: 3/8/96

Revised: 1/1/07

This work shall include furnishing, installing, maintaining, replacing, relocating, and removing all traffic control devices used for the purpose of regulating, warning, or directing traffic. Traffic control and protection shall be provided as called for in the plans, applicable Highway Standards, District One Expressway details, Standards and Supplemental Specifications, these Special Provisions, or as directed by the Engineer.

#### General.

The governing factor in the execution and staging of work for this project is to provide the motoring public with the safest possible travel conditions on the expressway through the construction zone. The Contractor shall arrange his operations to keep the closing of lanes and/or ramps to a minimum.

The Contractor shall be responsible for the proper location, installation, and arrangement of all traffic control devices. Special attention shall be given to existing warning signs and overhead guide signs during all construction operations. Warning signs and existing guide signs with down arrows shall be kept consistent with the barricade placement at all times. The Contractor shall immediately remove, completely cover, or turn from the motorist's view all signs which are inconsistent with lane assignment patterns.

The Contractor shall coordinate all traffic control work on this project with adjoining or overlapping projects, including barricade placement necessary to provide a uniform traffic detour pattern. When directed by the Engineer, the Contractor shall remove all traffic control devices that were furnished, installed, or maintained by him under this contract, and such devices shall remain the property of the Contractor. All traffic control devices shall remain in place until specific authorization for relocation or removal is received from the Engineer.

#### Signs.

Prior to the beginning of construction operations, the Contractor will be provided a sign log of all existing signs within the limits of the construction zone. The Contractor is responsible for verifying the accuracy of the sign log. Throughout the duration of this project, all existing traffic signs shall be maintained by the Contractor. All provisions of Article 107.25 of the Standard Specifications shall apply except the third paragraph shall be revised to read:

"The Contractor shall maintain, furnish, and replace at his own expense, any traffic sign or post which has been damaged or lost by the Contractor or a third party. The Contractor will not be held liable for third party damage to large freeway guide signs".

Exit Gore Signs.

The exit gore signs as shown in Standard 701411 shall be a minimum size of 48 inch by 48 inch with 12 inch capital letters and a 20 inch arrow.

Rough Grooved Surface Signs.

The Contractor shall furnish and erect "Rough Grooved Surface" signs (W8-1107) on both sides of the expressway, 1000' in advance of any milled area. These signs shall be erected on all ramps that enter the milled area. All signs shall be mounted at a minimum clearance height of 5'.

Drums/Barricades.

Check barricades shall be placed in work areas perpendicular to traffic every 1000', one per lane and per shoulder, to prevent motorists from using work areas as a traveled way. Check barricades shall also be placed in advance of each open patch, or excavation, or any other hazard in the work area, the first at the edge of the open traffic lane and the second centered in the closed lane. Check barricades, either Type I or II, or drums shall be equipped with the flashing light.

To provide sufficient lane widths (10' minimum) for traffic and also working room, the Contractor shall furnish and install vertical barricades with steady burn lights, in lieu of Type II or drums, along the cold milling and asphalt paving operations. The vertical barricades shall be placed at the same spacing as the drums.

Vertical Barricades.

Vertical barricades shall not be used in lane closure tapers, lane shifts, and exit ramp gores. Also, vertical barricades shall not be used as patch barricades or check barricades. Special attention shall be given, and ballast provided per manufacture's specification, to maintain the vertical barricades in an upright position and in proper alignment.

Temporary Concrete Barrier Wall.

Prismatic barrier wall reflectors shall be installed on both the face of the wall next to traffic, and the top of all sections of the temporary concrete barrier wall. The color of these reflectors shall match the color of the edgelines (yellow on the left and crystal or white on the right).

If the base of the temporary concrete barrier wall is 12 inches or less from the travel lane, then the lower slope of the wall shall also have a 6 inch wide temporary pavement marking edgeline (yellow on the left and white on the right).

Method of Measurement.

This item of work will be measured on a lump sum basis for furnishing, installing, maintaining, replacing, relocating, and removing traffic control devices required in the plans and these Special Provisions. Traffic control and protection required under Standards 701101, 701400, 701401, 701411, 701426, 701446 and District details TC-8, TC-9, TC-17, TC-18 and TC-25 will be included with this item.

Basis of Payment.

This work will be paid for at the contract lump sum price for TRAFFIC CONTROL AND PROTECTION (EXPRESSWAYS). This price shall be payment in full for all labor, materials, transportation, handling, and incidental work necessary to furnish, install, maintain, replace, relocate, and remove all Expressway traffic control devices required in the plans and specifications.

In the event the sum total value of all the work items for which traffic control and protection is required is increased or decreased by more than ten percent (10%), the contract bid price for Traffic Control and Protection will be adjusted as follows:

$$\text{Adjusted contract price} = .25P + .75P [1+(X-0.1)]$$

Where "P" is the bid unit price for Traffic Control and Protection:

Difference between original and final sum total  
value of all work items for which traffic  
control and protection is required.  
Where "X" = control and protection is required.  
Original sum total value of all work items for which  
traffic control and protection is required.

The value of the work items used in calculating the increase and decrease will include only items that have been added to or deducted from the contract under Article 104.02 of the Standard Specifications and only items which require use of Traffic Control and Protection.

The Engineer may require additional traffic control be installed in accordance with standards and/or designs other than those included in the plans. In such cases, the standards and/or designs will be made available to the Contractor at least one week in advance of the change in traffic control. Payment for any additional traffic control required will be in accordance with Article 109.04 of the Standard Specifications.

Revisions in the phasing of construction or maintenance operations, requested by the Contractor, may require traffic control to be installed in accordance with standards and/or designs other than those included in the plans. Revisions or modifications to the traffic control shown in the contract shall be submitted by the Contractor for approval by

the Engineer. No additional payment will be made for a Contractor requested modification.

Temporary concrete barrier wall will be measured and paid for according to Section 704. Impact attenuators, temporary bridge rail, and temporary rumble strips will be paid for separately.

All temporary pavement markings will be measured and paid for according to Section 703 and Section 780.

All pavement marking removal will be measured and paid for according to Section 703 or Section 783.

Temporary pavement marking on the lower slope of the temporary concrete barrier wall will be measured and paid for as TEMPORARY PAVEMENT MARKING, 6".

All prismatic barrier wall reflectors will be measured and paid for according to Section 782.

### **TEMPORARY INFORMATION SIGNING**

Effective: November 13, 1996

Revised: January 2, 2007

#### **Description.**

This work shall consist of furnishing, installing, maintaining, relocating for various states of construction and eventually removing temporary informational signs. Included in this item may be ground mount signs, skid mount signs, truss mount signs, bridge mount signs, and overlay sign panels which cover portions of existing signs.

#### **Materials.**

Materials shall be according to the following Articles of Section 1000 - Materials:

	Item	Article/Section
a.)	Sign Base (Notes 1 & 2)	1090
b.)	Sign Face ( Note 3)	1091
c.)	Sign Legends	1092
d.)	Sign Supports	1093
e.)	Overlay Panels (Note 4)	1090.02

Note 1. The Contractor may use 5/8 inch (16 mm) instead of 3/4 inch (19 mm) thick plywood.

Note 2. Type A sheeting can be used on the plywood base.

Note 3. All sign faces shall be Type A except all orange signs shall meet the requirements of Article 1106.01.

Note 4. The overlay panels shall be 0.08 inch (2 mm) thick.

### **GENERAL CONSTRUCTION REQUIRMENTS**

#### **Installation.**

The sign sizes and legend sizes shall be verified by the Contractor prior to fabrication.

Signs which are placed along the roadway and/or within the construction zone shall be installed according to the requirements of Article 701.14 and Article 720.04. The signs shall be 7 ft (2.1 m) above the near edge of the pavement and shall be a minimum of 2 ft (600 mm) beyond the edge of the paved shoulder. A minimum of two (2) posts shall be used.

The attachment of temporary signs to existing sign structures or sign panels shall be approved by the Engineer. Any damage to the existing signs due to the Contractor's operations shall be repaired or signs replaced, as determined by the Engineer, at the Contractor's expense.

Signs which are placed on overhead bridge structures shall be fastened to the handrail with stainless steel bands. These signs shall rest on the concrete parapet where possible. The Contractor shall furnish mounting details for approval by the Engineer.

#### Method Of Measurement.

This work shall be measured for payment in square feet (square meters) edge to edge (horizontally and vertically).

All hardware, posts or skids, supports, bases for ground mounted signs, connections, which are required for mounting these signs will be included as part of this pay item.

#### Basis Of Payment.

This work shall be paid for at the contract unit price per square foot (square meter) for TEMPORARY INFORMATION SIGNING.

### **IDOT LIGHTING (UNDERPASS) GENERAL ELECTRICAL REQUIREMENTS**

Effective: January 1, 2007

Add the following to Article 801 of the Standard Specifications:

“Maintenance transfer and Preconstruction Inspection:

General. Before performing any excavation, removal, or installation work (electrical or otherwise) at the site, the Contractor shall request a maintenance transfer and preconstruction site inspection, to be held in the presence of the Engineer and a representative of the party or parties responsible for maintenance of any lighting and/or traffic control systems which may be affected by the work. The request for the maintenance transfer and preconstruction inspection shall be made no less than seven (7) calendar days prior to the desired inspection date. The maintenance transfer and preconstruction inspection shall:

Establish the procedures for formal transfer of maintenance responsibility required for the construction period.

Establish the approximate location and operating condition of lighting and/or traffic control systems which may be affected by the work

Marking of Existing Cable Systems. The party responsible for maintenance of any existing lighting and/or traffic control systems at the project site will, at the Contractor's request, mark and/or stake, once per location, all underground cable routes owned or maintained by the State. A project may involve multiple "locations" where separated electrical systems are involved (i.e. different controllers). The markings shall be taken to have a horizontal tolerance of at least 304.8 mm (one (1) foot) to either side.

The request for the cable locations and marking shall be made at the same time the request for the maintenance transfer and preconstruction inspection is made. The Contractor shall exercise extreme caution where existing buried cable runs are involved. The markings of existing systems are made strictly for assistance to the Contractor and this does not relieve the Contractor of responsibility for the repair or replacement of any cable run damaged in the course of his work, as specified elsewhere herein. Note that the contractor shall be entitled to only one request for location marking of existing systems and that multiple requests may only be honored at the contractor's expense. No locates will be made after maintenance is transferred, unless it is at the contractor's expense.

Condition of Existing Systems. The Contractor shall conduct an inventory of all existing electrical system equipment within the project limits, which may be affected by the work, making note of any parts which are found broken or missing, defective or malfunctioning. Megger and load readings shall be taken for all existing circuits which will remain in place or be modified. If a circuit is to be taken out in its entirety, then readings do not have to be taken. The inventory and test data shall be reviewed with and approved by the Engineer and a record of the inventory shall be submitted to the Engineer for the record. Without such a record, all systems transferred to the Contractor for maintenance during construction shall be returned at the end of construction in complete, fully operating condition."

Revise the 6<sup>th</sup> paragraph of Article 801.05(a) of the Standard Specifications to read:

"Resubmittals. All submitted items reviewed and marked 'APPROVED AS NOTED', or 'DISAPPROVED' are to be resubmitted in their entirety with a disposition of previous comments to verify contract compliance at no additional cost to the state unless otherwise indicated within the submittal comments."

Revise Article 801.11(a) of the Standard Specifications to read:

"Lighting Operation and Maintenance Responsibility. The scope of work shall include the assumption of responsibility for the continuing operation and maintenance the of existing, proposed, temporary, sign and navigation lighting, or other lighting systems and all appurtenances affected by the work as specified elsewhere herein. Maintenance of lighting systems will be paid for separately"

Add the following to Section 801.11(a) of the Standard Specifications:

“Energy and Demand Charges. The payment of basic energy and demand charges by the electric utility for existing lighting which remains in service will continue as a responsibility of the Owner, unless otherwise indicated. Unless otherwise indicated or required by the Engineer duplicate lighting systems (such as temporary lighting and proposed new lighting) shall not be operated simultaneously at the Owner's expense and lighting systems shall not be kept in operation during long daytime periods at the Owner's expense. Upon written authorization from the Engineer to place a proposed new lighting system in service, whether the system has passed final acceptance or not, (such as to allow temporary lighting to be removed), the Owner will accept responsibility for energy and demand charges for such lighting, effective the date of authorization. All other energy and demand payments to the utility shall be the responsibility of the Contractor until final acceptance.”

Add the following to Section 801 of the Standard Specifications:

“Lighting Cable Identification. Each wire installed shall be identified with its complete circuit number at each termination, splice, junction box or other location where the wire is accessible.”

“Lighting Cable Fuse Installation. Standard fuse holders shall be used on non-frangible (non-breakaway) light pole installations and quick-disconnect fuse holders shall be used on frangible (breakaway) light pole installations. Wires shall be carefully stripped only as far as needed for connection to the device. Over-stripping shall be avoided. An oxide inhibiting lubricant shall be applied to the wire for minimum connection resistance before the terminals are crimped-on. Crimping shall be performed in accordance with the fuse holder manufacturer's recommendations. The exposed metal connecting portion of the assembly shall be taped with two half-lapped wraps of electrical tape and then covered by the specified insulating boot. The fuse holder shall be installed such that the fuse side is connected to the pole wire (load side) and the receptacle side of the holder is connected to the line side.”

Revise the 2<sup>nd</sup> and 3<sup>rd</sup> sentences of the second paragraph of Article 801.02 of the Standard Specifications to read:

“Unless otherwise indicated, materials and equipment shall bear the UL label, or an approved equivalent, whenever such labeling is available for the type of material or equipment being furnished.”

## **WIRE AND CABLE**

Effective: January 1, 2007

Revise the second sentence of the first paragraph of Article 1066.02(a) to read:

“The cable shall be rated at a minimum of 90°C dry and 75°C wet and shall be suitable for installation in wet and dry locations, and shall be resistant to oils and chemicals.”

Revise the second paragraph of Article 1066.02(b) to read:

“Uncoated conductors shall be according to ASTM B3, ICEA S-95-658/NEMA WC70, and UL Standard 44. Coated conductors shall be according to ASTM B 33, ASTM B 8, ICEA S-95-658/NEMA WC70 and UL Standard 44.”

Revise the third paragraph of Article 1066.02(b) to read:

“All conductors shall be stranded. Stranding meeting ASTM B 8, ICEA S-95-658/NEMA WC70 and UL Standard 44. Uncoated conductors meeting ASTM B 3, ICEA S-95-658/NEMA WC70 and UL Standard 44.”

Revise the first sentence of Article 1066.03(a)(1) to read:

“General. Cable insulation designated as XLP shall incorporate cross-linked polyethylene (XLP) insulation as specified and shall meet or exceed the requirements of ICEA S-95-658, NEMA WC70, U.L. Standard 44.”

Add the following to Article 1066.03(a)(1) of the Standard Specifications:

“The cable shall be rated 600 volts and shall be UL Listed Type RHH/RHW/USE.”

Revise the Aerial Electric Cable Properties table of Article 1066.03(a)(3) to read:

Aerial Electric Cable Properties

Phase Conductor		Messenger wire			
Size AWG	Stranding	Average Insulation Thickness		Minimum Size AWG	Stranding
		mm	mils		
6	7	1.1	(45)	6	6/1
4	7	1.1	(45)	4	6/1
2	7	1.1	(45)	2	6/1
1/0	19	1.5	(60)	1/0	6/1
2/0	19	1.5	(60)	2/0	6/1
3/0	19	1.5	(60)	3/0	6/1
4/0	19	1.5	(60)	4/0	6/1

Revise the first paragraph of Article 1066.03(b) to read:



“EPR Insulation. Cable insulation shall incorporate ethylene propylene rubber (EPR) as specified and the insulation shall meet or exceed the requirements of ICEA S-95-658, NEMA Standard Publication No. WC70, and U.L. Standard 44, as applicable.”

Add the following to Article 1066.03(b) of the Standard Specifications:

“Cable sized No. 2 AWG and smaller shall be U.L. listed Type RHH/RHW and may be Type RHH/RHW/USE. Cable sized larger than No. 2 AWG shall be U.L. listed Type RHH/RHW/USE.”

Revise Article 1066.04 to read:

“Aerial Cable Assembly. The aerial cable shall be an assembly of insulated aluminum conductors according to Section 1066.02 and 1066.03. Unless otherwise indicated, the cable assembly shall be composed of three insulated conductors and a steel reinforced bare aluminum conductor (ACSR) to be used as the ground conductor. Unless otherwise indicated, the code word designation of this cable assembly is “Palomino”. The steel reinforced aluminum conductor shall conform to ASTM B-232. The cable shall be assembled according to ANSI/ICEA S-76-474.”

Revise the second paragraph of Article 1066.05 to read:

“The tape shall have reinforced metallic detection capabilities consisting of a woven reinforced polyethylene tape with a metallic core or backing.”

Revise Article 1066.08 to read:

“Electrical Tape. Electrical tape shall be all weather vinyl plastic tape resistant to abrasion, puncture, flame, oil, acids, alkalis, and weathering, conforming to Federal Specification MIL-I-24391, ASTM D1000 and shall be listed under UL 510 Standard. Thickness shall not be less than 0.215 mm (8.5 mils) and width shall not be less than 20 mm (3/4-inch).”

## **UNDERPASS LUMINAIRE, HPS, STAINLESS STEEL HOUSING**

Effective: January 1, 2007

1. **Description.** This item shall consist of furnishing, testing as required, and installing a luminaire suitable for roadway underpasses as specified herein.
2. **General.**
  - 2.1 The luminaire shall be optically sealed, mechanically strong and easy to maintain.

2.2 All wiring within the fixture shall have a minimum temperature rating of 125° C. In addition, the unit shall be designed to allow for a maximum supply wire rating of 90° C.

2.3 All hardware of the housing, reflector, and ballast assembly shall be captive

2.4 The luminaire shall be UL Listed for Wet Locations.

2.5 The underpass luminaire shall be suitable for lighting a roadway underpass at approximate mounting height of 16 feet from a position suspended directly above the roadway.

2.6 The luminaire shall be certified by the U.L. testing laboratory to meet the IP66 criteria of the International Electro technical Commission Standard 529.

3. Housing.

3.1 The housing shall be stainless steel and be made of 16 gauge minimum thickness stainless steel, Type 304, #2B finish.

3.2 Since the installed location of the luminaires has severe space limitations that prohibit servicing the luminaire from the top or side of the fixture, the luminaire must be serviceable from the bottom of the housing when in the installed position. Both ballast and optical compartments must be serviceable from the bottom of the fixture. Fixtures which open from the top or sides are not acceptable.

3.3 The housing shall have a maximum width of 13"

3.4 All internal and external hardware, unless specifically specified otherwise, shall be made of stainless steel.

3.5 Stainless Steel Housing

3.5.1 The stainless steel housing, and lens frame shall be made of 16 gauge minimum thickness stainless steel, Type 304 #2B.

3.5.2 All housing and frame components shall be cut within with a laser with a positioning accuracy of +/- .004" for assembly accuracy and machine welded to minimize irregularities in the weld joint.

3.5.3 All seams in the housing enclosure shall be welded by continuous welding. Stainless steel weld wire shall be used for all welds. A sample weld shall be submitted for review and approval.

3.5.4 The luminaire lens shall be flush, within 3.1 mm (0.122"), of the lens frame.

3.5.5 The lens frame shall be flat and the frame and luminaire housing shall not have any protruding flanges.

3.5.6 The lens frame assembly shall consist of a one-piece 16 gauge 304 stainless steel external frame with the lens facing toward the housing and a 16 gauge 304 stainless internal frame with the legs facing away from the housing. The internal frame shall have seam welded corners for added strength. The two panels will sandwich the glass lens and be fastened together with the use of no less than 10 #10 stainless steel fasteners.

3.5.7 The lens frame and the door frame shall each be secured through the use of two stainless steel draw latches secured to the fixture housing.

3.5.8 When in open position, it shall be possible to un-hinge and remove the lens frame for maintenance. The lens frame hinge shall be stainless steel and designed so that there must be a conscious action of the maintenance personnel to remove the lens frame. The frame hinging method shall not be designed so that bumping the frame accidentally could allow the frame to fall to the roadway surface. The removal method must be accomplished without the use of tools or hardware. The hinge pin shall be a minimum of 6.35 mm (0.250") in diameter. The pin shall be spring loaded and retractable with a safety catch to hold the pin in the retracted position for ease of maintenance.

3.5.9 The suspended housing shall be divided into two compartments, one for the ballast and optical assembly, the other for wire connections. The optical chamber shall be sealed from the environment. The wire portal between compartments shall be sealed so as to prevent air exchange through the portal. There shall be an internally mounted breather mechanism to allow internal and external air pressure to equalize without permitting dust or water into the unit.

3.5.10 The ballast and all electrical equipment shall be mounted to a removable aluminum chassis with a minimum thickness of 3.175, (0.125"). The chassis shall be held in place with captive stainless steel hardware. The hardware shall include a bracket that can be loosened and shifted to allow the chassis to pivot away from fastened position for removal. The splice box shall include a heavy-duty 3 pole terminal block to accommodate #6 conductors and a KTK 2 amp fuse with HPC fuse holder or approved equal. Quick-connect power distribution terminal blocks shall be a molded thermoset plastic, rated 70A, 600V and have 3 poles, each with (4) .250 quick connect terminals. Operating temperature rating to be 150° C.

Input wire size shall accommodate #2-#14 AWG. Torque rating shall be 45 in./lb. Maximum. Agency approvals shall be UL E62622; CSA LR15364.

3.5.11 Ballast compartment surfaces shall be deburred and free of sharp edges, points or corners that may come in contact with installers or service personnel.

#### 4. Gasketing:

4.1 The junction between the lens frame and the ballast housing door and the housing shall be sealed with a one-piece vulcanized or molded high temperature solid silicone rubber gasket with the equivalent of a 60 Shore A durometer rating. The gasket between the lens frame and the luminaire housing shall be securely attached by mechanical means, such as a retaining lip to prevent the movement of the gasket. The gasket may not be secured by adhesive means exclusively. The lens and ballast housing doors shall be designed and constructed so they seal to the gasket on a flat surface. The frame shall not seal to the gasket using the edge of leg on a doorframe. The lens shall be sealed inside of the lens frame with the use of a one-piece solid silicone rubber gasket with ribbed flanges and a rating of 60 Shore A Durometer

4.2 The junction between conduit connections to the luminaire and the lens frame junction to the housing shall withstand entry of water when subjected to a water jet pressure of 207 kPa (30 lbs. Per sq. inch), tested under laboratory conditions. Submittal information shall include data relative to gasket thickness and density and the means of securing it in place.

#### 5. Mounting Brackets

5.1 The brackets shall be properly sized to accommodate the weight of the luminaire with calculations or other suitable reference documentation submitted to support the material choice.

5.2 The luminaire shall have an opening in the housing for installation (by others) of a 28.1 mm (3/4 inch) diameter flexible conduit. The location of the opening will be determined by the Engineer during the shop drawing review.

#### 6. Lamp Socket:

6.1 The lamp socket shall be a 4KV pulse rated mogul type, porcelain glazed enclosed, and be provided with grips, or other suitable means to hold the lamp against vibration. The rating of the socket shall exceed the lamp starting voltage, or starting pulse voltage rating.

6.2 If the lamp socket is of the sealed removable type, proper alignment of the socket shall be provided and molded into the socket assembly and indicated in a contrasting color.

6.3 If the lamp socket is adjustable, the factory setting must be indicated legibly in the luminaire housing.

7. ANSI Identification Decal:

A decal, complying to ANSI standard C136-15 for luminaire wattage and distribution type, shall be factory attached permanently to the luminaire. The information contained in the decal shall enable a viewer, from the ground level, to identify the lamp wattage and type of luminaire distribution.

8. Optical Assembly:

8.1 Lens and Lens Frame. The lens shall be made of crystal clear, impact and heat resistant tempered glass a minimum of 6.35 mm (0.25") thick. The lens shall be held in such a manner as to allow for its expansion and contraction, due to temperature variation. The lens shall be a flat glass design.

8.2 Reflector:

8.2.1 The reflector shall be hydro formed aluminum, 0.063" thick, bright-dip and clear anodized finish.

8.2.2 The reflector shall be secured with a stainless steel aircraft cable during maintenance operations.

8.2.3 If the reflector has multiple light distribution positions, each position must have positive stop/mounting with the original factory distribution identified.

8.2.4 The luminaire shall be photometrically efficient. Luminaire efficiency, defined by the I.E.S. as "the ratio of luminous flux (lumens) emitted by a luminaire to that emitted by the lamp or lamps used within", shall not be less than 67%. Submittal information shall include published efficiency data.

8.2.5 The reflector, the refractor or lens, and the entire optical assembly shall not develop any discoloration over the normal life span of the luminaire.

8.2.6 The reflector shall not be altered by paint or other opaque coatings which would cover or coat the reflecting surface. Control of the light distribution by any method other than the reflecting material and the aforementioned clear protective coating that will alter the reflective properties of the reflecting surface is unacceptable

9. Ballast:

9.1 The ballast shall be a High Pressure Sodium, high power factor, lead type, Isolated Regulator Ballast (CWI) or a Constant Wattage Auto-regulator (CWA), for operation on a nominal 240 volt system.

9.2 The ballast shall be designed to furnish proper electrical characteristics for starting and operating a high pressure sodium vapor lamp of the specified rating at ambient temperatures of -29 degrees to +40 degrees C. The ballast windings shall be adequately impregnated and treated for protection against the entrance of moisture, insulated with Class H insulation, and able to withstand the NEMA standard dielectric test.

9.3 The ballast shall include an electronic starting assembly. The starter assembly shall be comprised of solid state devices capable of withstanding ambient temperatures of 85 degrees C. The starter shall provide timed pulsing with sufficient follow-through current to completely ionize and start all lamps. Minimum amplitude of the pulse shall be 2,500 volts, with a width of one (1) microsecond at 2,250 volts, and shall be applied within 20 electrical degrees of the peak of the open circuit voltage wave with a repetition rate as recommended by the lamp manufacturer for the 60 cycle wave. The lamp peak pulse current shall be a minimum of 0.2 amperes. Proper ignition shall be provided over a range of input voltage from 216 to 264 volts. The starter component shall be field replaceable and completely interchangeable with no adjustment necessary for proper operation. The starter component shall have push-on type electrical terminations to provide good electrical and mechanical integrity and ease of replacement. Terminal configuration shall preclude improper insertion of plug-in components. The starter circuit board shall be treated in an approved manner to provide a water and contaminant-resistant coating.

9.4 The ballast shall have an overall power factor of at least 0.9 when operated under rated lamp load.

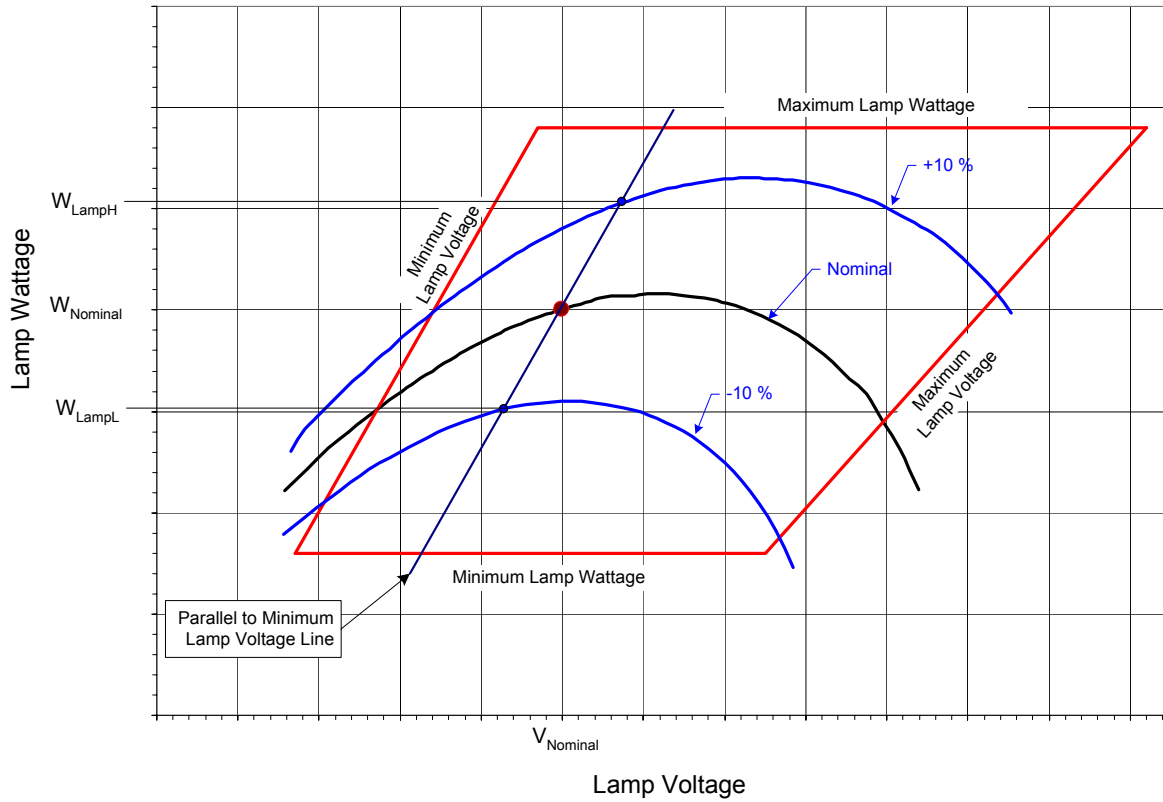
9.5 The ballast shall withstand a 2,500 volt dielectric test between the core and windings without damage to the insulation.

9.6 The ballast shall not subject the lamp to a crest factor exceeding 1.8 and shall operate the lamp without affecting adversely the lamp life and performance.

9.7 The ballast shall be designed to ANSI Standards and shall be designed and rated for operation on a nominal 240 volt system. The ballast shall provide positive lamp ignition at the input voltage of 216 volts. It shall operate the lamp over a range of input voltages from 216 to 264 volts without damage to the ballast. It shall provide lamp operation within lamp specifications for rated lamp life at input design voltage range. Operating characteristics shall produce output regulation not exceeding the following values:

Nominal Ballast Wattage	Maximum Ballast Regulation
750	25%
400	25%
310	26%
250	22%
150	22%
70	17%

For this measure, regulation shall be defined as the ratio of the lamp watt difference between the upper and lower operating curves to the nominal lamp watts; with the lamp watt difference taken within the ANSI trapezoid at the nominal lamp operating voltage point parallel to the minimum lamp volt line:



$$\text{Ballast Regulation} = \frac{W_{LampH} - W_{LampL}}{W_{LampN}} \times 100$$

where:

$W_{LampH}$  = lamp watts at +10% line voltage (264v)

$W_{LampL}$  = lamp watts at - 10% line voltage (216v)

$W_{lampN}$  = lamp watts at 240v"



9.8 Ballast losses, based on cold bench tests, shall not exceed the following values:

Nominal Ballast Wattage	Maximum Ballast Losses
750	16.0%
400	16.0%
310	19.0%
250	17.5%
150	26.0%
70	34.0%

Ballast losses shall be calculated based on input watts and lamp watts at nominal system voltage as indicated in the following equation:

$$\text{Ballast Losses} = \frac{W_{Line} - W_{Lamp}}{W_{Lamp}} \times 100$$

where:

$W_{line}$  = line watts at 240v

$W_{lamp}$  = lamp watts at 240v

9.9 Ballast output to lamp. At nominal system voltage and a lamp voltage of 52v, the ballast shall deliver a lamp wattage within  $\pm 4\%$  of the nominal lamp wattage. For a 70w luminaire, the ballast shall deliver 70 watts  $\pm 4\%$  at a lamp voltage of 52v for the nominal system voltage of 240v.

9.10 Ballast output over lamp life. Over the life of the lamp the ballast shall produce an average of the nominal lamp rating  $\pm 5\%$ . Lamp wattage readings shall be taken at 5-volt increments throughout the ballast trapezoid. The lamp wattage values shall then be averaged within the trapezoid and shall be within  $\pm 5\%$  of the nominal ballast rating. Submittal documents shall include a tabulation of the lamp wattage vs. lamp voltage readings.

9.11 The ballast shall be integral to the luminaire. The ballast components shall be mounted on a removable door or on a removable mounting tray. The ballast tray or mounting door shall be manufactured with dissimilar metal conflicts kept to a minimum.

9.12 Ballast wiring and lamp socket wiring shall be connected by means of keyed plugs. Upon unplugging the ballast wiring the entire ballast assembly shall be removable for maintenance. The plugs shall not be interchangeable to avoid improper connection of the assemblies.

9.13 The mounting adjustments and wiring terminals shall be readily accessible. The removable door or pad shall be secure when fastened in place and all individual components shall be secure upon the removable element. Upon ballast assembly removal, each component shall be readily removable for replacement.

9.14 The luminaire shall be completely wired. All wiring connections within the luminaire shall be made with insulated compression connectors or insulated terminal blocks. An insulated terminal block shall be provided to terminate the incoming supply wires. The terminal block shall be rated for 600 volts and shall accommodate wire sizes from #10 to #6 AWG. The use of "wire nuts" is unacceptable. A ground terminal shall be provided for the connection of a ground wire.

9.15 Ballast and lamp Leads shall not be smaller than #16 AWG conductors rated at a minimum temperature rating of 90° C.

9.16 All wires shall be coded by tagging and/or color coding for proper identification. A complete legible permanently attached wiring diagram (no smaller than 3" x 4" with a min. font size of 8 pts.) coordinated with the wire identifications shall be displayed at the convenient location on the interior of the luminaire. The wiring diagram shall be oriented so that it is right side up and readable when the luminaire is in the installed position.

9.17 The ballast shall not be excessively noisy. Noticeable noisy ballasts, as determined by the Engineer, shall be replaced at no additional cost to the State.

9.18 The ballast shall provide lamp operation within lamp specifications for the rated lamp life at the input design voltage range. It shall have a 6 month operation capability with a cycling lamp.

9.19 Submittal information shall include manufacturer's literature and data to confirm compliance with all specified requirements including an ANSI Standard Ballast Characteristic Graph (Trapezoid) diagram, with all items clearly identified.

10. Photometric Performance:

10.1 The luminaire photometric performance shall produce results equal to or better than those listed in the included Luminaire Performance Table. Submittal information shall include computer calculations based on the controlling given conditions which demonstrate achievement of all listed performance requirements. The computer calculations shall be done according to I.E.S. recommendations and the submitted calculations shall include point-by-point illuminance, luminance and veiling luminance as well as listings of all indicated averages and ratios as applicable. Acceptable programs to perform the calculations are: Micro-Site-Lite, Lumen Micro, and AGI32. The program used to perform the calculations shall be identified on the submittal. The submittal data shall also include all photometric calculations files (for either Micro-Site-Lite, Lumen Micro or AGI32) with the proposed photometric data on a CD ROM. The performance requirements shall define the minimum number of decimal places used in the calculations. Rounding of calculations shall not be allowed.

10.2 In addition to computer printouts of photometric performance, submittal information shall include: Descriptive literature; an Isofootcandle chart of horizontal lux (footcandles); Utilization curve; Isocandela diagram; Luminaire classification per ANSI designation; Candlepower values at every 2.5 degree intervals; Candlepower tables are to be provided on 3.5" diskette or CD ROM in the IES format as specified in IES publication LM-63.

IDOT DISTRICT 1 LUMINAIRE PERFORMANCE TABLE #1

5 Lane Cross Section

Given Conditions		
Roadway Data	Pavement Width	60 ft
	Number of Lanes	5
	I.E.S. Surface Classification	R3
	Q-Zero Value	.07
Light Pole Data	Mounting Height	16 ft
	Mast Arm Length	0 ft
	Pole Set-Back From Edge of Pavement	2 ft
Luminaire Data	Lamp Type	HPS
	Lamp Lumens	9,500

	I.E.S. Vertical Distribution	Medium
	I.E.S. Control Of Distribution	Cutoff
	I.E.S. Lateral Distribution	IV
	Total Light Loss Factor	0.65
Layout Data	Spacing	35 ft
	Configuration	Opposite Side
	Luminaire Overhang over edge of pavement	-2 ft

**NOTE:** Variations from the above specified I.E.S. distribution pattern may be requested and acceptance of variations will be subject to review by the Engineer based on how well the performance requirements are met.

Performance Requirements

**NOTE:** These performance requirements shall be the minimum acceptable standards of photometric performance for the luminaire, based on the given conditions listed above.

Illumination	Ave. Horizontal Illumination, $E_{AVE}$	18 Lux
	Uniformity Ratio, $E_{AVE}/E_{MIN}$	:1
Luminance	Average Luminance, $L_{AVE}$	1.2 Cd/m <sup>2</sup>
	Uniformity Ratio, $L_{AVE}/L_{MIN}$	2.5:1 (Max)
	Uniformity Ratio, $L_{MAX}/L_{MIN}$	4:1 (Max)
	Veiling Luminance Ratio, $L_V/L_{AVE}$	0.25:1 (Max)

IDOT DISTRICT 1 LUMINAIRE PERFORMANCE TABLE #2  
 4 Lane Cross Section

Given Conditions		
Roadway Data	Pavement Width	48 ft
	Number of Lanes	4
	I.E.S. Surface Classification	R3
	Q-Zero Value	.07
Light Pole Data	Mounting Height	16 ft
	Mast Arm Length	0 ft
	Pole Set-Back From Edge of Pavement	2 ft
Luminaire Data	Lamp Type	HPS
	Lamp Lumens	9,500
	I.E.S. Vertical Distribution	Medium
	I.E.S. Control Of Distribution	Cutoff
	I.E.S. Lateral Distribution	IV
	Total Light Loss Factor	0.65
Layout Data	Spacing	35 ft
	Configuration	Opposite Side
	Luminaire Overhang over edge of pavement	-2 ft

**NOTE:** Variations from the above specified I.E.S. distribution pattern may be requested and acceptance of variations will be subject to review by the Engineer based on how well the performance requirements are met.

Performance Requirements
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**NOTE:** These performance requirements shall be the minimum acceptable standards of photometric performance for the luminaire, based on the given conditions listed above.

Illumination	Ave. Horizontal Illumination, $E_{AVE}$	18 Lux
	Uniformity Ratio, $E_{AVE}/E_{MIN}$	2.5:1
Luminance	Average Luminance, $L_{AVE}$	1.2 Cd/m <sup>2</sup>
	Uniformity Ratio, $L_{AVE}/L_{MIN}$	2.5:1
	Uniformity Ratio, $L_{MAX}/L_{MIN}$	4:1
	Veiling Luminance Ratio, $L_V/L_{AVE}$	0.25:1

IDOT DISTRICT 1 LUMINAIRE PERFORMANCE TABLE #3  
 3 Lane Cross Section

Given Conditions

Roadway Data	Pavement Width	36 ft
	Number of Lanes	3
	I.E.S. Surface Classification	R3
	Q-Zero Value	.07
Light Pole Data	Mounting Height	16 ft
	Mast Arm Length	0 ft
	Pole Set-Back From Edge of Pavement	2 ft
Luminaire Data	Lamp Type	HPS
	Lamp Lumens	6,300
	I.E.S. Vertical Distribution	Medium

	I.E.S. Control Of Distribution	Cutoff
	I.E.S. Lateral Distribution	III
	Total Light Loss Factor	0.65
Layout Data	Spacing	45 ft
	Configuration	Opposite Side
	Luminaire Overhang over edge of pavement	-2 ft

**NOTE:** Variations from the above specified I.E.S. distribution pattern may be requested and acceptance of variations will be subject to review by the Engineer based on how well the performance requirements are met.

Performance Requirements

**NOTE:** These performance requirements shall be the minimum acceptable standards of photometric performance for the luminaire, based on the given conditions listed above.

Illumination	Ave. Horizontal Illumination, $E_{AVE}$	18 Lux
	Uniformity Ratio, $E_{AVE}/E_{MIN}$	2.5:1
Luminance	Average Luminance, $L_{AVE}$	1.2 Cd/m <sup>2</sup>
	Uniformity Ratio, $L_{AVE}/L_{MIN}$	2.5:1
	Uniformity Ratio, $L_{MAX}/L_{MIN}$	4:1
	Veiling Luminance Ratio, $L_V/L_{AVE}$	0.30:1

IDOT DISTRICT 1 LUMINAIRE PERFORMANCE TABLE #4  
 2 Lane Cross Section

Given Conditions
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Roadway Data	Pavement Width	24 ft
	Number of Lanes	2
	I.E.S. Surface Classification	R3
	Q-Zero Value	.07
Light Pole Data	Mounting Height	16 ft
	Mast Arm Length	0 ft
	Pole Set-Back From Edge of Pavement	2 ft
Luminaire Data	Lamp Type	HPS
	Lamp Lumens	6,300
	I.E.S. Vertical Distribution	Medium
	I.E.S. Control Of Distribution	Cutoff
	I.E.S. Lateral Distribution	III
	Total Light Loss Factor	0.65
Layout Data	Spacing	30 ft
	Configuration	Single Side
	Luminaire Overhang over edge of pavement	-2 ft

**NOTE:** Variations from the above specified I.E.S. distribution pattern may be requested and acceptance of variations will be subject to review by the Engineer based on how well the performance requirements are met.

Performance Requirements
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**NOTE:** These performance requirements shall be the minimum acceptable standards of photometric performance for the luminaire, based on the given conditions listed above.



Illumination	Ave. Horizontal Illumination, $E_{AVE}$	18 Lux
	Uniformity Ratio, $E_{AVE}/E_{MIN}$	3:1
Luminance	Average Luminance, $L_{AVE}$	1.2 Cd/m <sup>2</sup>
	Uniformity Ratio, $L_{AVE}/L_{MIN}$	3:1
	Uniformity Ratio, $L_{MAX}/L_{MIN}$	5:1
	Veiling Luminance Ratio, $L_V/L_{AVE}$	0.30:1

IDOT DISTRICT 1 LUMINAIRE PERFORMANCE TABLE #5  
 1 Lane Cross Section

Given Conditions

Roadway Data	Pavement Width	16 ft
	Number of Lanes	1
	I.E.S. Surface Classification	R3
	Q-Zero Value	.07
Light Pole Data	Mounting Height	16 ft
	Mast Arm Length	0 ft
	Pole Set-Back From Edge of Pavement	5 ft
Luminaire Data	Lamp Type	HPS
	Lamp Lumens	6,300
	I.E.S. Vertical Distribution	Medium
	I.E.S. Control Of Distribution	Cutoff

	I.E.S. Lateral Distribution	III
	Total Light Loss Factor	0.65
Layout Data	Spacing	35 ft
	Configuration	Single Side
	Luminaire Overhang over edge of pavement	-5 ft

**NOTE:** Variations from the above specified I.E.S. distribution pattern may be requested and acceptance of variations will be subject to review by the Engineer based on how well the performance requirements are met.

Performance Requirements

**NOTE:** These performance requirements shall be the minimum acceptable standards of photometric performance for the luminaire, based on the given conditions listed above.

Illumination	Ave. Horizontal Illumination, $E_{AVE}$	18 Lux
	Uniformity Ratio, $E_{AVE}/E_{MIN}$	2.5:1
Luminance	Average Luminance, $L_{AVE}$	1.2 Cd/m <sup>2</sup>
	Uniformity Ratio, $L_{AVE}/L_{MIN}$	2.5:1
	Uniformity Ratio, $L_{MAX}/L_{MIN}$	4:1
	Veiling Luminance Ratio, $L_V/L_{AVE}$	0.30:1

11. Independent Testing:

11.1 Independent testing of luminaires shall be required whenever the quantity of luminaires of a given wattage and distribution, as indicated on the plans, is 50 or more. For each luminaire type to be so tested, one luminaire plus one luminaire for each 50 luminaires shall be tested. Example: *A plan quantity of 75 luminaires would dictate that 2 to be tested; 135 luminaires would dictate that three be tested.*

11.2 The Contractor shall be responsible for all costs associated with the specified testing, including but not limited to shipping, travel and lodging costs as well as the costs of the tests themselves, all as part of the bid unit price for this item. Travel, lodging and other associated costs for travel by the Engineer shall be direct-billed to or shall be pre-paid by the Contractor, requiring no direct reimbursement to the Engineer or the independent witness, as applicable.

11.3 Commitment to test. The Vendor shall select one of the following options for the required testing with the Engineer's approval:

a. Engineer Factory Selection for Independent Lab: The Contractor may select this option if the luminaire manufacturing facility is within the state of Illinois. The Contractor shall propose an independent test laboratory for approval by the Engineer. The selected luminaires shall be marked by the Engineer and shipped to the independent laboratory for tests.

b. Engineer Witness of Independent Lab Test: The Contractor may select this option if the independent testing laboratory is within the state of Illinois. The Engineer shall select, from the project luminaires at the manufacturer's facility or at the Contractor's storage facility, luminaires for testing by the independent laboratory.

c. Independent Witness of Manufacturer Testing: The independent witness shall select from the project luminaires at the manufacturer's facility or at the Contractor's storage facility, the luminaires for testing. The Contractor shall propose a qualified independent agent, familiar with the luminaire requirements and test procedures, for approval by the Engineer, to witness the required tests as performed by the luminaire manufacturer. The independent witness shall:

Have been involved with roadway lighting design for at least 15 years.

Not have been the employee of a luminaire or ballast manufacturer within the last 5 years.

Be a member of IESNA in good standing.

Provide a list of professional references.

d. Engineer Factory Selection and Witness of Manufacturer Testing: The Contractor may select this option if the manufacturing facility is within the state of Illinois. At the manufacturer's facility, the Engineer shall select the luminaires to be tested and shall be present during the testing process. The Contractor shall schedule travel by the Engineer to and from the Manufacturer's laboratory to witness the performance of the required tests.

In all cases, the selection of luminaires shall be a random selection from the entire completed lot of luminaires required for the contract. Selections from partial lots will not be allowed. The selection of the testing option shall be presented with the information submitted for approval. The proposed independent laboratory or independent witness shall be included with that information. The selection of the testing option shall be presented with the information submitted for approval. The proposed independent laboratory or independent witness shall be included with that information.

11.4 The testing performed shall include photometric, electrical, heat and water jet testing.

11.5 Photometric testing shall be in accordance with IES recommendations except that the selected luminaire(s) shall be tested as manufactured without any disassembly or modification and, as a minimum shall yield an isofootcandle chart, with max candela point and half candela trace indicated, an isocandela diagram, maximum plane and cone plots of candela, a candlepower table (house and street side), a coefficient of utilization chart, a luminous flux distribution table, and complete calculations based on specified requirements and tests.

11.6 Electrical testing shall conform to NEMA and ANSI standards and as a minimum, shall yield a complete check of wiring connections, a ballast dielectric test, total ballast losses in watts and percent of input, a lamp volt-watt trace, regulation data, a starter test, lamp current crest factor, power factor (minimum over the design range of input voltage at nominal lamp voltage) and, a table of ballast characteristics showing input amperes, watts and power factor, output volts, amperes, watts and lamp crest factor as well as ballast losses over the range of values required to produce the lamp volt-watt trace. Ballast test data shall also be provided in an electronic format acceptable to the Engineer to demonstrate compliance with sections 9.7, 9.8, 9.9 and 9.10.

11.7 Heat Testing. Heat testing shall be conducted to ensure that the luminaire complies with UL 1572. An ambient temperature of 40 degrees centigrade (104 degrees F) shall be used for the test.

11.8 Water spray test. The luminaires must pass the following water spray test.:

A spray apparatus consisting of four spray nozzles set at an angle of 30 degrees from the vertical plane space 30 inches apart on a 2 inch pipe, each delivering 12 gallons of water per minute at a minimum of 100 psi at each nozzle in a 90 degree cone. A water pressure gauge shall be installed at the first nozzle.

The luminaires shall be mounted in a ceiling configuration and with each nozzle set a distance of 18 inches below the fixture in the vertical plane and 18 inches away in the horizontal plane from the fixture lens, apply spray for a duration of 3 minutes at a minimum of 100 psi. When opened, the fixture shall not show any signs of leakage.

The above test shall be repeated in the opposite horizontal plane from the fixture lens with no signs of leakage.

The summary report and the test results shall be certified by the independent test laboratory or the independent witness, as applicable, and shall be sent by certified mail directly to the Engineer. A copy of this material shall be sent to the Contractor and luminaire manufacturer at the same time.

11.9 Should any of the tested luminaires of a given distribution type and wattage fail to satisfy the specifications and perform according to approved submittal information, the luminaire of that distribution type and wattage shall be unacceptable and be replaced by alternate equipment meeting the specifications with the submittal and testing process repeated in their entirety; or corrections made to achieve required performance. In the case of corrections, the Vendor shall advise the Engineer of corrections made and shall request a repeat of the specified testing and, if the corrections are deemed reasonable by the Engineer, the testing process shall be repeated. The number of luminaires to be tested shall be the same quantity as originally tested. Luminaires which are not modified or corrected shall not be re-tested without prior approval from the Engineer.

Coordination shall be the Vendor's responsibility. Failure to coordinate arrangements and notice shall not be grounds for additional compensation or extension of time.

Submittal information shall include a statement of intent to provide the testing as well as a request for approval of the chosen laboratory.

## 12. Installation.

12.1 Underpass luminaires shall be either attached to structures (such as piers, etc.) or suspended from structures (such as bridge decks) as indicated or implied by the configuration on the Plans. Mounting, including all hardware and apparent items, shall be included as part of this item.

12.2 Unless otherwise indicated, suspended underpass luminaires shall be installed one-inch above the lowest underpass beam and shall be mounted using vibration dampening assemblies. All mounting hardware shall be corrosion resistant and shall be stainless steel unless otherwise indicated.

12.3 The Engineer reserves the right to select the final light distribution pattern, luminaire aiming angle and change it as deemed necessary to produce the proper pavement luminance.

12.4 Surface mounted luminaires, all luminaires not mounted on suspension rods, shall have one-inch thick stainless steel spacers installed between the luminaire and the deck or wall.

13. Guarantee.

The Vendor shall provide a written guarantee for materials, and workmanship for a period of 6 months after final acceptable of the lighting system.

14. Documentation.

All instruction sheets required to be furnished by the manufacturer for materials and supplies and for operation of the equipment shall be delivered to the Engineer.

The manufacturer shall have been incorporated for at least five years and shall have at least five years in the design and manufacturing of roadway underpass lighting. The manufacturer shall provide evidence of financial strength to finance the production of the project by submitting the name of at least three projects completed in the previous calendar year of greater than \$250,000 each. All steel used in the project shall be certified to be provided domestically, and all fixture components used shall be manufactured domestically.

15. **Method of Measurement.** Luminaires shall be counted, each.

16. **Basis of Payment.** This item shall be paid at the contract unit price each for **UNDERPASS LUMINAIRE**, of the wattage specified, **HIGH PRESSURE SODIUM VAPOR, STAINLESS STEEL HOUSING** which shall be payment in full for the material and work described herein.

## **MAINTENANCE OF LIGHTING SYSTEMS**

Effective: January 1, 2007

Replace Article 801.11 and 801.12 of the Standard Specifications with the following:

Effective the date the Contractor's activities (electrical or otherwise) at the job site begin, the Contractor shall be responsible for the proper operation and maintenance of all existing and proposed lighting systems which are part of, or which may be affected by the work until final acceptance or as otherwise determined by the Engineer.

Before performing any excavation, removal, or installation work (electrical or otherwise) at the site, the Contractor shall initiate a request for a maintenance transfer and preconstruction inspection, as specified elsewhere herein, to be held in the presence of the Engineer and a representative of the party or parties responsible for maintenance of any lighting systems which may be affected by the work. The request for the maintenance preconstruction inspection shall be made no less than seven (7) calendar days prior to the desired inspection date.

Existing lighting systems, when depicted on the plans, are intended only to indicate the general equipment installation of the systems involved and shall not be construed as an exact representation of the field conditions. It remains the Contractor's responsibility to visit the site to confirm and ascertain the exact condition of the electrical equipment and systems to be maintained.

### Maintenance of Existing Lighting Systems

**Existing lighting systems.** Existing lighting systems shall be defined as any lighting system or part of a lighting system in service prior to this contract. The contract drawings indicate the general extent of any existing lighting, but whether indicated or not, it remains the Contractor's responsibility to ascertain the extent of effort required for compliance with these specifications and failure to do so will not be justification for extra payment or reduced responsibilities.

### Extent of Maintenance.

**Partial Maintenance.** Unless otherwise indicated, if the number of circuits affected by the contract is equal to or less than 40% of the total number of circuits in a given controller and the controller is not part of the contract work, the Contractor needs only to maintain the affected circuits. The affected circuits shall be isolated by means of in-line waterproof fuse holders as specified elsewhere and as approved by the Engineer.

**Full Maintenance.** If the number of circuits affected by the contract is greater than 40% of the total number of circuits in a given controller, or if the controller is modified in any way under the contract work, the Contractor shall maintain the entire controller and all associated circuits.

Maintenance of Proposed Lighting Systems

**Proposed Lighting Systems.** Proposed lighting systems shall be defined as any lighting system or part of a lighting system which is to be constructed under this contract.

The Contractor shall be fully responsible for maintenance of all items installed under this contract. Maintenance shall include, but not be limited to, any equipment failures or malfunctions as well as equipment damage either by the motoring public, Contractor operations, or other means. The potential cost of replacing or repairing any malfunctioning or damaged equipment shall be included in the bid price of this item and will not be paid for separately.

Lighting System Maintenance Operations

The Contractor's responsibility shall include all applicable responsibilities of the Electrical Maintenance Contract, State of Illinois, Department of Transportation, Division of Highways, District One. These responsibilities shall include the maintenance of lighting units (including sign lighting), cable runs and lighting controls. In the case of a pole knockdown or sign light damage caused by normal vehicular traffic, the Contractor shall promptly clear the lighting unit and circuit discontinuity and restore the system to service.

Responsibilities shall also include weekly night-time patrol of the lighting system, with patrol reports filed immediately with the Engineer and with deficiencies corrected within 24 hours of the patrol. Patrol reports shall be presented on standard forms as designated by the Engineer. Uncorrected deficiencies may be designated by the Engineer as necessitating emergency repairs as described elsewhere herein.

The following chart lists the maximum response, service restoration, and permanent repair time the Contractor will be allowed to perform corrective action on specific lighting system equipment.

INCIDENT OR PROBLEM	SERVICE RESPONSE TIME	SERVICE RESTORATION TIME	PERMANENT REPAIR TIME
---------------------------	-----------------------------	--------------------------------	-----------------------------



Control cabinet out	1 hour	4 hours	7 Calendar days
Hanging mast arm	1 hour to clear	na	7 Calendar days
Radio problem	1 hour	4 hours	7 Calendar days
Motorist caused damage or leaning light pole 10 degrees or more	1 hour to clear	4 hours	7 Calendar days
Circuit out – Needs to reset breaker	1 hour	4 hours	na
Circuit out – Cable trouble	1 hour	24 hours	21 Calendar days
Outage of 3 or more successive lights	1 hour	4 hours	na
Outage of 75% of lights on one tower	1 hour	4 hours	na
Outage of light nearest RR crossing approach, Islands and gores	1 hour	4 hours	na
Outage (single or multiple) found on night outage survey or reported to EMC	na	na	7 Calendar days
Navigation light outage	na	na	24 hours

**Service Response Time** -- amount of time from the initial notification to the Contractor until a patrolman physically arrives at the location.

**Service Restoration Time** – amount of time from the initial notification to the Contractor until the time the system is fully operational again (In cases of motorist caused damage the undamaged portions of the system are operational.)

**Permanent Repair Time** – amount of time from initial notification to the Contractor until the time permanent repairs are made if the Contractor was required to make temporary repairs to meet the service restoration requirement.

Failure to provide this service will result in liquidated damages of \$500 per day per occurrence. In addition, the Department reserves the right to assign any work not completed within this timeframe to the Electrical Maintenance Contractor. All costs associated to repair this uncompleted work shall be the responsibility of the Contractor. Failure to pay these costs to the Electrical Maintenance Contractor within one month after the incident will result in additional liquidated damages of \$500 per month per occurrence. Unpaid bills will be deducted from the cost of the Contract. Repeated failures and/or a gross failure of maintenance shall result in the State's Electrical Maintenance Contractor being directed to correct all deficiencies and the resulting costs deducted from any monies owed the contractor.

Damage caused by the Contractor's operations shall be repaired at no additional cost to the Contract.

#### Operation of Lighting

The lighting shall be operational every night, dusk to dawn. Duplicate lighting systems (such as temporary lighting and proposed new lighting) shall not be operated simultaneously. Lighting systems shall not be kept in operation during long daytime periods. The contractor shall demonstrate to the satisfaction of the Engineer that the lighting system is fully operational prior to submitting a pay request. Failure to do so will be grounds for denying the pay request.

**Basis of Payment.** Maintenance of lighting systems shall be paid for at the contract unit price per calendar month or fraction thereof for **MAINTENANCE OF LIGHTING SYSTEM**, which shall include all work as described herein.

#### **LUMINAIRE**

Effective: January 1, 2007

Add the following to first paragraph of Article 1067(c) of the Standard Specifications:

"The reflector shall not be altered by paint or other opaque coatings which would cover or coat the reflecting surface. Control of the light distribution by any method other than the reflecting material and the aforementioned clear protective coating that will alter the reflective properties of the reflecting surface is unacceptable"

Add the following to Article 1067(e) of the Standard Specifications:

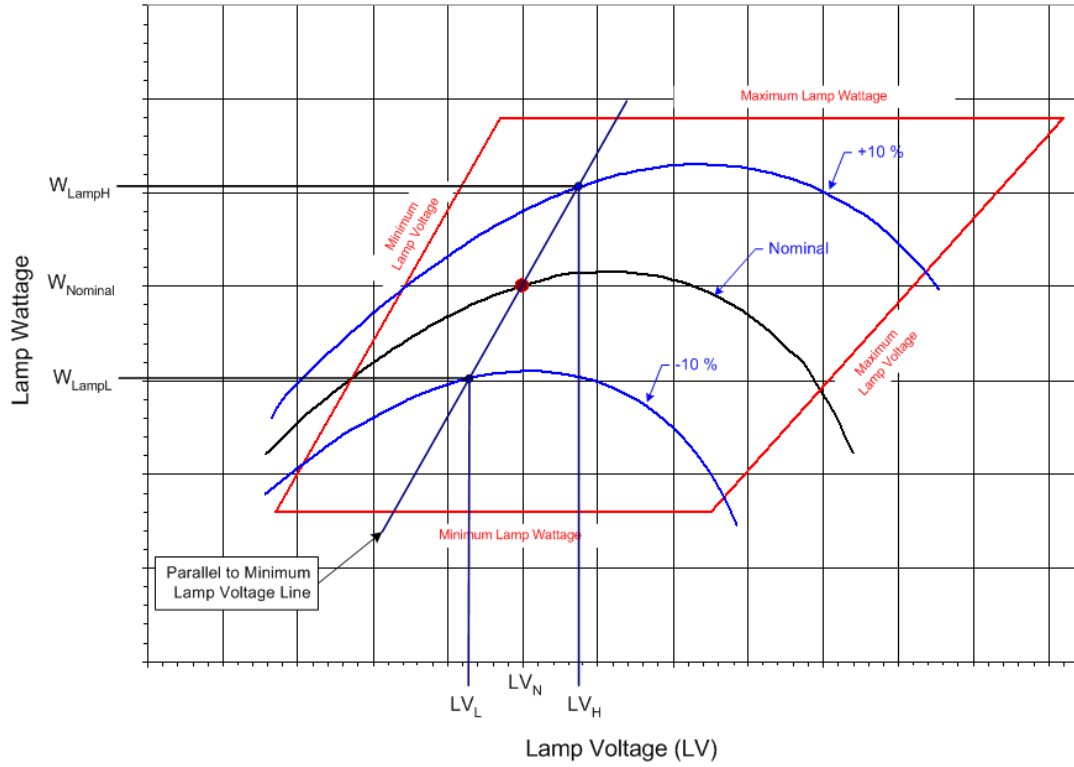
"The ballast shall be a High Pressure Sodium, high power factor, constant wattage auto-regulator, lead type (CWA) for operation on a nominal 240 volt system."

Revise Article 1067(e)(1) of the Standard Specifications to read:

“The high pressure sodium, auto-regulator, lead type (CWA) ballast shall be designed to ANSI Standards and shall be designed and rated for operation on a nominal 240 volt system. The ballast shall provide positive lamp ignition at the input voltage of 216 volts. It shall operate the lamp over a range of input voltages from 216 to 264 volts without damage to the ballast. It shall provide lamp operation within lamp specifications for rated lamp life at input design voltage range. Operating characteristics shall produce output regulation not exceeding the following values:

Nominal Ballast Wattage	Maximum Ballast Regulation
750	25%
400	26%
310	26%
250	26%
150	24%
70	18%

For this measure, regulation shall be defined as the ratio of the lamp watt difference between the upper and lower operating curves to the nominal lamp watts; with the lamp watt difference taken within the ANSI trapezoid at the nominal lamp operating voltage point parallel to the minimum lamp volt line:



$$\text{Ballast Regulation} = \frac{W_{LampH} - W_{LampL}}{W_{LampN}} \times 100$$

where:

$W_{LampH}$  = lamp watts at +10% line voltage when Lamp voltage =  $LV_H$

$W_{LampL}$  = lamp watts at - 10% line voltage when lamp voltage =  $LV_L$

$W_{lampN}$  = lamp watts at nominal lamp operating voltage =  $LV_N$

Wattage	Nominal Lamp Voltage, LV <sub>N</sub>	LV <sub>L</sub>	LV <sub>H</sub>
750	120v	115v	125v
400	100v	95v	105v
310	100v	95v	105v
250	100v	95v	105v
150	55v	50v	60v
70	52v	47v	57v

Ballast losses, based on cold bench tests, shall not exceed the following values:

Nominal Wattage	Ballast Maximum Ballast Losses
750	14.0%
400	17.0%
310	19.0%
250	19.0%
150	26.0%
70	34.0%

Ballast losses shall be calculated based on input watts and lamp watts at nominal system voltage as indicated in the following equation:

$$\text{Ballast Losses} = \frac{W_{Line} - W_{Lamp}}{W_{Lamp}} \times 100$$

where:

$W_{line}$  = line watts at nominal system voltage

$W_{lamp}$  = lamp watts at nominal system voltage

Ballast output to lamp. At nominal system voltage and nominal lamp voltage, the ballast shall deliver lamp wattage with the variation specified in the following table. Example: *For a 400w luminaire, the ballast shall deliver 400 watts  $\pm 2.5\%$  at a lamp voltage of 100v for the nominal system voltage of 240v which is the range of 390w to 410w.*

Nominal Ballast Wattage	Output to lamp variation
750	$\pm 2.0\%$
400	$\pm 2.5\%$
310	$\pm 2.5\%$
250	$\pm 4.0\%$
150	$\pm 4.0\%$
70	$\pm 4.0\%$

Ballast output over lamp life. Over the life of the lamp the ballast shall produce average output wattage of the nominal lamp rating as specified in the following table. Lamp wattage readings shall be taken at 5-volt increments throughout the ballast trapezoid. Reading shall begin at the lamp voltage ( $L_v$ ) specified in the table and continue at 5 volt increments until the right side of the trapezoid is reached. The lamp wattage values shall then be averaged and shall be within the specified value of the nominal ballast rating. Submittal documents shall include a tabulation of the lamp wattage vs. lamp voltage readings. Example: *For a 400w luminaire, the averaged lamp wattage reading shall not exceed the range of  $\pm 3\%$  which is 388 to 412 watts'*

Nominal Ballast Wattage	LV Readings begin at	Maximum Wattage Variation
750	110v	$\pm 3\%$
400	90v	$\pm 3\%$
310	90v	$\pm 3\%$
250	90v	$\pm 4\%$
150	50v	$\pm 4\%$
70	45v	$\pm 5\%$

Add the following to Article 1067(f) of the Standard Specifications:

“Independent Testing. Independent testing of luminaires shall be required whenever the quantity of luminaires of a given wattage and distribution, as indicated on the plans, is 50 or more. For each luminaire type to be so tested, one luminaire plus one luminaire for each 50 luminaires shall be tested.

Example: *A plan quantity of 75 luminaires would dictate that 2 to be tested; 135 luminaires would dictate that three be tested.*” If the luminaire performance table is missing from the contract documents, the luminaire(s) shall be tested and the test results shall be evaluated against the manufacturer’s published data. The test luminaire(s) results shall be equal to or better than the published data. If the test results indicated performance not meeting the published data, the test luminaire will be designated as failed and corrective action as described herein shall be performed.

The Contractor shall be responsible for all costs associated with the specified testing, including but not limited to shipping, travel and lodging costs as well as the costs of the tests themselves, all as part of the bid unit price for this item. Travel, lodging and other associated costs for travel by the Engineer shall be direct-billed to or shall be pre-paid by the Contractor, requiring no direct reimbursement to the Engineer or the independent witness, as applicable”

The Contractor shall select one of the following options for the required testing with the Engineer’s approval:

- a. Engineer Factory Selection for Independent Lab: The Contractor may select this option if the luminaire manufacturing facility is within the state of Illinois. The Contractor shall propose an independent test laboratory for approval by the Engineer. The selected luminaires shall be marked by the Engineer and shipped to the independent laboratory for tests.
- b. Engineer Witness of Independent Lab Test: The Contractor may select this option if the independent testing laboratory is within the state of Illinois. The Engineer shall select, from the project luminaires at the manufacturer’s facility or at the Contractor’s storage facility, luminaires for testing by the independent laboratory.
- c. Independent Witness of Manufacturer Testing: The independent witness shall select from the project luminaires at the manufacturers facility or at the Contractor’s storage facility, the luminaires for testing. The Contractor shall propose a qualified independent agent, familiar with the luminaire requirements and test procedures, for approval by the Engineer, to witness the required tests as performed by the luminaire manufacturer.

The independent witness shall as a minimum meet the following requirements:

Have been involved with roadway lighting design for at least 15 years.

Not have been the employee of a luminaire or ballast manufacturer within the last 5 years.

Not associated in any way (plan preparation, construction or supply) with the particular project being tested.

Be a member of IESNA in good standing.

Provide a list of professional references.

This list is not an all inclusive list and the Engineer will make the final determination as to the acceptability of the proposed independent witness.

d. Engineer Factory Selection and Witness of Manufacturer Testing: The Contractor may select this option if the luminaire manufacturing facility is within the state of Illinois. At the Manufacturer’s facility, the Engineer shall select the luminaires to be tested and shall be present during the testing process. The Contractor shall schedule travel by the Engineer to and from the Manufacturer’s laboratory to witness the performance of the required tests.”

Add the following to Article 1067.02(a)(1) of the Standard Specifications:

“The beam of maximum candlepower for luminaires specified or shown to have a ‘medium’ distribution shall be at 70 degrees from the horizontal ± 2.5 degrees. Submittal information shall identify the angle.”

Revise Article 1067.06(a)(1) of the Standard Specifications to read:

“The lamps shall be of the clear type and shall have a color of 1900° to 2200° Kelvin.”

Revise Article 1067.06(a)(4) of the Standard Specifications to read:

Lamp Wattage	Initial Lumens	Mean Lumens	Rated Life (Hours)	Lamp Voltage
50	4,000	3,600	24,000	52
70	6,300	5,450	24,000	52
100	9,400	8,000	24,000	55
150	15,800	13,800	24,000	55
200	21,400	19,260	24,000	100
250	27,000	24,300	24,000	100
310	37,000	33,300	24,000	100



400	50,000	45,000	24,000	100
750	105,000	94,500	24,000	120

Add the following table(s) to Article 1067 of the Standard Specifications:

IDOT DISTRICT 1 LUMINAIRE PERFORMANCE TABLE

Given Conditions													
Roadway Data	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;">Pavement Width</td> <td style="text-align: right; border-bottom: 1px solid black;">(ft)</td> </tr> <tr> <td>Number of Lanes</td> <td style="text-align: right; border-bottom: 1px solid black;"></td> </tr> <tr> <td>I.E.S. Surface Classification</td> <td style="text-align: right; border-bottom: 1px solid black;">R3</td> </tr> <tr> <td>Q-Zero Value</td> <td style="text-align: right; border-bottom: 1px solid black;">.07</td> </tr> </table>	Pavement Width	(ft)	Number of Lanes		I.E.S. Surface Classification	R3	Q-Zero Value	.07				
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Q-Zero Value	.07												
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Mounting Height	(ft)												
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Luminaire Data	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;">Lamp Type</td> <td style="text-align: right; border-bottom: 1px solid black;">HPS</td> </tr> <tr> <td>Lamp Lumens</td> <td style="text-align: right; border-bottom: 1px solid black;"></td> </tr> <tr> <td>I.E.S. Vertical Distribution</td> <td style="text-align: right; border-bottom: 1px solid black;">Medium</td> </tr> <tr> <td>I.E.S. Control Of Distribution</td> <td style="text-align: right; border-bottom: 1px solid black;">Cutoff</td> </tr> <tr> <td>I.E.S. Lateral Distribution</td> <td style="text-align: right; border-bottom: 1px solid black;">Type I</td> </tr> <tr> <td>Total Light Loss Factor</td> <td style="text-align: right; border-bottom: 1px solid black;"></td> </tr> </table>	Lamp Type	HPS	Lamp Lumens		I.E.S. Vertical Distribution	Medium	I.E.S. Control Of Distribution	Cutoff	I.E.S. Lateral Distribution	Type I	Total Light Loss Factor	
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Lamp Lumens													
I.E.S. Vertical Distribution	Medium												
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I.E.S. Lateral Distribution	Type I												
Total Light Loss Factor													
Layout Data	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;">Spacing</td> <td style="text-align: right; border-bottom: 1px solid black;">(ft)</td> </tr> <tr> <td>Configuration</td> <td style="text-align: right; border-bottom: 1px solid black;">Single Sided</td> </tr> <tr> <td>Luminaire Overhang over edge of pavement</td> <td style="text-align: right; border-bottom: 1px solid black;">(ft)</td> </tr> </table>	Spacing	(ft)	Configuration	Single Sided	Luminaire Overhang over edge of pavement	(ft)						
Spacing	(ft)												
Configuration	Single Sided												
Luminaire Overhang over edge of pavement	(ft)												

**NOTE:** Variations from the above specified I.E.S. distribution pattern may be requested and acceptance of variations will be subject to review by the Engineer based on how well the performance requirements are met.

Performance Requirements

**NOTE:** These performance requirements shall be the minimum acceptable standards of photometric performance for the luminaire, based on the given conditions listed above.

Illumination	Ave. Horizontal Illumination, $E_{AVE}$	Lux
	Uniformity Ratio, $E_{AVE}/E_{MIN}$	(Max)
<hr/>		
Luminance	Average Luminance, $L_{AVE}$	Cd/m <sup>2</sup>
	Uniformity Ratio, $L_{AVE}/L_{MIN}$	(Max)
	Uniformity Ratio, $L_{MAX}/L_{MIN}$	(Max)
	Veiling Luminance Ratio, $L_V/L_{AVE}$	(Max)
<hr/>		

**PROTECTION AND MAINTENANCE OF EXISTING UNDERPASS LIGHTING**

Effective: January 1, 2007

**Description:** This item shall consist of providing protection, temporary support, removal and reattachment as required, of the existing underpass lighting system. The system consists of, but not limited to, luminaires, junction boxes, raceways, support equipment and conductors. Any wiring required to maintain the operation of the underpass or other circuits feed through the underpass lighting system shall be included in this item.

**Materials.** Materials shall be according to the following Articles of Section 1000 - Materials

Item	Article/Section
(a) Electric Raceway Material.....	1088
(b) Conductors.....	1066.02
(c) Insulation.....	1066.03(b)

## CONSTRUCTION REQUIREMENTS

**General.** Before performing any work, an inventory of all missing hardware of the existing lighting system shall be taken jointly by the Contractor and the Engineer.

**Protection During Deck Reconstruction:** Luminaires and conduit hangers attached to the bridge deck shall be removed prior to the removal of the existing bridge deck. The luminaires and the conduits shall be temporarily supported during bridge deck reconstruction. The method of support shall be structurally equivalent to the existing system and shall be approved by the Engineer. Existing vertical clearances shall be maintained at all times.

The underpass luminaires and hardware shall be protected from overhead debris during the removal and reconstruction of the bridge deck. The underpass luminaire protection shall be coordinated with the protective shield as described elsewhere in these Special Provisions.

The underpass lighting system shall be protected from spills and over-spray during any painting operations. Spills and over-spray shall be removed by the Contractor at no additional expense to the State. If spills or over-spray occur on the luminaire lens, the luminaire lens shall be replaced with new lens from the luminaire manufacturer at no additional cost to the State.

Prior to bridge deck removal the Contractor shall measure and log the location of all existing conduit and luminaire hangers for reattachment purposes. Upon completion of the bridge deck reconstruction, the existing underpass lighting system shall be permanently reattached at these locations. New heavy duty expansion anchors, as approved by the Engineer, shall be used. New hangers may be installed at the option of the Contractor. The new hangers shall be equivalent to the existing hangers or as approved by the Engineer. The cost of the new expansion anchors and hangers shall be included in this pay item.

**Damage to Underpass Lighting System:** Should the lighting system be damaged through the Contractor's operations, repairs shall be made by the Contractor at no additional cost to the State.

All repairs shall be performed expeditiously and shall be approved by the Engineer. The Contractor shall conduct his work in a manner as not to keep out of service any of the lighting between 4:00 PM and 8:00 AM. All lights shall be tested daily and any necessary repairs shall be made immediately without delay.

Damaged cable shall be replaced in complete spans, no underground splices will be allowed. Temporary aerial quadraplex cable may be used to maintain luminaires operational provided it does not interfere with traffic or other operations as determined by the Engineer.

**Grounding of Existing Lighting System:** As indicated on the plans, the Contractor shall furnish and install a grounding conductor for the underpass lighting system in all existing conduits, junction boxes and luminaires. The ground conductor shall be a 1/C #10 AWG EPR (Type-RHW) green insulated conductor. The new ground conductor shall be connected to the existing ground conductor in the main junction box. The cost of this work shall be included in this pay item.

The continuity and continued operation of the adjacent lighting system shall be the responsibility of the Contractor. Any temporary wiring required to comply with this requirement shall be included in this item.

**Basis of Payment:** This work shall be paid for at the contract lump sum price for **PROTECTION AND MAINTENANCE OF EXISTING UNDERPASS LIGHTING**, which shall be payment for the work as described herein and as indicated in the plans.

#### **EXPOSED RACEWAYS**

Effective: January 1, 2007

Revise the first paragraph of Article 811.03(a) of the Standard Specifications to read:

“General. Rigid metal conduit installation shall be according to Article 810.03(a). Conduits terminating in junction and pull boxes shall be terminated with insulated and gasketed watertight threaded NEMA 4X conduit hubs. The hubs shall be Listed under UL 514B. The insulated throat shall be rated up to 105° C. When PVC coated conduit is utilized, the aforementioned hubs shall also be PVC coated.”

Add the following to Article 811.03(b) of the Standard Specifications:

“Where PVC coated conduit is utilized, all conduit fittings, couplings and clamps shall be PVC coated. All other mounting hardware and appurtenances shall be stainless steel.”

“The personnel installing the PVC coated conduit must be trained and certified by the PVC coated conduit Manufacturer or Manufacturer’s representative to install PVC coated conduit. Documentation demonstrating this requirement must be submitted for review and approval.”

Revise Article 1088.01(a) of the Standard Specifications to read:

“Couplings and fittings shall meet ANSI Standard C80.5 and U.L. Standard 6. Elbows and nipples shall conform to the specifications for conduit. All fittings and couplings for rigid conduit shall be of the threaded type. All conduit hubs shall be gasketed and watertight with an integral O-ring seal.

All iron and steel products, which are to be incorporated into the work, including conduit and all conduit fittings, shall be domestically manufactured or produced and fabricated as specified in Article 106.”

Revise Article 1088.01(a)(3) of the Standard Specifications to read:

“a. PVC Coated Steel Conduit. The PVC coated rigid metal conduit shall be UL Listed (UL 6). The PVC coating must have been investigated by UL as providing the primary corrosion protection for the rigid metal conduit. Ferrous fittings for general service locations shall be UL Listed with PVC as the primary corrosion protection. Hazardous location fittings, prior to plastic coating shall be UL listed.

b. The PVC coating shall have the following characteristics:

Hardness:	85+ Shore A Durometer
Dielectric Strength:	400V/mil @ 60 Hz
Aging:	1,000 Hours Atlas Weatherometer
Temperature	The PVC compound shall conform at 0° F. to Federal Specifications PL-406b, Method 2051, Amendment 1 of 25 September 1952 (ASTM D 746)
Elongation:	200%

c. The exterior and interior galvanized conduit surface shall be chemically treated to enhance PVC coating adhesion and shall also be coated with a primer before the PVC coating to ensure a bond between the zinc substrate and the PVC coating. The bond strength created shall be greater than the tensile strength of the plastic coating.

d. The nominal thickness of the PVC coating shall be 1 mm (40 mils). The PVC exterior and urethane interior coatings applied to the conduit shall afford sufficient flexibility to permit field bending without cracking or flaking at temperatures above -1°C (30°F).

e. An interior urethane coating shall be uniformly and consistently applied to the interior of all conduit and fittings. This internal coating shall be a nominal 2 mil thickness. The interior coating shall be applied in a manner so there are no runs, drips, or pinholes at any point. The coating shall not peel, flake, or chip off after a cut is made in the conduit or a scratch is made in the coating.

f. Conduit bodies shall have a tongue-in-groove gasket for maximum sealing capability. The design shall incorporate a positive placement feature to assure proper installation. Certified test results confirming seal performance at 15 psig (positive) and 25 in. of mercury (vacuum) for 72 hours shall be submitted for review when requested by the Engineer.

g. The PVC conduit shall pass the following tests:

Exterior PVC Bond test RN1:

Two parallel cuts 13 mm (1/2 inch) apart and 40 mm (1 1/2 inches) in length shall be made with a sharp knife along the longitudinal axis. A third cut shall be made perpendicular to and crossing the longitudinal cuts at one end. The knife shall then be worked under the PVC coating for 13 mm (1/2 inch) to free the coating from the metal.

Using pliers, the freed PVC tab shall be pulled with a force applied vertically and away from the conduit. The PVC tab shall tear rather than cause any additional PVC coating to separate from the substrate.

Boil Test:

Acceptable conduit coating bonds (exterior and interior) shall be confirmed if there is no disbondment after a minimum average of 200 hours in boiling water or exposure to steam vapor at one atmosphere. Certified test results from a national recognized independent testing laboratory shall be submitted for review and approval. The RN1 Bond Test and the Standard Method for Measuring Adhesion by Tape Test shall be utilized.

Exterior Adhesion. In accordance with ASTM D870, a 6" length of conduit test specimen shall be placed in boiling water. The specimen shall be periodically removed, cooled to ambient temperature and immediately tested according to the bond test (RN1). When the PVC coating separates from the substrate, the boil time to failure in hours shall be recorded.

Interior Adhesion. In accordance with ASTM D3359, a 6" conduit test specimen shall be cut in half longitudinally and placed in boiling water or directly above boiling water with the urethane surface facing down. The specimen shall be periodically removed, cooled to ambient temperature and tested in accordance with the Standard Method of Adhesion by Tape Test (ASTM D3359). When the coating disbonds, the time to failure in hours shall be recorded.

Heat/Humidity Test:

Acceptable conduit coating bonds shall be confirmed by a minimum average of 30 days in the Heat and Humidity Test. The RN1 Bond Test and the Standard Method for Measuring Adhesion by Tape Test shall be utilized.

Exterior Adhesion. In accordance with ASTM D1151, D1735, D2247 and D4585, conduit specimens shall be placed in a heat and humidity environment where the temperature is maintained at 150°F (66°C) and 95% relative humidity. The specimens shall be periodically removed and a bond test (RN1) performed. When the PVC coating separates from the substrate, the exposure time to failure in days shall be recorded.

Interior Adhesion. In accordance with ASTM D3359, conduit specimens shall be placed in a heat and humidity environment where the temperature is maintained at 150°F (66°C) and 95% relative humidity. When the coating disbonds, the time to failure in hours shall be recorded.

Add the following to Article 1088.01(a)(4) of the Standard Specifications:

"All liquid tight flexible metal conduit fittings shall have an insulated throat to prevent abrasion of the conductors and shall have a captive sealing O-ring gasket. The fittings shall be Listed under UL 514B. The insulated throat shall be rated up to 105° C."

Revise Article 811.05 of the Standard Specifications to read:

**"811.05 Basis of Payment.** This work will be paid for at the contract unit price per meter (foot) for **CONDUIT ATTACHED TO STRUCTURE**, of the diameter specified, **RIGID GALVANIZED STEEL** or **CONDUIT ATTACHED TO STRUCTURE**, of the diameter specified, **RIGID GALVANIZED STEEL, PVC COATED.**"

**CDOT LIGHTING (OVERPASS)  
MAINTENANCE OF STREET LIGHTING SYSTEM (CITY OF CHICAGO)**

Scope: The Contractor shall maintain the existing City of Chicago street lighting system within the limits of the improvement. The maintenance shall commence at a time after contract award mutually agreed upon by the Contractor, the City and the State. Upon acceptance of the Maintenance of Street Lighting System (City of Chicago), the Contractor shall be responsible for maintaining a properly operating the existing lighting system as well as the temporary lighting system which will be implemented during construction. Maintenance shall continue in force until the new lighting is accepted by the City and State. If the lighting installation is not completed and accepted within the time allotted for the project, the aforementioned lighting systems will be maintained by the Contractor at no additional cost to the City or State until such time as the lighting installations for the contract scope meets the satisfaction of the Engineer.

The Contractor shall be responsible for maintaining the street lighting installation in proper operating condition. The Contractor shall perform the following maintenance procedures:

Patrol and inspect the lighting installation at least once every two weeks for proper alignment of luminaires, lamp outages, and general operating conditions.

Provide immediate corrective action to replace burned out lamps or damaged sockets with new approved lamps or sockets. At the time of replacement, the reflector and lens shall be cleaned.

Respond to emergency calls pertaining to lighting within two hours after notification and provide immediate corrective action. The Contractor shall maintain in stock a sufficient amount of lighting stock and equipment to provide temporary and permanent repairs as required. Any damage to the lighting installation from any cause whatsoever shall be repaired or replaced by the Contractor at his own expense. The Contractor may choose to institute action to recover damages from a responsible third party as required.

If at any time, the Contractor fails to perform any work deemed necessary by the Engineer to keep the street lighting in proper operating condition, the Department reserves the right to have other electrical contractors perform the required work, and the cost of such work will be deducted from the amount due the Contractor.

Basis of Payment: This work shall be paid for at the contract lump sum price for Maintenance of Street Lighting System (City of Chicago), which price shall be payment in full for all materials, equipment and labor necessary for the maintenance of existing and temporary street lighting within the limits of this project, and as directed by the Engineer.

**ITEM 108, TRENCH AND BACKFILL WITH SCREENINGS**

DESCRIPTION This work will consist of excavating a trench for the installation of conduit and backfilling with limestone screenings as a portion of the total backfill of the trench, all as shown in Bureau of Electricity Standard Drawings No. 579 and No. 813. This work must meet all applicable requirements of Article 815 of the Standard Specifications.



**MATERIAL.** Underground Cable Marking Tape must meet the requirements of Section 1066.05 of the Standard Specifications. Backfill must meet the requirements of Section 1003.04 of the Standard Specifications.

**CONSTRUCTION REQUIREMENTS.** The trench must be deep enough to provide thirty inches (30") of cover over the conduit to be installed. The trench must not exceed twelve inches (12") in width unless approved by the Resident Engineer. The bottom of the trench must be tamped, and the trench inspected by the Resident Engineer before conduit is installed. All trenches must be backfilled as soon as possible after the installation of the conduit or cable. Any material excavated from the trenches that in the opinion of the Resident Engineer is satisfactory backfill, may be used for backfill above the layer of screenings. The limestone screenings must be used to fill the bottom of the trench to a depth of one foot above the top of the conduit or duct encasement. Cinders, rocks, or other inappropriate materials will not be permitted to be used as backfilling material. Backfilling material, beginning with limestone screenings must be deposited in the trench in layers not to exceed six inches (6") in depth, and must be thoroughly compacted with a mechanical tamper before the next layer is deposited in the trench. All trenches for conduit must be backfilled as per this specification. Unsuitable material must be disposed of according to the requirements of Section 202.03 of the Standard Specifications. Underground cable marking tape must be installed twelve inches (12") below the finished grade for all conduit runs.

**METHOD OF MEASUREMENT.** This work will be measured in feet along the centerline of the trench. Trench and backfill will not be measured for payment for conduit which is installed by pushing or by directional boring. Where more than one (1) conduit is installed in a single trench, only one run will be measured for payment.

**BASIS OF PAYMENT.** This work will be paid for at the contract unit price per lineal foot, measured with conduit in place, for TRENCH AND BACKFILL WITH SCREENINGS. Such price will include the cost of all excavation, furnishing and placing all backfill material, and disposal of all surplus excavated material. If sidewalk, driveway pavement or pavement must be removed and replaced, such work will be paid for separately.

## **ITEM 120, DRILL EXISTING MANHOLE OR HANDHOLE**

**DESCRIPTION.** This work will consist of drilling a hole in an existing handhole or manhole for the installation of a new conduit. This item must meet the requirements of Article 879 of the Standard Specifications.

**CONSTRUCTION.** The size of the hole must be as close as possible to the size of the conduit to be installed. The conduit must be installed in the drilled hole with a bushing before the hole is grouted. The conduit will be covered by a separate item. The space between the conduit and the handhole or manhole wall must be caulked with a waterproof grout. Drawing 814 provides additional information.

**METHOD OF MEASUREMENT.** This work will be measured per each hole drilled.

**BASIS OF PAYMENT.** This work will be paid for at the contract unit price each for DRILL EXISTING MANHOLE OR HANDHOLE, which price will be payment in full for drilling the hole, grouting, and any additional work required to accomplish this task.

**ITEM 136, PVC CONDUIT IN TRENCH 2" (SCHEDULE #80)  
PVC CONDUIT EMBEDDED IN STRUCTURE, 2" (SCHEDULE #80)**

1. **DESCRIPTION** - this work will consist of furnishing and installing a conduit lateral of the type and size specified.

2. MATERIALS

Galvanized rigid steel conduit and PVC coated steel conduit must conform to the requirements of Material Specification 1462.

Polyvinyl chloride (PVC) conduit must conform to the requirements of Material Specification 1533 and to the requirements of the National Electrical Manufacturers Association Standard, Publication Number TC2 for EPC-40, or EPC-80. Conduit color will be determined by the Resident Engineer.

Coilable non-metallic conduit must be a high density polyethylene meeting the requirements of Material Specification 1533 and ASTM-D1248, Type III, Grade PE34, Category 5, and Class C. The duct must meet the requirements of Section 1088.01(c) of the Standard Specifications. The average outside diameter of the 1.25 inch duct must be 1.66 inches, with a minimum wall thickness of .15 inches for the Schedule 40 conduit, and a wall thickness of .20 for the Schedule 80 conduit. Conduit color will be as determined by the Resident Engineer.

Aluminum conduit will be rigid wall conduit with a minimum wall thickness of 0.099". The conduit will be extruded from 6063 aluminum alloy and tempered to T-1. Aluminum conduit must meet the requirements of UL-6 and ANSI C80.5.

3. CONSTRUCTION.

**DEFINITION OF LATERALS** - A lateral will mean a conduit raceway extending from one sub-surface location to another sub-surface location, and in every case intended to encase electric circuit cable under paved surfaces, or in unpaved parkway, street or alley, where specifically designated.

**LOCATIONS** - Laterals must be installed at the locations shown on the construction plans. Laterals must be installed in the shortest practicable line between points of termination, or under adverse conditions, as directed by the Resident Engineer. Laterals not shown on the drawing, but necessary to be installed will be paid for at the unit price bid for laterals as additional units of construction.

INSTALLATION REQUIREMENTS - Galvanized rigid steel conduit may be installed in a trench, pushed underground, or attached to a structure. PVC conduit will normally be installed in a trench or attached to a structure. Coilable conduit will be installed in a trench. The Contractor must exercise care in installing the conduit to ensure that it is smooth, free from sharp bends or kinks, and has the minimum practicable number of bends. Crushed or deformed conduit will not be accepted. All conduit and fittings must have the burrs and rough places smoothed, and all conduit runs must be cleaned and swabbed before installation of electric cables. If cable is not to be installed immediately after cleaning of the conduit, a light weight pulling line such as 1/8" polyethylene line must be placed in the conduit and will remain in the conduit for future work. The excavation for pushing conduit must be located at least two feet (2') from the edge of pavement. All underground conduits must have a minimum cover of thirty inches (30") below grade. If conduit cannot be installed with a minimum cover of thirty inches (30"), the conduit must be encased in concrete for protection. The method of encasement and protection must be approved by the engineer. Concrete encasement will be paid for as a separate pay item.

When multiple laterals in a common trench are required, no more than three (3) three inch (3") or smaller conduit laterals can be laid on a single, horizontal level. Four or more conduit laterals must be installed on two (2) levels in accordance with instructions of the Resident Engineer.

Conduit laterals attached to a structure must be flush to the structure where possible. Clamps or hangers must be used at a maximum interval of five feet (5') to hold the conduit rigidly in place. Fittings must be supplied and installed that are compatible with the conduit in use. Expansion couplings must be used at locations where the conduit crosses expansion joints in the structure.

Conduit laterals installed under vaulted walks must be securely attached to the retaining wall by means of galvanized clamps and clamp backs held in place by anchor bolts. Laterals will be fastened as close to the underside of the sidewalk as possible, and securing clamps installed every five feet (5'). Laterals must be continuous through party walls.

Threaded fittings and bends of the same material as conduit must be furnished and installed as required. Threadless couplings may be used only for splicing existing conduit. All conduit splices, where required, will be considered incidental to this pay item.

METHOD OF MEASUREMENT - The length measured will be the number of lineal feet of conduit installed and accepted, measured in place. Each conduit will be measured separately even if in a single trench. The length for measurement will be the distance horizontally between changes in the direction of the conduit plus the conduit vertically attached to structures. All conduit on structures will be measured from point to point, whether vertical or horizontal.

BASIS OF PAYMENT - This work will be paid for at the contract unit price per lineal foot for Conduit of the type and size as specified, which price will be payment in full for furnishing and installing the conduit and fittings complete. Cleaning, swabbing, and p-lining of new conduit will be incidental to this pay item. Hangers, clamps, and fittings for conduit attached to structure will be incidental to this item.

Trench and backfill will be paid for separately. Concrete encasement, if required, will be paid for separately. No additional payment will be allowed for pushing under pavements or for jackholes for conduit laterals.

**ITEM 203, POLE, STEEL, ANCHOR BASE, 8-1/2" DIAMETER, 7 GAUGE, 32' - 6"**

**ITEM 204, POLE, STEEL, ANCHOR BASE, 8-1/2" DIAMETER, 3 GAUGE, 32' - 6"**

DESCRIPTION. This item will consist of furnishing, installing and setting plumb a steel anchor base pole to which equipment may be attached for the extension of the City street light and traffic signal systems.

MATERIAL. The material of the pole must meet the requirements of Specification 1447.

INSTALLATION. The pole will be installed on a parapet wall where the anchor rods have been integrated into the wall and are at the proper bolt circle. Double nut construction as shown on Drawing 837 must be used. Double nut construction provides the proper ventilation, as well as providing a way to plumb the pole. Any exposed portions of anchor rods extending above the nuts which interfere with the installation of the bolt covers must be cut off with a saw to provide the necessary clearance. The excess must not be burned off. The pole will be set secure, properly orientated, and plumb using the nuts and washers provided with the anchor bolts. The bolt covers, handhole cover, and pole cap must be securely attached.

The contractor will utilize non-abrasive slinging materials and will otherwise exercise due care in erecting the pole and mast arm to minimize any possible damage to the finish. When necessary, the contractor will utilize, at his own expense, factory approved touch-up materials and methods to restore the finish to like new appearance and durability.

METHOD OF MEASUREMENT. This item will be measured per each unit installed, complete. It will not include the luminaire arm or the luminaire, which will be separately measured.

BASIS OF PAYMENT: This work will be paid for at the contract lump sum price for PICKUP, TRANSPORTATION AND INSTALLATION OF LIGHT POLES, and MAST ARMS AND LUMINAIRES. This price will be payments in full for pick up, transportation installation and unit cost each of metal light poles, mast arms and luminaires as specified herein and as directed by the Commissioner.

**ITEM 221, MAST ARM, STEEL, 12 FOOT**

DESCRIPTION. This item will consist of furnishing and installing a steel pipe mast arm of a specified length to support a street light luminaire, or other electrical equipment as required, as is shown on Drawing Numbers 661, 620, 839, and 840.

**MATERIAL.** The material of the mast arm must conform to the requirements of Material Specification 1450. The 4 foot arm must conform to Standard Drawing 661. The 8 foot mast arm must conform to Standard Drawing 620. The 12 foot mast arm must conform to Standard Drawing 839. The 15 foot mast arm must conform to Standard Drawing 840. The two bolt arm attachment must be equal to that shown on Standard Drawing 724. The 1 foot mast arm will be a 4 foot arm cut to the desired length.

**INSTALLATION.** The 1 foot, 4 foot, and 8 foot mast arms will be installed with two bolts to the mast arm attachment on the pole. The pole must have a mast arm attachment as shown in Standard Drawing 659 in order to properly mount the arm. The truss arms require 2 such mounts. The 12 foot and 15 foot truss arms will be attached with 4 bolts. Bolts will be supplied with the arm per Material Specification 1450.

**METHOD OF MEASUREMENT.** This work will be measured per each unit installed.

**BASIS OF PAYMENT:** This work will be paid for at the contract lump sum price for PICKUP, TRANSPORTATION AND INSTALLATION OF LIGHT POLES, and MAST ARMS AND LUMINAIRES. This price will be payments in full for pick up, transportation installation and unit cost each of metal light poles, mast arms and luminaires as specified herein and as directed by the Commissioner.

#### **ITEM 229, LUMINAIRE, STREET LIGHT, HPS, 400 WATT, 240 VOLT, ARTERIAL CUT-OFF**

**DESCRIPTION.** This item will consist of furnishing and installing a street lighting luminaire, complete with internal ballast, electronic starting component, and a high pressure sodium vapor lamp of the proper wattage and input voltage, on a street light mast arm attached to a street light pole, or a floodlight mounted to a post top attachment on a street light pole, and connecting the unit to either an underground cable distribution system or an aerial wire distribution system at the location shown on the plans, or as directed by the Engineer.

**MATERIAL.** The luminaire must meet the appropriate material specification for the lamp wattage and type of distribution specified. Items 224, 225, 226, 227, 228, 229, 229A, 2973, 2972, 230, and 280 must meet Material Specifications 1359, 1359, 1368, 1368, 1382, 1499, 1376, 1492, 1501, 1498, and 1498, respectively. Lamps for these items must meet Material Specification 1524.

**INSTALLATION.** The luminaire must be securely installed on the mast arm. The vertical axis of the luminaire must be in a vertical plane, and the longitudinal axis must be leveled as specified in shop drawings supplied by the manufacturer to produce the desired distribution pattern with the lamp socket secured in the required position for that distribution. Floodlights must be mounted on the pole top using a bracket supplied with this item.

The bracket will be for one or two fixtures, as specified, or as directed by the Engineer. Floodlights must be aimed for proper light distribution.

For an aerial distribution system, the primary wiring to the ballast must consist of 2 1/C #12 AWG wires, with 150 degree C. irradiated polyolefin insulation, connected to the terminal board "line" terminals. They must extend through the mast arm and exit from the mast arm through the grommet in the hole provided for this purpose, and extend further forming a drip loop and connect with aerial circuit wires. Connection to the aerial circuit wires must be made with a split bolt type pressure connector for a No. 6 solid copper wire and the connection so formed must be wrapped with two layers of an approved electrical tape.

A cartridge type fuse, type KTK, rated at 10 amperes must be installed in each of the fuse holders. The primary wiring to the ballast must consist of 2 1/C No. 12 AWG wires with 150 degree C. irradiated polyefin, insulation connected to the terminal board "line" terminals. They must extend through the mast arm raceway and down the inside of the pole to the pole base where they must be spliced to the underground feeder cables. Sufficient wire must be supplied to extend the wires outside of the pole through the access handhole to permit splicing work to be performed outside the pole.

All splice methods must be approved by the Engineer before implemented. All splices, tapes and grounding connections must be inspected by the Commissioner's authorized representative before wires are permanently trained in the light pole.

Current, insulation resistance, and voltage readings must be taken and tabulated by the Contractor for each circuit. These readings are to be witnessed by the Commissioner's authorized representative. Any indication of grounds, open, or crossed conductors must be thoroughly investigated and remedied before acceptance of the installation. Line voltage must be taken at any in-line fused location, within the pole designated by the Commissioner's authorized representative. Locations and voltage must be tabulated as directed. Three (3) copies of the tabulated voltage insulation resistance, and current readings must be submitted to the Commissioner's authorized representative. Maximum voltage drop must not exceed 10% of nominal source voltage. The insulation resistance must not be less than 2 Megohms, when tested to ground with 500 volts a.c.

The Contractor must submit the manufacturer's certified test reports on all materials used on this project. Any material deemed defective must be removed and disposed of by the Contractor at his sole cost.

After the lighting installation has been completed and satisfactory current and voltage readings recorded, a field test must be made to insure that all lighting and control equipment are in proper operating condition. This field test must be witnessed by the Engineer.

The Contractor will furnish special test devices, tools and miscellaneous items that will be required for the testing of cables and control equipment, all as herein specified.

**METHOD OF MEASUREMENT.** This work will be measured per each unit installed, complete. All wiring to the underground feeder cable, including splices, will be included in this measurement.

**BASIS OF PAYMENT:** This work will be paid for at the contract lump sum price for PICKUP, TRANSPORTATION AND INSTALLATION OF LIGHT POLES, and MAST ARMS AND LUMINAIRES. This price will be payments in full for pick up, transportation installation and unit cost each of metal light poles, mast arms and luminaires as specified herein and as directed by the Commissioner.

#### **ITEM 240, SERVICE INSTALLATION 100 AMP**

1. Description. This work will consist of furnishing and installing a service on a Commonwealth Edison Company wood pole for either a 120 volt traffic signal service installation, or for a 240 volt street lighting service installation per City of Chicago Drawing Number 11925.

The 100 ampere installation can be used for either a 120 volt or 240 volt service. The 200 ampere installation can be used only for the 240 volt service.

2. Service Junction Cabinet. The cabinet must be cast from corrosion resistant metal, subject to approval. Its dimensions must not exceed eight (8) inches in width, eighteen (18) inches in height and nine (9) inches in depth, and it must be weather proof. It must contain a two (2) pole disconnecting device, such as Milbank Manufacturing Company 200 ampere size test block, Number 420, with bridge contacts and barrier strip, subject to approval. A suitable ground lug, subject to approval, to accommodate a 1/C #2, 1/C #4, 1/C #2/0 or 1/C #1/0 AWG stranded copper conductor must be provided. The completed cabinet must be as shown on City of Chicago Drawings Numbered 11922 and 11925. Any alternate cabinets which are considered equal to this may be considered.

3. Cable Grip. A one and one quarter inch (1 1/4") cable grip fitting must be installed at top of cabinet to accommodate a 3/C #2, #4, #2/0 or #1/0 AWG service cable. Fitting must be Pyle National Company Number DB-131, or an approved equal.

4. Service Riser. A two (2) inch galvanized rigid steel conduit riser terminated at the bottom with a galvanized rigid steel, large radius, conduit elbow must be installed by the contractor on the Commonwealth Edison Company service pole as shown on City of Chicago Drawing Number 11925. The top of the riser must terminate in the service junction cabinet and the end of the elbow must connect to the horizontal conduit lateral leading to the control cabinet. Payment for the riser, elbow, and attachments must be included in the price bid for the complete Commonwealth Edison Company pole service junction unit. The laterals will be paid for separately under different pay items.

5. Cable. A sufficient length of three (3) conductor service entrance cable must be coiled at the top of the box in order to reach the Commonwealth Edison Company secondary wires for connection. The three (3) conductor service entrance cable must meet the requirements of Bureau of Electricity Specification Number 1457, or an approved equal. The black and red conductors must be connected to the disconnect device and the white conductor to the ground lug, for the 240 volt street lighting service installation. The black conductor must be connected to the disconnect, and the white to the ground lug, for the 120 volt traffic signal service installation. The red conductor must be taped and coiled inside box for future use.

6. Cables in Service Riser. Cables must extend continuously from the load side of the disconnect device, down the riser and elbow, and in the conduit lateral to the control cabinet. Payment for cables in riser and elbow will be included in separate pay items, and will not be considered as part of this pay item.

7. Basis of Payment. This work will be paid for at the contract unit price EACH for SERVICE INSTALLATION, 100 AMP or SERVICE INSTALLATION, 200 AMP, which price must be payment in full for furnishing and installing the service equipment complete. Any charges by the utility company to provide electrical service to the service installation will be paid for by the contractor.

#### **ITEM 249, ELECTRIC CABLE IN CONDUIT, TRIPLEX NO. 6, 2-1C AND NO.8, 1-1C**

1. Description This work will consist of furnishing and installing electric cable that is triplexed. The cable must be rated at 600 volts and must consist of two number 6 conductors and one number 8 conductor. The cable will be installed in conduit underground.

2. Material The cable must meet all requirements of Material Specification 1534 of the Bureau of Electricity, City of Chicago.

3. Construction Method All cables must be installed with care to prevent damage to the cable. Any defects found in the cable must be reported to the resident engineer. Damaged cable must be replaced.

The cable must be pulled into the conduit with a minimum of dragging on the ground or pavement. This will be accomplished by means of reels mounted on jacks or other suitable devices located for unreeling cable directly into duct. Lubricants must be used to facilitate installation if deemed necessary by the contractor.

Bends in the cable will conform to the recommended minimum radii as outlined in the National Electric Code.

Cable passing through manholes must be trained and racked around the sides of the manhole into a permanent position. If racks are non-existent or in poor condition, the contractor must install racks. The material must be approved by the resident engineer. Any material and labor involved in training and racking the cable will be considered incidental to the cost of this pay item.

Where cable runs continue from manhole to manhole without tapping within a light pole, they will be continuous without splices unless authorized by the resident engineer.

The cable installation must be color coded so that each lead of all circuits may be easily identified and lighting units connected to the proper leg as indicated on the plans. The equipment grounding conductor (no. 8) must be color coded green.

All wire or cable in the distribution panels and control cabinets must be properly trained and have sufficient slack provided for any rearrangement of equipment or future additions.



There must be at least three feet of slack in a street light pole base or street light controller base. A handhole must have at least five feet of slack and a manhole at least ten feet of slack.

4. Method of Measurement The length of triplex cable furnished and installed will be measured as the length of conduit plus three feet for cable entering and leaving a light pole or street light control cabinet, plus any slack in manholes or handholes.

5. Basis of Payment This work shall be paid for at the contract unit price per lineal foot for ITEM 249, ELECTRIC CABLE IN CONDUIT, TRIPLEX NO. 6, 2-1C AND NO.8, 1-1C. The price will be payment in full for furnishing, installing, and testing the cable, and will include all material, labor, terminations, and incidentals necessary to complete the work as per the contract plans.

#### **ITEM 267, SERVICE CONNECTION TO CECO LINE**

DESCRIPTION. This work will consist of providing a service connection from City cable to a Commonwealth Edison secondary cable. For an aerial service, this will be on a wood pole. For an underground service, this will be in a CECO manhole.

INSTALLATION. This work will consist of splicing or terminating City service cable to a Commonwealth Edison secondary cable, as directed by the Engineer. The contractor must obtain permission from Edison for the service at the required location. The contractor will inform Edison of the load required. Edison will make the connections, unless Edison gives the contractor permission to make the connections. Any costs associated with the connection will be borne by the contractor.

METHOD OF MEASUREMENT. The service connection will be counted as one unit, and will include all labor and material needed to make a successful service connection.

BASIS OF PAYMENT. This work will be paid for at the contract unit price for each SERVICE CONNECTION TO CECO LINE, which payment will be in full for providing all material and labor to make the necessary connections.

#### **ITEM 268, SERVICE CABLE, 3/C #2**

DESCRIPTION. This work will consist of providing service cable from one wood pole to another, for the purpose of connecting a City service box or street light controller mounted on a Commonwealth Edison pole to a Commonwealth Edison secondary cable located on another pole.

MATERIAL. The cable must meet the requirements of Material Specification 1457.

INSTALLATION. This work will consist of providing a sufficient length of cable and installing the cable as shown on the plans or as directed by the Engineer.

METHOD OF MEASUREMENT. The cable will be measured per lineal foot, and will include only horizontal distances. No compensation will be made for cable sag or vertical distances.

**BASIS OF PAYMENT.** This work will be paid for at the contract unit price per lineal foot for SERVICE CABLE, 3/C #2, which payment will be in full for providing the cable, all labor and any material needed to attach the cable to the poles.

#### **ITEM 354, REMOVE EXISTING STREET LIGHTING EQUIPMENT**

1. DESCRIPTION. This work will consist of removing all obsolete street lighting equipment at various locations shown on the plans.

2. REMOVAL. Street lighting poles (anchor base or embedded), ballast housing bases, mast arms, luminaires, controllers, secondary racks, cable and all related equipment are to be removed as indicated on the plans. Embedded poles will be removed by means other than burning where possible. Embedded CTA poles must be burned off at a minimum of eighteen inches below ground level.

All equipment, with the exception of the cable, will remain the property of the City of Chicago. The Contractor must deliver the obsolete street lighting equipment to the City of Chicago Yard at 4100 South Cicero Avenue, Chicago, Illinois. Twenty four hours advance notice is necessary before delivery. Street lighting cable must be removed as indicated on the plans, and become the property of the Contractor to be disposed of by him, outside the right of way, at his sole expense.

The Contractor must provide three (3) copies of a list of equipment that is to remain the property of the City, including model and serial numbers where applicable. He must also provide a copy of the contract plan or special provisions showing the quantities and type of equipment. The Contractor will be responsible for the condition of the street lighting equipment from the time of removal until the acceptance of a receipt drawn by the City indicating that the items have been returned.

3. METHOD OF MEASUREMENT. This work will be measured per lump sum for the project contract. Removal of manholes, foundations, and conduit will not be part of this item.

4. BASIS OF PAYMENT. This work will be paid for at the contract lump sum price for REMOVE EXISTING STREET LIGHTING EQUIPMENT at the various locations shown on the plans. This price will be payment in full for removing the equipment and disposing of it as required. The salvage value of the cable retained by the Contractor must be reflected in this contract lump sum price.

#### **ITEM 706 POLE, ALUMINUM, ARTERIAL, DAVIT, 15" BOLT CIRCLE**

1. DESCRIPTION. This item will consist of furnishing, installing and setting plumb an aluminum anchor base pole to which an aluminum davit arm and a street light luminaire will be attached. The pole will be set on a separate foundation and affixed with anchor rods or bolts.

2. MATERIAL. The pole must meet the requirements of Material Specification 1452. In addition, the arterial pole must meet the requirements and dimensions of Standard Drawing 941. The Skyway pole must meet the requirements and dimensions of Standard Drawing 942. The residential pole must meet the requirements and dimensions of Standard Drawing 940.

3. INSTALLATION. The pole must be installed on a concrete foundation or a steel helix foundation designed for the particular pole usage. When using double-nut construction please follow the details as shown on Standard Drawing 837. Double nut construction provides proper ventilation, as well as providing a way to plumb the pole. When using a helix foundation, double nutting is not feasible. Any exposed portions of anchor rods extending above the nuts which interfere with the installation of the bolt covers must be cut off to provide the necessary clearance. The excess must not be burned off. The pole must be set secure and plumb using the nuts and washer provided with the foundation pay item. The bolt covers, and handhole cover must be securely attached. The pole must be properly orientated in relation to the street, so that the davit arm will be perpendicular to the direction of the roadway.

METHOD OF MEASUREMENT. This item will be measured per unit installed, complete. Work will consist of attaching the pole to the foundation, application of nut covers, attachment of handhole door, and plumbing of the pole.

BASIS OF PAYMENT: This work will be paid for at the contract lump sum price for PICKUP, TRANSPORTATION AND INSTALLATION OF LIGHT POLES, and MAST ARMS AND LUMINAIRES. This price will be payments in full for pick up, transportation installation and unit cost each of metal light poles, mast arms and luminaires as specified herein and as directed by the Commissioner.

#### **ITEM 706B, MAST ARM, DAVIT, ALUMINUM, ARTERIAL, 12 FOOT**

1. DESCRIPTION. This item will consist of furnishing, installing and aligning an aluminum davit mast arm to which a street light luminaire will be attached. The arm will be attached to an aluminum pole constructed to accept the arm. These arms are designed to fit the arterial davit pole.

2. MATERIAL. The mast arm must meet the requirements of Material Specification 1453. The mast arm for a davit arterial pole must meet the requirements and dimensions of Standard Drawing 948, 949, or 950, depending upon the required overall length of the arm. The davit arm will have a 6 inch outside diameter at the base, where the arm slips over the top of the pole.

3. INSTALLATION. The mast arm must be installed on the aluminum pole as shown on the appropriate standard drawing. The davit arm must be attached to the pole by slipping the arm over the top of the pole and securing the arm to the pole with two stainless steel hex-head bolts. Details of the installation may be found on the appropriate standard drawing. The pole and arm must be properly orientated in relation to the street.

METHOD OF MEASUREMENT. The item will be measured per each arm installed, complete.

BASIS OF PAYMENT: This work will be paid for at the contract lump sum price for PICKUP, TRANSPORTATION AND INSTALLATION OF LIGHT POLES, and MAST ARMS AND LUMINAIRES. This price will be payments in full for pick up, transportation installation and unit cost each of metal light poles, mast arms and luminaires as specified herein and as directed by the Commissioner.

### **TEMPORARY LIGHTING SYSTEM**

DESCRIPTION: This item must consist of all material and labor required to extend, connect or modify the electric services for temporary lighting units as necessary to maintain lighting and power during construction. Contractor must be responsible for the source of power required to maintain required services during construction.

Include all costs for all labor, materials and watchmen required for providing, protecting and maintaining the system and, removing same upon completion of its need. Cost will also include service and energy charges of ComEd, if applicable.

CONSTRUCTION REQUIREMENTS: The Contractor must ascertain the work required to provide temporary lighting and electric power during construction, and must provide all additional material and work required to provide adequate lighting as directed by the Commissioner.

Temporary wiring must meet the requirements of Chicago Electrical Code.

Maintain temporary lighting. Replace damaged fixtures and burned out or broken lamps as soon as these conditions are noticed at no cost to the City. The temporary lighting system must provide illumination from dusk until dawn, seven days a week.

After new permanent power and lighting has been completely installed, wired, connected, tested and accepted by the Commissioner, disconnect and remove the temporary power and lighting in its entirety.

The Contractor must coordinate temporary lighting with the sequence of construction of the Project.

BASIS OF PAYMENT: This work will be paid for at the contract lump sum price for TEMPORARY LIGHTING SYSTEM. This price will be payment in full for furnishing and installing of proposed equipment and wiring material complete for temporary lighting as specified herein and as directed by the Commissioner.

## **BREAK DOWN STREET LIGHT FOUNDATION**

DESCRIPTION. Work under this item shall be performed in accordance with Sections 800 and 871.05 of Idiot's Standard Specifications for Road and Bridge Construction, Bureau of Electricity Standards and the City of Chicago Electrical Code, except as herein modified. The work shall consist of removing a concrete foundation for a street light pole(item 601) or a traffic signal pole(item 602) completely if possible, or to a level three feet below the grade, disposing of the debris off-sight in an approved manner, backfilling the excavation with screenings or other approved backfill material, and reconstructing the surface area. If the foundation is in a parkway, the parkway shall be properly restored with dirt to the existing level. If the foundation is in sidewalk, the sidewalk shall be restored under a different pay item and shall not be considered as part of this work.

BASIS OF PAYMENT. This work will be paid for at the contract unit price each for **BREAKDOWN STREET LIGHT FOUNDATION** or **BREAKDOWN FOUNDATION, TYPE B**, which price shall be payment in full for all labor and materials necessary to complete the work as described above.

May 23, 2001

## **PICKUP, TRANSPORTION AND INSTALLATION OF LIGHT POLES, MAST ARMS AND LUMINAIRES**

DESCRIPTION. This Item shall consist of retrieving from City of Chicago storage and installing metal light poles, mast arms and luminaires, as specified herein and as indicated on the Plans. It shall be the responsibility of the Contractor to transport the metal light poles, mast arms and luminaires from the storage site to the job site for installation purposes. The Contractor shall take all precautions necessary to avoid any physical damage to the metal light pole, mast arm and luminaires during transportation and erection. Damaged items shall be replaced in kind by the Contractor.

### **CONSTRUCTION REQUIREMENTS:**

Inspection and acceptance

The Contractor shall examine the metal light poles, mast arms and luminaires to ensure that all items are free of material defects and all items conform to project plans and specification. After accepting the items the Contractor shall be held responsible for the preservation of the condition of each metal light pole, mast arm and luminaire, as it was at the time of acceptance, until Final Acceptance Inspection. All items shall be installed according to the manufacturer's recommendation.

Transportation:

The Contractor shall transport, handle and store (as applicable) the metal light poles, mast arms and luminaires in complete conformance with the manufacturer's recommendation. The Contractor shall make arrangements to transfer the street lighting equipment from the City of Chicago's storage facility located at 4100 South Cicero Avenue, Chicago, IL to the job site. This shall be done on weekdays between the hours of 8:00a.m. and 4:p.m., excluding City holidays. Twenty-four hours notice has to be provided by the Contractor to the City officials prior to scheduled pickup of the street lighting equipment.

Installation:

Installation shall be as described in Articles 821 and 877.

**BASIS OF PAYMENT:** This work will be paid for at the contract lump sum price for PICKUP, TRANSPORTATION AND INSTALLATION OF LIGHT POLES, and MAST ARMS AND LUMINAIRES. This price will be payments in full for pick up, transportation installation and unit cost each of metal light poles, mast arms and luminaires as specified herein and as directed by the Commissioner.CDOT Traffic Signals

**ITEM 135, GALVANIZED STEEL CONDUIT PUSHED 3"**

**ITEM 137, PVC CONDUIT IN TRENCH 3" (SCHEDULE #80)**

**ITEM 138, PVC CONDUIT IN TRENCH 4" (SCHEDULE #80)**

1. **DESCRIPTION** - this work will consist of furnishing and installing a conduit lateral of the type and size specified.

2. MATERIALS

Galvanized rigid steel conduit and PVC coated steel conduit must conform to the requirements of Material Specification 1462.

Polyvinyl chloride (PVC) conduit must conform to the requirements of Material Specification 1533 and to the requirements of the National Electrical Manufacturers Association Standard, Publication Number TC2 for EPC-40, or EPC-80. Conduit color will be determined by the Resident Engineer.

Coilable non-metallic conduit must be a high density polyethylene meeting the requirements of Material Specification 1533 and ASTM-D1248, Type III, Grade PE34, Category 5, and Class C. The duct must meet the requirements of Section 1088.01(c) of the Standard Specifications. The average outside diameter of the 1.25 inch duct must be 1.66 inches, with a minimum wall thickness of .15 inches for the Schedule 40 conduit, and a wall thickness of .20 for the Schedule 80 conduit. Conduit color will be as determined by the Resident Engineer.

Aluminum conduit will be rigid wall conduit with a minimum wall thickness of 0.099". The conduit will be extruded from 6063 aluminum alloy and tempered to T-1. Aluminum conduit must meet the requirements of UL-6 and ANSI C80.5.

### 3. CONSTRUCTION.

DEFINITION OF LATERALS - A lateral will mean a conduit raceway extending from one sub-surface location to another sub-surface location, and in every case intended to encase electric circuit cable under paved surfaces, or in unpaved parkway, street or alley, where specifically designated.

LOCATIONS - Laterals must be installed at the locations shown on the construction plans. Laterals must be installed in the shortest practicable line between points of termination, or under adverse conditions, as directed by the Resident Engineer. Laterals not shown on the drawing, but necessary to be installed will be paid for at the unit price bid for laterals as additional units of construction.

INSTALLATION REQUIREMENTS - Galvanized rigid steel conduit may be installed in a trench, pushed underground, or attached to a structure. PVC conduit will normally be installed in a trench or attached to a structure. Coilable conduit will be installed in a trench. The Contractor must exercise care in installing the conduit to ensure that it is smooth, free from sharp bends or kinks, and has the minimum practicable number of bends. Crushed or deformed conduit will not be accepted. All conduit and fittings must have the burrs and rough places smoothed, and all conduit runs must be cleaned and swabbed before installation of electric cables. If cable is not to be installed immediately after cleaning of the

conduit, a light weight pulling line such as 1/8" polyethylene line must be placed in the conduit and will remain in the conduit for future work. The excavation for pushing conduit must be located at least two feet (2') from the edge of pavement. All underground conduits must have a minimum cover of thirty inches (30") below grade. If conduit cannot be installed with a minimum cover of thirty inches (30"), the conduit must be encased in concrete for protection. The method of encasement and protection must be approved by the engineer. Concrete encasement will be paid for as a separate pay item.

When multiple laterals in a common trench are required, no more than three (3) three inch (3") or smaller conduit laterals can be laid on a single, horizontal level. Four or more conduit laterals must be installed on two (2) levels in accordance with instructions of the Resident Engineer.

Conduit laterals attached to a structure must be flush to the structure where possible. Clamps or hangers must be used at a maximum interval of five feet (5') to hold the conduit rigidly in place. Fittings must be supplied and installed that are compatible with the conduit in use. Expansion couplings must be used at locations where the conduit crosses expansion joints in the structure.

Conduit laterals installed under vaulted walks must be securely attached to the retaining wall by means of galvanized clamps and clamp backs held in place by anchor bolts. Laterals will be fastened as close to the underside of the sidewalk as possible, and securing clamps installed every five feet (5'). Laterals must be continuous through party walls.

Threaded fittings and bends of the same material as conduit must be furnished and installed as required. Threadless couplings may be used only for splicing existing conduit. All conduit splices, where required, will be considered incidental to this pay item.

**4. METHOD OF MEASUREMENT** \_ The length measured will be the number of lineal feet of conduit installed and accepted, measured in place. Each conduit will be measured separately even if in a single trench. The length for measurement will be the distance horizontally between changes in the direction of the conduit plus the conduit vertically attached to structures. All conduit on structures will be measured from point to point, whether vertical or horizontal.

**5. BASIS OF PAYMENT** - This work will be paid for at the contract unit price per lineal foot for Conduit of the type and size as specified, which price will be payment in full for furnishing and installing the conduit and fittings complete. Cleaning, swabbing, and p-lining of new conduit will be incidental to this pay item. Hangers, clamps, and fittings for conduit attached to structure will be incidental to this item. Trench and backfill will be paid for separately. Concrete encasement, if required, will be paid for separately. No additional payment will be allowed for pushing under pavements or for jackholes for conduit laterals.

#### **ITEM 144, ROD AND CLEAN DUCT IN EXISTING CONDUIT SYSTEM**

**1. DESCRIPTION AND SCOPE.** This work will consist of inserting a duct rod or electrical fish rod or tape of sufficient length and rigidity into an electrical conduit opening in one electrical manhole or handhole, and pushing the said rod through the conduit to emerge at the next or subsequent manhole in the conduit system at the location shown on the plans. The duct rod may be inserted and removed by any standard construction method which causes no damage to the conduit system. The size of the conduit may vary from two inch (2") to four inch (4"), but there will be no differentiation in cost for the size of the conduit.

The conduit system which is to be rodded and cleaned may exist with various amounts of standing water in the manholes. The contractor must pump the water or sufficient water from the manholes to drain the conduit and to afford compatible working conditions for the installation of the duct rods and/or cables. The pumping of the manholes will be incidental to the work of rodding and cleaning of the conduit.

Any manhole which, in the opinion of the Resident Engineer contains excessive debris, dirt or other materials to the extent that conduit rodding and cleaning is not feasible, will be cleaned at the Engineer=s order and payment approved as a separate pay item, and not a part of this specification.

Prior to removal, of the duct rod, a duct cleaning attachment such as a properly sized wire brush or cleaning mandrel must be attached to the duct rod, which by removal of the duct rod will be pulled through the conduit to remove sand, grit, or other light obstructions from the duct to provide a clean, clear passage for the installation of cable. Whenever the installation of cables is not performed as an adjunct to or immediately following the cleaning of the duct, a light weight pulling line such as a 1/8" polyethylene line or conduit measuring tape must be placed and will remain in the conduit to facilitate future work. When great difficulty of either inserting the duct rod or removal of the cleaning mandrel is encountered, the duct may require further cleaning by use of a compressed air gun, or a low pressure water hose. In the case of a broken duct line, the conduit must be excavated and repaired. The existence and location of breaks in the duct line may be determined by rodding, but the excavation and repair work required will not be a part of this pay item.



**METHOD OF MEASUREMENT.** This work will be measured per lineal foot for each conduit cleaned. Measurements will be made from point to point horizontally. No vertical rises will count in the measurement.

**3.BASIS OF PAYMENT.** This work will be paid for at the contract unit price per lineal foot for ROD AND CLEAN DUCT IN AN EXISTING CONDUIT SYSTEM for the installation of new electric cables. Such price will include the furnishing of all necessary tools, equipment, and polyethylene line as required to prepare a conduit for the installation of cable. When the number of cables to be installed requires the use of more than one conduit in the same run, each additional conduit required will be rodded and cleaned as a separate unit and paid for at the contract unit price.

### **ITEM 145, CONCRETE FOUNDATION FOR TYPE "P" BASE MOUNTED TRAFFIC SIGNAL CONTROLLER**

**DESCRIPTION.** This item will be for all work necessary for installing a foundation for a AP@ cabinet, or a foundation for a "Super P" cabinet.

**MATERIAL.** Concrete will be Portland cement concrete, SI Class, meeting the requirements of Article 1020 of the Standard Specifications. Ground rods will meet the requirements of Material Specification 1465. Conduit will be PVC meeting the requirements of Material Specification 1533. Anchor rods will meet the applicable requirements of Material Specification 1467.

**CONSTRUCTION.** The Contractor will install a concrete foundation for a base mounted traffic signal controller cabinet, as shown on City of Chicago Drawing Number 888 for a "P" cabinet, or as shown on Drawing 888A for a "Super P" cabinet. Work under this item will be performed in accordance with Article 800 of the Standard Specifications.

The foundation will have a minimum depth of at least forty inches (40") below grade and must have large radius conduit elbows in quantity, size and type shown. The elbow ends above ground will be capped with standard conduit bushings. The ground rod will be installed adjacent to the foundation, and will be driven straight down with the top to be no higher than 30 inches below finished grade. The Contractor will furnish anchor bolts, hardware, conduit elbows, and all other material shown on the foundation construction drawing.

All excavation and restoration of parkway will be considered as part of this item. If the foundation is in sidewalk, an expansion joint will be required between the sidewalk and the foundation.

**METHOD OF MEASUREMENT.** This work will be measured as each for each unit installed complete.

**BASIS OF PAYMENT.** Unit price will include cost of all material and labor required to install this foundation, as per applicable construction plans and these specifications. The conduit elbows will be considered as part of the foundation and will not be paid for as a separate item or as part of the conduit laterals leading to the foundation. All necessary excavation and restoration of parkway to the original condition will be included in the unit price. Any sidewalk removal will be paid for as a separate pay item. However, any restoration of sidewalk will be considered as part of this item, including any expansion joint between the sidewalk and the foundation. This work will be paid for at the Contract Unit Price of EACH for CONCRETE FOUNDATION FOR TYPE "P" BASE MOUNTED TRAFFIC SIGNAL CONTROLLER CABINET.

**ITEM 151, CONCRETE FOUNDATION, 24" DIAMETER, 1 1/4" ANCHOR RODS, 15" BOLT CIRCLE, 9 FEET**

**ITEM 153, CONCRETE FOUNDATION, 30" DIAMETER, 1 1/2" ANCHOR RODS, 16 1/2" BOLT CIRCLE**

**DESCRIPTION.** The foundation will be a poured in place concrete structure used for structurally supporting street light poles or traffic signal poles.

**MATERIAL.** Concrete must be Portland cement concrete meeting the requirements of Article 1020 of the Standard Specifications for SI Class concrete. Reinforcement bars must meet the requirements of Section 1006.10 of the Standard Specifications. Anchor rods must meet the requirements of Material Specification 1467 and the ground rod must meet the requirements of Material Specification 1465. Conduit elbows must be PVC conduit meeting the requirements of Material Specification 1533.

**CONSTRUCTION.** Every foundation will be installed at the location designated and in the manner herein specified or in special cases as specifically directed. The contractor will locate foundations as per plan or as directed by the Resident Engineer. A hole must be augered for placement of the concrete form.

Item 151 is a foundation for a traffic pole which can accommodate a 16, 20, or 26 foot monotube arm (Standard Drawing 818). Item 152 is a foundation for a traffic pole which can accommodate a 30 foot monotube arm (Standard Drawing 816). Item 153 is a foundation for a traffic pole which can accommodate a 35, 40, or 44 foot monotube arm (Standard Drawing 817). Item 151A is a foundation for arterial street light pole; either steel or aluminum, conventional or davit (Standard Drawing 818). Item 151B is a foundation for the Chicago 2000 Gateway and Pedestrian ornamental light poles (Standard Drawing 953). Item 152A is a foundation for both the Extended Loop pole and the Loop pole (Standard Drawing 956). Item 180 is an offset foundation for an arterial street light pole (Standard Drawing 937). Item 181 is an offset foundation for a residential street light pole (Standard Drawing 937, with exception that pole base is 20" diameter with 1" anchors in a 10" bolt circle).

Top surface of these foundations in parkway will be at an elevation of two inches (2") above grade or as required by the Engineer. Care must be taken to install a level foundation and to ensure adequate anchor rod projections for double-nut installation. The foundations must be centered back from the face of the curb in accordance with dimensions shown on the construction plans. Foundation raceways must consist of large radius conduit elbow(s) in quantity, size and type as specified on the corresponding standard drawing or in the construction plans. Any number of elbows in excess of the number shown on the standard drawing must be paid for under a separate pay item. The elbow ends above ground will be capped with standard conduit bushings. The Contractor must furnish anchor rods, a ground rod, hardware, conduit elbow(s) and all other material shown on applicable foundation construction drawings. Depth of foundation will be as shown on the appropriate drawing.

The foundation top must be chamfered 3/4 of an inch. When the foundation is installed in a sidewalk, the foundation must be installed level, with the height of the foundation as close to the height of the sidewalk as possible, or as directed by the Engineer. A proper expansion joint will be installed between the sidewalk and the foundation.

Anchor rods must be set in accordance with applicable construction plans so that when poles are mounted on the foundations, the street lighting mast arm will be properly oriented as indicated on the construction plans. The anchor rods will be set by means of a metal template which shall be submitted for approval before any foundation work is begun. The template must hold the rods vertical, and in proper position. Anchor rods must conform in all respects to the appropriate City drawing.

**METHOD OF MEASUREMENT.** This item will be measured per each foundation installed complete.

**BASIS OF PAYMENT.** Payment will be made for foundations installed in place, including elbows, in accordance with construction drawings, constructions plans and these specifications. All necessary excavation and restoration of pavement, sidewalk and fill to their original conditions will be included in the unit price. This work will be paid for at the contract unit price per each, or per lineal foot, as specified in the contract, for CONCRETE FOUNDATION of the diameter and size specified. The offset foundation will be paid for per each.

#### **ITEM 196A, COILABLE CONDUIT, HDPE #80, DIRECTIONAL BORING, 3"**

##### **DESCRIPTION**

This work will consist of the installation of flexible conduit along and/or across roadways by the directional boring method. The conduit will be for street lighting or traffic signal cable. When specified, the coilable conduit will come with cable pre-installed in the conduit.

## **MATERIALS**

All conduits must be coilable high strength polyethylene conforming to the applicable requirements of Material Specification 1533 and to the National Electrical Manufacturers Association, Standard TC7. The conduit must also meet the requirements of ASTM-D1248, Type III, Grade PE34, Category 5, Class C, and the requirements of Section 1088.01(c) of the Standard Specifications. The average wall thickness of the schedule 40 conduit must be .15 inches. The average wall thickness of the schedule 80 conduit must be .2 inches. The nominal inside diameter of each conduit must equal the designated conduit size.

Cable must meet the requirements of Material Specification 1534. The cable will consist of three separate conductors twisted together. Two conductors must be #6 AWG, and one conductor must be #8 AWG.

## **CONSTRUCTION REQUIREMENTS**

The Contractor will be responsible for obtaining all necessary permits from the Chicago Department of Transportation (CDOT) for work in the public way. The Contractor will provide necessary notification to the Chicago Utility Alert Network (CUAN) 48 hours before planned work in the public way. The Contractor will organize a CUAN meet at the work site for the purpose of identifying all underground obstructions. The contractor will be responsible for any and all damage caused to existing facilities, both private and public, including Bureau of Electricity infrastructure.

The Contractor will open excavations for conduit access, the location of underground obstructions (find holes), and the pulling back of conduit, as necessary to perform the work. The excavations must be properly protected to insure that vehicular and pedestrian traffic are not endangered. Traffic lane blockage must be minimized and the intersection and roadway must be kept safe at all times during the installation work.

The top of the conduit or duct must be installed a minimum of thirty inches (30") below grade. Grade will mean the street surface level or the top of parkway. The contractor will later pick-up and extend the conduit to manholes, hand holes, foundations, etcetera as required by the plans or as directed by the Resident Engineer.

Conduit will be cleaned of dirt, debris, bentonite or other foreign materials by the use of a swab or mandrel. If cable is not to be installed immediately, a 1/4" polyethylene pull line will be installed in each conduit.

Any excavation will be backfilled as soon as possible after the installation of the conduit. Soil excavated may only be used for backfilling when approved by the Engineer. Backfill will be a fine or crushed screening aggregate material meeting the requirements of Section 1003.04 of the Standard Specifications. Cinders, rocks, or other inappropriate materials will not be permitted to be used as backfill material. Backfill material will be deposited in the excavation in layers not to exceed six inches (6") in depth, and must be thoroughly compacted with a mechanical tamper before the next layer is deposited in the excavation.

Excavations which are to remain open will be covered with steel plates, minimum 2" thickness for sidewalks and 1" thickness for streets, and will be secured in place as directed by the Engineer. Any costs involved will be considered incidental.

The Contractor will remove all excavated material, except that which is acceptable for backfilling, from the job site. Spoil will be disposed of according to Section 202.03 of the Standard Specifications.

Sidewalk removal and replacement and pavement removal and replacement, if necessary to accomplish the directional boring, will be done as separate pay items.

The contractor will directional bore and install the proper sizes of conduit as indicated on the plans provided by the Bureau of Electricity. The contractor must follow the plans and directional bore and install conduit from point to point as indicated. Conduit will be installed and p-lined and any excavations for find holes etcetera must be restored to original condition including pavement restoration, sidewalk restoration, and parkway restoration. Failure to accomplish point to point installation or to properly restore excavations will result in non-payment for that particular point-to -point installation.

#### **METHOD OF MEASUREMENT**

This item will be paid for the number of lineal feet bored with conduit installed from point to point, measured in place. The length will be the distance horizontally from point to point. No vertical distances will be measured or applied.

#### **BASIS OF PAYMENT**

This work will be paid for at the contract unit price per lineal foot for the type and number of conduits specified, measured with conduit in place, for COILABLE CONDUIT INSTALLATION BY DIRECTIONAL BORING. Such price will include the cost of all conduit, conduit fittings, excavations, furnishing and placing all required backfill material, restoration of all find holes, plating and protection of all end holes when required, disposal of all surplus excavated material, and any trenching and backfill made for the purpose of placing conduit. Restoration of all pavements and sidewalks will be paid for separately.

#### **ITEM 206, POLE STEEL, ANCHOR BASE, 10" DIAMETER, 3 GAUGE, 34'-6"**

#### **ITEM 208, POLE STEEL, ANCHOR BASE, 12.5" DIAMETER, 3 GAUGE, 34'-6"**

DESCRIPTION. This item will consist of furnishing, installing, and setting plumb a steel anchor base pole to which equipment may be attached for the extension of the City street light and traffic signal systems.

MATERIAL. The material of the pole must meet the requirements of Material Specification 1447.

INSTALLATION. The pole must be installed on the concrete foundation designed for the particular pole usage as indicated on the plans or as directed by the Engineer. Double nut construction must be used as shown on Drawing 837. Double nut construction provides the proper ventilation, as well as providing a way to plumb the pole. Any exposed portions of anchor rods extending above the nuts which interfere with the installation of the bolt covers must be cut off to provide the necessary clearance.

The excess must not be burned off. The pole must be set secure, properly orientated, and plumb using the nuts and washers provided with the anchor bolts. The bolt covers, handhole cover, and pole cap must be securely attached.

The contractor will utilize non-abrasive slinging materials and will otherwise exercise due care in erecting the pole and mast arm to minimize any possible damage to the finish. When necessary, the contractor will utilize, at his own expense, factory approved touch-up materials and methods to restore the finish to like new appearance and durability.

METHOD OF MEASUREMENT. This item will be measured per each unit installed, complete with anchor bolt covers, pole cap, and handhole cover.

BASIS OF PAYMENT. This work will be paid for at the Contract unit price each for a POLE, STEEL, ANCHOR BASE, 34'-6", which will be payment in full for furnishing and installing the pole complete in place. Light standard foundations, mast arms, and luminaires will not be included in this pay item but will be paid for separately.

**ITEM 303, SIGNAL HEAD, POLYCARBONATE, LED, 3-SECTION, BRACKET MOUNTED**

**ITEM 304, SIGNAL HEAD, POLYCARBONATE, LED, 4-SECTION, BRACKET MOUNTED**

DESCRIPTION. This item will consist of furnishing and installing a traffic signal head or combination of heads on a street light pole, a traffic signal pole, or a traffic signal post as shown on the plans, as specified herein, or as directed by the Engineer. Specific installations and configurations are shown on Drawing Numbers 834 and 835, entitled "Standard Traffic Signal Mounting Details".

The type of installation will be as indicated on the plans. The number of signal faces, the number of signal sections in each signal face, any dual-indication sections, and the method of mounting will be as indicated in the plans and in the standard drawings.

Each signal face must be pointed in the direction of the approaching traffic that it is to control and must be aimed to have maximum effectiveness for an approaching driver located at a distance from the stop line equal to the normal distance traversed while stopping.

During construction and until the installation is placed in operation, all signal faces must be hooded. The hooding material must be securely fastened so it will not be disturbed by normal inclement weather or wind.

MATERIAL. The traffic signal must meet the requirements of Material Specification 1493 for LED signals. The mounting brackets must meet the requirements of Material Specification 1495.

**INSTALLATION.** The signals must be mounted using pole mounting brackets banded to the pole with two strips of 3/4" stainless steel banding single wrapped, one at the top and one at the bottom of the brackets, each secured with a stainless steel banding clip. The banding and clips will be coated with a baked-on black finish. The mounting configuration connecting the signals to the mounting bracket must consist polycarbonate brackets specifically made for mounting signal heads to the side of poles, to create the designated structure. When the signals are to be mounted on a square pole or flat surface, the bracket used will be bolted to the flat pole or surface using 3/8" drive studs where permissible or using a 3/8" studs in a tapped hole.

The bottom mounting bracket must be accurately located to cover an opening 1" in diameter, for cable entrance, drilled into the pole or standard at a calculated height to position the bottom signal face at a standard height of 10 feet, or a height indicated on the plans. The opening must be reamed or filed to remove all sharp edges or burrs which might damage cable during installation, or through vibration when the signals are in operation.

**Cable.** The Contractor must provide and install a length of 8/C #16 AWG, as per Specification 1475, flexible electrical cord, medium duty, of sufficient length to extend without strain or stress from the terminal strip in the "Green" section of the signal head to the terminal strip in the junction box mounted on the pole. The number of conductors in the cord, and the color coding of the conductors, must be sufficient to match the requirements of the signal head being installed, and must be connected in accordance with Specification 1493. Both ends of the cable length must be carefully stripped of six inches (6") of jacket and one inch (1") of insulation, and each conductor properly tinned. The service cable from the signal heads must enter the pole through the bottom mounting bracket and enter the long sweep elbow to terminate by attachment to the terminal strip in the junction box in accordance with connector schematic, Bureau of Electricity Drawing Number 12268-A

**METHOD OF MEASUREMENT.** This work will be measured per each unit installed, complete.

**BASIS OF PAYMENT.** This work will be paid for at the contract unit price for each ΔSIGNAL HEAD, POLYCARBONATE, LED, X-SECTION, BRACKET MOUNTED@, which price will be payment in full for furnishing and installing the signal head complete, including all necessary wiring.

**ITEM 309, SIGNAL HEAD, POLYCARBONATE, LED, 3-SECTION, MAST ARM MOUNTED**

**ITEM 310, SIGNAL HEAD, POLYCARBONATE, LED, 4-SECTION, MAST ARM MOUNTED**

**DESCRIPTION.** This item will consist of furnishing and installing a traffic signal head on a traffic signal monotube mast arm, as shown on the plans, as specified herein, or as directed by the Engineer. Specific installations and configurations are shown on Drawing 834 entitled "Standard Traffic Signal Mounting Details".

Each signal face must be pointed in the direction of the approaching traffic that it is to control and must be aimed to have maximum effectiveness for an approaching driver at a distance from the stop equal line to the normal distance traversed while stopping. The optically programmed signal face must be programmed in accordance with the visibility requirements of the Traffic Engineer.

During construction, and until the installation is placed in operation, all signal faces must be hooded. The hooding material must be securely fastened so it will not be disturbed by normal inclement weather or wind.

MATERIAL. The traffic signal head construction must meet the requirements of Material Specification 1493 for LED traffic signals. The material for a programmed LED traffic signal head must meet the Material Specification 1543. The mast arm bracket must meet the requirements of Material Specification 1463. The cable must meet the requirements of Material Specification 1475.

INSTALLATION. The signal must be mounted on the mast arm at the position indicated on the drawing in the manner shown on Drawing 834. The bracket must be banded to the mast arm with the 5/8" banding as shown on Drawing Number 834. The banding and clips must have a baked-on black finish. The bracket must be located over a hole drilled into the mast arm for the installation of cable. The hole must be reamed or filed to remove any sharp edges or burrs which might damage cable during installation, or through vibration when the signals are in operation.

Cable. The contractor must provide and install a length of 8/C #16 flexible electrical cord, of sufficient length to extend without strain or stress from the terminal strip in the "Green" section of the signal head to the terminal strip in the junction box mounted on the pole. The number of conductors in the cord, and the color coding of the conductors, must be sufficient to match the requirements of the signal head being installed, and must be connected in accordance with Material Specification 1493 for LED traffic signals, or Material Specification 1543 for optically programmed LED traffic signals. Both ends of the cable length must be carefully stripped of six inches (6") of jacket and one inch (1") of insulation, and each conductor properly tinned. The service cable from the signal heads must enter the traffic signal mast arm through the hole from the mounting bracket, whence it will continue and enter the pole through the hole for mast arm wiring, then extend downward through the pole to enter the long sweep elbow to terminate by attachment to the terminal strip in the junction box in accordance with the terminal strip connector schematic, Bureau of Electricity Drawing Number 12268-A.

The mast arm brackets must be painted gloss black or another color as indicated in the plans.

METHOD OF MEASMUREMENT. This work will be measured per each signal unit installed, completely wired and operational.

BASIS OF PAYMENT. This work will be paid for at the contract unit price each for SIGNAL HEAD or OPTICALLY PROGRAMMED SIGNAL HEAD of the type specified which price will be payment in full for furnishing and installing the signal head, or the optically programmed signal head, complete.



### **ITEM 315, PEDESTRIAN SIGNAL HEAD, POLYCARBONATE, LED, BRACKET MOUNTED**

DESCRIPTION. This item will consist of furnishing and installing a pedestrian signal on a street light pole, a traffic signal pole or a traffic signal post as shown on the plans, as specified herein, or as directed by the Engineer. The signal may be installed as a single unit on a pole or in combination with other pedestrian signals or with traffic signals of various types and sizes. Specific installations and configurations are shown on Drawing Numbers 834 and 835 entitled "Standard Traffic Signal Mounting Details".

The method of mounting will be indicated on the plans, or as directed by the engineer. Each signal face must be pointed in the direction of the marked cross-walk area for the pedestrians it is intended to control.

MATERIAL. The pedestrian signal head material must be consistent with the requirements of Bureau of Electricity Material Specification 1494. The countdown pedestrian signal must meet the requirements of Material Specification 1545. All housing units must be made of polycarbonate. The light source must be LED. Mounting hardware must meet the requirements of Material Specification 1495. Cable must meet the requirements of Material Specification 1475.

INSTALLATION. The signal must be mounted using pole mounting brackets banded to the pole with two strips of 3/4" stainless steel banding, single wrapped, one at the top and one at the bottom of the bracket, each secured with a stainless steel banding clip. The banding and clips must have a baked-on black finish. The mounting configuration connecting the signals to the mounting bracket must consist of polycarbonate brackets specifically made for mounting signal heads to the side of poles, to create the designated structure.

The bottom mounting bracket must be accurately located to cover a hole 1" in diameter for the cable entrance drilled into the pole at a height calculated to position the bottom signal face at a standard height of 10 feet, or a height indicated on the plans. The hole must be reamed or filed to remove all sharp edges or burrs which might damage cable during installation, or through vibration when the signals are in operation.

When the pedestrian signal is attached below a traffic signal head, the separate opening for cable may be omitted to eliminate additional weakening of the pole and the pedestrian signal cord will be installed using the same opening as the traffic signal cord.

Cable. The Contractor must provide and install a length of 8/C #16 AWG flexible electric cord, of sufficient length to extend without strain or stress from the terminal strip in the signal head to the terminal strip in the junction box mounted on the pole. The number of conductors in the cord, and the color coding of the conductors, must be sufficient to match the requirements of the signal head being installed, and must be so connected in accordance with Material Specification 1494. Both ends of the cable must be carefully stripped of six inches (6") of jacket and one inch (1") of insulation, and each conductor properly tinned. The service cord from the signal head must enter the pole through the bottom mounting bracket and enter the long sweep elbow to terminate by attachment to the terminal strip in accordance with the terminal strip connector schematic, Bureau of Electricity Drawing Number 12268-A.

During construction and until the installation is placed in operation, all signal faces must be hooded. The hooding material must be securely fastened so it will not be disturbed by inclement weather or wind

METHOD OF MEASUREMENT. This work will be measured per each signal unit installed, completely wired and operational.

BASIS OF PAYMENT. This work will be paid for at the contract unit price each for PEDESTRIAN SIGNAL HEAD, POLYCARBONATE, 1 FACE, LED, BRACKET MOUNTED, which price will be payment in full for furnishing and installing the signal head complete.

#### ITEM 316, JUNCTION BOX, POLE OR POST MOUNTED

DESCRIPTION. This item will consist of furnishing and installing a Junction Box on each traffic signal post, traffic signal pole, or street light pole on which a signal head is mounted, as shown on the plans, specified herein, or directed by the Engineer.

MATERIAL. The Junction Box must conform to the requirements of Material Specification Number 1407 and to Drawing Number 954. The box will contain a 20 conductor terminal strip, Marathon Special Products Corporation Catalog Number 36002, or equivalent, securely fastened to an Aluminum Terminal Block "Z" Bracket, Leitelt Brother Company Item Number LB-16-6-4B, or equivalent, mounted with two Number 8-24 x 1/2" stainless steel machine screws in tapped holes in the mounting bosses, and located 3/4 inches from the right side facing the open box.

INSTALLATION. The junction box must be mounted to the side of the pole away from the roadway, or as directed by the Engineer. The center of the box must be located approximately fifty-eight inches (58") above the adjacent sidewalk. Two long sweep elbows must be attached to the box, one to the top and one to the bottom, unless otherwise directed by the Engineer. Each will be attached with four (4) #10-24x3/4" stainless steel screws. The elbows will be equivalent to Leitelt Brothers Company Item Number LB-16-64-A-2. The lower long sweep elbow will be properly positioned over a hole 1 1/2 inches in diameter drilled in the pole approximately 48" above the sidewalk, for the installation of cable. Another 1 1/2 inch hole must be drilled for the upper elbow. The holes must be reamed or filed to remove all sharp edges or burrs which might damage cable during installation, or through vibration when the signals are in operation. A stainless steel, banding bracket, Drawing Number 11984, must be attached to the center of the back of the box with a 5/16"-18 x 1" stainless steel machine screw. The entire unit must be banded to the pole with five (5) 3/4" stainless steel bands, one through the banding bracket and one each at the top and bottom of each elbow. The banding and clips must have a baked-on black finish.

4. METHOD OF MEASUREMENT. This work will be measured per each junction box unit installed, complete with elbow(s).

BASIS OF PAYMENT. This work will be paid for at the contract unit price each for a JUNCTION BOX, POLE OR POST MOUNTED, which price will be payment in full for furnishing and installing the junction box complete with its component parts and appurtenances. Connection of cables and wires to the terminal strip will not be part of the cost of the junction

box but will be considered part of the installation of the underground cable and the installation of signal heads.

**ITEM 326, MAST ARM, STEEL, MONOTUBE, 20 FOOT**

**ITEM 327, MAST ARM, STEEL, MONOTUBE, 26 FOOT**

**ITEM 329, MAST ARM, STEEL, MONOTUBE, 35 FOOT**

**DESCRIPTION.** This item will consist of furnishing and installing a steel, monotube, mast arm for the purpose of supporting traffic signals, and/or illuminated signs on an anchor base pole at the locations shown on the plans, or as specified or directed by the Commissioner. The length of the mast arm and the angular orientation of the arm relative to the centerline of the roadway will be as indicated on the plans.

A mast arm must be installed only on a 3 gauge pole, and the length of the mast arm will govern the minimum base diameter of the pole on which the arm is to be installed, in accordance with the following chart:

**MATERIAL.** The mast arm must be 7gauge steel meeting the requirements of Standard Drawing 870 and Material Specification 1454.

**INSTALLATION.** The mast arm must be mounted on the pole at the height specified on Drawing 834, or at a different height if specified on the plans, or as directed by the Engineer. A one inch (1") diameter opening for the installation of cable must be field drilled in the pole in line with the orientation of the mast arm. The hole must be reamed or filed to remove all sharp edges or burrs which might damage cable during installation, or through vibration when the signals are in operation. A neoprene grommet must be inserted into the finished hole prior to the installation of the cable.

Two holes must be field drilled in the pole at 180 degrees relative to the orientation of the pole for installation of locator shear pins, provided with the back plate, to prevent rotation of the mast arm. These holes must be drilled after the mast arm is in place in order that the position of the holes will match the location of the locator bushings attached to the back half of the clamp.

All signals, signs, and electrical equipment must be attached in the correct relative position to the mast arm, with service cord in place, prepared to be installed on the pole, prior to the attachment of the mast arm to the pole. The installation of the cord in the pole must be coordinated with the attachment of the mast arm to the pole. The clamp bolts must be tightened securely so that there is no slippage of the mast arm either upward or downward to exert a vertical force on the shear pins. The end cap must be secured in place with the attachment screws provided.

The mast arm must be delivered completely finished with a factory applied black powder coat per Material Specification 1454. The contractor must utilize non-abrasive slinging materials and must otherwise exercise due care in erecting the pole and mast arm to prevent any damage to the finish.

**METHOD OF MEASUREMENT.** This work will be measured per each monotube arm installed on a traffic pole.

**BASIS OF PAYMENT.** This work will be paid for at the contract unit price for each MAST ARM, STEEL, MONOTUBE of the length indicated, and will be payment in full for furnishing and installing a steel mast arm in place, complete. Attachment of signals and signs will not be part of this pay item.

**ITEM 347, ELECTRIC CABLE IN CONDUIT NO. 4, 2/C**

**ITEM 348, ELECTRIC CABLE IN CONDUIT NO. 14, 7/C**

**ITEM 350, ELECTRIC CABLE IN CONDUIT NO. 14 19/C**

**1. DESCRIPTION.**

This work will consist of furnishing and installing electric cable for traffic signals of the type, size and number of conductors as specified on the plans. The cable will be rated 600 volts and comply with the following requirements.

**2. TRAFFIC SIGNAL CABLE.**

All cable must conform to the requirements of Material Specification number 1537, for Traffic Signal Cable.

**3. INSTALLATION.**

All cable must be installed in conduit, as indicated on the plans, with care to prevent damage to the insulation or cable. Suitable devices must be used in pulling the cable, and only approved lubricants should be used. All cables installed in conduit will be from the power source to the traffic signal controller cabinet, from the traffic controller cabinet to the traffic signal junction box, or from junction box to junction box. For cable terminating in a traffic signal controller cabinet or traffic signal junction box the following procedures must be followed:

**a. Controllers.**

1. Remove thirty six inches (36") of neoprene jacket.

2. Wrap vinyl electrical tape on two inches (2") of the neoprene jacket and two inches (2") on the exposed conductors.

3. Remove one inch (1") of insulation and scrape copper conductor.

4. Train cables neatly along the base and back of cabinet.

5. Connect conductors to proper terminal lugs.

**b. Traffic Signal Junction Box.**

1. Remove twenty four inches (24") of neoprene jacket.
  2. Wrap vinyl electrical tape on two inches (2") of neoprene jacket and two inches (2") on the exposed conductors.
3. Remove one inch (1") of insulation and scrape copper conductor.
4. Train cables neatly along the side and back of the box.
5. Connect all conductors to terminal strip.

#### 4. CABLE SLACK.

The length of cable slack that must be provided will be in accordance with the following schedule:

Location	Length of Slack Cable (feet)
Base of Controller	7
Detector, Junction Box	1
Base of Traffic Signal Post or Traffic Signal Pole	4
City Handhole	6
City Manhole	12
Commonwealth Edison Manhole	25

Cable slack in manholes/handholes must be trained and racked in the holes. If racks are non-existent, racks must be provided, and considered incidental and a part of this pay item.

No cable splices will be allowed for traffic signal cable, with the exception of 7 conductor interconnect cable. These splices must be indicated on the plans.

#### 5. METHOD OF MEASUREMENT.

The length of measurement must be the distance horizontally measured between changes in direction, and will include cable slack. All vertical cables will not be measured for payment.

#### 6. BASIS OF PAYMENT.

This work will be paid for at the contract unit price per lineal foot for ELECTRIC CABLE IN CONDUIT NO. 4, 2/C, ELECTRIC CABLE IN CONDUIT NO. 14, 7/C, ELECTRIC CABLE IN CONDUIT NO. 14 10/C, or ELECTRIC CABLE IN CONDUIT NO. 14 19/C. This price will be payment in full for furnishing, installing, connecting, splicing, and testing of cable, and will include all labor, materials, equipment, tools, and incidentals necessary to complete the work, as specified herein, and as shown on the plans.

### **ITEM 353, REMOVE EXISTING TRAFFIC SIGNAL EQUIPMENT**

1. DESCRIPTION. This work will consist of removing all the existing traffic signal equipment at the intersections listed on the plans.

2. REMOVAL The items to be removed will include traffic signal arms, traffic signal poles, traffic signal heads, traffic signal controllers, and all associated equipment and cable.

The traffic signal items, except for traffic signal cable, are to remain the property of the City of Chicago. The Contractor must deliver the obsolete traffic signal equipment to the City of Chicago Yard at 4101 South Cicero Avenue, Chicago, Illinois. Twenty four hour advance notice is necessary before delivery. The traffic signal cable must be removed and become the property of the Contractor and must be disposed of by him, outside the right-of-way, at his sole expense.

The Contractor must provide three (3) copies of a list of equipment that is to remain the property of the City, including model and serial numbers where applicable. He must also provide a copy of the contract plan, or special provisions, showing the quantities and type of equipment. The Contractor will be responsible for the condition of the traffic control equipment from the time of removal until its acceptance by a receipt drawn by the City indicating that the items have been returned.

3. METHOD OF MEASUREMENT. This item will be measured as one unit per project contract, or per signalized intersection, depending upon the contract conditions. The breaking down of foundations and manholes will not be considered part of this item.

4. BASIS OF PAYMENT. This work will be paid for at the contract lump sum price for REMOVE EXISTING TRAFFIC SIGNAL EQUIPMENT, or lump sum per intersection depending upon the contract conditions. This price will be payment in full for removing the equipment and disposing of it as required, The salvage value of the cable retained by the Contractor must be reflected in this contract lump sum price.

**ITEM 371A, SIGN, SYMBOLIC NLT, LED ILLUMINATED, BRACKET MOUNTED**  
**ITEM 372A, SIGN, SYMBOLIC NLT, LED ILLUMINATED, MAST ARM MOUNTED**  
**ITEM 371B, SIGN, SYMBOLIC NRT, LED ILLUMINATED, BRACKET MOUNTED**  
**ITEM 372B, SIGN, SYMBOLIC NRT, LED ILLUMINATED, MAST ARM MOUNTED**

**DESCRIPTION.** This item will consist of furnishing and installing a single faced, illuminated, LED sign, either bracket mounted on a street light or traffic signal pole, or on a traffic post, or mounted on an overhead traffic signal arm, at the location shown on the plans or as authorized by the Engineer. The sign will be symbolic meeting the requirements of the MUTCD, as required in the plans or as directed by the Engineer.

**MATERIAL.** The sign must meet the requirements of Material Specification 1518 for the particular sign specified. The mounting brackets must meet Material Specification 1463 for mast arm mounted signs. Signs mounted to the sides of poles must be mounted using 1.5" galvanized rigid steel pipe and associated fittings. The cable must meet the applicable requirements of Material Specification 1475.

**INSTALLATION.** Each sign must be faced in the direction of the traffic it is intended to control. During construction and until the installation is placed in operation, the sign face must be hooded. The hooding material must be securely fastened so it will not be disturbed by inclement weather or wind. The signs will be mounted as shown on Standard Drawing 834 and 835.

The bracket mounted sign must be mounted using pole mounting brackets banded to the pole with two strips of 3/4" stainless steel banding, single wrapped, one at the top and one at the bottom of the brackets, each secured with a stainless steel banding clip. The banding and clips must have a baked-on black finish. The mounting configuration connecting the sign to the mounting bracket must consist of sections of 1 1/2" rigid steel conduit of precise lengths as indicated on the standard drawing to create the designated structure. When the sign is to be mounted on a square pole or flat surface, the bracket will be bolted to the flat pole or surface using 3/8" drive studs where permissible or using 3/8" studs in tapped holes. The bottom mounting bracket must be accurately located to cover an opening 1" in diameter, for cable entrance, drilled into the pole or standard at a calculated height to position the bottom sign face at a standard height of fourteen feet and eight inches (14'-8"), or a height indicated on the plans. The opening must be reamed or filed to remove all sharp edges or burrs which might damage cable during installation, or through vibration when the sign is in operation.

The mast arm mounted sign must be mounted using a bracket specifically designed for the purpose. The bracket must be banded to the mast arm using two strips of 3/4" stainless steel banding, single wrapped, each secured with a stainless steel banding clip. The banding and clips must have a baked-on black finish. A one inch (1") diameter hole must be drilled in the mast arm to accept the cable. The hole must be reamed or filed to provide a smooth surface, so as not to damage the cable during installation or under normal weather conditions or from vibrations.

The Contractor must provide and install the flexible electrical harness cable. The cable must be of sufficient length to extend without strain or stress from the sign head to the terminal strip in the junction box mounted on the pole.

The harness cable from the sign must enter the pole or mast arm through the bottom mounting bracket and enter the long sweep elbow to terminate by attachment to the terminal strip in the junction box in accordance with connector schematic, Bureau of Electricity Drawing Number 12268-A.

The pole mounting bracket, and the crosses are to be factory painted by the manufacturer with baked on black enamel.

METHOD OF MEASUREMENT. This work will be measured per each unit installed, completely wired and operational.

BASIS OF PAYMENT. This work will be paid for at the contract unit price for each SIGN, SYMBOLIC, LED ILLUMINATED, BRACKET MOUNTED or MAST ARM MOUNTED, with the symbol specified, which price will be payment in full for furnishing and installing the sign complete.

### **ITEM 3771, CONTROLLER, TRAFFIC, MASTER, 12 LOAD BAY, P CABINET**

DESCRIPTION. This work will consist of furnishing and installing a traffic signal master controller and associated equipment in a cabinet onto a foundation and making all necessary connections.

MATERIAL. The material must meet the requirements of Material Specification 1469. The cabinet must be a P cabinet 55 inches high by 44 inches wide by 26 inches deep, with 12 load bays. Each load bay must include a load switch. No communications interface equipment will be included under this item.

INSTALLATION. The controller must be programmed to provide the sequencing and timing of operation as shown on the plans. The controller will be a master for the traffic system, as well as controlling the local intersection.

The cabinet must be set onto a pad foundation designed specifically for the cabinet, and affixed with four bolts provided with the foundation. Electric cables inside the cabinet must be neatly trained along the base and back of the cabinet. Each conductor used will be connected individually to the proper terminal, and the spare conductors will be insulated and bound into a neat bundle. Each cable must be marked with suitable identification and recorded on a copy of the plans for the intersection and submitted to the Engineer. Signal indications for each direction must be wired to a separate circuit whether or not the signal plans call for a split movement. Final offset timing of the time base coordinator must be set in the field by City personnel.

When properly installed, all signals must be connected and controlled by the controller, and the sequencing and timing of the signals must be as set forth in the plans.

All conduit entrances into the cabinet must be sealed with a pliable waterproof material to restrict moisture entrance into the cabinet.

BASIS OF PAYMENT. This work will be paid for at the contract unit price for each CONTROLLER, TRAFFIC, MASTER, 12 LOAD BAY, P CABINET, which price will be payment in full for furnishing and installing the controller and cabinet complete and operational, with all wiring and connections as specified.



## ITEM 389, STREET NAME SIGNS

1. DESCRIPTION. This item will consist of furnishing, fabricating, and installing a street name sign on a traffic pole with a monotube arm as indicated on the plans, or as directed by the Engineer. The plans will indicate the location of the sign and the sign legend. The sign panel and associated hardware must meet the specifications of Section 720 - SIGN PANELS AND APPURTENANCES of the Illinois Department of Transportation's Standard Specifications for Road and Bridge Construction. The signs must meet the requirements as to size, mounting hardware, and mounting location per City of Chicago Department of Transportation standard drawings "APole and Mast Arm Mounted Street Name Signs" and "AMonotube D3-2 Sign Installation Details".

2. BASIS OF PAYMENT. This work will be paid for at the contract unit price per each street name sign, and must include all necessary hardware and labor to erect the sign.

## MATERIAL SPECIFICATIONS

SPECIFICATION 1351  
BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED JUNE 7, 2006

WIRE: SINGLE CONDUCTOR NO. 12 COPPER WITH CROSS LINKED  
POLYETHYLENE INSULATION

### SUBJECT

1. This specification states the requirements for insulated wire intended for use as a conductor to connect street light luminaires to aerial distribution wires or underground distribution cables in a street lighting circuit. This wire is also known as pole wire.

### GENERAL

2. (a) Specifications. The cable must conform in detail to the requirements herein stated and to the referenced specifications of the American Society for Testing and Materials (ASTM), the National Electric Code (NEC), Underwriters Laboratories (UL), the Insulated Cable Engineers Association (ICEA), and the National Electrical Manufacturers Association (NEMA), in which the most recently published revisions will govern.

(b) Acceptance. Cable not conforming to this specification will not be accepted.

(c) Sample. If requested by the Chief Procurement Officer, a three (3) foot sample of the cable intended to be provided under this specification, must be submitted to the Engineer of Electricity within fifteen (15) business days after receipt of the request.

(c)Warranty. The manufacturer must warrant the cable to be first class material throughout. The manufacturer will be responsible for any cable failing during normal and proper use within one (1) year after the date of installation. All removal, repair, or replacement of cable will be at the expense of the contractor. There will be no cost to the City.

#### CABLE

3.(a)Construction. The cable must consist of a coated copper conductor concentrically encased in a moisture resistant thermosetting plastic of cross linked polyethylene. The cable must be listed with UL as Type RHW-2 or Type USE-2, and must meet the NEC's requirements for these types of cable up to 90° C in wet or dry locations.

(b)Sealing. Both ends of each length of cable must be thoroughly sealed to prevent the entrance of moisture and other foreign matter.

(c)Color. All cables must use a carbon black pigmented cross linked polyethylene compound. Any other color must be an approved, permanent type coating applied to the carbon black insulation.

(d)Marking. The cable must be identified by a permanently inscribed legend in white lettering. The legend must have the following information at a minimum: 1/C #12AWG, 600V, XLPE, 90°, RHW-2 or USE-2, manufacturer's name, date of manufacture. The legend must be repeated at approximately eighteen inch (18") intervals parallel to the longitudinal axis of the cable.

#### CONDUCTOR

4.(a)Material. Conductor must be Number 12 AWG consisting of seven (7) strands of coated, annealed, copper wires (.0305 inch diameter) per ASTM-8, Class B.

Resistivity. Conductor must conform to the requirements of ASTM B-33.

Coating. Conductor must be tin coated in accordance with ASTM B-33.

#### INSULATION

5.(a)Type. The insulation must be a cross linked polyethylene compound meeting the physical and electrical requirements herein specified and the requirements of NEMA WC-70 (ICEA S-95-658).

(b)Thickness. The insulation must be circular in cross section and have an average thickness of 45 mils. The thickness must not vary by more than plus or minus five percent (+/-5%).

(c)Physical Properties

Initial Values:

Tensile strength, minimum psi 2000  
Elongation at rupture, minimum % 250

TESTS

6. (a) General. The tests required to determine compliance with this specification must be certified by the manufacturer or an independent testing facility. Before shipment, copies of the test reports must be forwarded to the Bureau of Electricity for approval. The City reserves the right to reject any cable failing to meet the requirements of the tests. Tests must be made in accordance with methods in ASTM D-470.

(b) Physical Properties

After Aging:

After 168 hours in oxygen bomb at a pressure of 80 psi and a temperature at 127° +/-1° C :

Tensile strength, minimum % of initial value	75
Elongation at rupture, minimum % of initial value	75

2. After 168 hours in an air oven at 121° +/-1°C :

Tensile strength, minimum % of initial value	80
Elongation at rupture, minimum % of initial value	80

(c) Modulus Test. After initial conditioning period of four (4) minutes at a temperature of 150° C and at 100% elongation, the modulus must not be less than 110 pounds per square inch.

Accelerated Water Absorption Characteristics.

1. Electrical Method. After twenty-four (24) hours immersion in tap water at 75° +/- 1° C, the specific inductive capacity of the insulation must not be more than 7. After a continued fourteen (14) day immersion, the specific inductive capacity must not be more than three percent (3%) higher than the value determined at the end of the first day, nor more than two percent (2%) higher than the value determined at the end of the seventh day.

2. Gravimetric Method. The insulation must not absorb more than five (5) milligrams of water per square inch of exposed surface area after immersion in distilled water at 70° C for a period of seven (7) days.

Electrical Characteristics. Each completed length of insulated conductor must withstand a test voltage of 3000 volts AC for a period of five (5) minutes after immersion in water for not less than six (6) hours and while still immersed. After withstanding this dielectric test, the cable must have an insulation resistance constant of not less than 25,000.

Cold Bend Test. The cable must pass the cold bend, long-time voltage test on short specimens as outlined in ASTM D-470.

Reports Required. Test reports must include the physical properties, both initial and after aging, the accelerated water absorption characteristics, and the electrical characteristics.

#### PACKING

7. The cable must be delivered in coils containing five hundred (500) feet each. Each coil must be packed in individual dispenser cartons. Each carton must be labeled, identifying the cable type and size, manufacturer, and date of manufacture.

THIS SPECIFICATION MUST NOT BE ALTERED

### **SPECIFICATION 1382**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED MAY 10, 1979

LUMINAIRE: WITH INTEGRAL BALLAST  
FOR 400 WATT, HIGH PRESSURE  
SODIUM LAMP; I.E.S. TYPE

#### **II/TYPE III DISTRIBUTION**

##### SUBJECT

1. This specification states the requirements for a street lighting luminaire, with integral ballast and electronic starter, to provide base down to horizontal burning of 50,000 lumen, 400 watt, Type LU400 high pressure sodium lamp.

##### GENERAL

2. (a) Information Required. Each bidder must submit with his proposal the following information relative to the luminaires he proposes to furnish:

1. Outline drawing.
  2. Complete description and weight.
  3. Candlepower distribution curve showing the light distribution in the 70° degree cone and in a vertical plane through the maximum beam.
  4. Isolux curves for the various socket positions.
  5. Utilization efficiency charts.
  6. Luminaire efficiency.
  7. Projected area in square feet.
  8. Manufacturer's name and catalogue designation of the luminaire.
- IES formatted photometric curve in electronic format.

(b)Sample. One completely assembled luminaire of the manufacture intended to be furnished, must be submitted upon request of the Chief Procurement Officer within fifteen (15) business days of such request.

(c)Assembly. Each luminaire must be delivered completely assembled, wired, and ready for installation; without the lamp and fuses. It must consist of aluminum housing, aluminum reflector, glass refractor, refractor holder, lamp holder assembly, terminal board-fuse block, ballast-door panel, ballast components, gaskets, slip fitter, and all necessary hardware.

(d)Warranty. The manufacturer must warrant the performance and construction of these luminaires to meet the requirements of this specification, and must warrant all parts, components and appurtenances against defects due to design, workmanship or material developing within a period of one (1) year after the luminaire has been placed in service. This will be interpreted particularly to mean compatible performance of ballast with lamps of various manufacture, failure of any ballast component, loss of reflectivity of reflecting surface, and discolorations or fogging of the refractor impairing the transmission of light. Any luminaire or part thereof, not performing as required, or developing defects within this period must be replaced by the manufacturer without expense to the City.

## CONSTRUCTION

3. (a)Weight and Area. The net weight of this luminaire with ballast must be not more than 60 pounds. The projected area must not exceed 3.1 square feet.

(b)Housing. The housing must be a precision molded aluminum die casting. The wall thickness must be substantial and adequate to withstand the strains likely to be imposed on the housing when installed and in service.

(c)Slip Fitter. The slip fitter must be suitable for attachment over the end of a two (2) inch steel pipe with an approved means of clamping it firmly in place, and must provide a built-in pipe-stop. It may be integral with, or may be attached to, the housing. The slip fitter must be designed to permit adjustment of not less than three (3) degrees above and below the axis of the mounting bracket. The slip fitter must contain an approved shield around the pipe entrance to block entry of birds.

(d) Lamp Holder Assembly. The lamp holder must be fully adjustable to accurately position the lamp. It must be a mogul, porcelain enclosed socket having lamp grips, and must be high quality commercial product. The socket support bracket must provide both horizontal and vertical adjustments to achieve a broad range of light distribution patterns. Each adjustment position must be clearly marked, and the socket must be positively secured in each position. The lamp holder and its bracket must be assembled in the optical system, in a manner which provides a completely sealed, moisture and dust tight optical system.

(e) Reflector. The reflector must be made of aluminum and polished to a highly specular "Alzak process" finish with suitable means for attachment to the housing. It must be of such design as to give proper re-direction of the light striking it with minimum reflection through the outer bulb of the lamp and must distribute the reflected light uniformly over the refractor surface.

(f) Refractor. The refractor must be pressed crystal clear, heat-resistant, boro-silicate glass, well annealed, homogeneous, and free from imperfections and striations. It must contain prisms pressed on the inside surface and where necessary on the outside surface, and must be optically designed to redirect by reflection and refraction the light from the lamp to produce vertical and lateral light distribution patterns conforming substantially with both I.E.S. Type II and Type III light distributions. For diffusion of the light and good appearance, a pattern of continuous and adjoined flutes or configurations must be pressed on the outside surface. In the event the refractor can fit into its holder in two (2) positions, the refractor must be clearly embossed with the designations Astreet side@ and Ahouse side@ to insure proper orientation.

(g) Refractor Holder. The refractor holder must be hinged to the luminaire housing and must open approximately 90 degrees to allow servicing of lamp and reflector. The refractor must be securely held in the refractor holder. In the closed position the refractor holder must cause the refractor to seat against the reflector gasket. The refractor holder must permit simple removal and replacement of the refractor without the use of tools. The hinge must prevent the refractor holder from disengaging and dropping in case it should swing open.

(h) Latch. An approved latch must be provided for latching the refractor holder to the housing. The latch must be located opposite a suitable hinge, and in conjunction with the hinge must compress the gasket between the reflector and refractor. The latch must be a firm-gripping, easy opening, single action, positive latching type requiring no tools.

(i) Ballast Door-Panel. The ballast components must be completely assembled and mounted on a die-cast aluminum door-panel. The door-panel must be hinged to the luminaire housing, suitably latched and fastened at the closing end; and it must be rapidly and simply removable. The hinge and fastening devices must be captive parts which will not become disengaged from the door panel.

(j) Gaskets. Wherever necessary, in order to make a completely dustproof optical assembly, gaskets of silicone rubber or other specifically approved material must be provided. The reflector gasket must fit around and over the flange of the reflector.

(k) Hardware. All machine screws, locknuts, pins and set screws necessary to make a firm assembly, and for its secure attachment to the mast arm, must be furnished in place. All hardware must be of stainless steel, copper silicon alloy or other non-corrosive metal, and where necessary must be suitably plated to prevent electrolytic action by contact with aluminum.

(l) Fiberglass Tubing. Two lengths of fiberglass tubing with silicone varnish coating meeting requirements of National Electrical Manufacturers' Association insulation classification HC-2, Size 2 (0.263" I.D.), must be provided to permit proper thermal insulation of conductors ("LINE" leads) within the luminaire. They must be sufficiently long to extend from the terminal block to a point 6 inches beyond the end of the slip fitter.

(m) Terminal Board-Fuse Block. A terminal board of molded phenolic plastic of the barrier type must be mounted within the housing in a readily accessible location. It must provide all terminals needed to completely prewire all luminaire components. The terminal board must either incorporate a barrier isolated section with fuse clips to take two "small-dimension" (13/32" x 1 1/2") cartridge fuses, or a separate barrier protected fuse block must be provided therefor. The fuses are not required to be furnished with this luminaire. The fuse block must be wired to the appropriate terminals. The terminal board-fuse block must have plated copper or plated brass, clamp-type pressure terminals of an approved type for "line" connections, to accommodate wire sizes from #12 to #8 A.W.G. The terminals for connection of internal components must either be the screw-clamp or quick disconnect type.

(n) Filter. The optical system must contain a charcoal "breathing" filter, of adequate size to provide effective filtering of particulate and gaseous contaminants.

(o) Finish. The luminaire must have a baked on enamel finish. Surface texture and paint quality will be subject to approval. Color must be gloss black or gray Munsell No. 5BG 7.0/0.4 (designated A.S.A. No. 70) as specified in the order. A paint chip must be submitted as a sample upon request.

## BALLAST

4. (a) General. The integral ballast must be a voltage tapped, high power factor, linear type, low loss reactor. It must be designed to furnish proper electrical characteristics for starting and operating a 400 watt high pressure sodium lamp at temperatures as low as minus 40°F. The ballast winding must be adequately impregnated and treated for protection against the entrance of moisture, insulated with Class G insulation, and able to withstand the NEMA standard dielectric test. The ballast must include an electronic starting component.

(b) Lamp Operation. The ballast must provide positive lamp ignition at an input voltage of 191 volts. It must operate the lamp over a range of input voltage from 191 to 220 volts without damage to the ballast for the 208 volt tap connection and 220 to 254 volts for the 240 volt tap connection. It must provide lamp operation within lamp specifications for rated lamp life at input voltage between 198 volts and 218 volts for the 208 volt tap connection and between 228 volts and 252 volts for the 240 volt tap connection.

(c) Rating. The ballast must have properly coded wire leads for taps at rated input voltage of either 208 or 240 volts at 60 cycles, which must drive a nominal 100 volt lamp at 400 watts. The design range of input voltage for this ballast must be from +6% to -8% of the nominal voltage.

(d) Lamp Current. The ballast must supply approximately 4.7 amperes to a 400 watt, 100 volt high pressure sodium lamp during operation, and not more than 7.0 amperes at starting.

(e) Power Factor. The power factor of the ballast over the design range of input voltages specified above must not be less than 90%.

(f) Line Current. With nominal input voltage applied, the input current under starting, short circuit or open circuit condition, must not exceed 4.7 amperes rms.

(g) Lamp Wattage. The ballast must deliver 400 watts to a nominal 100 volt lamp when operating at the nominal input voltage. Wattage input to the nominal lamp must not vary more than a total of 37% over the input voltage design range of 191 volts to 254 volts with the supply connected to the proper ballast tap.

(h) Ballast Loss. Wattage loss of the ballast must not exceed 43 watts when delivering 400 watts to a nominal lamp at the nominal input voltage of 208 or 240 volts.

(i) Electronic Starter. The starter component must be comprised of solid state devices capable of withstanding ambient temperatures of 100°C. The starter must provide timed pulsing with sufficient follow-thru current to completely ionize and start all lamps. Minimum amplitude of the pulse must be 2,500 volts, with a width of one (1) microsecond at 2,250 volts, and must be applied within 20 electrical degrees of the peak of the open circuit voltage wave with a repetition rate of once each half cycle of the 60 cycle wave. The lamp peak pulse current must be a minimum of 0.5 amps. Proper ignition must be provided over a range of input voltage from 191 to 254 volts. The starter component must be field replaceable and completely interchangeable with no adjustment necessary for proper operation. The starter component must have push-on type electrical terminations which must provide good electrical and mechanical integrity with ease of replacement. The starter circuit board must be treated in an approved manner to provide a water and contaminant resistant coating.

(j) Crest Factor. Maximum crest factor must be no greater than 1.65 over the input voltage range of 191 to 254 volts for a nominal vertical burning lamp.

(k) Mounting. The ballast components must be mounted and fastened on the luminaire ballast door panel in a manner such that the components will remain secure and capable of withstanding the vibrations and shocks likely to occur when installed and in service. These components must be readily removable for replacement.

(l) Wiring. The lamp holder and ballast components must be completely wired, with connections made to a terminal board that is suitable for both copper or aluminum supply conductors to provide the 208/240 volts tap connections. The reactor and capacitor leads must not be smaller than #16 gauge conductors. These must be insulated with an approved 125°C insulation. All leads must be coded in an approved manner for proper identification. A complete wiring diagram must be displayed at an easy to read location on the interior of the luminaire.

(m) Capacitor. The capacitor must be a non-PCB, a-c power type. The capacitor can must be coated with a moisture resisting paint, or must be fabricated of non-corrosive material.

(n) Ballast. The ballast must be tapped linear reactor device incorporating a molded polyester-glass bobbin structure having a precision wound, insulated, magnetic wire coil with bobbin mounted push-on type electrical terminations. These terminations must provide good electrical and mechanical integrity as well as easy ballast replacement. The ballast must be treated in an approved manner to provide electrical and mechanical protection.



(o) Wiring Connection. The ballast panel wiring must be "plug" connected to lamp and line leads for easy disconnect in removing the ballast.

#### PACKAGING

5. (a) Packing. Each luminaire assembly must be packed in a suitable carton so secure that it must not be damaged in shipment and handling.

(b) Marking. Each carton containing a luminaire must be clearly marked on the outside in letters not less than three-eighths (3/8) inch tall with the legend: "LUMINAIRE W/BALLAST, 400 WATT HP-SODIUM, IES TYPE II/TYPE III", the appropriate City Commodity Code Number, the name of the manufacturer, the date of manufacture, and the contract number under which the luminaire is furnished.

THIS SPECIFICATION MUST NOT BE ALTERED

#### **SPECIFICATION 1428**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
SEPTEMBER 11, 1989

#### **THERMAL MAGNETIC CIRCUIT BREAKER**

#### SUBJECT

1. This specification covers the requirements for thermal-magnetic circuit breakers capable of providing complete over-current protection for street lighting branch-load and service circuits.

#### GENERAL REQUIREMENTS

2. (a) Sample. One complete circuit breaker of each type and size, and of the manufacture intended to be furnished must be submitted upon request of the Chief Procurement Officer within fifteen (15) business days after receipt of such request. The sample(s) must be delivered to the Engineer of Electricity, Bureau of Electricity, 2451 South Ashland Avenue, Chicago, Illinois 60608.

(b) U.L. Approval. Circuit breakers furnished under this specification must be listed and approved by Underwriter's Laboratories, Inc.

(c) Applicable Specifications. Where reference is made to applicable requirements of Underwriter's Laboratories, Inc., Bulletin #489, entitled "Standard for Branch Circuit and Service Circuit Breakers," hereinafter cited as the U.L. Standards, the most recently published revision will govern.

(d) Assembly. Each circuit breaker must have the thermal-magnetic trip installed, calibrated and sealed within its insulated housing.

(e) Instructions. Complete installation instructions, details on wiring, and information on operation must be furnished with each circuit breaker, except as otherwise indicated.

(f) Packing. Each circuit breaker must be packed in a suitable manner so that it will not be damaged in shipping or handling.

#### TYPES AND SIZES

3. (a) EHD Frame Circuit Breakers. For use on A-C Systems with a 100-ampere frame; minimum interrupting rating of 18,000 R.M.S. symmetrical amperes at 240 volts A.C.

1. Single pole, 240 or 480 volts A.C., ampere rating from 15 to 100.

Double pole, 240 or 480 volts A.C., ampere rating from 15 to 100.

(b) FDB Frame Circuit Breakers. For use on A-C Systems with a 150 ampere frame; minimum interrupting capacity of 18,000 R.M.S. symmetrical amperes at 240 volts A-C.

1. Double pole, 240, 480 or 600 volts A-C, ampere rating from 15 to 150.

2. Triple pole, 240, 480 or 600 volts A-C, ampere rating from 15 to 150.

(c) JDB Frame Circuit Breakers. For use on A-C Systems with a 250 ampere frame; minimum interrupting current of 65,000 R.M.S. symmetrical amperes at 240 volts A-C.

1. Double pole, 240, 480 or 600 volts A-C, ampere ratings from 70 to 250.

2. Triple pole, 240, 480 or 600 volts A-C, ampere ratings from 70 to 250.

#### DESIGN AND CONSTRUCTION

4. Circuit breakers furnished under this specification must include the following design and construction features: (1) molded insulated housing, (2) thermal-magnetic trip mechanism, (3) silver alloy contacts, (4) corrosion-resistant internal parts, (5) trip-free, indicating handle, and (6) pressure-type terminals.

#### DETAIL REQUIREMENTS

5. (a) Thermal-Magnetic Trip Mechanism. The breaker must be activated on current overload by means of a thermal-magnetic trip mechanism. This mechanism must be non-adjustable, non-interchangeable, and factory calibrated and sealed. Instantaneous tripping as controlled by the magnetic trip setting, and time delay tripping accomplished by thermal action must be in accordance with the manufacturer's published characteristic curves for these breakers or with calibration requirements of the U. L. Standards, as applicable.

(b) Contact Mechanism. The contacts must be spring loaded and provide a quick-make, quick-break non-teasing action. The contact mechanism must be such that the breaker will trip open even if the handle is held or locked in the ON position.

(c) Calibration. Rating and performance of these breakers must be based on calibration at an ambient temperature of 40° C. (104°F.).

(d) Rated Current. Each breaker must be capable of carrying 100% rated current continuously in its calibrated ambient temperature without tripping and without exceeding the temperature limits specified in the U. L. Standards.

(e) Contacts. The contacts must be made of a non-welding silver alloy or equivalent, subject to approval.

(f) Internal Parts. All internal parts of these circuit breakers must be corrosion resistant material.

(g) Terminals. Solderless, pressure type terminals of copper construction must be provided for both line and load connections.

(h) Handle Indication. The handle must indicate clearly whether the circuit breaker is on the ON, OFF, or TRIPPED position.

(i) Mounting. Breakers furnished under this specification must have drilled and counterbored holes for front mounting which must conform to spacings shown on Department of Streets and Sanitation Drawings numbered 883, 884, 886, and 887.

(j) Test Requirements. These breakers must be capable of meeting the following sequence of test requirements as specified in the U. L. Standards.

1. Endurance test.
2. Calibration test at 200% and 125% of rated current.
3. Short circuit tests
4. Calibration test at 500% rated current.
5. Dielectric strength test.

#### WARRANTY

6. Circuit breakers furnished under this specification must be warranted by the manufacturer against defects in materials or workmanship for a period of one year after installation. During this period, should a failure occur, repair or replacement must be made without cost to the City.

THIS SPECIFICATION MUST NOT BE ALTERED

## **SPECIFICATION 1441**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED AUGUST 1, 2006

### **CABLE: SINGLE CONDUCTOR AERIAL, #6 AWG**

### **WEATHERPROOFED WITH POLYETHYLENE JACKET**

#### **SUBJECT**

1. This specification states the requirements for cable intended to be used in overhead distribution on insulators for 240 VAC, 60 cycle, single phase, street lighting circuits.

#### **GENERAL**

2. (a) Specifications. The cable must conform in detail to the requirements herein stated, and to the specifications and methods of test of the Insulated Cable Engineer's Association (ICEA) and the American Society for Testing and Materials (ASTM), cited by number, in which the most recently published revisions will govern.

(b) Acceptance. Cable not conforming to this specification will not be accepted.

(c) Sample. A three foot sample of the cable intended to be furnished must be submitted within fifteen (15) business days after receipt of such a request from the Chief Procurement Officer. The sample must be sent to the Engineer of Electricity unless otherwise directed.

(d) Warranty. The manufacturer must warrant the cable to be first class material throughout. In lieu of other claims against them, if the cable is installed within six months of date of shipment, the manufacturer must replace any cable failing during normal and proper use within two years of date of installation. The Commissioner will be the sole judge in determining if a cable section needs to be replaced. The length of replacement will be the entire length of unspliced cable from existing termination/splice point to termination/splice point All replacements under this warranty must be made free of charge F.O.B. delivery point of the original contract.

#### **CONSTRUCTION**

3.(a) The cable must have a copper conductor with a tight fitting concentric layer of polyethylene.

(b) Conductor. The conductor must be made up of medium hard drawn, solid, round copper wire meeting the requirements of ASTM B-2. The conductor must be size 6, American Wire Gauge.

(c) Jacket. The jacket must be polyethylene meeting the physical and electrical requirements specified herein. The jacket must be circular in cross-section, concentric to the conductor, and must have an average thickness of 30 mils. The minimum thickness at any cross section must not be less than ninety percent (90%) of the average thickness.

#### PHYSICAL AND ELECTRICAL REQUIREMENTS

4. The cable must meet the physical and electrical requirements of ICEA S-70-547.

#### TESTING

5. (a) General. Tests must be performed on completed cables in accordance with applicable standards as listed in these specifications. All tests must be conducted on cable produced for this order.

(b) Number of Tests. Tests must be conducted on completed cables for approximately five percent (5%) of the cable. In no case must samples be taken closer than 25,000 feet apart. Reels to be tested will be selected at random.

(c) Witness Testing . If requested by the City, an engineer from the Bureau of Electricity will witness any cable testing. The contractor must include in his bid, the cost of travel, food and lodging for one (1) engineer. Travel for 150 miles or greater must utilize a major airline. Lodging accommodations must be equal to those provided at a Holiday Inn. The engineer must be given ten (10) working days notice of all travel arrangements.

(d) Test Reports. No cable may be shipped until certified copies of all factory tests have been reviewed and approved by the Engineer of Electricity. Test data required is:

1. Initial and Aged Physical Characteristics
2. Accelerated Water Absorption Requirements
3. Cold Bend Test
4. Jacket Thickness (average and minimum)

e) Acceptance. Where the cable fails to conform to any of the tests specified herein, the Commissioner may subject additional cable to testing or reject the entire lot.

#### PACKAGING

6. (a) Cable Marking . The cable must be identified by a permanently inscribed legend in white lettering as follows:

1/C No. 6 AWG - Weatherproofed Aerial PE

The legend must be repeated at approximately eighteen (18) inch intervals on the outside surface of the cable parallel to the longitudinal axis of the conductor. A sequential footage marking must be located on the opposite side from the legend.

(b)Reels . The completed cable must be delivered in lengths of 1000 feet in coils with a nominal 21 inch eye opening. Both ends of each length of cable must be properly sealed against the entrance of moisture and other foreign matter by the use of clamp-on cable caps, such as the Reliable Electric Company neoprene cable cap No. 1405, or equal. The ends must be securely fastened so as not to become loose in transit.

Before shipment, heavy cardboard or plastic wrapping must be applied to all coils. Coils must then be fastened to 48 inch by 48 inch hardwood 4-way non-returnable pallets for shipment. Total height of each pallet must not exceed 64 inches. Total weight of each pallet must not exceed 2200 pounds.

(c)Marking . A metal tag must be securely attached to each pallet indicating the coil number, contract number, date of shipment, gross and tare weights, City Commodity Code number if applicable , footage, and a description of the cable. Directions for unrolling the cable and any other pertinent information must be placed on each coil package with an approved permanent marking material such as oil-based paint or a securely attached metal tag.

THIS SPECIFICATION MUST NOT BE ALTERED

### **SPECIFICATION 1443**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED JULY 11, 2006

### **SECONDARY RACK, 2 OR 3 WIRE, WITH INSULATORS**

#### **SUBJECT**

1. This specification covers the requirements for 2 and 3 wire secondary racks complete with insulators for attachment to street lighting poles for the purpose of supporting aerial circuit wires.

#### **GENERAL**

2. (a)Specifications. Each 2 or 3 wire secondary rack must conform in detail to the requirements herein stated, and to the specifications of the American Society for Testing and Materials, cited by ASTM Designation number, of which the most recently published revision will govern. Secondary racks not conforming to this specification will not be accepted.

(b)Sample. If requested, each bidder must submit with his proposal one complete sample secondary rack with insulators for approval by the Commissioner. The sample must be submitted within fifteen (15) business days of such request from the Chief Procurement Officer.

(c)Warranty. Secondary rack and pole clamps furnished under this specification must be warranted against failure from defects due to materials or workmanship for a period of one year after delivery. In the event of failure of any of the components, the manufacturer will replace the rack, at no cost to the City.

## SECONDARY RACK

3. (a)General Design. The secondary rack must be the medium duty type with extended back. It must be suitable for either 2 or 3 wire, as indicated in the bid proposal, with 8-inch spacing between centers of the clevises. Secondary racks furnished under this specification must be similar and the approval equal of Joslyn Mfg. and Supply Co. part number J767 for a two-wire rack and J768 for a three-wire rack.

(b)Back Section. The back section of the secondary rack must be made from hot-wrought merchant quality carbon steel 1/8 inch thick. The steel must conform with ASTM Specification A 575, Grade M1010. The back must be formed to the shape of an inverted trough, the flat portion of which must be approximately 1-1/4 inches in width. Mounting slots, 11/16 inch by 1-1/4 inch, must be longitudinally centered on the flat of the back section and located so as to coincide with the centers of the clevises, with additional slots provided at the top and bottom. The 2-wire back must be at least 18 inches in length. The 3-wire back must be at least 24 inches in length.

(c)Clevises. Clevises must be made from 1/8 inch thick steel strip of the same material as the back section, and so formed to fit the back snugly. The prongs of the clevis must be approximately 4 inches apart and formed to the shape of an inverted trough, the flat portion of which must be approximately 3/4 inch in width with the edges pitched at an angle of 30° with the flat portion. Each clevis must be fabricated in such a manner that the pitched edges of both prongs must slope in the same direction. The clevises must be riveted to the back section with two (2) 5/16 inch steel rivets.

(d)Rack Bolt. The rack bolt must be a 9/16 inch diameter button head bolt made of hot-wrought carbon steel conforming with the requirements of ASTM Specification A 576, Grade 1040, complete with a 1/4 inch by 2 inch brass cotter pin at the bottom end. Centerline of the rack bolt must be located 4 inches out from the face of the back section.

(e)Spool Insulators. Spool insulators must be electrical grade white or gray glazed porcelain similar to and the approved equal of Joslyn Mfg. and Supply Company No. J101 or No. J151 .

(f)After fabrication, the secondary rack, clevises, and all steel hardware must be hot dip galvanized according to ASTM 123. Bolts, washers, and nuts must be hot dipped galvanized according to ASTM 153.

## TESTS

4. At the discretion of the Commissioner, secondary racks furnished under this specification will be subject to determine compliance with the strength requirements of ANSI medium type secondary racks.

## INSPECTION

5. An inspector representing the City must have free entry at all times while work under this specification is being performed, to all parts of the manufacturer's plant which will concern the manufacture of these secondary racks. The manufacturer must afford the inspector, without charge, all reasonable facilities to satisfy him that the secondary racks are being furnished in accord with these specifications. The final inspection must be made at point of delivery. Any secondary rack rejected or found defective because of material deficiency or workmanship must be removed and disposed of by the contractor at his sole cost.

THIS SPECIFICATION MUST NOT BE ALTERED

## SPECIFICATION 1447

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED MARCH 20 , 2007

### **POLE: ANCHOR BASE, 3 AND 7 GAUGE, TAPERED TUBULAR STEEL, WITH HANDHOLE ENTRY**

#### SUBJECT

1. This specification states the requirements for tapered, tubular, 3 gauge and 7 gauge steel anchor base poles with mast arm supports. They will support street light luminaires and/or traffic signal mast arms and will be served by underground cables.

#### GENERAL

2. (a)Specifications. The poles must conform in detail to the requirements herein stated, and to the Specifications and Methods of Test of the American Society for Testing and Materials cited by ASTM Designation Number of which the most recently published revisions will govern.

(b)Acceptance. Poles not conforming to this specification will not be accepted.

(c)Bidders Drawings. Bidders must submit with their bids detailed scale drawings of the mast showing actual dimensions, details, and welds. Shop drawings must be original engineering drawings created by the manufacturer. The drawings must show every dimension necessary to show how all parts will fit each other and be properly held in assembly. These drawings must also be submitted in electronic format, preferably Microstation 95, if so requested by the City.

(d)Drawings. The drawings mentioned herein are drawings of the Department of Streets and Sanitation being an integral part of this specification cooperating to state necessary requirements.



(e)Sample. If requested by the Chief Procurement Officer, one completely assembled anchor-base pole of the manufacture intended to be furnished, must be submitted for review within fifteen (15) business days of receiving the request.

Warranty. The manufacturer must warrant the performance and construction of the light poles to meet the requirements of this Specification and must warrant all parts, components, and appurtenances against defects due to design, workmanship, or material developing within a period of five years after the light poles have been delivered. This will be interpreted particularly to mean structural or mechanical failure of any element or weld, or failure of any portion of the painting system. The warranty must be furnished in writing guaranteeing material replacement including shipment, free of charge to the City. The Commissioner will be the sole judge in determining which replacements are to be made and the Commissioner's decision will be final.

## STANDARDS

3. (a)Assembly. Each anchor base pole must consist of a steel mast with handhole entry, entry door with machine screws, grounding nut, mast base plate, top cap for mast, two (2) mast arm supports, bolt covers, and all necessary hardware required for complete assembly of these parts, ready for assembly, without special tools.

(b)Interchangeability. Members of each pole type must be mutually interchangeable for assembly, so that no reworking will be required to make any member fit properly in the place of any other similar member of any other similar pole.

(c)Design. Each pole type must conform in design and dimensions to the pertinent drawing(s) listed in Table "A".

## MASTS

4. (a)Mast Size. The outside diameters of the mast of each pole type must be as listed in Table A. The mast must be tapered at 0.14 inches per foot.

(b)Material. The mast must be fabricated from one length of No. 3, No. 7, or No. 11 Standard gauge steel meeting the material requirements of ASTM A606 for low alloy high strength coil steel, which, after fabrication, must possess an ultimate tensile strength of not less than 70,000 psi and a yield strength of not less than 60,000 psi, in accordance with ASTM A595, Grade C. Chemistry of the steel must be such as to insure resistance to atmospheric corrosion superior to that of ordinary copper bearing steel. Material certification is required. Manufacturer's steel meeting the specified physical and chemical requirements, and approved by the Commissioner, will be accepted.

(c)Fabrication. The mast must be fabricated with not more than one (1) longitudinal weld. The weld must be ground smooth so that it is virtually invisible. There must be no lateral welds in the masts other than where the masts are welded to the steel bases. Each mast must be straight and centered on its longitudinal axis. Each mast must be formed on a mandrel and worked to form a round cross-section. The completed, unpainted masts must have smooth external surfaces free from protuberances, dents, cracks or other imperfections marring their appearance.

(d)Base. The mast base must be a steel plate, of low alloy, high strength steel as noted in Par. 4 (b).

Plate Base. The base plate for each pole type must be as listed in Table "A". It must be fabricated from the same ASTM A606 low alloy, high strength steel as is used for the mast. After fabrication the steel must meet the requirements of ASTM A588. The mast must be inserted into the base to a maximum depth which will still allow for an adequate weld to be made between the bottom of the mast and the plate. A circumferential weld must be made between the mast and the base at both the top and underside of the plate. Non-metallic removable bolt covers which completely cover the anchor bolts and nuts must be provided. The covers must be attached with stainless steel screws coated with a non-seizing compound, or another type of non-seizing fastener, as approved by the Commissioner. The covers must enclose the anchor bolts and be secured in an approved manner. The base must be attached to the mast so that the bearing surface of the base is at right angles to the longitudinal axis of the mast. The vertical center line of the seam must be positioned so that no welds for the simplex attachments or the handhole opening will go through the seam.

Anchor Rod Openings. All anchor rod openings for each pole type must have a width as listed in Table "A". Each opening must be sized to have a circumferential slot length equal to 15 degrees of the circumference.

(e)Mast Arm Support Plates. The mast arm support plates will be made of cast steel conforming to the requirements for Grade 65-35 cast steel of ASTM A27, or equivalent, subject to approval. They must neatly fit the external surface of the mast. The upper mast arm support plate must have a hollow protuberance, the hole of which must be approximately equivalent to two (2) inches in diameter, extending into the interior of the pole providing a smooth surface for the lamp cables to rest upon. The mast arm support plates must be designed so that they will carry the mast arm and hold it in the proper position for fastening the mast arm to the mast. The design of the mast arm support plates must be a two (2) bolt type as shown on Drawing No. 659.

(f) Provision for Ground. A 1/2-13 square nut must be welded to the inside of the mast on the handhole entry frame for a ground connection.

(g)Entry. A vertical doorframe carrying a removable door providing access to the interior of the mast must be welded into a close fitting opening centered approximately 15 inches above the bottom of the base. The doorframe must be formed and welded of steel with a cross section of two and one-quarter(2-1/4) inches wide by one-quarter (1/4) inch thick so as to adequately reinforce the opening of the mast. The internal horizontal clearance of the doorframe must be four and three-quarter (4-3/4) inches; its internal vertical clearance must be seven (7) inches. Its upper and lower ends must be semi-circular meeting its straight sides tangentially. The radius of this opening must be two and three-eighths (2-3/8) inches. The vertical center line of the entry must be at a right angle clockwise from the vertical center line of the mast arm supports. The frame must have two welded tabs; one at the top and one at the bottom of the door frame. These tabs must be drilled and tapped to accept a 1/4-20 UNC screw. The top hole must be located 13/16 of an inch from the top of the opening. The bottom hole must be located 13/16 of an inch from the bottom of the opening. The 1/4-20 machine screws must be stainless steel with hex heads, meeting the requirements of ASTM A193.

The screws must be treated with a compound to prevent seizing. Other non-seizing types of screws and fasteners may be considered. An alternate method of attachment consisting of a removable hinge on the bottom with a screw connection at the top may be considered. ( The above requirements apply to all pole masts except those with a 10 inch bolt circle. Poles with 10 inch bolt circles must have handhole openings of 3" by 5". All other requirements apply.)

(h) Door. The removable door must be formed of sheet steel approximately one-eighth (1/8) inch thick. It must be flat or dished depending upon the pole type, and fit the doorframe closely so that it will stay in proper position even if its locking screws are slightly loosened. The door must be drilled top and bottom to accept the 1/4-20 hex head machine screws which will fasten the door to the doorframe. A half-circle piece of steel must be welded by the screw opening, to allow only a socket wrench to be used. All doors must be interchangeable. An alternate method of attachment using an internal hinge at the bottom of the door with a screw at the top of the door will be considered. Any alternate method will be subject to approval by the Commissioner or his duly authorized representative.

(i) Locking Device. Any other door locking device, other than the one outlined above in (g) and (h), must be approved by the Commissioner or his duly authorized representative.

(j) Tag. To each pole must be attached immediately below the handhole, by mechanical means and not by adhesive, a stainless steel tag with a stamped or embossed legend which must include the pole outside diameter at the base, the overall length, and the gauge; i.e., 12.5" X 34'-6" X 3 gauge.

(k) Structural Requirements. The mast must be manufactured in accordance with AASTHO's 1994 version of the "Standard Specifications for Structural Supports for Highway Signs, Luminaires and Traffic Signals". The shaft and base assembly must be designed to meet AASTHO's 1994 criteria for 80 MPH wind loading with a 30% gust factor. The poles must be designed appropriately for Chicago applications for both street lighting and traffic signal applications, including signal mast arms.

TOP

5. (a) Design. The mast top must be essentially conical with a globe-shaped upper-end and having a minimum wall thickness throughout of not less than 1/4 inch. The cone portion must meet the skirted portion of the top in a smooth fillet, the skirt must enclose the top 7/8" inches of the mast. Three stainless steel, or other similar approved material, set screws not less than 3/4 inches long must be equally spaced in tapped holes around the skirt and must hold the top securely in place atop the mast. The design of the top must be similar to one shown on Drawing #11420A.

(b) Material. The top must be aluminum alloy 356-F per ASTM B108. It must have smooth surfaces, neat edges and corners and be free from fins, holes or other casting flaws. Non-metallic tops may be substituted if approved by the Commissioner.

(c) Finish. Tops must be painted as herein specified.

## HARDWARE

6. All the hardware necessary to complete the assembly of the pole must be furnished. All hardware will be as specified elsewhere in these specifications. Hardware not specified elsewhere must be stainless steel meeting the requirements of ASTM A193, or equal corrosion-resistant non-seizing metal, or a non-metallic material subject to approval by the Commissioner.

## WELDING

7. (a)General. Every welded joint must be made in conformity with the proper interpretation of the standard welding symbols of the American Welding Society as indicated on the drawings; however, each bidder must submit with his proposal a drawing showing the sizes and types of welds, must state the type of electrode, and must describe the welding methods, he proposes to use in fabricating the pole.

(b)Testing. Welds must be inspected for penetration and soundness of the welds by the magnetic particle inspection method or by radiography. Acceptance or rejection will be governed by the same conditions as in Section 9. If the magnetic inspection process is to be used, the dry method with the direct current must be employed. All transverse welds must be magnetized by the "prod" (Circular magnetization) method. Longitudinal welds may be magnetized by either circular or longitudinal magnetization.

## PAINTING

8. (a)Oil and Grease Removal. All metal surfaces must be washed with an alkaline detergent to remove any oils or grease.

(b)Metal Cleaning. All exterior metal surfaces must be cleaned by blasting with a combination of shot and grit to remove all dirt, mill scale, rust, corrosion, oxides and foreign matter and provide a "near white" surface in accordance with SSPCS-SP10. Included in this process must be the interior base section of the mast to a minimum height of twelve (12) inches.

(c)Chemical Pretreatment. The cleaned metal surfaces must then be treated with a hot, pressurized iron phosphate wash and must be dried by convection heat.

(d)Primer Coat. All exterior surfaces are to be coated with Tnemec 90-97 corrosion-inhibiting zinc-rich aromatic urethane to a minimum dry film thickness of 2.5 mils (.0025"). The aromatic urethane is to consist of a zinc dust content not less than 83% by weight in dried film. The coating must be airless-spray applied and moisture cured.

Finish Coat. All exterior surfaces are to be subsequently coated with Tnemec Endura-Shield II 1074 aliphatic acrylic polyurethane to a minimum dry film thickness of 3.0 mils (.003"). The coating must be airless-spray applied and cured in a gas-fired convection oven by heating the steel substrate to between 150° Fahrenheit and 220° Fahrenheit.

(f) Interior Coat. Interior surfaces are to be coated with red oxide rust inhibitive alkyd primer to a dry film thickness of 1.5 mils.

(g)Durability. Both the exterior and interior coats must be capable of passing 1,000 hours of salt spray exposure as per ASTM B117 in a five percent (5%) NaCl (by weight) solution at 95°F and 95% relative humidity without blistering. Before test, the panel must be scribed with an "X" down to bare metal.

(h)Coating Measurement. Measurement of coating thickness must be done in accordance with SSPC-Pa 2-73T, "Measurement of Dry Paint Thickness with Magnetic Gauges," except that the lowest "single spot measurement" in an area of two square inches must be not less than 5.5 mils.

Color. Color must be gloss black unless otherwise noted in the order. A color sample must be submitted for approval prior to fabrication.

(j) Alternate Methods. Alternate painting methods may be reviewed and tested on a case by case basis. However, no coating method will be accepted unless the Commissioner judges such alternate to be equal to the coating herein specified.

#### MAST TEST

9. (a)General. All completed masts must be available for testing for maximum deflection and set. The masts must meet the structural requirements of Section 4(k). Unless specifically authorized in writing, all tests must be made at the works of the manufacturer. The tests must be witnessed and certified by an engineer from the Bureau of Electricity. If an engineer from the Bureau is not available, an independent consultant, approved by the Bureau, must witness and certify the tests. There will be no cost to the City for this. A record of every test must be made and a certified copy of the test record must be submitted to the Commissioner before the masts are shipped.

(b)Lot. Tests for welds, deflection and set of the mast and of the mast arm supports must be made upon three (3) masts of the first fifty (50) in every order. An additional one (1) mast must be tested for each additional fifty (50) masts in the order. The selection of masts for testing must be random from the entire completed lot. If any of the masts in any lot fail to meet the test, an additional three (3) masts of the same lot must be tested. If any of these masts fail to meet the test requirements, the entire lot will be subject to rejection, except that the manufacturer may subject each mast in the lot to the test, and those which fulfill the requirement will be accepted. After testing, each base weld must be inspected by the magnetic particle method to determine that the welds have not been affected.

(c)Mast Requirements. With base rigidly anchored, a test load as indicated in Table A must be applied at a point approximately two feet (2'0") from the free end. The load must be applied at right angles to the center line of the mast and in the same vertical plane. The deflection must not be greater than that indicated in Table A. Within one (1) minute after the test load is released, measurement must be made of the set taken by the mast. This set must not be greater than that indicated in Table A. The deflection measurement device must be reset to zero and the test load must be reapplied. The deflection must not change from the deflection noted in the first test by more than  $\pm 5\%$ . No measurable set must be noted within one (1) minute after test load is released.

(d)Mast Arm Support (simplex) Requirements. With an appropriate mast arm firmly attached to the mast, a test load of 300 pounds must be applied to the mast arm as a side pull at a point seven (7) feet from the mast. After the test, the mast arm support welds on the mast must be tested by the magnetic particle method to determine that they have not been affected.

(e)The contractor must include in his bid, the cost of travel, food and lodging for one (1) engineer. Travel for 150 miles or greater must utilize a major airline. Lodging accommodations must be equal to those provided at a Holiday Inn. The engineer must be given ten (10) working days notice of travel arrangements.

## PACKAGING

10. (a)General. The poles must be shipped in twelve (12) pole bundles. Each pole must be individually wrapped so that the pole can be bundled for shipping and unbundled for delivery to the City without damaging the pole or its finish.

(b)Bundles. The bundles must consist of twelve (12) poles laid base to top to form an approximately rectangular cylinder. Materials such as lumber (2" x 4" min.), non-marring banding, and other appropriate bundling materials must be used to make a rigid, long lasting, bundle capable of being handled, shipped and stored without shifting of contents or breaking, subject to approval. Any bundles, in which either poles or packaging is received broken, damaged or with contents shifted, will not be accepted and it will be the responsibility of the supplier to return the bundle to its original destination at no cost to the City of Chicago. The bundles should be capable of being stacked two (2) high without breaking, or shifting of the contents. Each bundle must be capable of being lifted by a fork lift truck or crane and the bundles must be shipped on a flat bed truck to facilitate unloading. Each pole wrapping must be clearly labeled indicating the pole size, i.e. 34'6", 7 GAUGE, STEEL POLE, 15" B.C.

(c)Hardware. The bolt covers and their attachment devices must be shipped with each bundle and packaged in twelve (12) sets of four (4) each. The package must be labeled and placed in a prominent position to facilitate accessibility, and must be attached to, or within, the bundle in such a manner as to assure safe delivery. Payment will be withheld for any bundle delivered without the accompanying hardware. Pole caps must be attached at the manufacturer's facilities, or be packed separately in a manner similar to the bolt covers, and the same payment conditions will prevail. Cracked, broken or chipped parts will be considered as an incomplete delivery as regards payment.

(d)Delivery. All poles will be delivered to the Bureau of Electricity storage yard at 4101 South Cicero Avenue in Chicago, or to another location within the City as indicated on the order. Light pole information must include any recommendations of the manufacturer for storage.

## INSPECTION

11. An inspector representing the City must have free entry at all times, while the work on the contract is being performed, to all parts of the manufacturer's works which concern the manufacture of poles. The manufacturer must afford the inspector, without charge, all reasonable facilities to satisfy him that the poles are being furnished in accord with these

specifications. The final inspection must be made at point of delivery. Any poles rejected as defective must be removed and disposed of by the contractor at his sole cost.

TABLE A

POLE	GAUGE	BOLT CIRCLE	ANCHOR ROD	BASE PLATE	TEST LOAD	MAX. DEF.	MAX. SET	DRAWING
7.67"x12.5" x34'6"	3	16.5"	1.5"	1.75"	3200#	22"	2.5"	827
6.17"x11" x34'6"	3	17.25"	1.25"	1.5"	2500#	26"	2.5"	824
5.17"x10.0" x34'6"	3	15.0"	1.25"	1.5"	2000#	30"	2.5"	808
5.17"x10.0" x34'6"	7	15.0"	1.25"	1.5"	1500#	30"	2.5"	808
3.95"x8.5" x32'6"	3	11.5"	1.25"	1.5"	1500#	33"	2.5"	763
3.95"x8.5" x32'6"	7	11.5"	1.0"	1.25"	1200#	33"	2.5"	762
3.87"x8.0" x29'6"	3	10.0"	1.0"	1.5"	1500#	28"	1.0"	657
3.87"x8.0" x29'6"	7	10.0"	1.0"	1.25"	1200#	28"	1.0"	656
4.15"x8.0" x27'6"	3	10.0"	1.0"	1.5"	1500#	23"	1.0"	655
4.15"x8.0" x27'6"	7	10.0"	1.0"	1.25"	1200#	23"	1.0"	654
4.20"x7.0" x20'0"	3	10.0"	1.0"	1.0"	1500#	13"	1.0"	653
3.70"x6.5" x20'0"	11	10.0"	1.0"	1.0"	800#	14"	1.0"	652

THIS SPECIFICATION MUST NOT BE ALTERED



## **SPECIFICATION 1453**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED JANUARY 29, 2002

### **MAST ARMS: ALUMINUM, TRUSS TYPE AND DAVIT TYPE**

#### SUBJECT

1. This specification covers the requirements for aluminum mast arms for supporting street light luminaires. The aluminum arms will be supported by aluminum light poles.

#### GENERAL

2. (a) Specifications. The mast arms must conform in detail to the requirements herein stated and to the Specifications and Methods of Test of the American Society for Testing and Materials cited by ASTM Designation Number, and to the requirements of the American Welding Society, of which the most recently published revisions will govern.

(b) Acceptance. Mast arms not conforming to this specification will not be accepted. The Commissioner will be the sole judge in determining if the arms meet this specification.

(c) Bidders Drawings. Bidders must submit with their bids detailed scale drawings of the mast arm and bracket attachment proposed to be welded to the mast arm as the means for attaching these mast arms to poles. For davit arms, drawings must show how the davit is attached to the top of the light pole and is secured. The drawings must give every dimension necessary to show how the parts will fit each other and be properly held in assembly. These drawings must also be submitted in electronic format, preferably Microstation 95, if so requested by the City.

(d) Drawings. The drawings mentioned herein are drawings of the Department of Streets and Sanitation being an integral part of this specification cooperating to state the necessary requirements.

(e) Sample. If requested by the Chief Procurement Officer, one complete mast arm of the manufacture intended to be furnished, must be submitted within fifteen (15) business days upon receipt of such request.

(f) Warranty. The manufacturer must warrant the performance and construction of the mast arms to meet the requirements of this specification and must warrant all parts, components, and appurtenances against defects due to design, workmanship, or material developing within a period of three years after the mast arms have been delivered. This will be interpreted particularly to mean structural or mechanical failure of any element or weld. The warranty must be furnished in writing guaranteeing material replacement including shipment, free of charge to the City.

The Commissioner will be the sole judge in determining which replacements are to be made. The Commissioner's decision will be final.

(g) Structural Requirements. The arms must be manufactured in accordance with AASTHO's 1994 version of the Standard Specifications for Structural Supports for Highway Signs, Luminaires and Traffic Signals. The arms must be designed to meet AASTHO's 1994 criteria for 80 MPH wind loading with a 30% gust factor. The arms must be designed for Chicago street lighting applications. The arm manufacturer must provide structural calculations that verify that the arms are designed properly.

#### TRUSS ARM DESIGN

3. (a) Each mast arm must be a truss type fabricated of two (2) inch "standard" aluminum pipe or tube 6063-T4 alloy conforming to the requirements of ASTM B429, or ASTM B221, or other approved design. The arm must be heat treated to a T-6 temper after fabrication and welding.

(b) Mast Arm Attachment. The mast must be attached to the pole by means of an extruded aluminum clamp with a bolting arrangement to hold the arm firmly in place. The extrusion must be aluminum alloy 6061-T6 conforming to the requirements of ASTM B221, B308, or an approved equal. The clamps must be designed to securely fasten the mast arm to the pole so that the arm cannot be dislodged vertically or horizontally from its intended position on the pole by wind gusts, vibrations or other normally anticipated natural phenomena.

(c) Dimensions. The truss type arm must have the dimensions indicated on Standard Drawing 943 or Standard Drawing 944 for the appropriate arm specified. Truss arms will be available in nominal horizontal lengths of 4 foot, 6 foot, 8 foot, 12 foot, and 15 foot, with either 4.5 inch or 6 inch clamps. The distance between the lower and upper members, measured between the vertical centers of the upper and lower attachment plates, must be 1'-9". With the arm attached to the pole intended to be supplied, the vertical rise from the center of the top attachment plate to the horizontal centerline of the end of the arm must be no greater than 2'-8". The horizontal axis of the free end of the upper member, when attached to the pole, must not exceed 3° above the true horizontal without the luminaire weight, nor be less than 1/2° above the true horizontal with a 35 lb. weight supported at the free end of the arm.

(d) Mating of Members. The upper and lower members must be mated in such a manner as to assure that they will not separate due to vibration, weather conditions such as high wind gusts, icing, etc., or any other normally anticipated stress condition.

(e) Interchangeability. Members of each truss arm size must be mutually interchangeable for assembly, so that no reworking will be required to make any member fit properly in the place of any other similar member of any other similar arm.

#### DAVIT ARM DESIGN

4. (a) Each arm must be fabricated from either 4.5 inch diameter or 6.0 inch diameter aluminum tubing of 6063-T4 alloy. After all fabrication and welding, the arm must be heat treated to a T6 temper.

(b) The arm must be attached to the mast by slipping the bottom of the arm tube over the top of the mast. The arm must have four (4) holes pre-drilled at its base to accommodate two (2) through bolts set 90° apart, as shown on the Standard Drawings. The bottom bolt will be in direct line with the length of the arm. The holes must match the holes in the mast so that after assembly the arm and mast appear as a single continuous unit. When bolted to the pole, the arm must not shift or become dislodged by wind gusts, vibrations, or other phenomena.

(c) The davit arm must be dimensioned as indicated on Standard Drawing 945, 946, 947, 948, 949, or 950, for the appropriate arm specified. Davit arms must be available in nominal horizontal lengths of 8 foot, 12 foot, and 15 foot; for both 4.5 inch and 6 inch pole tops. Davit arms will be single or twin as specified. A 2 3/8 inch diameter tenon will be attached to the end of each arm. The horizontal axis of the tenon, when the arm is attached to the pole, must not exceed 3° above the true horizontal without the luminaire weight, nor be less than 1/2° above the true horizontal with a 35 lb. weight supported by the tenon.

(d) Interchangeability. All davit arms for a 4.5 inch pole top must be interchangeable with each other. The same is required of davit arms for a 6 inch pole top.

## WELDING

5. (a) General. Every welded joint must be made in conformity with the proper interpretation of the standard welding symbols of the American Welding Society as indicated on the drawings; however, each bidder must submit with his proposal a drawing showing the sizes and types of welds, must state the type of electrode, and must describe the welding methods, he proposes to use in fabricating the arms.

(b) Testing. All welds of five percent (5%) of the arms in every lot must be inspected for penetration and soundness of the welds by radiography or by penetrant inspection. Acceptance or rejection will be governed by the same conditions as in the TESTING Section.

(c) Certifications. Welders must have proper certification for the welding operations required. Welding by non-certified personnel will not be allowed. Certifications must be made available upon request.

## HARDWARE

6. All hardware furnished for attachment of mast arm to pole must be series 300 stainless steel. All hardware necessary to complete the assembly of the arm to the pole must be provided.

## MAST ARM TESTS

7. (a) General. Five percent (5%) of the mast arms of each size in every order must be tested for structural integrity.

(b)Tests. The mast arms, when securely attached to a suitable and proper supporting structure, must withstand a horizontal (sideward) pulling force as indicated in Table A, and a vertical (downward) load as indicated in Table A. These loads may be applied independently. Each load must be applied at the end of the arm without any apparent permanent set, or damage to the welds joining the arm and mast arm attachment. The appropriate loading for each arm is indicated in Table A. On twin arms each arm extension must be tested.

(c)Rejection. If the mast arms fail to meet the test, an additional three percent (3%) of the mast arms in the same lot must be tested. If any of these mast arms fail to meet the test requirements, the entire lot will be subject to rejection, except that the manufacturer may subject each mast arm in the lot to the test, and those which fulfill the requirements will be accepted.

(d)Witness Tests. If requested by the City, tests may be witnessed by an Engineer from the Bureau of Electricity. The contractor must include in his bid, the cost of travel, food and lodging for one (1) Engineer. Travel for 150 miles or greater must utilize a major airline. Lodging accommodations must be equal to those provided at a Holiday Inn. The Engineer must be given ten (10) working days notice of all travel arrangements.

## PACKAGING

8. (a)General. The mast arms must be shipped in fifty (50) to seventy five (75) mast arm bundles without any wrapping on the individual arms or the entire bundle. All wrapping is to be omitted to assure proper air circulation over and between the arms to prevent water stains during outdoor storage.

(b)Bundles. The bundles must consist of fifty (50) to seventy five (75) arms laid to form an approximately rectangular bundle. Materials such as lumber (2"x4"), stainless steel banding, and other appropriate bundling materials must be used to make a rigid, long lasting, bundle capable of being handled, shipped and stored without shifting of contents or breaking, subject to approval. Any bundles received broken, damaged, or with contents shifted, either the arms or the packaging, will not be accepted, and it will be the responsibility of the supplier to return the bundle to its original destination at no cost to the City of Chicago. The bundles should be capable of being stacked two (2) high without breaking, or shifting of the contents. Each bundle must be capable of being lifted by a fork lift truck or crane and the bundles must be shipped on a flat bed truck to facilitate unloading.

(c)Hardware. The clamp backs and mounting hardware must be attached to the clamp fronts on the end of the arm, and must be shipped with each mast arm bundle. Mounting hardware for the davit arms must be packed and shipped with each davit arm bundle. Payment will be withheld for any bundle delivered without the accompanying hardware. Cracked, broken or chipped parts will be considered as an incomplete delivery as regards payment.

## INSPECTION

9. An inspector representing the City must have free entry at all times while the work on the contract is being performed, to all parts of the manufacturer's works which concern the manufacture of these mast arms. The manufacturer must afford the inspector, without charge, all reasonable facilities to satisfy him that the mast arms are being furnished in accord with this specification.

The final inspection must be made at point of delivery. Any mast arms rejected as defective must be removed and disposed of by the contractor at his sole cost.

TABLE A

ALUMINUM ARM	HORIZONTAL LOAD	VERTICAL LOAD	DRAWING #
Truss 4.5"x 4'	100#	250#	943
Truss 4.5"x 6'	100#	250#	943
Truss 4.5"x 8'	100#	250#	943
Truss 4.5"x 12'	100#	250#	943
Truss 4.5"x 15'	100#	250#	943
Truss 6.0"x 4'	100#	250#	944
Truss 6.0"x 6'	100#	250#	944
Truss 6.0"x 8'	100#	250#	944
Truss 6.0"x 12'	100#	250#	944
Truss 6.0"x 15'	100#	250#	944
Davit 4.5"x 8'	100#	250#	945
Davit 4.5"x 12'	100#	200#	946
Davit 4.5"x 15'	100#	200#	947
Davit 6.0"x 8'	100#	250#	948
Davit 6.0"x 12'	100#	250#	949
Davit 6.0"x 15'	100#	250#	950

THIS SPECIFICATION MUST NOT BE ALTERED

**SPECIFICATION 1454**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
APRIL 20, 2007

**MAST ARM: TRAFFIC SIGNAL MONO-TUBE**

SUBJECT

1. This specification states the requirements for tapered, tubular, 7 gauge steel mono-tube arm with mounting brackets. The arm will support traffic signals and signs.

GENERAL

2. (a) Specifications. The arms must conform in detail to the requirements herein stated, and to the Specifications and Methods of Test of the American Society for Testing and Materials cited by ASTM Designation Number of which the most recently published revisions will govern.

(b) Acceptance. Arms not conforming to this specification will not be accepted.

(c) Bidders Drawings. Bidders must submit with their bids detailed scale drawings of the mast arm showing actual dimensions, details, and welds. Shop drawings must be original engineering drawings created by the manufacturer. The drawings must show every dimension necessary to show how all parts will fit each other and be properly held in assembly. These drawings must also be submitted in electronic format, preferably Microstation 95, if so requested by the City.

(d) Drawings. The drawings mentioned herein are drawings of the Department of Streets and Sanitation being an integral part of this specification cooperating to state necessary requirements.

(e) Sample. If requested by the Chief Procurement Officer, one complete mast arm of the manufacture intended to be furnished must be submitted for review by the Commissioner within fifteen (15) business days of receiving such request.

(f) Warranty. The manufacturer must warrant the performance and construction of the mast arms to meet the requirements of this Specification and must warrant all parts, components, and appurtenances against defects due to design, workmanship, or material developing within a period of five years after the mast arms have been delivered. This will be interpreted particularly to mean structural or mechanical failure of any element or weld, or failure of any portion of the painting system. The warranty must be furnished in writing guaranteeing material replacement including shipment, free of charge to the City. The Commissioner will be the sole judge in determining which replacements are to be made and the Commissioner's decision will be final.

## STANDARDS

3. (a)Assembly. Each arm must consist of a tubular tapered steel shaft, mounting brackets, an aluminum cap, and all mounting hardware.

(b)Interchangeability. Members of each arm type must be mutually interchangeable for assembly, so that no reworking will be required to make any member fit properly in the place of any other similar member of any other similar arm.

(c)Design. Each arm must meet the requirements as shown on Standard Drawing 870.

## ARMS

4. (a)Arm Size. The outside diameters of the arm of each size must be as listed in Standard Drawing 870.

(b)Material. The arm must be fabricated from one length of No. 7 Standard gauge steel meeting the requirements of ASTM A606 for low alloy high strength coil steel, which, after fabrication, must possess an ultimate tensile strength of not less than 70,000 psi and a yield strength of not less than 60,000 psi, in accordance with ASTM A595, Grade C. Chemistry of the steel must be such as to insure resistance to atmospheric corrosion superior to that of ordinary copper bearing steel. Material certification is required. Manufacturer's steel meeting the specified physical and chemical requirements, and approved by the Commissioner, will be accepted.

(c)Fabrication. The arm must be fabricated with not more than one (1) longitudinal weld. The weld must be ground smooth so that it is virtually invisible. There must be no lateral welds in the arms other than where the arms are welded to the steel clamp. Each arm must be straight and centered on its longitudinal axis. Each arm must be formed on a mandrel and worked to form a round cross-section. The completed, unpainted arms must have smooth external surfaces free from protuberances, dents, cracks or other imperfections marring their appearance.

(d)Clamp. The arm clamp must be of low alloy, high strength steel as noted in Section 4 (b). The clamp must be constructed as shown on Standard Drawing 870.

(e)Structural Requirements. The mast arm must be manufactured in accordance with AASTHO's 1994 version of the Standard Specifications for Structural Supports for Highway Signs, Luminaires and Traffic Signals. The arm assembly must be designed to meet AASTHO's 1994 criteria for 80 MPH wind loading with a 30% gust factor. The arms must be designed appropriately for traffic signal applications within the City of Chicago.

## CAP

5. (a)Design. The arm cap must be essentially conical with a globe-shaped upper-end and having a minimum wall thickness throughout of not less than 5/32 inches. The cone portion must meet the skirted portion of the arm in a smooth filet, the skirt must enclose the top 7/8" inches of the arm. Three stainless steel, or other similar approved material, set screws not less than 3/4 inches long must be equally spaced in tapped holes around the skirt and must hold the cap securely in place on the arm.

(b)Material. The cap must be of aluminum alloy 356-F per ASTM B108. It must have smooth surfaces, neat edges and corners and be free from fins, holes or other casting flaws.

(c)Finish. Tops must be painted as herein specified.

## HARDWARE

6. All the hardware necessary to complete the assembly of the arm must be furnished. All hardware must be stainless steel, or equal corrosion-resistant non-seizing metal, subject to approval.

## WELDING

7. (a)General. Every welded joint must be made in conformity with the proper interpretation of the standard welding symbols of the American Welding Society as indicated on the drawings; however, each bidder must submit with his proposal a drawing showing the sizes and types of welds, must state the type of electrode, and must describe the welding methods, he proposes to use in fabricating the arm.

(b)Testing. All welds of the first three (3) arms of the first fifty (50) arms in every lot must be inspected for penetration and soundness of the welds by the magnetic particle inspection method or by radiography. Acceptance or rejection must be governed by the same conditions as in Section 9. If the magnetic inspection process be used, the dry method with the direct current must be employed. All transverse welds must be magnetized by the "prod" (Circular magnetization) method. Longitudinal welds may be magnetized by either circular or longitudinal magnetization.

## PAINTING

8. (a)Oil and Grease Removal. All metal surfaces must be washed with an alkaline detergent to remove any oils or grease.

(b)Metal Cleaning. All exterior metal surfaces must be cleaned by blasting with a combination of shot and grit to remove all dirt, mill scale, rust, corrosion, oxides and foreign matter and provide a "near white" surface in accordance with SSPCS-SP 10.

(c)Chemical Pretreatment. The cleaned metal surfaces must then be treated with a hot, pressurized iron phosphate wash and must be dried by convection heat.



(d)Primer Coat. All exterior surfaces are to be coated with Tnemec 90-97 corrosion-inhibiting zinc-rich aromatic urethane to a minimum dry film thickness of 2.5 mils (.0025"). The aromatic urethane is to consist of a zinc dust content not less than 83% by weight in dried film. The coating must be airless-spray applied and moisture cured.

(e)Finish Coat. All exterior surfaces are to be subsequently coated with Tnemec Endura-Shield II 1074 aliphatic acrylic polyurethane to a minimum dry film thickness of 3.0 mils (.003"). The coating must be airless-spray applied and cured in an oven by heating the steel substrate to between 150° Fahrenheit and 220° Fahrenheit.

(f) Interior Coat. Interior surfaces are to be coated with red oxide rust inhibitive alkyd primer to a dry film thickness of 1.5 mils.

(g)Durability. Both the exterior and interior coats must be capable of passing 1,000 hours of salt spray exposure as per ASTM B117 in a five percent (5%) Na Cl (by weight) solution at 95°F and 95% relative humidity without blistering. Before test, the panel must be scribed with an "X" down to bare metal.

(h)Coating Measurement. Measurement of coating thickness must be done in accordance with SSPC-Pa 2-73T, "Measurement of Dry Paint Thickness with Magnetic Gauges," except that the lowest "single spot measurement" in an area of two square inches must not be less than 5.5 mils.

Color. Color must be gloss black unless noted otherwise in the order. A paint chip must be submitted for approval prior to fabrication.

(j) Alternate Methods. Alternate coating methods may be reviewed and tested on a case by case basis. However, no coating method will be accepted unless the Commissioner judges such alternate to be equal to the coating herein specified.

#### ARM TEST

9. (a)General. All completed arms must be available for testing for maximum deflection and set. Unless specifically authorized in writing, all tests must be made at the works of the manufacturer. An engineer from the Bureau of Electricity must be present to witness and certify the tests. If an engineer from the Bureau is unavailable, an independent consultant, approved by the Bureau, must witness and certify the tests. There will be no cost to the City for this. A record of every test must be made and a certified copy of the test record must be submitted to the Engineer of Electricity before the arms are shipped.

(b)Lot. Tests for deflection and set must be made upon the first three (3) arms in the first fifty (50) arms in the lot. An additional one (1) arm must be tested for each additional fifty (50) arms. If any of the arms in any lot fail to meet the test, an additional three (3) arms of the same lot must be tested. If any of these arms fail to meet the test requirements, the entire lot will be subject to rejection, except that the manufacturer may subject each arm in the lot to the test, and those which fulfill the requirement will be accepted. After testing, each weld must be inspected by the magnetic particle method to determine that the welds have not been affected.

(c) Requirements. With arm rigidly anchored, a test load as indicated in the table in Standard Drawing 870 must be applied at a point approximately two feet (2'0") from the free end. The load must be applied at right angles to the center line of the arm and in the same vertical plane. The deflection must not be greater than that indicated. Within one (1) minute after the test load is released, measurement must be made of the set taken by the arm. The deflection measurement device must be reset to zero and the test load must be reapplied. The deflection must not change from the deflection noted in the first test by more than  $\pm 5\%$ . No measurable set must be noted within one (1) minute after test load is released.

(d) The contractor must include in his bid, the cost of travel, food and lodging for one (1) engineer. Travel for 150 miles or greater must utilize a major airline. Lodging accommodations must be equal to those provided at a Holiday Inn. The engineer must be given ten (10) working days notice of travel arrangements.

## PACKAGING

10. (a) General. The arms must be shipped in twelve (12) arm bundles. Each arm must be individually wrapped so that the arm can be bundled for shipping and unbundled for delivery to the job site without damaging the arm or its finish.

(b) Bundles. The bundles must consist of twelve (12) arms laid base to top to form an approximately rectangular cylinder. Materials such as lumber (2" x 4" min.), non-marring banding, and other appropriate bundling materials must be used to make a rigid, long lasting, bundle capable of being handled, shipped and stored without shifting of contents or breaking, subject to approval. Any bundles, in which either arms or packaging is received broken, damaged or with contents shifted, will not be accepted and it will be the responsibility of the supplier to return the bundle to its original destination at no cost to the City of Chicago. The bundles should be capable of being stacked two (2) high without breaking, or shifting of the contents. Each bundle must be capable of being lifted by a fork lift truck or crane and the bundles must be shipped on a flat bed truck to facilitate unloading. Each arm wrapping must be clearly labeled indicating the mast size, i.e. 30' SIGNAL MAST ARM.

(c) Hardware. The hardware must be shipped with each bundle and packaged in twelve (12) sets of four (4) each. The package must be placed in a prominent position to facilitate accessibility, and must be attached to, or within, the bundle in such a manner as to assure safe delivery. Payment will be withheld for any bundle delivered without the accompanying hardware. Arm caps must be attached at the manufacturer's facilities, or be packed separately in a manner similar to the other hardware, and the same payment conditions will prevail. Cracked, broken or chipped parts will be considered as an incomplete delivery as regards payment.

(d) Delivery. All mast arms will be delivered to the Bureau of Electricity storage yard at 4101 South Cicero Avenue in Chicago, or to another location within the City as indicated on the order.

## INSPECTION

11. An inspector representing the City must have free entry at all times, while the work on the contract is being performed, to all parts of the manufacturer's works which concern the manufacture of arms. The manufacturer must afford the inspector, without charge, all reasonable facilities to satisfy him that the arms are being furnished in accord with these specifications. The final inspection must be made at point of delivery. Any arms rejected as defective must be removed and disposed of by the contractor at his sole cost.

THIS SPECIFICATION MUST NOT BE ALTERED

### **SPECIFICATION 1457**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED AUGUST 3, 2006

### **CABLE: SERVICE ENTRANCE, THREE INSULATED CONDUCTORS IN ONE OVERALL JACKET, 600 VOLT**

#### SUBJECT

1. This specification states the requirements for a three conductor ( two power conductors and one neutral conductor) Ethylene Propylene Rubber (EPR) insulated, chlorosulfonated polyethylene (CSPE) or polyvinyl chloride (PVC) jacketed cable for installation on Commonwealth Edison service poles for the purpose of providing secondary power feeds from Commonwealth Edison to a City disconnect mounted on the pole for street lighting or traffic signal circuits.

#### GENERAL

2. (a)Specifications. The cable must conform in detail to the requirements herein stated, and to the applicable portions of the specifications and methods of test of the following agencies:

- (1) ICEA Specification S-95-658
- (2) IEEE Standard 383
- (3) ASTM Standard E-662-79
- (4) ASTM Standard D-470-81
- (5) U.L. 44
- (6) U.L. 854

(b)Acceptance. Cable not conforming to this specification will not be accepted.

(c)Sample. A three (3) foot sample of the cable intended to be provided under this contract must be submitted to the Engineer of Electricity within fifteen (15) business days after receipt of such a request from the Chief Procurement Officer.

(d)Warranty. The manufacturer must warranty the cable to be first class material throughout. If the cable is installed within one year of the date of shipment, the manufacturer must replace any cable failing during normal and proper use within two years of installation. The cable length to be replaced will be the entire unspliced length where the fault has been located. The Commissioner will be the sole judge in determining if a cable has failed and should be replaced. All replacements under this warranty must be made free of charge F.O.B. delivery point of the original contract

#### CABLE

3. (a)Construction. The cable must consist of three (3) conductors separately insulated and color coded. Suitable fillers must be used to produce essentially a round cross section in the completed cable. The insulated conductors must be cabled with a suitable left hand lay in conformance with the latest revision of ICEA S-95-658. A binder tape must be used over the cabled conductor assembly and a jacket applied overall.

(b)Sealing. The ends of each length of cable must be sealed against the entrance of moisture.

(c)Marking. The color of the neutral conductor must be white; that of the phase conductors must be black and red, respectively. The jacket must be black.

(d)Each conductor must consist of a round copper wire with a tight fitting, free stripping, concentric layer of Ethylene Propylene insulation. The cable must be rated for continuous duty at 90°C operating temperature, wet or dry, 130°C emergency overload temperature and 250°C short circuit temperature.

#### CONDUCTOR

4. (a)Material. The conductor must either be soft or annealed round copper wire, tin coated.

(b)Specifications. The conductor must meet the requirements of ASTM B3, and B8 for stranded Class B copper.

(c)Size. The conductor size must be as stated in the proposal or on the plans.

#### INSULATION

5. (a)Type. The insulation must be Ethylene Propylene compound meeting the physical and electrical requirements specified herein.

(b)Thickness. The insulation must be circular in cross-section, concentric to the conductor, and must have an average thickness not less than 30 mils (.030") for #14 AWG, 55 mils (.055") for #4 AWG, 65 mils (.065") for #2 AWG, 80 mils (.080") for #1/0 AWG, 80 mils (.080") for #2/0 AWG ,and a spot thickness not less than ninety percent (90%) of the average thickness.

(c) Initial Physical Requirements:

(1) Tensile Strength, min., psi. 1200

(2) Elongation at Rupture, min. %          250

(d) Air Oven Exposure Test. After conditioning in an air oven at  $121 \pm 1^\circ\text{C}$  for 168 hours using methods of test described in ASTM-D 573:

- (1) Tensile strength, min% of unaged value          75
- (2) Elongation, min % of unaged value at rupture          75

(e) Mechanical Water Absorption:

(1) Gravimetric Method: After 168 hours in water at  $70 \pm 1^\circ\text{C}$ :

Water absorption, maximum  
(Mg. per sq. in)    5.0

(f) Cold Bend Test Requirements. The completed cable must pass the "Cold-Bend, Long-Time Voltage Test on Short Specimens" of ASTM D-470 except that the test temperature must be minus (-)  $25^\circ\text{C}$ .

(g) Electrical Requirements.

(1) Voltage Test. The completed cable must meet an A.C. and D.C. voltage test in accordance with ASTM- D-470 and D-2655.

(2) Insulation Resistance. The completed cable must have an insulation resistance constant of not less than 20,000 when tested in accordance with methods shown in ASTM D-470.

**JACKET**

6. (a) Type. The jacket must be either a chlorosulfonated polyethylene (CSPE) or a polyvinylchloride (PVC) compound meeting the physical and electrical requirements specified herein. CSPE must meet the environmental requirements of CFR Title 40, Part 261 for leachable lead content.

(b) Thickness. The jacket must be circular in cross-section, concentric with the insulation, must have an average thickness not less than 45 mils (.045") for #14 AWG, 80 mils (.080") for #2 and #4 AWG, and not less than 95 mils (.095") for #1/0 and #2/0 AWG, and a spot thickness not less than ninety percent (90%) of the average thickness.

(c) Initial Physical Requirements:

- (1) Tensile strength minimum PSI..... 1800
- (2) Elongation at rupture, minimum percent 300

(d) Air Oven Exposure Test. After conditioning in an air oven at  $121 \pm 1^\circ\text{C}$  for 168 hours:

- (1) Tensile strength, minimum percent of unaged value 75
- (2) Elongation at rupture, minimum percent of unaged value 60

(e) Mechanical Water Absorbtion. After 168 hours at  $70 \pm 1^{\circ}\text{C}$ :

- (1) Milligrams per square inch, maximum 20

## TESTING

7. (a) General. Tests must be performed on insulation, jacket and completed cables in accordance with the applicable standards as listed in these specifications. Included in these tests will be a 70,000 BTU per hour flame test in accordance with IEEE 383. Where standards are at variance with each other or with other portions of this specification, the most stringent requirements, as determined by an engineer from the Bureau of Electricity, will apply. All tests must be conducted on cable produced for this order.

(b) Number of Tests. Insulation and jacket tests must be conducted on samples taken every 5,000 feet or fraction thereof of each conductor size. In no case must less than two (2) samples be taken. Approximately five percent (5%) of the cable must be tested. Where the cable fails to conform to any of the tests specified herein, samples must be taken from each reel and must successfully conform to all tests specified herein. Reels from which samples fail to conform, will be rejected.

(c) Witness Tests. If requested by the City, an engineer from the Bureau of Electricity must be present for testing. The contractor must include in his bid, the cost of travel, food and lodging for one (1) engineer. Travel for 150 miles or greater must utilize a major airline. Lodging accommodations must be equal to those provided at a Holiday Inn. The engineer must be given ten (10) working days notice of all travel arrangements.

(d) Test Reports. No cable may be shipped until certified copies of all factory tests have been reviewed and approved by the engineer.

## PACKAGING

8. (a) Cable Marking. The cable must be identified by a permanently inscribed legend in white lettering as follows:

3/C - No. (conductor size)AWG-600V-90°C-EPR/CSPE or EPR/PVC- manufacturers name-month-year of manufacture

The legend must be repeated at approximately eighteen (18) inch intervals on the outside surface of the cable parallel to the longitudinal axis of the conductor.

(b)Reels. The completed cable must be delivered on sound substantial, non-returnable reels. Both ends of each length of cable must be properly sealed against the entrance of moisture and other foreign matter by the use of clamp-on cable caps. The ends must be securely fastened so as not to become loose in transit. Before shipment, all reels must be wrapped with cardboard or other approved wrapping.

(c)Footage. Each reel must contain 1,000 foot of cable for either #4 AWG or #2 AWG and 500 feet of cable for #1/0 AWG or #2/0 AWG. A tolerance limit of plus or minus ten percent ( $\pm 10\%$ ) must be adhered to.

(d)Reel Marking. A metal tag must be securely attached to each reel indicating the reel number, contract number, date of shipment, gross and tare weights, description of the cable and the total footage. Directions for unrolling the cable must be placed on the reel with an approved permanent marking material such as oil-based paint or a securely attached metal tag.

TABLE 1 - THREE CONDUCTOR SERVICE ENTRANCE CABLE

Size (AWG)	Overall Diameter (mils)	No. Of Strands	Test Volts (KV)	Footage per Reel	Insulation (mils)	Jacket (mils)
4	950	7	4.5	1000	55	80
2	1100	7	4.5	1000	65	80
1/0	1400	19	5.5	500	80	95
2/0	1800	19	5.5	500	80	95

THIS SPECIFICATION MUST NOT BE ALTERED

**SPECIFICATION 1462**  
 BUREAU OF ELECTRICITY  
 DEPARTMENT OF STREETS AND SANITATION  
 CITY OF CHICAGO

REVISED AUGUST 3, 2006

**RIGID STEEL CONDUIT  
 (HOT DIPPED GALVANIZED)**

SCOPE

1. This specification describes rigid steel conduit, zinc coated. This specification also describes rigid steel conduit that is both zinc and PVC coated. The conduit will be used underground or on structure as a raceway for electrical cables.

#### GENERAL REQUIREMENTS

2. (a) Rigid steel conduit must be zinc coated by the hot-dip process. Conduit must be furnished in 10 foot lengths, threaded on each end and with one coupling attached to one end and a protective cap at the other end.

(b) The conduit must be manufactured according to Underwriters Laboratories Standard U.L. - 6 and must meet ANSI Standard C 80.1 and the requirements of NEC Article 344. In addition, conduit must be recognized as an equipment grounding conductor as per NEC Article 250.118(2). There will be no exceptions to meeting these standards.

(c) Acceptance. Conduit not conforming to this specification will be rejected. The Commissioner will be the final judge in determining if the conduit meets the specification.

(d) Sample. If requested by the Chief Procurement Officer, a sample of conduit must be submitted to the Engineer of Electricity within fifteen (15) business days of receipt of such a request.

Warranty. The manufacturer must warrant the construction and performance of the conduit to meet the requirements of this specification and must warrant all parts and components against defects due to design, workmanship, or material developing within a period of one (1) year after the conduit has been put in service.

#### STEEL

3. Conduit must be formed from steel suitable for use as an electrical raceway. It must be structurally sound so that it will hang straight and true when supported by hangers in accordance with Chicago electrical code requirements and must be capable of being field bent without deformation of the walls.

Conduit must have a circular cross section sufficiently accurate to permit the cutting of threads in accordance with Table 2 and must provide a uniform wall thickness throughout. All surfaces must be smooth and free of injurious defects. The dimensions and weights of rigid steel conduit must be in accordance with Table 1.

#### THREADING AND CHAMFERING

4. Each length of conduit, and each nipple, elbow and bend must be threaded on both ends, and each end must be chamfered to remove burrs and sharp edges.



The number of threads per inch, and the length of the threaded portion at each end of each length of conduit, nipple and elbow must be as indicated in Table 2. The perfect thread must be tapered for its entire length, and the taper must be 3/4 inch per foot.

## ZINC COATING

5. After all cutting threading and chamfering all conduit surfaces must be thoroughly cleaned before application of zinc. The cleaning process must leave the interior and exterior surfaces of the conduit in such a condition that the zinc will be firmly adherent and smooth.

The conduit must be hot dipped galvanized both inside and out to provide approximately two (2) ounces of zinc per square foot. This is equivalent to 3.4 mils of zinc coating. An additional interior coating to aid in the installation of wires is required.

## COUPLINGS

6. (a) The outside surface of couplings must be protected by means of a zinc coating. The zinc content of the coating on the outside surface must be equivalent to a minimum thickness of 3.4 mils.

(b) Couplings must be so made that all threads will be covered when the coupling is pulled tight on standard conduit threads.

(c) Both ends of the coupling must be chamfered to prevent damage to the starting threads.

(d) The outside diameter, length and weight of coupling must be as indicated in Table 3.

(e) Couplings must be straight tapped, except that the 2 1/2 inch and larger sizes may be taper-tapped.

## PVC COATED (WHEN SPECIFIED)

7. (a) Only hot dipped galvanized conduit, couplings, and fittings may be polyvinylchloride (PVC) coated.

All conduit, couplings, and fittings must be cleaned before being coated.

All conduit, couplings, and fittings must have a PVC coating applied to the exterior by dipping in liquid plastisol. The coating thickness must be a nominal 40 mils.

All coated conduit, couplings, and fittings must conform to the requirements of NEMA Standard RN1- Section 3 , "External Coatings". The latest revision will apply.

## PACKING AND IDENTIFICATION

8. The pipe must be delivered in bundles. Each length of conduit must be marked with the manufacturer's name or trademark. Securely attached to each bundle at two (2) locations on the bundle must be a weather resistant tag containing the following information:

- a. conduit size
- b. footage of bundle
- c. gross weight of bundle

Precaution will be taken by the contractor in handling during shipment or delivery of conduit, and any conduit found to be damaged will not be accepted.

## TEST AND INSPECTION

9. Galvanized rigid conduit must be capable of being bent cold into a quarter of a circle around a mandrel, the radius of which is four times the nominal size of the conduit, without developing cracks at any portion and without opening the weld.

The protective coatings used on the outside and inside surfaces of rigid steel conduit must be sufficiently elastic to prevent their cracking or flaking off when a finished sample of 2 inch conduit is tested within one year after the time of manufacture, by bending it into a half of a circle around a mandrel, the radius of which is 3 1/2 inches.

Tests on sizes other than 1/2 inch may be conducted within one year after the time of manufacture. If such tests are conducted, the conduit must be bent into a quarter of a circle around a mandrel, the radius of which is six times the nominal size of the conduit.

One of the following three test methods must be employed for measuring the thickness or extent of the external zinc coating on conduit:

- (a) Magnetic test.
- (b) Dropping test.
- (c) Preece test (Material which will withstand four 1-minute immersions will be considered as meeting requirements as follows; the zinc content of the coating on the outside surface must be equivalent to a minimum thickness of 3.4 mils).

All tests and inspections must be made at the place of manufacture prior to shipment unless otherwise specified, and must be so conducted as not to interfere with normal manufacturing processes.

Each length of conduit must be examined visually both on the outside and inside to determine if the product is free from slivers, burrs, scale or other similar injurious defects (or a combination thereof), and if coverage of the coating is complete.

If any samples of rigid steel conduit tested as prescribed in this specification should fail, two additional samples must be tested, both of which must comply with the requirements of the specification.

All pipe which may develop any defect under tests, or which may before testing or on delivery be found defective, or not in accordance with these specifications, must be removed by the Contractor at his own expense; and such pipe so removed by the Contractor must be replaced by him within ten (10) days of such rejection with other pipe which will conform to these specifications.

TABLE 1

Design Dimension and Weights of Rigid Steel Conduit

Nominal Inside or Diameter of Conduit Lengthw/couplings (Inches)	Outside Diameter Unit (Inches)	Wall Thickness (Inches)	Length Without Couplings (Feet/Inches)	Minimum Weight (Pounds)	Trade Size	Coupling of Ten
1/2	0.622	0.840	0.109	9-11 1/4	79.00	
3/4	0.824	1.050	0.113	9-11 1/4	105.0	
1	1.049	1.315	0.133	9-11	153.0	
1 1/4	1.380	1.660	0.140	9-11	201.0	
1 1/2	1.610	1.900	0.145	9-11	249.0	
2	2.067	2.375	0.154	9-11	334.0	
2 1/2	2.469	2.875	0.203	9-10 1/2	527.0	
3	3.068	3.500	0.216	9-10 1/2	690.0	
3 1/2	3.548	4.000	0.226	9-10 1/4	831.0	
4	4.026	4.500	0.237	9-10 1/4	982.0	

NOTE: The applicable tolerances are:

Length: + 1/4 inch (without coupling)

Outside diameter: + 1/64 inch or -1/32 inch for the 1 1/2 inch and smaller sizes,  
 ± 1 % for the 2 inch and larger sizes.

Wall thickness: - 12 1/2 %

TABLE 2

Dimensions of Threads

Nominal Threads Trade Size (Inches)	Pitch per Inch Tapered L2 3/4 Inch per foot	Length of Thread (Inches)		
		at end of Thread (Inches)	Effective L4	Overall
1/2	14	0.7584	0.53	0.78
3/4	14	0.9677	0.55	0.79
1	11 1/2	1.2136	0.68	0.98
1 1/4	11 1/2	1.5571	0.71	1.01
1 1/2	11 1/2	1.7961	0.72	1.03
2	11 1/2	2.2690	0.76	1.06
2 1/2	8	2.7195	1.14	1.57
3	8	3.3406	1.20	1.63
3 1/2	8	3.8375	1.25	1.68
4	8	4.3344	1.30	1.73

NOTE: The applicable tolerances are:

Threaded Length (L4 Col 5): Plus or minus one thread

Pitch Diameter (Col 3): Plus or minus one turn is the maximum variation permitted from the gaging face of the working thread gages. This is equivalent to plus or minus one and one half turns from basic dimensions, since a variation of plus or minus one half turn from basic dimensions is permitted in working gages.

TABLE 3

Designed Dimensions and Weights of Couplings

Nominal Trade Size of Conduit (INCHES)	Outside Diameter (INCHES)	Minimum Length (INCHES)	Minimum Weight (POUNDS)
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1/2	1.010	1-9/16	0.115
3/4	1.250	1-5/8	0.170
1	1.525	2	0.300
1 1/4	1.869	2-1/16	0.370
1 1/2	2.155	2-1/16	0.515
2	2.650	2 1/8	0.671
2 1/2	3.250	3-1/8	1.675
3	3.870	3-1/4	2.085
3 1/2	4.500	3-3/8	2.400
4	4.875	3-1/2	2.839

THIS SPECIFICATION MUST NOT BE ALTERED

**SPECIFICATION 1463**  
BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED JUNE 22, 2001

## **TRAFFIC SIGNAL MOUNTING BRACKETS FOR MONOTUBE ARMS**

### SUBJECT

1. This specification states the requirements for mounting brackets which will be used to secure traffic signals and illuminated signs to steel monotube mast arms.

### GENERAL

2. (a) Specifications. The mounting brackets must conform in detail to the requirements herein stated and to the specifications and methods of test of the American Society for Testing and Materials cited by ASTM Designation number of which the most recently published revision will govern.

(b) Acceptance. Mounting brackets not conforming to these specifications will not be accepted.

(c) Sample. If requested by the Chief Procurement Officer, one complete mounting bracket must be submitted within fifteen (15) business days upon receipt of such a request. It must be delivered to the Engineer of Electricity, 2451 South Ashland Avenue, Chicago, Illinois 60608.

(d) Experience. The manufacturer must demonstrate a knowledge of past production of the brackets herein described, as demonstrated by a submittal list of comparable projects.

(e) Warranty. Bracket must have a minimum three (3) year warranty. The warranty must cover the material and workmanship. Any structural flaws or inability to maintain alignment will be deemed a failure and result in the warranty being invoked. The manufacturer will supply a new bracket for each failed bracket, at no cost to the City.

## DESIGN

3. (a) General. The mounting bracket must be designed such that no portion of the bracket is put into tension when it is attached to the mast arm with banding. The signal support tube will be attached to the bracket using compression type attachments. All materials must be corrosion resistant and designed to be structurally sound. The bracket will be equivalent to an Olson Sky Bracket in design and function. The signal support tube will be a slotted aluminum pipe of sufficient length to hold either a 3, 4, or 5 section signal head, or an illuminated sign. The slot must have a neoprene gasket to protect the cable. There must also be top and bottom brackets that hold the signal head assembly at each end to the tube. The bottom bracket will also be used as a cable runway.

(b) Hardware. All components of the mounting brackets must be held firmly in place with stainless steel hardware.

(c) Adjustments. Bracket must allow for mounting and adjustment of signal faces in any direction desired on a fixed mast arm. Adjustments must be made using standard hand tools. Neither mounting nor adjusting the bracket should require the use of a torque wrench.

(d) Signal Mounting. Mounting hardware must be available for use with standard two, three and five signal head configurations; for use with optically programmed signal heads; and with signs.

(e) Wiring. Bracket design must allow for ease of installation of components and wiring. All wiring troughs and nipples must provide smooth, burr-free surfaces and adequate space for facile movement of nominal 2" diameter cable between the mast arm and the signal face.

(f) Banding. Where banding is used to attach the mounting bracket to the mast arm, the banding must be 3/4" x 42" stainless steel.

(g) Castings. Where castings are used for the brackets, they must be smooth and free of defects.

## TESTING

4. (a) General. One Percent (1%) of the traffic signal mounting brackets in each order must be tested for rigidity and structural integrity.

(b) Re-testing. If any mounting bracket fails any portion of the test, an additional three percent (3%) of the brackets must be tested. If an additional bracket fails, the entire lot will be rejected.

(c) Witness Tests. If so requested by the City, tests will be witnessed by a representative of the Bureau of Electricity. The contractor must include in his bid, the cost of travel, food and lodging for one (1) representative. Travel for 150 miles or greater must utilize a major airline. Lodging accommodations must be equal to those provided at a Holiday Inn. The representative must be given ten (10) working days notice of all travel arrangements.

(d) Tests.

1. With five (5), twelve inch (12") signal head sections attached to the bracket, the assembly must be mounted to a suitable and proper supporting structure.
2. Using a calibrated dynamometer, a one hundred pound force must be applied for sixty seconds at the center of the bracket in the horizontal plane. At the completion of the test, there must be no movement of the assembly or deterioration of the bracket or appurtenant hardware.
3. Using a calibrated dynamometer, a one hundred pound force must be applied to the top signal head section for sixty seconds in a direction which will pull the head away from the mounting post in the mounting post plane. During this time period, the mounting bracket castings must be struck ten times with an eight ounce flat head hammer at the point(s) which appear to be most vulnerable to stress. At the completion of the test, no movement of the assembly must have been observed and there must be no cracking of the castings or deterioration of the appurtenant hardware.
4. The above test must be repeated except that the force must be applied in a plane which is perpendicular to the mounting post plane.

INSPECTION

5. An inspector representing the City must have free entry at all times while the work on the contract is being performed, to all parts of the manufacturer's works which concern the manufacture of these mounting brackets. The manufacturer must afford the inspector, without charge, all reasonable facilities to satisfy him that the mounting brackets are being furnished in accord with this specification. The final inspection must be made at point of delivery. Any mounting brackets rejected as defective must be removed and disposed of by the contractor at his sole cost.

THIS SPECIFICATION MUST NOT BE ALTERED

**SPECIFICATION 1465**  
BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED JULY 12, 2006

## GROUND RODS

### SUBJECT

1. This specification states requirements for ground rods and clamps to be used for ground electrodes in street lighting, traffic signal, and miscellaneous electrical circuits.

### GENERAL

2. (a) Ground rods must be copper clad, steel rods suitable for driving into the ground without deformation of the rod or scoring, separation or other deterioration of the copper cladding.

(b) Sample. If requested by the Chief Procurement Officer, the contractor must furnish one sample of the ground rod proposed to be furnished within fifteen (15) business days from receipt of such request. The sample ground rod must be delivered to the Engineer of Electricity, 2451 S. Ashland Avenue, Chicago, Illinois 60608.

(c) Warranty. The manufacturer must warrant every ground rod against defects due to design, workmanship, or material developing within a period of one (1) year after the ground rod has been accepted. Any ground rod which fails during this period must be replaced by the contractor without expense to the City. The Commissioner of Streets and Sanitation will be the sole judge in determining which replacements are to be made.

(d) The Commissioner of Streets and Sanitation will be the sole judge in determining whether the submitted ground rods meet the requirements of this specification. Ground rods not accepted must be removed at the sole expense of the contractor.

### DESIGN

3. (a) The ground rods and couplings must meet the latest requirements of ( National Electrical Manufacturer's Association) NEMA Standard GR-1, for copper bonded ground rod electrodes and couplings. The ground rods must also meet the requirements of ( Underwriter's Laboratories) UL 467.

(b) Ground rods must be made of steel core suitable for driving into the earth without deformation.

(c) A uniform covering of electrolytic copper, 10 mils in thickness, must be metallurgically bonded to the steel core to provide a corrosion resistant, inseparable bond between the steel core and the copper overlay.



(d) The finished rod must be of uniform cross-section; straight, and free of nicks, cuts or protuberances.

(e) The rod must be pointed at one end and chamfered at the other.

(f) All ground rods must be three-quarter inches (3/4") in diameter. The length must be as specified in the order or in the plans. The length and diameter of the rod and the manufacturer must be clearly and permanently marked near the top of the rod (chamfered end).

(g) All ground rods must have a ground clamp capable of accommodating a No. 6 AWG Copper Wire.

#### PACKING

4. (a) Ground rods must be packed in bundles with reinforced tape or plastic banding that will not damage the rods. Small bundles may then be bound in larger bundles held together with steel banding.

(b) Ground clamps must be packed in a suitable carton. The carton must be labeled to indicate the contents.

THIS SPECIFICATION MUST NOT BE ALTERED

#### **SPECIFICATION 1467**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
MAY 12, 1993

#### **ROD: ANCHOR, STEEL, WITH HARDWARE**

#### SUBJECT

1. This Specification states the requirements for steel anchor rods with hardware for the street light pole foundations.

#### GENERAL

2. (a) Specifications. The anchor rods must conform in detail to the requirements herein stated, and to the specifications of the American Society for Testing and Materials cited by ASTM Designation Number, of which the most recently published revision will govern.

(b) Drawing. The drawings mentioned herein are issued by the Department of Streets and Sanitation, and are an integral part of this specification.

## ANCHOR ROD

3. (a)Fabrication. Each anchor rod must be fabricated in conformity with City of Chicago drawings numbered 806, 811, 830 and 844.

(b)Material. The rods must be fabricated from cold rolled carbon steel bar meeting the requirements of ASTM Specification A-36, except that the Specification must be modified to provide a minimum yield point of 55,000 psi (379 MPa).

(c)Thread. The straight end of each rod must be threaded as shown on City of Chicago drawing for that size rod, and must be American Standard, National Coarse.

## HARDWARE

4. Hardware furnished with the anchor rod must be as shown on the applicable drawing. It must include two (2) hexagonal nuts, American Standard Regular, two (2) flat washers, type B, series W, and one (1) lock washer, steel, helical spring. The nuts must have a Class 2 or 3 fit.

## FINISH

5. (a)Galvanizing. The threaded end of each rod must be hot dipped galvanized for the distance shown on the applicable drawing. The thickness of the galvanized coating must not be less than 0.0021 inches. Each hexagonal nut and washer must be galvanized to the minimum thickness required by ASTM A-153, Class C, or ASTM B-454, Class 50. After galvanization, each anchor rod and nut must have a mating fit equivalent to the American Standard Class 2 or 3 fit for nuts and bolts.

(b)Rust Inhibitor. With the hardware in place on the end of the bolt, the galvanized portion of the bolt must be coated with heavy No-Ox-Id or equal rust inhibiting greasy compound.

## TESTS

6. At the discretion of the Commissioner, anchor rods and hardware furnished under this specification will be subject to testing to determine compliance with the materials physical requirements.

## INSPECTION

7. Final inspection must be made at point of delivery. Any anchor rods and hardware rejected must be removed by the Contractor at his sole expense.

THIS SPECIFICATION MUST NOT BE ALTERED

## SPECIFICATION 1471

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED APRIL 23, 2002

### CONTROL: PHOTOELECTRIC, FOR ROADWAY LIGHTING, BUTTON, AND TWIST LOCK TYPE

#### SUBJECT

1. This specification states the requirements for photoelectric lighting controls, consisting essentially of a photocell, relay, and a surge arrester, all enclosed in an approved housing, to control the "ON-OFF" schedule of roadway lighting.

#### GENERAL

2. (a) Information Required. Each bidder must submit with his proposal the following information relative to the photoelectric control he proposes to furnish.

(1) Outline drawing.

(2) Complete environmental, electrical, physical, and operating data on the control unit.

(3) Data by the photocell manufacturer including sensitivity, operating temperature and load rating.

(4) Manufacturer's name and catalogue designation.

(b) Assembly. Each photoelectric control must be delivered completely assembled, wired, and ready for installation.

(c) Size and Weight. (1). Button Control - the unit must not be more than 2.3" high and 1.3" square with a maximum weight of eight (8) ounces. It must be provided with a .75" long, 3/8-18 NPSM threaded nipple fitted with two nylon lock nuts and a neoprene or other approved washer.

(2). Twist Lock Control - the unit must not be more than 3.5" high or 3.25" in diameter with a maximum weight of eight (8) ounces.

(d) Photoelectric control must meet or exceed all requirements of ANSI Standard C136-10-1996 for Twist Lock Controls. The button control must be Dark-To-Light Model No. DBE120-1.0-1746 (120 volt) DBE240-1.0-1746 (240 volt) or approved equal.

(e)Warranty. The manufacturer must unconditionally warrant every photoelectric control against any defects due to design, workmanship or materials developing within a period of four (4) years after the control has been placed in service. This will be interpreted particularly to mean failure of any component impairing the proper operation or protection of the unit. Any control, or part thereof, developing defects within this period must be replaced by the manufacturer at his sole expense and without cost to the City.

(f) Sample. A sample photoelectric control of the manufacture intended to be furnished must be submitted within fifteen (15) business days upon receipt of a request from the Chief Procurement Officer.

(g)Compliance with Specifications. The photoelectric control must conform in detail to the requirements herein stated, and to other standards and specifications, as cited, of which the most recently published revision will govern. Certified test results must be submitted to the Commissioner as indicated below, prior to shipping of photocells. All shipments not meeting specification requirements will not be accepted.

(h)Approved. Wherever "approved" is specified herein, it will be construed to mean approved by the Commissioner or the Commissioner's authorized representative.

## CONSTRUCTION

3. (a)Photoconductive Cell. The photocell must consist of a suitable substrate, a chemically inert electrode material and a thin layer of photosensitive cadmium sulfide or other acceptable photosensitive material. It must be hermetically sealed in a glass to metal package to prevent moisture and contamination damage. Plastic cased cells are not acceptable. Filtered silicon sensors in clear epoxy cases are also acceptable. Cell dissipation over a 24 hour period must not exceed the recommended allowable levels specified by the cell manufacturer. If the cell operates on D.C. an affidavit must be submitted giving the cell manufacturer's certification that such operation will not adversely affect the sensitivity, stability, or the life of the cell. The cell must not be subject to overloading due to the demand of the design circuit nor the ambient temperatures surrounding the cell. Color response of the cell or silicon sensor must be such that maximum sensitivity is in the blue-green portion of the color spectrum.

(b)Switching Relay. The ON-OFF switching operations must be accomplished by normally closed contacts which must be opened by means of a rugged, properly rated, magnetic relay, subject to approval. The switching must be positive and free of chatter and/or sticking of contacts. The contractor must provide test data verifying that contact chatter does not exceed 5 milliseconds when operated under loads as herein specified. The relay must have contacts of silver alloy, tungsten, or other specifically approved material.

(c)Surge Arrestor. Over voltage protection must be provided for the control components and the load circuit by means of a metal oxide varistor (MOV) or other specifically approved type arrester. It must limit high voltage surges to a value at least 20% below the basic impulse insulation level (BIL in accordance with EEI-NEMA) of the control. For the button control, the MOV must be rated for a minimum of 100 joules wired line to neutral.

For the twist lock control the MOV must be rated for minimum of 160 joules wired line to neutral; a secondary MOV or zener diode of at least 6 joules must be provided to protect the electronic circuit. In both the button and twist lock controls, the MOV must be mounted internally of the control housing.

(d)Printed Circuit Boards. A conformal coating must be applied to all printed circuit boards for environmental protection.

(e)Housing. The housing must be molded of an approved, impact resistant, UV resistant weatherproof material such as acrylic, butyrate or polycarbonate, pigmented to an approved color. Impact resistance of greater than 1.0 ft-lbs at -40° C is required. Year and manufacturer must be molded in cover.

(f) Fail-Safe. Relay contacts must be normally closed so that when circuit failure occurs the lights are turned on, or remain on.

(g)Dating. A weatherproof, permanent label must be attached to each unit indicating manufacturer's name, month and year of manufacture, model and serial numbers, voltage and load ratings, and, on twist lock control, provision for marking installation and removal dates.

Lead Wires.

Button Control - lead wires must be #18 AWG (Min); rated for 105°C; and 12" long. They must be color coded as follows:

Red - Load  
White - Neutral ( on 120 volt controls)  
Black - Line  
Yellow - Common (on 240 volt controls)

(2)Twist Lock Control - the base must provide an integral, locking type, brass three prong plug in accordance with ANSI C136-10-1996. A neoprene or other approved gasket must be attached to the base to effectively seal the connections against weather, insects and dust. 240 volt button photocontrols must have a permanent black on orange label 0.5"x2.0" in size that reads "240 VOLT".

#### CHARACTERISTICS

4. (a)Electrical. The control must be stable and reliable over the range of 105 to 130 volts A.C., at 60 cycles. The twist lock control's direct load rating must be 1000 watts tungsten, 1800 VA ballast; the button control must be rated for 1000 VA ballast. Current inrush rating of the control must be not less than 100 amperes. Control must operate relay/contactors assemblies used by the City of Chicago.

(b)Environmental. The control must be stable and reliable over an operating temperature range of -40°C (-40°F) to + 70°C (+158 °F).

(c)Operating Levels. Each control furnished must be pre-aged in intense light for a period of not less than 10 hours, after which it must be calibrated using a photometer whose accuracy is traceable to the N.I.S.T. 100% quality control inspection must be performed after calibration and final assembly.

(1)The button control must be calibrated at 120 VAC for a "turn-on" setting of  $.50 \pm 0.1$  horizontal foot candles of natural illumination with a 7-15 second turn OFF delay. The "turn-off" setting must be adjusted to one and one half (1.5) times the "turn-on" setting. Button controls must have a 7-15 second turn ON delay.

(2)The twist lock control must be calibrated at 120 VAC for a "turn-on" setting of  $1.50 \pm 0.30$  horizontal foot candles of natural illumination with a 2-5 second turn OFF delay. The "turn-off" setting must be adjusted to one and one half (1.5) times the "turn-on" setting. The control must have a 1-2 second turn ON delay.

## TESTS

5. (a)Procedures. Test procedures must conform to these specifications, and to ANSI Standards C136-10-1996, except as otherwise herein indicated.

(b)Performance Test. The control must be subject to an accelerated performance test which will consist of cycling ON (30 seconds) and OFF (30 seconds) sixty times per hour at rated load for 2000 cycles. The control must not exceed the limits indicated for the nominal or rated operating levels, and relay contact points must not stick or show high resistance due to excessive pitting and/or erosion.

(c)Dielectric Strength Test. The control unit complete with enclosure must be subject to a D.C. hypot test for dielectric strength. It must successfully withstand a 5.0 KV test for one (1) minute dry.

(d)Drop Test. The control must be capable of withstanding a drop of 3 feet to a concrete floor without causing damage to the housing or changing electrical operation.

(e)Surge Protection Test. The control must be subject to a test for surge protection in accordance with UL 1449 and ANSI C62. By means of a surge generator, a 6.0 KV, 1.2 x 50 microsecond voltage wave impulse test must be made. The surge test must have a short circuit current average of at least 3 KA for 8.0 x 20 microseconds. The control must withstand the impulse testing, and change in calibration levels must not exceed the limits indicated for the nominal or rated operating levels.

(f) Temperature and Humidity Tests. The control will be subject to specified calibration tests immediately following conditioning of the control at extremes of temperature and humidity, as indicated below:

(1)Condition the control for a period of 24 hours at 98% relative humidity and 70° C temperature.

(2)Condition the control for a period of 10 hours at -40° C (-40° F).

(g)Calibration Test. After completion of all specified testing, the control unit must be recalibrated and must be within the operating parameters of this specification. During this test, the manufacturer must demonstrate that there is no cycling during either "turn-on" or "turn-off."

(h)Testing. One (1) unit from each lot of 500 photocontrols, with a minimum of 2 photocontrols per contract, must be subject to test. In the event any photocontrol fails to meet test requirements, the entire lot will be subject to rejection, except that the manufacturer, may subject a minimum of five (5) additional photocontrols in the lot to test and if all fulfill the requirements, the lot will be accepted. Should any of the additional five (5) photocontrols fail, then the entire lot will be rejected. Certified test reports must be submitted to the Commissioner for approval prior to shipment of material. All units subjected to test will remain the property of the Contractor and may not be included as part of this contract.

#### PACKAGING

6. (a)Carton. Each photoelectric unit must be individually packed in a carton of adequate strength and properly secured and protected to prevent damage to the unit during shipment, handling and storage.

(b)Marking. Each carton into which a number of individually packed photoelectric units are packed must be clearly marked on the outside in letters not less than one-quarter (1/4) inch tall with the legend "OUTDOOR ELECTRONIC BUTTON PHOTO CONTROL" or "OUTDOOR ELECTRONIC TWIST LOCK PHOTO CONTROL" (as appropriate), preceded by the number of units in the carton in numbers of the same height as the letters: volt-ampere lamp load rating, voltage, manufacturer's name and catalogue number, contract or order number, and shipping date.

THIS SPECIFICATION MUST NOT BE ALTERED

**SPECIFICATION 1473**  
BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
MARCH 15, 1995

#### **POLE MOUNTED CAST ALUMINUM BOX FOR MAIN SERVICE DISCONNECT**

##### SCOPE

1. This specification states the requirements for a pole mounted, cast aluminum box intended for outdoor use on the City's Street Light and/or Traffic Control Systems as a main service disconnect. The box will be mounted on a Commonwealth Edison pole and will feed a separately mounted street light controller or traffic signal controller.

## GENERAL

2. (a) Specification. The junction box must conform in detail to the requirements stated herein, and to the specifications and methods of test of the American Society for Testing and Materials cited by ASTM Designation Number, of which the most recently published revisions will govern.

(b) Drawing. The drawing mentioned herein is a drawing of the Department of Streets and Sanitation, Bureau of Electricity and must be interpreted as part of these specifications.

(c) Acceptance. Junction boxes not conforming to this specification will not be accepted.

(d) Sample. One complete junction box of the manufacture intended to be furnished, must be submitted within fifteen (15) business days after receipt of a request from the Chief Procurement Officer.

## DESIGN

3. (a) Drawing. The junction box must conform in detail to the dimensions and requirements shown on drawing number 893.

(b) Material. The body and door must be castings of non-heat treated aluminum silicon alloy conforming to ANSI alloy 443.0 of ASTM B26.

(c) Assembly. Each junction box must consist of the body, door, gaskets, bronze eye-head bolts, bronze wing nuts and stainless steel knurled pins furnished as described below, all completely assembled, painted and ready for installation.

(d) Body. The body must be cast as shown in drawing number 893. The body must be complete with all drilled and tapped holes required for the mounting of any hardware required to make the box fully functional for a service disconnect.

(e) Door. The door must be cast as in Drawing Number 893. The door must be furnished with a 1/2" x 3/16" sponge neoprene gasket cemented in place completely around the door jam. The door must be painted prior to cementing the gasket into its groove on the door.

(f) Hardware. The hinge pins must be stainless steel. The eye-head bolts and wing nuts must be bronze.

(g) Painting. The exterior surfaces of the junction box must be properly cleaned and given one (1) coat of an approved zinc chromate primer containing a minimum of ten percent (10%) iron oxide, and one (1) coat of green enamel. The enamel must be Rustoleum Forest Green #1282 or approved equal.

(h) Packing. Assembled junction boxes must be suitably packed to prevent damage to painted surfaces during shipping and handling. All shipments must be fastened to and shipped on 48" x 48" hardwood, 4 way, non-returnable pallets. Total height must not exceed 64" and total weight must not exceed 2,000 pounds.



## INSPECTION

4. An inspector representing the City of Chicago must have free access, at all times while work on these junction boxes is being performed, to all parts of the manufacture=s work which are concerned with their manufacture. The manufacturer must afford the inspector, without charge, all reasonable facilities to satisfy him that the junction boxes are being furnished in accordance with this specification. The final inspection must be made at the point of delivery. Any junction boxes rejected must be removed and disposed of by the Contractor at his sole expense.

THIS SPECIFICATION MUST NOT BE ALTERED

## SPECIFICATION 1475

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED SEPTEMBER 26, 2006

### **CORD: TRAFFIC SIGNAL, EIGHT CONDUCTOR NO. 16 AWG, 600 VOLT**

#### SUBJECT

1. This specification states the requirements for an eight (8) conductor number 16 AWG, electrical cable, to be installed in poles and conduit and used to electrically energize traffic signal faces at street intersections within the City of Chicago. The cable must be flame retardant, have low acid gas content, good resistance to oil, moisture and mechanical abuse, and exhibit excellent heat aging and electrical characteristics.

#### GENERAL

2. (a) Specifications. The cable must conform in detail to the requirements herein stated, and to the Specifications and Methods of Test of the American Society for Testing and Materials cited by ASTM Designation Number, the Underwriters Laboratories, Inc. Standard or Style number and any other recognized Standardization group=s specifications referred to by the appropriate designation, of which the most recently published revision will govern.

(b) Acceptance. Cable not conforming to this specification will not be accepted.

(c) Warranty. The manufacturer must warrant the cable to be first class material throughout. In addition to any other claims against them, if the cable is installed within six months of date of shipment, the manufacturer must replace any cable failing during normal and proper use within two years of date of installation. All replacements under this warranty must be made free of charge F.O.B. delivery point of the original contract.

(d)Sample. If requested by the Chief Procurement Officer, a three (3) foot sample of the cable intended to be provided under this specification must be submitted to the attention of the Engineer of Electricity within fifteen (15) business days after receipt of such request.

#### CABLE

3. (a)Construction. This cable must consist of stranded, coated, conductors each concentrically encased with a "free stripping," ethylene propylene rubber insulation. Suitable fillers must be used to produce an essentially round cross-section. The insulated conductors and the fillers must be cabled with a suitable left-hand lay as close together as is consistent with forming a core of minimum diameter. A Mylar tape must be wrapped over the conductor assembly, and a jacket applied overall.

(b)Outer Diameter. The maximum allowable outer diameter must be one-half (0.50) inch.

(c)Sealing. Both ends of each length of cable must be thoroughly sealed to prevent the entrance of moisture or other foreign matter.

#### MARKING

4. (a)Conductors. Identification must be provided by colors in accordance with I.M.S.A. Standards.

(b)Jacket. The outer jacket must be marked as follows: "8/C 16 AWG 600V 90°C LSZH, name of manufacturer and date of manufacture. The height of letters must not be less than 1/8 inch in height and the message must repeat at approximately two (2) foot intervals. A sequential footage marking must be located on the opposite side of the jacket. All marking must be perfectly legible with permanent white ink.

#### CONDUCTOR

5. (a)Material. Round, Soft or annealed, stranded copper wire in accordance with ASTM B-3 and B-8, and coated in accordance with ASTM B33 (tin coated) , must be furnished.

(b) Size. The stranded conductor must consist of stranded wires twisted with an appropriate lay to form a No. 16 AWG conductor with an approximate diameter of 0.048 inches.

#### INSULATION

6. (a)Type. The insulation must be an easily strippable low smoke zero halogen (LSZH) thermosetting polyolefin compound or ethylene propylene rubber (EPR), or equal meeting or exceeding the requirements of ICEA S-95-658 and the additional requirements of this specification.

(b)Rating. The insulation must be rated for continuous duty at 90°C in accordance with U.L. AWM Style 3400.

(c) Thickness. The insulated conductor must be circular in cross-section, concentric to the conductor, with a nominal insulation thickness of 0.031 inches (2/64") and a minimum spot thickness of 90% of the nominal thickness.

(d) Initial Physical Requirements:

1. Tensile strength, min., PSI      1,600
2. Elongation at rupture, min. %      250

(e) Air Oven Exposure Test. After conditioning in an air oven at  $158 \pm 1^\circ\text{C}$  for 168 hours using methods of test described in ASTM-D 573:

Tensile strength, minimum percent of unaged value . . . . . .85

Elongation at rupture, minimum percent of unaged value . . . . .65

(f) Mechanical Water Absorption:

1. Gravimetric Method. After 168 hours in water at  $70 \pm 1^\circ\text{C}$ :

Water absorption, maximum, milligrams per square inch . . .5.0

(g) Cold Bend Test Requirements. The completed cable must pass the "Cold-Bend," Long-Time Voltage Test on Short Specimens of ASTM D-470 except that the test temperature must be minus (-)  $25^\circ\text{C}$ .

(h) Electrical Requirements:

1. Voltage Test. The completed cable must meet an A.C. and D.C. voltage test in accordance with ASTM D-470 and D-2655.
2. Insulation Resistance. The completed cable must have an insulation resistance constant of not less than 20,000 when tested in accordance with methods shown in ASTM D-470.

(i) Flexibility Tests. A sample length of insulated conductor must be formed in a loose coil, placed in a circulating air oven, and aged for 168 hours at  $158^\circ\text{C} \pm 1^\circ\text{C}$ . The sample must then be allowed to cool to room temperature for one (1) hour and tightly wrapped around a 3X metal mandrel. The sample must show no cracks and must pass the same voltage test specified for the "Cold-Bend Test."

#### JACKET

7. (a) Type. The jacket must be a thermosetting low smoke zero halogen (LSZH) polyolefin compound or chlorinated polyethylene (CPE), or equal meeting the physical and electrical requirements specified herein.

(b) Rating. The jacket must be rated for continuous duty at  $90^\circ\text{C}$ .

(c) Thickness. The jacket must be circular in cross-section, concentric with the insulation, must have an average thickness not less than 45 mils and a spot thickness not less than ninety percent (90%) of the average thickness.

(d) Initial Physical Requirements:

1. Tensile strength minimum PSI 1800
2. Elongation at rupture, minimum percent 300

(e) Air Oven Exposure Test. After conditioning in an air oven at  $121 \pm 1^\circ\text{C}$  for 168 hours for LSZH or  $136 \pm 1^\circ\text{C}$  for CPE:

1. Tensile strength, minimum percent of unused value 75
2. Elongation at rupture, minimum percent of unaged valued 55

(f) Mechanical Water Absorption. After 168 hours at  $70 \pm 1^\circ\text{C}$ :

1. Milligrams per square inch, maximum 20

## TESTING

8. (a) General. Tests must be performed on insulation, jacket and completed cables in accordance with applicable standards as listed in this specification. Where standards are at variance with each other or with other portions of this specification, the most stringent requirements, as determined by an engineer from the Bureau of Electricity will apply.

All tests must be conducted on cable produced for this order. Where cable insulation and/or jacket thickness preclude obtaining samples of sufficient size for testing, special arrangements must be made with the engineer to obtain samples of unprocessed materials directly from the extrusion feed bins which will be separately processed and prepared for tests.

(b) Number of Tests. Insulation and jacket tests must be conducted on samples taken every 25,000 feet or fraction thereof of each conductor size. In no case must samples be taken closer than 15,000 feet apart.

(c) Witness Tests. Where the quantity of cable on a single purchase order is 100,000 feet or more, all insulation and jacket tests must be witnessed by an engineer from the Bureau of Electricity, if so requested by the City. Reels to be tested will be selected at random. The contractor must include in his bid, the cost of travel, food and lodging for one (1) engineer. Travel for 150 miles or greater must utilize a major airline. Lodging accommodations must be equal to those provided at a Holiday, Inn. The engineer must be given ten (10) working days notice of all travel arrangements.

(d) Test Reports. No cable must be shipped until certified copies of all factory tests, including witness tests where applicable, have been reviewed and approved by the engineer.

(e) Acceptance. Samples must be taken from each reel and must successfully conform to all tests specified herein. Reels from which samples fail to conform, will be rejected.

#### PACKAGING

9. (a) Reels. The completed cord must be delivered on sound, substantial reels. The ends of the cable must be securely fastened so that they will not become loose during shipment and handling.

(b) Footage. The number of feet per reel must be five hundred (500) feet plus or minus ten percent ( $\pm 10\%$ ).

(c) Marking. A metal tag, or an approved indelible marking material such as alkyd enamel paint, must be used to mark the reel. The marking information must include, but not be limited to, the following: reel number, contract number, a description of the cord, and the footage of that particular reel.

THIS SPECIFICATION MUST NOT BE ALTERED

**SPECIFICATION 1493**  
BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
MARCH 20, 2000

#### **TRAFFIC SIGNAL: VEHICULAR, TWELVE-INCH SINGLE FACE, SINGLE OR MULTIPLE-SECTION, POLYCARBONATE, LED OR INCANDESCENT**

#### GENERAL REQUIREMENTS

1.1 This specification states the requirements for twelve-inch, single face, single and multiple-section, traffic signals with polycarbonate housings, using LED or incandescent light source, for use in the traffic control system of the City of Chicago. Units include red ball, yellow ball, green ball, red arrow, yellow arrow, and green arrow.

1.2 Sample and Certified Test Reports. One complete signal, fully assembled and wired, of the manufacture proposed to be furnished, must be submitted along with the required certified test reports, within fifteen (15) business days upon request of the Chief Procurement Officer. The sample must be delivered to the Engineer of Electricity, Bureau of Electricity, 2451 South Ashland Avenue, Chicago, Illinois 60608.

1.3 Standards. Equipment furnished under this specification must meet the appropriate requirements of the following standards, as required within the body of this specification:

American Association of State Highway and Transportation Officials (AASHTO)  
American Society for Testing and Materials (ASTM)  
Institute of Transportation Engineers (ITE)  
National Electrical Manufacturers Association (NEMA)  
Underwriters Laboratories (UL)

1.4 Approval. Approval will mean approval in writing by the Commissioner or his/her duly authorized representative.

Warranty. The manufacturer must warrant the signals to meet the requirements of this specification, and must warrant all equipment, components, parts and appurtenances against defective design, material and workmanship for a period of three (3) years from date of acceptance. In addition, LED optical units must carry a seven (7) year warranty against failure or loss of color (chromaticity) and signal brightness (luminance) below minimum acceptable VTCSH standard levels from date of final acceptance for contract construction, or date of delivery on a specific order. In the event defects or failures occur in the LED units during the warranty period, the manufacturer must replace all defective units, at no expense to the City. This warranty must be evidenced by a letter or certificate of warranty submitted to the City at the time delivery is made. The LED warranty must cover all units delivered in an order or installed by contract, and must include unit serial numbers. The warranty must be signed and dated by an official of the manufacturer who is empowered by the manufacturer to enter into such a warranty.

## 2. MATERIALS AND EQUIPMENT REQUIREMENTS

2.1 The traffic signal heads must conform to ITE Standard "Vehicle Traffic Control Signal Heads" (VTCSH), in which the most recently published revision will govern.

2.2 Housing. The housing of each section must be one piece, ultraviolet stabilized polycarbonate resin of the specified color, injection molded complete with integral top, bottom, and sides, having a minimum thickness of 0.1 inch.

(a) The polycarbonate must meet or exceed the following tests:

TEST	REQUIRED	METHOD
Specific gravity	1.17 minimum	ASTM D 792
Vicat Softening temp	310-320° F	ASTM D 1525
Brittleness temp.	-200° F	ASTM D 746
Flammability	Self-extinguishing	ASTM D 635
Tensile strength, yield	8,500 PSI	ASTM D 638
Elongation at yield	5.5-8.5%	ASTM D 638
Shear strength, yield	5,500 PSI min.	ASTM D 732
Izod impact strength	12-16 ft-lbs/in.	ASTM D 256
(notched, 1/8" thick)		

Fatigue strength 950 PSI min. ASTM D 671  
(at 2.5 mm cycles)

(b)Assembly. A traffic signal section must be comprised of, but not limited to, the housing, hinged door, visor, optical unit and all necessary gaskets and hardware. The multi-section, single face, traffic signal must be comprised of single face single sections assembled together, containing an internally mounted terminal block. Arrow indications must be shipped as single sections. The traffic signals must be designed and constructed to permit sections to be assembled together, one above the other, forming a weatherproof and dust-tight unit.

(c) Individual sections must be fastened together with a coupling washer assembly composed of two washers, three zinc plated bolts, nuts, and lock washers which lock the individual sections together. As an alternative, individual sections may be fastened together with four cadmium plated bolts, lock washers, and nuts. The hole in the coupling washer assembly must accommodate three 3/4 inch cables.

(d)Height. The overall height of an assembled traffic signal must be fourteen (14) inches for a single-section signal, forty-two (42) inches for a three-section signal, and seventy (70) inches for a five-section, plus or minus one (1) inch.

(e)Mounting. The traffic signal must be designed for mounting with standard traffic signal brackets using 1-1/2 inch pipe size fittings.

(f) Positioning Device. The top and bottom opening of each housing must have integral serrated bosses that will provide positive positioning of the signal head in five degree increments. A total of 72 teeth must be provided in the serrated bosses to allow the signal face to be rotated 360 degrees about its axis. The teeth must be clean and well defined to provide positive positioning.

(g)Hinges. The signal housing must be sectional; one section for each optical unit. Each housing must have four integral hinge lugs, with stainless steel hinge pins (AISI 304 or equivalent), located on the left side for mounting the door. The hinge pins must be straight and not protrude past the outside of the housing lugs. The housing must have two integral latching bolt lugs on the right side each with a stainless steel hinge pin to which a latching bolt (AISI 304 or equivalent), washer, and wing nut will be attached. The wing nuts must be captive. Each housing must be equipped with holes to be used for mounting backplates.

(h)Door. The door must be a one piece ultraviolet stabilized polycarbonate resin of the specified color, injection molded complete with a minimum thickness of 0.1 inch. Two (2) hinge lugs on the left side and two (2) sets of latch screw jaws centered on the right side, as viewed from the front of the signal, must be integrally cast with the housing door. The door must be hinged to the housing with two (2) stainless steel hinge pins, drive fitted. Two (2) stainless steel latch screws and wing nut and washer assemblies on the latch side of the housing body must provide for opening and closing the door without the use of tools. The door must have eight (8) holes with threaded metal inserts for stainless steel machine screws to secure the visor(4 holes) and the lens(4 holes). The inside of the door must be grooved to accommodate a one piece, air-cored EPDM (ethylene propylene diene monomer) gasket to provide a weatherproof and dust proof seal when the door is closed.

The inside of the door must have four equally spaced threaded metal inserts for the lens attachment. The outside of the door must have an integral rim completely encircling the lens opening to prevent leakage between the door and the lens. The rim must have four equally spaced tabs around the circumference with threaded metal inserts for the visor.

(i) Visor Each traffic signal must have a visor for each signal indication (section). The visor must be the tunnel type, nine and one-quarter inches (9-1/4") long, fabricated of ultraviolet stabilized polycarbonate resin of the specified color, injection molded. The visor must fit tightly against the door and not permit any light leakage between the door and visor. All hardware necessary for, but not limited to, attachment of the visor must be of stainless steel. The visor must have four mounting lugs for attaching the visor to the door. Screws must go through the visor lugs into the metal inserts in the door to secure the visor.

2.3 The traffic signal heads must be provided with incandescent or LED optical units as specified in the line item or Contract Plans.

### 2.3.1 INCANDESCENT OPTICAL UNITS

(a) Incandescent Optical Unit. The incandescent optical unit consists of the lens, reflector and lamp holder. The optical unit and visor must be designed as a whole so as to eliminate the return of outside rays entering the unit from above the horizontal (known as sun phantom). The optical unit must be designed and assembled so that no light can escape from one indication to another.

(b) Lenses. The red, yellow and green polycarbonate lenses must be round with a nominal twelve (12) inch diameter and must conform to all requirements set forth under the heading "Traffic Signal Lenses" in the ITE standard. The red, green or yellow arrow lenses must be round with a nominal twelve (12) inch diameter and the outside surface must be covered, except for the arrow, with a dull or dark grey opaque material of a thickness sufficient to totally hide the light from a 2000-lumen lamp placed behind it operating at rated voltage. The opaque material must be hard and durable and must be bonded such that it will not peel or flake when subject to the heat of a signal lamp or when the lens is washed. The shape and size of the arrow must be of an approved design with a minimum stroke of fifteen-sixteenths (15/16) inch. The arrow must appear uniformly illuminated when viewed from angles usually encountered in service, whatever may be the angular position of the lens in the signal section. The lens must be enclosed by an air-cored EPDM (ethylene propylene diene monomer) gasket providing a weather proof and dust proof seal between the lens, door, and reflector assembly. The gasketed lens must be secured to the housing door by four (4) stainless steel screws (AISI 304 or equivalent) and clamps equally spaced around the lens opening. The door must have threaded metal inserts to receive the screws.

(c) Reflector. The reflector must be fabricated of high-purity, clad-type aluminum sheet formed to a parabolic shape and cut to fit in a circular polycarbonate, hinged frame for rigid mounting within the housing. The circular rim of the reflector must be mounted in such a way as to seal the internal optical system by being compressed against the lens gasket when the signal door is closed. The reflecting surface must be an "ALZAK" class SI specular finish having a minimum reflectivity of eighty-two (82) percent and a protective oxide coating of 7.5 milligrams per square inch, minimum.



The reflectivity must be determined with a Taylor-Baugartner Reflectometer, and the weight of the protective oxide coating by the method of test outlined in ASTM B 137. The reflecting surface must be tested for proper sealing by applying one (1) drop of a water solution (1 gram per 50 cc) of Anthraquinone Violet R at a room temperature. After five (5) minutes, the dye must be washed from the surface with running water. No stain must remain after the surface is lightly rubbed with a soft cloth wet with mild soap and water, and rinsed with water. The reflector must have an opening in the back to accommodate the lamp holder.

(d)Lamp Holder. The lamp holder must have a heat, moisture and weatherproof molded phenolic housing designed to accommodate a standard 133 watt, 3 inch light center length, incandescent lamp. The lamp holder must be so designed that it can be readily rotated and positively positioned to provide proper lamp filament orientation and focus. The inner brass shell, or ferrule, of the lamp holder must have a grip to prevent the lamp from working loose due to vibration. A gasket must be furnished at the junction of the lamp holder and the reflector.

### 2.3.2 LIGHT EMITTING DIODE (LED) OPTICAL UNITS

(a)Light emitting diode (LED) optical units must consist of an integral unit containing the following components: power leads, housing, integral lens, matrix of light emitting diodes (LEDs) emitting monochromatic light of desired signal color, and electronic and electrical components necessary to permit operation at nominal 120 volt, 60 hertz power.

(b) The LED unit must be of such dimensions as to permit mounting in any standard traffic signal housing, be interchangeable with incandescent optical units, and must include appropriate gasket for this purpose. Gasketing provided must provide a watertight seal meeting existing ITE standard for signal heads, and exclude the infiltration of moisture into either the signal housing or into the LED optical unit case.

(c) The LED unit must meet the applicable requirements of the ITE standards for Vehicle Traffic Control Signal Heads(VTCSH) Part 2: LED Vehicle Signal Modules, for color (chromaticity), signal brightness (luminance), and beam spread (luminance at various vertical and horizontal angles). Yellow LED modules must meet the green module requirements for brightness.

(d)Minimum brightness of LED signal units must be in accordance with the luminous requirements in a standard testing procedure as defined by Section 4 of the VTCSH Part 2: LED Vehicle Signal Modules. During the required operating life of LED signal units, the luminance output of the units must not be less than 60 percent (.60) of the values specified in the standard.

(e)Unit lenses must be twelve inches in diameter and be constructed of ultraviolet (UV) stabilized , impact resistant polycarbonate, acrylic or other approved material. Lenses must be clear or tinted.

(f) Units must consist of LEDs uniformly distributed to present a homogeneous appearance on the face of the lens from a wide viewing angle.

(g)LEDs must be wired so that the loss of a single LED or a string of LEDs will not reduce the luminescence below the minimum requirement.

- (h) For purposes of this specification, failure of a single unit is defined as an occurrence where the luminescence of the signal measured in candela in standard test procedures is less than the required initial luminance or luminance at time points and conditions specified; or where minimum required brightness is achieved, but two or more series strings of LEDs or in excess of twenty percent of 20% of LEDs are not operable.
- (i) Unit power supply must be constant current regulated and filtered to provide instant on indications, and to prevent momentary signal outages or flicker. Units must be fully operable over a range of 90 volts to 130 volts at 60 hertz, plus or minus 3 hertz.
- (j) Surge protection: Each unit must be provided with integral surge protection to withstand transient of 600 volt, 100 microsecond rise and 1 millisecond pulse width. The surge protector must provide full electrical and physical protection to all unit components.
- (k) Maximum permissible power consumption at ambient conditions (nominal 120 volts, 60 hertz, 70 degrees F.) must be 30 watts at a minimum 90 percent power factor. Power consumed must not vary by more than ten (10) percent from nominal power consumption over voltage range of 105 volts to 125 volts, and over permissible environmental ranges.
- (l) Units must be fully operable at temperature ranges of -40 degrees F. (-40 deg C) to +165 degrees F. (+74 deg C) at up to 100 percent relative humidity.
- (m) Units must be clearly marked on the back surface of the unit in a permanent manner showing information required for warranty and long term performance. Information to be shown must include manufacturer name, date of manufacture, electric power requirements, signal model type including color and indication type, and signal serial number.
- (n) The LED unit must be compatible with the traffic signal controller equipment currently in use by the City of Chicago, and meeting the City's latest specifications for traffic signal control equipment. In particular the LED unit must be compatible with the NEMA TS-1 and later traffic signal load switches and conflict monitors.
- (o) Units must meet applicable sections of Title 47, SubPart B, Section 15 of the Federal Communications Commission (FCC) rules as applies to electronic noise limitation and electromagnetic interference.
- (p) Total harmonic distortion (THD) induced into the voltage and current AC power line sine waves must not exceed 20 percent.
- (q) LED optical units must meet the requirements of VTCSH Part 2: LED Vehicle Signal Modules Section 6.3.1 for signal burn-in.

2.4 Wiring. Each lamp holder must be furnished with two (2) leads color coded as follows:

White	Common
Red	Red Lens Section
Yellow	Yellow Lens Section
Green	Green Lens Section
Green with Black Tracer	Green Arrow Lens Section
Yellow with Black Tracer	Yellow Arrow Lens Section
Red with Black Trace	Red Arrow Lens Section

The lead must be type TEW No. 18 AWG stranded copper wire with 2/64 inch thick, 600 volt, 105 degree centigrade rated, thermo-plastic insulation meeting MIL-W-76A specifications. The lead must connect to the terminal strip without being spliced. The ends of the lamp leads must be stripped of one-half inch (2") of insulation and tinned.

2.5 Terminal Strip. A dual-point, barrier type terminal strip with a solid base and pressure plate type connectors (Marathon Special Products Corporation Catalog No. TB-305-SP, or equal) must be securely attached at both ends to the housing body inside the "Green" section of the signal head.

2.6 Cable. One, eleven foot (11') length of flexible electric cord, medium duty, type SO, No. 16 AWG stranded copper conductor, color coded, rubber insulated, neoprene jacketed, must be furnished with each signal head. The number of conductors must include neutral, ground, and one switch leg for each section. Both ends of each cable length must be carefully stripped of six inches (6") of jacket and one inch (1") of insulation, and each conductor properly tinned.

2.7 Gaskets. Wherever necessary to make a completely dustproof, moistureproof and weatherproof assembly of the housing and optical system, approved type gaskets of neoprene or silicone rubber must be provided.

### 3. TESTING AND DOCUMENTATION REQUIREMENTS

3.1 Documentation. The contractor must provide certified manufacturing and testing documentation to demonstrate that the traffic signals being supplied meet or exceed the specification requirements. The LED Optical Units must be tested by an independent and certified testing laboratory.

3.2 Inspection. The signals will be subject to inspection at the discretion of the Commissioner. Final inspection must be made at point of delivery. Any signal rejected must be removed and disposed of by the contractor at his sole cost.

### 4. PACKING

4.1 Packing. Each traffic signal assembly must be packed in a suitable carton so secured that the signal will not be damaged during shipment, handling or storage.

4.2 Marking. Each carton containing a traffic signal must be clearly marked on the outside in letters not less than three-eighths (3/8) inch tall with the legend: "TRAFFIC SIGNAL, TWELVE-INCH, POLYCARBONATE@ or ATRAFFIC SIGNAL, TWELVE INCH, POLYCARBONATE, LED OPTICS@and the number of Sections as required, the color and indication types, the name of the manufacturer, the date of manufacture, the pertinent Contract Number and the appropriate City Commodity Code Number.

THIS SPECIFICATION MUST NOT BE ALTERED

**SPECIFICATION 1495**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
MARCH 20, 2000

**TRAFFIC SIGNAL MOUNTING BRACKET  
POLYCARBONATE, SIDE OF POLE**

SCOPE

1. This specification states the requirements for polycarbonate brackets designed for mounting 12 inch traffic and pedestrian signal heads from the side of poles.

GENERAL REQUIREMENTS

2. (a)Sample and Certified Test Reports. One complete signal bracket of the manufacture proposed to be furnished, must be submitted along with the required certified test reports, within fifteen (15) business days upon request of the Chief Procurement Officer. The sample must be delivered to the Engineer of Electricity, Bureau of Electricity, 2451 South Ashland Avenue, Chicago, Illinois 60608.

(b)Standards. Equipment furnished under this specification must meet the appropriate requirements of the following standards, as required within the body of this specification:

American Association of State Highway and Transportation Officials (AASHTO)  
American Society for Testing and Materials (ASTM)  
Institute of Transportation Engineers (ITE)  
National Electrical Manufacturers Association (NEMA)

(c)Approval. Approval will mean approval in writing by the Commissioner or his/her duly authorized representative.

(d)Warranty. The manufacturer must warrant the signal bracket to meet the requirements of this specification, and must warrant all equipment, components, parts and appurtenances against defective design, material and workmanship for a period of three (3) years from date of acceptance.

In the event defects and failures become apparent during this period, the manufacturer must repair or replace such defects and failures at no expense to the City. This warranty must be evidenced by a letter or certificate of warranty submitted to the City at the time final delivery is made.

#### MATERIAL

3. (a) The bracket must be one piece, ultra violet stabilized polycarbonate resin of the specified color, injection molded complete with integral top, bottom, and sides.

(b) The polycarbonate formulation used must provide these physical properties in the bracket (Tests may be performed on separately molded specimens).

#### TEST      REQUIRED      METHOD

Specific gravity    1.17 minimum    ASTM D 792  
Vicat Softening temp    310-320° F    ASTM D 1525  
Brittleness temp.    -200° F    ASTM D 746  
Flammability    Self-extinguishing    ASTM D 635  
Tensile strength, yield    8,500 PSI    ASTM D 638  
Elongation at yield    5.5-8.5%    ASTM D 638  
Shear strength, yield    5,500 PSI min.    ASTM D 732  
Izod impact strength    12-16 ft-lb/in.    ASTM D 256  
(notched, 1/8" thick)  
Fatigue strength    950 PSI min.    ASTM D 671  
(at 2.5 mm cycles)

(c) Glass. The polycarbonate must be glass impregnated between 30% and 40% to increase strength.

#### POSITIONING DEVICE

4. The top and bottom opening of the bracket must have integral serrated bosses that will provide positive positioning of the signal head in five degree increments to eliminate undesirable rotation or misalignment of the signal head between sections. A total of 72 teeth must be provided in the serrated bosses to allow the signal head to be rotated 360 degrees about its axis. The teeth must be clean and sharp to provide positive positioning with the grooves of the signal head.

#### HARDWARE

5. The mounting brackets must be provided complete with one (1) polycarbonate shim, 1/4" thick, one (1) 1-1/2" chase nipple with rubber gasket, and one (1) pinnacle cap with rubber gasket.

## DIMENSIONS

6. The bracket must have nominal dimensions of 12 inches long, by 6 inches high, by 3 inches wide, plus or minus 1/4 inch.

## WIRING SPACE

7. The bracket must have an integral molded wireway with a minimum 1-1/2 inch diameter opening suitable for installation of multi-conductor cables.

## DESIGN STRENGTH

8. The bracket must be designed to support a 12 inch, single face, five-section, polycarbonate signal head with a 100 mile-per-hour wind.

## TESTING AND DOCUMENTATION REQUIREMENTS

9. (a) Documentation. The contractor must provide certified manufacturing and testing documentation to demonstrate that the brackets being supplied meet or exceed the specification requirements.

(b) Inspection. The brackets will be subject to inspection at the discretion of the Commissioner. Final inspection must be made at point of delivery. Any bracket rejected must be removed and disposed of by the contractor at his sole cost.

## PACKING

10. (a) Each bracket must be packed in a suitable carton so secured that the bracket will not be damaged during shipment, handling, or storage.

(b) Marking. Each carton containing brackets must be clearly marked on the outside in letters not less than three-eighths inch (3/8") tall with the legend: "POLYCARBONATE SIGNAL BRACKET, SIDE OF POLE" the appropriate City Commodity Code Number, the name of the manufacturer, the date of manufacture, and the pertinent contract number.

THIS SPECIFICATION MUST NOT BE ALTERED

## **SPECIFICATION 1497**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED SEPTEMBER 25, 2006

## ARTERIAL STREET LIGHTING CONTROLLER

### SUBJECT

1. This specification states the requirements for an arterial street lighting controller and aluminum cabinet for use in controlling arterial street lighting circuits. The cabinet will be mounted to a housing, which will be affixed to a concrete foundation.

### GENERAL

2. (a)Specifications. The controller must conform in detail to the requirements herein stated, to the Federal Standard cited by number, and to the Specifications and Methods of Test of the American Society for Testing and Materials, cited by ASTM Designation Number, in which the most recently published revision will govern. Cabinets must meet or exceed the requirements of a NEMA rating 3R and must be U.L. listed.

(b)Acceptance. Controllers and cabinets not conforming to this specification will not be accepted.

(c)Drawings. The drawings mentioned herein are drawings of the Department of Streets and Sanitation, Bureau of Electricity, and must be interpreted as part of these specifications cooperating to state necessary requirements.

(d)Sample. One complete controller in cabinet of the manufacture intended to be furnished must be submitted upon request of the Chief Procurement Officer within fifteen (15) business days after receipt of such a request. The sample must be delivered to the attention of the Engineer of Electricity, Bureau of Electricity, 2451 South Ashland Avenue, Chicago, Illinois 60608.

(e)Warranty. The manufacturer must warranty the controller and cabinet against flaws in material or workmanship for a period of two (2) years from the date of delivery. Any controller or cabinet developing flaws within this period must be replaced by the manufacturer, including shipment, at no cost to the City.

### DESIGN

3. (a)Drawings. The control cabinet must conform in detail to requirements shown on Drawing 876 for a 100 Amp application and to Drawing 880 for a 200 Amp application.

(b)Material. The cabinet and the door assembly must be constructed of 5052-H32 sheet aluminum alloy, with a minimum thickness of .125 inches. The base plate must be sheet aluminum of .250 inch thickness. All electrical components and wiring must be as shown on the appropriate drawings.

(c)Dimensions. The overall outside dimensions of the 100 amp control cabinet must be 36 inches in height by 20 inches in width by 15 inches in depth. The overall outside dimensions of the 200 amp control cabinet must be 41 inches in height by 25 inches in width by 16 inches in depth. Cabinets must have sloped tops to shed water.

## CABINET REQUIREMENTS

4. (a) Cabinet. The cabinet must be sized as shown on either Drawing 876 or Drawing 880, depending on the controller amp rating. The cabinet door opening must be double flanged on all four (4) sides. A door restraint must be provided to prevent the door from moving in windy conditions.

(b) Door. The door size must be a minimum of 80% of the front surface area. The door must be hinged on the right side when facing the cabinet. The door must have a gasket that meets the requirements found in U.L.508 Table 21.1. The gasket must form a weather-tight seal between the cabinet and the door. The door, when closed, must be flush with the cabinet.

(c) Hinges. Hinges must be continuous and bolted to the cabinet and door with 1/4-20 stainless steel carriage bolts and nylock nuts. Hinges must be made of .093 inch thick aluminum. The hinge leaves must not be exposed externally when the door is closed. Only the hinge knuckles must be visible upon closing the door. The hinge pin must be .250 inch diameter stainless steel and must be capped top and bottom by weld to render it tamper-proof.

(d) Latching. The latching mechanism must be a three-point draw roller type. The pushrods must be aluminum. The rollers must be nylon with a minimum diameter of .875 inches. The center catch must be .187 inch aluminum, minimum.

(e) Handle. The handle must be stainless steel with a .750 inch diameter shank. The handle must have provision for a padlock. The lock must be keyed dead bolt #200725 or equivalent. Two (2) keys must be provided for each cabinet.

(f) Ventilation. Louvered vents must be provided in the door. Louvers must satisfy the NEMA rod entry test for 3R enclosures. A removable filter must cover the louvers from inside the door. The filter must be held firmly in place with top and bottom brackets and a springloaded clamp. Exhaust air must be vented out between the top of the cabinet and the door. The exhaust area must be screened with openings of .12 inch by 1.0 inch.

(g) Equipment Mounts. The cabinet must be equipped with two (2) adjustable AC channels on both side walls and on the back wall. The internal dimensions of the channels must be 1.075 inches high by .625 inches wide. All mounting hardware must be furnished.

(h) Workmanship. All control cabinets must be free of flaws, and must have neat, smooth exterior surfaces. All holes must be accurately located and drilled. All welds must be neatly formed and free of cracks, blow holes, or other irregularities. All inside and outside edges must be free of burrs.

(i) Painting. The cabinet, door and other parts must be treated by an iron phosphate conversion technique. After which, all the parts must be baked dry. A polyester powder coat must then be applied. The inside of the cabinet and door must be white. The outside of the cabinet and door must be green meeting No. 14110 of Federal standard Number 595, or a gloss black, or another color as specified. A paint chip must be provided upon request.



## PANEL

5.(a)The panel must be composed of phenolic plastic ½ inches in thickness, or an approved equal. It must be securely bolted to the cabinet using stainless steel hardware.

(b)The panel will be sized, cut, and drilled as shown on the appropriate standard drawing. For a 100 amp – 2 pole controller, the panel must comply with Drawing 883. For a 200 amp – 2 pole controller, the panel must comply with Drawing 884. For a 100 amp – 3 pole controller, the panel must comply with Drawing 887. For a 200 amp – 3 pole controller, the panel must comply with Drawing 886. If alternate components are proposed, the panels must be sized accordingly.

### *1.1.1.1 ELECTRICAL COMPONENTS*

6.(a)All components will be as indicated on the appropriate drawing, or will be approved equals. Circuit breakers must have thermal magnetic trips. Each breaker must be enclosed in a hard insulated housing. All breakers must be UL listed. The photo-cell relay, if required, must meet City specifications.

(b)Wiring will be as indicated on the appropriate drawing. All wire will have stranded copper conductors, unless indicated otherwise. All wires must be insulated with an approved 125° Centigrade insulation.

(c)For a 3-wire, 1-phase, 240 volt ComEd input, components and wiring will be as indicated on Standard Drawing 862 (for either 100 amp or 200 amp service). For a 2-wire, 240 volt ComEd input, components and wiring will be as indicated on Standard Drawing 863 ( for 100 amp service only). For a 4-wire, 3-phase, 120/208 volt ComEd input, components and wiring will be as indicated on Standard Drawing 864 ( for either 100 amp or 200 amp service).

## INSPECTION

7. An inspector representing the City must have free access at all times while work on these control cabinets is being performed, to all portions of the manufacturer's works which concern their manufacture. The manufacturer must afford the inspector, without charge, all reasonable facilities to satisfy him that the cabinets are being furnished in accordance with this specification. The final inspection must be made at point of delivery. Any control cabinets rejected or defective must be removed and disposed of by the Contractor at his sole expense.

THIS SPECIFICATION MUST NOT BE ALTERED

## **SPECIFICATION 1524**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
REVISED JULY 31, 2006

### **LAMPS: HIGH PRESSURE SODIUM FOR STREET LIGHTING**

#### **SUBJECT**

1. This specification states the requirements for high pressure sodium lamps for street lighting service. Lamps must burn in various positions: base up, base down, and horizontal.

#### **PHYSICAL REQUIREMENTS**

2. The lamps must conform to the physical characteristics of ANSI Standard C78.42-1995 "High-Pressure Sodium Lamps". All bases must be of the screw-shell-type made of brass and meeting ANSI Standard C81.61. Bases will be mogul or medium depending upon the requirements. Bulb material must be lead borosilicate glass. The bulb finish must be clear. The arc tube material must be polycrystalline aluminum oxide.

#### **ELECTRICAL REQUIREMENTS**

3. The lamp must conform to the electrical characteristics of ANSI Standard C78.42-1995.

#### **LIGHT OUTPUT**

4. The color temperature of the lamp must be between 1050 and 2100 degrees Kelvin. At half the average rated lamp life, the mean output lumens must not be less than 90% of the initial lumen output.

#### **TESTING**

5. All lamps must be tested according to the requirements in ANSI Standard C78.42-1995. Because street light lamps operate under harsher conditions than most lamps, the physical structure of these lamps will be required to withstand the vibrations due to weather and traffic conditions expected in the Chicago area.

#### **INDIVIDUAL LAMP CHARACTERISTICS**

6. High pressure sodium lamps must meet the following:

Wattage	Rated Life (hours)	Initial Lumens Voltage	Lamp
35	16000	2250	52
50	24000	4000	52
70	24000	5800	52
100	24000	9500	55
150	24000	15000	100
200	24000	22000	100
250	24000	27500	100
310	24000	37000	100
400	24000	50000	100
750	16000	110000	120
1000	24000	140000	250

#### WARRANTY

7. The manufacturer will be required to replace, with new rated life lamps, without cost to the City, all lamps failing to operate satisfactorily for the specified period as indicated in the following paragraphs.

Any lamp that fails during the first 500 hours of operation must be replaced with a new, operable, lamp without charge to the City.

After the first 500 hours of operation, based on published lamp mortality tables, any lamp failures in excess of the published figures will require replacement lamps in numbers equal to the excess failures. This will apply for the first three years of the lamp life. All replacements will be at no cost to the City. Replacement lamps must be new.

#### PACKAGING

8. All lamps must have the date of manufacture, either actual or coded, embossed on the lamp base or another suitable location.

All lamps must be individually packaged and packed in properly labeled cartons so as to prevent damage in shipping or storage.

THIS SPECIFICATION MUST NOT BE ALTERED

#### **SPECIFICATION 1533**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
AUGUST 8, 2006

## NON-METALLIC CONDUIT

### SCOPE

1. This specification states the requirements for both rigid and coilable non-metallic conduit. The conduit will be used for low voltage ( 600 volt rated cables) electrical street lighting and traffic control systems. It may also be used for fiber-optic communications cables. This conduit will be installed underground. Rigid non-metallic conduit may be installed on structure.

### GENERAL

2. (a) Standards. The following standards are referenced herein.

ASTM – American Society for Testing and Materials  
NEC – National Electrical Code  
NEMA – National Electrical Manufacturer’s Association  
UL – Underwriter’s Laboratories

(b) Warranty. The manufacturer must warrant the conduit against defective workmanship and material for a period of one year from date of installation or date of delivery. Any conduit that is found to be defective must be replaced without cost to the City.

(c) Sample. If requested by the Chief Procurement Officer, a sample of the conduit intended to be furnished under this specification, must be submitted to the Engineer of Electricity within fifteen (15) business days upon receipt of such request.

### MATERIAL

2. (a) Rigid non-metallic conduit will be made of polyvinyl chloride (PVC). All conduit and fittings must comply with ASTM D 1784 and with the applicable sections of NEMA TC2, UL standard 651, and NEC Article 347. Fittings must meet the standards of NEMA TC3 and TC6, as well as UL 514.

(b) Coilable non-metallic conduit will be made of high density polyethylene (HDPE). All conduit must comply with ASTM D3485 ,ASTM D 1248, and NEMA TC7.

### SIZES

3. (a) PVC and HDPE will come in two wall thicknesses; schedule 40 and schedule 80.

PVC will come in ten foot sections. HDPE will come on reels.

Nominal inside diameters ( in inches) for non-metallic conduits will include the following:  $\frac{1}{2}$  ,  $\frac{3}{4}$  , 1, 1  $\frac{1}{4}$ , 1  $\frac{1}{2}$  , 2, 2  $\frac{1}{2}$  , 3, 3  $\frac{1}{2}$ , 4.

#### PACKING

4. Rigid conduit must be shipped in bundles. Coilable conduit must come on wooden reels. Both bundles and reels must be tagged to indicate the size and diameter of the conduit, the quantity in feet, the weight, and the manufacturer's name. The conduit itself must be marked to indicate the type and size, as well as the manufacturer.

THIS SPECIFICATION MUST NOT BE ALTERED

#### **SPECIFICATION 1534**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
SEPTEMBER 25, 2006

#### **CABLE: SINGLE-CONDUCTOR, COPPER 600 VOLT**

#### SUBJECT

1. This specification states the requirements for cables intended to be used as conductors in 120/240 VAC, 60 cycle, single phase, street lighting circuits. The cables will be installed in underground ducts or conduit.

#### GENERAL

2. (a)Specifications. The cable must conform in detail to the requirements herein stated, and to the applicable portions of the latest revisions of the specifications and methods of test of the following agencies:

- (1) ICEA Specification S-95-658
- (2) IEEE Standard 383
- (3) ASTM Standard E662-06
- (4) ASTM Standard D470-05
- (5) U.L. 44
- (6) U.L. 854

(b)Acceptance. Cable not in accordance with this specification will not be accepted.

Sample. If requested by the Chief Procurement Officer, a three (3) foot sample of the cable intended to be provided under this specification must be sent to the attention of the Engineer of Electricity within fifteen (15) days of receipt of such request.

(d)Warranty. The manufacturer must warrant the cable to be first class material throughout. In lieu of other claims against them, if the cables are installed within twelve (12) months of date of shipment, the manufacturer must replace any cable failing during normal and proper use within two years of date of installation. All replacements under this warranty must be made free of charge F.O.B. delivery point of the original contract.

## CONSTRUCTION

3. This cable must consist of a round copper conductor with a tight fitting, free stripping, concentric layer of ethylene propylene (EPR) insulation and a concentric low lead chlorosulfonated polyethylene (CSPE) jacket extruded in tandem with, and bonded to, the insulation, or ethylene propylene (EPR) insulation only. The cable must be rated for continuous duty in wet or dry conditions at 90° C operating temperature, 130° C emergency overload temperature and 250° C short circuit temperature.

## CONDUCTOR

4. (a)Material. The conductor must either be soft or annealed round copper wire.

(b)Specifications. The conductor must meet the requirements of ASTM B3, B8 or B258, as applicable.

(c)Sizes. The conductor size must be as stated in the PROPOSAL and in accordance with all requirements in Table A of this specification.

(d)Stranding. The number of strands, must be as indicted in Table A. Stranding must meet the requirements of ASTM B8, Class B.

## INSULATION

5. (a)Type. The insulation must be ethylene propylene rubber compound meeting the physical and electrical requirements specified herein.

(b)Thickness. The insulation must be circular in cross-section, concentric to the conductor, and must have an average thickness not less than that set forth in Table A of this specification, and a spot thickness not less than ninety percent (90%) of the average thickness.

(c) Initial Physical Requirements:

1. Tensile strength, min., psi. 1,200
2. Elongation at rupture, min. % 250

(d) Air Oven Exposure Test. After conditioning in an air oven at 121 +/- 1°C for 168 hours using methods of test described in ASTM-D 573:

**TENSILE STRENGTH, MINIMUM PERCENT OF UNAGED VALUE.....75**  
**ELONGATION AT RUPTURE, MINIMUM PERCENT OF UNAGED VALUE.....75**

(e) Mechanical Water Absorption:

GRAVIMETRIC METHOD: After 168 hours in water at 70 +/- 1°C:  
water absorption, maximum, milligrams per square inch.....5

(f) Cold Bend Test Requirements. The completed cable must pass the "Cold-Bend, Long-Time Voltage Test on Short Specimens" of ASTM D-470 except that the test temperature must be minus (-) 25°C.

(g) Electrical Requirements

1. Voltage Test. The completed cable must meet an A.C. and D.C. voltage test in accordance with ASTM D-470 and D-2655.

2. Insulation Resistance. The completed cable must have an insulation resistance constant of not less than 20,000 when tested in accordance with methods shown in ASTM D-470.

JACKET

6. (a) Type. If the cable is jacketed, the jacket must be a chlorosulfonated polyethylene (CSPE) compound meeting the physical and electrical requirements specified herein. The CSPE jacket must meet CFR Title 40, Part 261, for leachable lead.

(b) Thickness. The jacket must be circular in cross-section, concentric with the insulation, must have an average thickness not less than that set forth in Table A of this specification and a spot thickness not less than ninety percent (90%) of the average thickness.

(c) Initial Physical Requirements:

1. Tensile strength minimum PSI 1800
2. Elongation at rupture, minimum percent 300

(d) Air Oven Exposure Test. After conditioning in an air oven at 121 +/- 1°C for 168 hours:

1. Tensile strength, minimum percent of unaged value 75
2. Elongation at rupture, minimum percent of unaged value 60

(e) Mechanical Water Absorption. After 168 hours at 70 +/- 1°C:

1. Milligrams per square inch, maximum 20

## TESTING

7. (a)General. Tests must be performed on insulation, jacket and completed cables in accordance with applicable standards as listed in these specifications. Where standards are at variance with each other or with other portions of this specification, the most stringent requirements, as determined by an engineer from the Bureau of Electricity, will apply. All tests must be conducted on cable produced for this order. Where cable insulation and/or jacket thickness preclude obtaining samples of sufficient size for testing, special arrangements must be made with the engineer to obtain samples of unprocessed materials directly from the extrusion feed bins which will be separately processed and prepared for tests.

(b)Number Of Tests. Insulation and jacket tests must be conducted on samples taken every 25,000 feet or fraction thereof of each conductor size. In no case must samples be taken closer than 15,000 feet apart.

(c)Witness Tests. Where the quantity of cable on a single purchase order is 250,000 feet or more, all insulation and jacket tests must be witnessed by an engineer from the Bureau of Electricity, if so requested by the City. Included in these tests will be a 70,000 BTU per hour flame test in accordance with IEEE 383. Reels to be tested will be selected at random. The contractor must include in his bid, the cost of travel, food and lodging for one (1) engineer. Travel for 150 miles or greater must utilize a major airline. Lodging accommodations must be equal to those provided at a Holiday Inn. The engineer must be given ten (10) working days notice of all travel arrangements.

(d)Test Reports. No cable may be shipped until certified copies of all factory tests, including witness tests where applicable, have been reviewed and approved by the engineer.

(e)Acceptance. Samples must be taken from each reel and must successfully conform to all tests specified herein. Reels from which samples fail to conform, will be rejected.

## PACKAGING

8. (a)Cable Marking. The cable must be identified by a permanently inscribed legend in white lettering as follows:

1/c No. (conductor size) AWG-600V-90°C-EPR or EPR/CSPE

The legend must be repeated at approximately eighteen (18) inch intervals on the outside surface of the cable parallel to the longitudinal axis of the conductor. A sequential footage marking must be located on the opposite side from the legend.

(b)All cable will be black pigmented. When three conductors (triplex) are specified, one conductor will be black, another will be red or black with a red tracer, the smaller of the conductors must have a green colored jacket and the three conductors must be triplexed with a 16"-18" lay. The insulation color must not be unduly affected by cable installation, or prolonged exposure to either direct sunlight or moisture. Where the quantity of triplex cable exceeds 80,000 feet, witness testing as outlined in section 7(c) will apply.



(c)Reels. The completed cable must be delivered on sound substantial, non-returnable reels. Both ends of each length of cable must be properly sealed against the entrance of moisture and other foreign matter by the use of clamp-on cable caps, such as the Reliable Electric Company neoprene cable cap No. 1405, or equal. The ends must be securely fastened so as not to become loose in transit. Before shipment, all reels must be wrapped with cardboard or other approved wrapping.

(d)Footage. Each reel must contain the length of cable as set forth in Table A of this specification. Alternate lengths may be considered.

(e)Reel Marking. A metal tag must be securely attached to each reel indicating the reel number, contract number, date of shipment, gross and tare weights, description of the cable, the total footage, and the beginning and ending sequential footage numbers. Directions for unrolling the cable must be placed on the reel with an approved permanent marking material such as oil-based paint or a securely attached metal tag.

TABLE "A"

CONDUCTOR LENGTH		INSULATION/JACKET		A-C TEST REEL		THICKNESS
<u>AWG</u>	<u>STRANDS</u>	<u>MILS</u>	<u>MILS</u>	<u>VOLTS</u>	<u>FEET</u>	
14	7	30	15	5500	2000	
8	7	45	15	5500	2000	
6	7	45	30	5500	2000	
4	7	45	30	5500	2000	
2	7	45	30	5500	1000	
0	19	55	45	7000	1000	
00	19	55	45	7000	1000	
000	19	55	45	7000	1000	
0000	19	55	45	7000	1000	
250 MCM	37	65	65	8000	1000	

1.1.1.1.1 THIS SPECIFICATION MUST NOT BE ALTERED

**SPECIFICATION 1537**

BUREAU OF ELECTRICITY  
DEPARTMENT OF STREETS AND SANITATION  
CITY OF CHICAGO  
SEPTEMBER 26,2006

**CABLE: TRAFFIC SIGNAL, MULTIPLE CONDUCTOR,  
COPPER WIRE, 600 VOLT**

SUBJECT

1. This specification states the requirements for a multiple cable to be installed in underground conduits and used to distribute electrical energy to operate automatic traffic control equipment at street intersections within the City of Chicago. The cable will be used between the traffic controller cabinet and the junction boxes on the traffic signal poles.

GENERAL

2. (a)Specification. The cable must conform in detail to the requirements herein stated, and to the specifications and methods of test of the following:

ASTM - American Society for Testing and Materials  
ICEA - Insulated Cable Engineers Association  
IEEE - Institute of Electrical and Electronic Engineers  
UL - Underwriters Laboratories

(b)Acceptance. Cable not conforming to this specification will not be accepted.

(c)Sample. If requested by the Chief Procurement Officer, a three (3) foot sample of the cable intended to be furnished under this specification must be submitted to the attention of the Engineer of Electricity within fifteen (15) business days of receipt of such request.

(d)Warranty. The manufacturer must warrant the cable to be first class material throughout. In addition to any other claims against them, if the cable is installed within six months of date of shipment, the manufacturer must replace any cable failing during normal and proper use within two years of date of installation. All replacements under this warranty must be made free of charge F.O.B. delivery point of the original contract.

CABLES

3. (a) Construction. The cable must meet the requirements of ICEA standard S-95-658 and UL 44 for cable. The cable must consist of coated conductors each concentrically encased with a “free- stripping”, ethylene propylene, insulation. In two-conductor cables, the insulated and covered conductors must be parallel and not twisted, with suitable filler, as necessary, to produce a flat core of minimum practicable dimensions. In the larger count cables suitable fillers must be used to produce an essentially round cross-section. A Mylar tape must be wrapped over the conductor assembly, and a low smoke zero halogen polyolefin (LSZH) or chlorosulfonated polyethylene (CSPE) jacket applied overall.

(b) Outer Diameter. The maximum allowable outer diameter for round cables must be as follows:

No. Of Conductors	Outer Diameter	(inches)
Seven	0.49	
Ten	0.69	
Nineteen	0.90	

(c) Sealing. Both ends of each length of cable must be thoroughly sealed to prevent the entrance of moisture and other foreign matter.

COLOR CODE

4. Conductor identification must be provided by color synthetic-resin coverings, or an approved equal. Table A sets forth the color code for the various conductor arrangements.

CONDUCTOR

5. (a) Material. Round, soft or annealed, copper wire, meeting the requirements of ASTM B-3 and B-8, and coated in accordance with ASTM B33.

(b) Size. Cables must be made up of conductor sizes as set forth in this specification. The Number 14 AWG will be solid. Number 4 AWG conductors will be stranded.

INSULATION

6. (a) Type. The insulation must be an ethylene propylene compound meeting the physical and electrical requirements herein specified when tested in accordance with ASTM D-470-81.

(b) Thickness. The insulation must be circular in cross-section and have the following minimum thicknesses.

<u>Conductor stranding</u>		<u>No. of Insulation</u>	
Size. AWG	(No. Of Wires)	Conductors	Thickness (mils)

#4	7	2	45
#14	1	7	30
#14	1	10	30
#14	1	19	30

(c) Physical Properties. Initial Value.

Tensile Strength            1200 psi minimum  
 Elongation at Rupture        250% minimum

(d) Physical Properties. After Aging.

After 168 hours in air oven at 121° Centigrade:

Tensile Strength            75% of initial value  
 Elongation                    75% of initial value

(e) Accelerated Water Absorption Characteristics. Test must be made in accordance with methods discussed ASTM D470.

Gravimetric Method. The insulation must not absorb more than five (5) milligrams of water per square inch of exposed surface area after immersion in distilled water at 70° Centigrade for a period of seven (7) days.

(f) Cold-Bend Test Requirements. The completed cable must pass the “Cold”B end, Long-Time Voltage Test on Short Specimens” of ASTM D470 except that the test temperature must be minus (-) 25° Centigrade.

(g) Electrical Requirements.

(1) Voltage Test. The completed cable must meet an A.C. and D.C. voltage test in accordance with ASTM D470 and D2655.

(2) Insulation Resistance. The completed cable must have an insulation resistance constant of not less than 20,000 when tested in accordance with methods shown in ASTM D470.

CABLE TAPE

7. The assembled and cabled conductor core must be wrapped with a one mil (0.001 inch) thick Mylar tape allowing a minimum of ten percent (10%) overlap.

JACKET

8. (a)Material. The jacket must be either a heavy duty low smoke zero halogen (LSZH) polyolefin or low lead chlorosulfonated polyethylene (CSPE) meeting the physical and electrical requirements specified herein. CSPE must meet the requirements of CFR Title 40, Part 261 for leachable lead.

(b)Workmanship. The jacket must have a smooth exterior surface free from holes, cracks and splits, and must be tough, elastic, homogeneous in composition, and properly vulcanized.

(c)Thickness. Average thicknesses of the jacket must be not less than that given below. Minimum thickness must be not less than ninety percent (90%) of the average thickness.

- (1) Two-Conductor # 4 AWG      5/64 inch
- (2) Seven-Conductor      3/64 inch
- (3) Ten-Conductor      4/64 inch
- (4) Nineteen-Conductor      4/64 inch

(d) Initial Physical Requirements:

- 1. Tensile strength minimum PSI      1800
- 2. Elongation at rupture, minimum percent      300

(e)Air Oven Exposure Test. After conditioning in an air oven at  $121^{\circ} \pm 1^{\circ}\text{C}$  for 168 hours:

- 1. Tensile strength minimum percent of unaged value      75
- 2. Elongation at rupture, minimum percent of unaged value      65

(f) Mechanical Water Absorption. After 168 hours at  $70^{\circ} \pm 1^{\circ}\text{C}$ : one (1) milligram per square inch, maximum

(g)Cable Marking. Outer Jacket must be embossed or printed with the manufacturer's name, year of manufacture, insulation and jacket materials, conductor number, conductor size, at approximately 18" intervals. On the side opposite, the cable must be sequentially marked in one (1) foot increments.

## TESTING

9. (a)General. Tests must be performed on insulation, jacket and completed cables in accordance with applicable standards as listed in these specifications. Where standards are at variance with each other or with other portions of this specification, the most stringent requirements, as determined by an engineer from the Bureau of Electricity, will apply.

(b)Number of Tests. Insulation and jacket tests must be conducted on samples taken every 25,000 feet or fraction thereof of each conductor size. In no case must samples be taken closer than 15,000 feet apart.

(c)Witness Tests. Where the quantity of cable on a single purchase order is 250,000 feet or more, all insulation and jacket tests must be witnessed by an engineer from the Bureau of Electricity, if so requested by the City. Included in these tests will be a 70,000 BTU per hour flame tests in accordance with IEEE 383. Reels to be tested will be selected at random. The contractor must include in his bid, the cost of travel, food and lodging for one (1) engineer. Travel for 150 miles or greater must utilize a major airline. Lodging accommodations must be equal to those provided at a Holiday Inn. The engineer must be given ten (10) working days notice of all travel arrangements.

(d)Test Reports. No cable may be shipped until certified copies of all factory tests, including witness tests where applicable, have been reviewed and approved by the engineer.

(e)Acceptance. Samples must be taken from each reel and must successfully conform to all tests specified herein. Reels from which samples fail to conform, will be rejected.

#### PACKAGING

10. (a)Reels. The completed cable must be delivered on sound substantial, nonreturnable reels. Both ends of each length of cable must be properly sealed against the entrance of moisture and other foreign matter by the use of clamp-on cable caps, such as the Reliable Electric Company neoprene cable cap No. 1405, or equal. The ends must be securely fastened so as not to become loose in transit. Before shipment, complete 2 x 4 lagging must be applied to all reels.

(b)Footage. Each reel must contain the length of cable as set forth below. A tolerance limit of plus or minus five percent ( $\pm 5\%$ ) must be adhered to.

- (1) Two-Conductor 2000 feet
- (2) Seven-Conductor 2000 feet
- (3) Ten-Conductor 2000 feet
- (4) Nineteen-Conductor 1000 feet

(c)Marking. A metal tag must be securely attached to each reel indicating the reel number, contract number, date of shipment, gross and tare weights, the appropriate City commodity Code Number as set forth below, and a description of the cable. Also, each reel must have permanent marking on it indicating directions for unrolling the cable and the footage of cable contained in the reel. Indelible ink or other such material susceptible to washing off or fading will not be permitted; and approved permanent marking material such as paint or a securely attached metal tag is required.

(d)Commodity Code Number.

- (1) Two-conductor No. 4 AWG 31-4686-5826
- (2) Seven-Conductor 31-4682-5620
- (3) Ten-Conductor 31-4682-5630
- (4) Nineteen-Conductor 31-4682-5645

TABLE A COLOR CODE CONDUCTOR IDENTIFICATION

Base Color	First Tracer	Second Tracer	2 (# 4)	7	10	19
White	Black	Red	--	--	--	14
White	Red	Green	--	--	--	14
Black	--	--	4	14	14	14
White	--	--	4	14	14	14
Red	--	--	--	14	14	14
Green	--	--	--	14	14	14
Orange	--	--	--	14	14	14
Blue	--	--	--	14	14	14
White	Black	--	--	14	--	--
Red	Black	--	--	--	14	14
Green	Black	--	--	--	14	14
Orange	Black	--	--	--	14	14
Blue	Black	--	--	--	14	--
Black	White	--	--	--	--	--
Red	White	--	--	--	--	14
Green	White	--	--	--	--	14
Blue	White	--	--	--	--	14
Orange	White	--	--	--	--	14
White	Red	--	--	--	--	--
Blue	Orange	--	--	--	--	14

Red	Blue	--	--	--	--	14
Green	Blue	--	--	--	--	14
Orange	Blue	--	--	--	--	14



## **GUIDE BRIDGE SPECIAL PROVISIONS**

### **JACK AND REMOVE EXISTING BEARINGS**

Effective: April 20, 1994

Revised: January 1, 2007

Description: This work consists of furnishing all labor, tools and equipment for jacking and supporting the existing beams/slab while removing the bearing assembly. The Contractor is responsible for the complete design of the bridge lifting procedures and the materials used. The Contractor shall furnish and place all bracing, shoring, blocking, cribbing, temporary structural steel, timber, shims, wedges, hydraulic jacks, and any other materials and equipment necessary for safe and proper execution of the work. The Contractor shall remove and dispose of the bearings according to Article 501.05 of the Standard Specifications.

Construction Requirements: The Contractor shall submit details and calculations of his/her proposed jacking systems and temporary support procedures for approval by the Engineer before commencing work. At any time during the bridge raising operations, the Engineer may require the Contractor to provide additional supports or measures in order to furnish an added degree of safety. The Contractor shall provide such additional supports or measures at no additional cost to the Department. Neither added precautions nor the failure of the Engineer to order additional protection will in any way relieve the Contractor of sole responsibility for the safety of lives, equipment and structure.

(a) Jack and Remove Existing Bearings with bridge deck in place. Jacking and cribbing under and against the existing diaphragms, if applicable, will not be allowed. The Contractor's jacking plans and procedures shall be designed and sealed by an Illinois Licensed Structural Engineer.

In all cases, traffic shall be removed from the portion of the structure to be jacked prior to and during the entire time the load is being supported by the hydraulic pressure of the jack(s). The minimum jack capacity per beam shall be as noted in the plans. Whenever possible, traffic shall be kept off that portion of the structure during the entire bearing replacement operation. The shoring or cribbing supporting the beam(s) during bearing replacement shall be designed to support the dead load plus one half of the live load and impact shown in the plans. If traffic cannot be kept off that portion of the structure during the bearing replacement then the shoring or cribbing supporting the beam(s) shall be designed to support the dead load and full live load and impact shown in the plans.

No jacking shall be allowed during the period of placement and cure time required for any concrete placed in the span(s) contributing loads to the bearings to be jacked and removed.

Jacking shall be limited to 1/8 in. (4 mm) maximum when jacking one bearing at a time. Simultaneous jacking of all beams at one support may be performed provided the maximum lift is 1/4 in. (7 mm) and the maximum differential displacement between adjacent beams is 1/8 in. (4 mm). Suitable gauges for the measurement of superstructure movement shall be furnished and installed by the Contractor.

(b) Jack and Remove Existing Bearings when entire bridge deck is removed. Jacking and bearing removal shall be done after the removal of the existing bridge deck is complete. The Contractor's plans and procedures for the proposed jacking and cribbing system shall be designed and sealed by an Illinois Licensed Structural Engineer, unless jacking can be accomplished directly from the bearing seat under the beams or girders.

Jacking shall be limited to 1/4 in. (7 mm) maximum when jacking one beam at a time. Simultaneous jacking of all beams at one support may be performed provided the maximum lift is 3/4 in. (19 mm) and the maximum differential displacement between adjacent beams is 1/4 in. (7 mm). When staged construction is utilized, simultaneous jacking of all beams shall be limited to 1/4 in. (7 mm) unless the diaphragms at the stage line are disconnected, in which case the maximum lift is 3/4 in. (19 mm). Suitable gauges for the measurement of superstructure movement shall be furnished and installed by the Contractor.

The Contractor shall be responsible for restoring to their original condition, prior to jacking, the drainage ditches, pavement, or slopewall disturbed by the cribbing footings.

Basis of Payment: This work will be paid for at the contract unit price each for JACK AND REMOVE EXISTING BEARINGS.

## **CLEANING AND PAINTING CONTACT SURFACE AREAS OF EXISTING STEEL STRUCTURES**

Effective: June 30, 2003

Revised: January 1, 2007

**Description.** This work shall consist of the surface preparation and painting of existing steel structures in areas that will be in contact with new steel.

The existing steel at primary connections (faying surfaces) shall be prepared, and primed as specified herein prior to connecting new structural steel to the existing structure.

The existing steel at secondary connections shall be prepared, and if bare metal is exposed, primed as specified herein prior to connecting new structural steel to the existing structure.

**General.** The existing coatings shall be assumed to contain lead and may also contain other toxic metals. Any plans that may be furnished for the work, and any dimensions or other information given regarding a structure, are only for the purpose of assisting bidders in determining the type and location of steel to be cleaned and painted. It is the responsibility of the Contractor to verify this information and the accuracy of the information provided shall in no way affect the price bid for structural steel.

**Materials.** The Bureau of Materials and Physical Research has established a list of all products that have met preliminary requirements. Each batch of material must be tested and approved before use.

The paint materials shall meet the requirements of the following articles of the Standard Specification:

Item	Article
Organic Zinc Rich Primer (Note 1)	
Aluminum Epoxy Mastic	1008.03

Note 1: These material requirements shall be according to the Special Provision for the Organic Zinc-Rich Paint System.

**Submittals:**

Manufacturer's application instructions and product data sheets. Copies of the paint manufacturer's application instructions and product data sheets shall be furnished to the Engineer at the field site before steel cleaning begins.

Waste Management Plan. The Waste Management Plan shall address all aspects of waste handling, storage, testing, hauling and disposal. Include the names, addresses, and a contact person for the proposed licensed waste haulers and disposal facilities. Submit the name and qualifications of the laboratory proposed for Toxicity Characteristic Leaching Procedure (TCLP) analysis.

c) Quality Control (QC) Program. The QC Program shall identify the following; the instrumentation that will be used, a schedule of required measurements and observations, procedures for correcting unacceptable work, and procedures for improving surface preparation and painting quality as a result of quality control findings.

**Construction Requirements.** The Contractor shall perform first line, in process QC inspections. The Contractor shall implement the submitted and accepted QC Program to insure that the work accomplished complies with these specifications. The designated Quality Control inspector shall be onsite full time during any operations that affect the quality of the coating system (e.g., surface preparation, coating mixing and application, and evaluations between coats and upon completion of the work). The Contractor shall provide artificial lighting in areas where natural light is inadequate, as determined by the Engineer, to allow proper cleaning, inspection, and painting. Illumination for inspection shall be at least 30 foot candles (325 LUX). Illumination for cleaning and priming, including the working platforms, access, and entryways shall be at least 20 foot candles (215 LUX).

The Contractor shall be responsible for any damage caused to persons, vehicles, or property, except as indemnified by the Response Action Contractor Indemnification Act. Whenever the intended purposes of the protective devices are not being accomplished, as determined by the Engineer, work shall be immediately suspended until corrections are made. Painted surfaces damaged by any Contractor's operation shall be removed and repainted, as directed by the Engineer, at the Contractor's expense.

**Weather Conditions.** Surfaces to be primed after cleaning shall remain free of moisture and other contaminants. The Contractor shall control his/her operations to insure that dust, dirt, or moisture does not come in contact with surfaces cleaned prior to painting. Surfaces painted shall be protected until the coating is sufficiently cured to protect itself from damage.

Restrictions on ambient conditions shall be as per the coating manufacturer's written specifications.

**Surface Preparation:** Prior to making connections or painting, all loose abrasives, paint, and residue shall be contained, collected, removed from the surface area and properly disposed of as specified later in this specification.

Painted surfaces of new steel damaged by abrasive blasting or by the Contractor's operations shall be repainted, as directed by the Engineer, at the Contractor's expense.

**Primary Connections.** Primary connections shall be defined as faying (contact) surfaces of high-strength bolted splices in main, load-carrying members, end diaphragms, end cross-frames, and other areas specifically noted in plans (such as cross-frame connections on curved girders, etc.). These will typically occur where existing splices are replaced or new splices are added.

The surfaces of existing steel in all areas that will be in direct contact with new steel shall be prepared according to SSPC-SP15, Commercial Grade Power Tool Cleaning using vacuum-shrouded power tools equipped with HEPA filtration. The surface preparation shall remove all rust, mill scale, and existing paint from the contact surface. At the Contractor's option, vacuum blast cleaning according to SSPC-SP6, Commercial Blast Cleaning may be substituted for SSPC-SP15 at no additional cost to the Department. The surface profile for primary connection surfaces shall be 1.5 to 3.5 mils (38 to 90 microns).

**Secondary Connections.** Secondary connections shall be defined as all surface areas of existing members that will be in contact with new steel except as previously defined as primary connections.

These surfaces of existing steel in all areas that will be in direct contact with new steel shall be prepared according to SSPC-SP3, Power Tool Cleaning using vacuum-shrouded power tools equipped with HEPA filtration. The surface preparation shall remove all loose rust, loose mill scale, and loose, checked, alligatored and peeling paint from the contact surface. At the Contractor's option, vacuum blast cleaning according to SSPC-SP6, Commercial Blast Cleaning or SSPC-SP15, Commercial Grade Power Tool Cleaning may be substituted for SSPC-SP3 at no additional cost to the Department. The surface profile for abrasive blast cleaning and Commercial Grade Power Tool Cleaning shall be 1.5 to 3.5 mils (38 to 90 microns).

**Painting.** The manufacturer's written instructions shall be followed for paint storage, mixing, thinning, application, ambient conditions, and drying times between coats. The surface shall be free of dirt, dust, and debris prior to the application of any coat. The coatings shall be applied as a continuous film of uniform thickness free of defects including, but not limited to, runs, sags, overspray, dryspray, pinholes, voids, skips, misses, and shadow-through. Defects such as runs and sags shall be brushed out immediately during application. The Engineer will approve surface preparation prior to priming.

For Primary connections the surface of the prepared steel cleaned to bare metal shall be primed with an organic zinc rich primer between 3.5 and 5.0 mils (90 and 125 microns) dry film thickness.

For Secondary Connections the surface of the prepared steel cleaned to bare metal shall be painted with one coat of epoxy mastic between 5 and 7 mils (125 microns to 180 microns) in thickness. Areas not cleaned to bare metal need not be painted.

The primer shall cure according to the manufacturers instructions prior to connecting new structural steel to the existing structure.

The surrounding coating at each prepared location shall be feathered for a minimum distance of 1 1/2 in. (40 mm) to achieve a smooth transition between the prepared areas and the existing coating.

**Collection, Temporary Storage, Transportation and Disposal of Waste.** The Contractor and the Department are considered to be co-generators of the waste.

The Contractor is responsible for all aspects of waste collection, testing and identification, handling, storage, transportation, and disposal according to these specifications and all applicable Federal, State, and Local regulations. The Contractor shall provide for Engineer review and acceptance a Waste Management Plan that addresses all aspects of waste handling, storage, and testing, and provides the names, addresses, and a contact person for the proposed licensed waste haulers and disposal facilities. The Department will not perform any functions relating to the waste other than provide EPA identification numbers, provide the Contractor with the emergency response information, the emergency response telephone number required to be provided on the manifest, and to sign the waste manifest. The Engineer will obtain the identification numbers from the state and federal environmental protection agencies for the bridge(s) to be painted and furnish those to the Contractor.

All surface preparation/paint residues shall be collected daily and deposited in all-weather containers supplied by the Contractor as temporary storage. The storage area shall be secure to prevent unauthorized entry or tampering with the containers. Acceptable measures include storage within a fully enclosed (e.g., fenced in) and locked area, within a temporary building, or implementing other reasonable means to reduce the possibility of vandalism or exposure of the waste to the public or the environment (e.g., securing the lids or covers of waste containers and roll-off boxes). Waste shall not be stored outside of the containers. Waste shall be collected and transferred to bulk containers taking extra precautions as necessary to prevent the suspension of residues in air or contamination of surrounding surfaces. Precautions may include the transfer of the material within a tarpaulin enclosure. Transfer into roll-off boxes shall be planned to minimize the need for workers to enter the roll-off box.

No residues shall remain on uncontained surfaces overnight. Waste materials shall not be removed through floor drains or by throwing them over the side of the bridge. Flammable materials shall not be stored around or under any bridge structures.

The all-weather containers shall meet the requirements for the transportation of hazardous materials and as approved by the Department. Acceptable containers include covered roll-off boxes and 55-gallon drums (17H). The Contractor shall insure that no breaks and no deterioration of these containers occurs and shall maintain a written log of weekly inspections of the condition of the containers. A copy of the log shall be furnished to the Engineer upon request. The containers shall be kept closed and sealed from moisture except during the addition of waste. Each container shall be permanently identified with the date that waste was placed into the container, contract number, hazardous waste name and ID number, and other information required by the IEPA.

The Contractor shall have each waste stream sampled for each project and tested by TCLP and according to EPA and disposal company requirements. The Engineer shall be notified in advance when the samples will be collected. The samples shall be collected and shipped for testing within the first week of the project, with the results due back to the Engineer within 10 days. The costs of testing shall be considered included in this work. Copies of the test results shall be provided to the Engineer prior to shipping the waste.

The existing paint removed, together with the surface preparation media (e.g. abrasive) shall be handled as a hazardous waste, regardless of the TCLP results. The waste shall be transported by a licensed hazardous waste transporter, treated by an IEPA permitted treatment facility to a non-hazardous special waste and disposed of at an IEPA permitted disposal facility in Illinois.

The treatment/disposal facilities shall be approved by the Engineer, and shall hold an IEPA permit for waste disposal and waste stream authorization for this cleaning residue. The IEPA permit and waste stream authorization must be obtained prior to beginning cleaning, except that if necessary, limited paint removal will be permitted in order to obtain samples of the waste for the disposal facilities. The waste shall be shipped to the facility within 90 days of the first accumulation of the waste in the containers. When permitted by the Engineer, waste from multiple bridges in the same contract may be transported by the Contractor to a central waste storage location(s) approved by the Engineer in order to consolidate the material for pick up, and to minimize the storage of waste containers at multiple remote sites after demobilization. Arrangements for the final waste pickup shall be made with the waste hauler by the time blast cleaning operations are completed or as required to meet the 90 day limit stated above.

The Contractor shall submit a waste accumulation inventory table to the Engineer no later than the 5<sup>th</sup> day of the month. The table shall show the number and size of waste containers filled each day in the preceding month and the amount of waste shipped that month, including the dates of shipments.

The Contractor shall prepare a manifest supplied by the IEPA for off-site treatment and disposal before transporting the hazardous waste off-site. The Contractor shall prepare a land ban notification for the waste to be furnished to the disposal facility. The Contractor shall obtain the handwritten signature of the initial transporter and date of the acceptance of the manifest. The Contractor shall send one copy of the manifest to the IEPA within two working days of transporting the waste off-site. The Contractor shall furnish the generator copy of the manifest and a copy of the land ban notification to the Engineer. The Contractor shall give the transporter the remaining copies of the manifest.

All other project waste shall be removed from the site according to Federal, State and Local regulations, with all waste removed from the site prior to final Contractor demobilization.

The Contractor shall make arrangements to have other hazardous waste, which he/she generates, such as used paint solvent, transported to the Contractor's facility at the end of each day that this waste is generated. These hazardous wastes shall be manifested using the Contractor's own generator number to a treatment or disposal facility from the Contractor's facility. The Contractor shall not combine solvents or other wastes with cleaning residue wastes. All waste streams shall be stored in separate containers.

The Contractor is responsible for the payment of any fines and undertaking any clean up activities mandated by State or federal environmental agencies for improper waste handling, storage, transportation, or disposal.

Contractor personnel shall be trained in the proper handling of hazardous waste, and the necessary notification and clean up requirements in the event of a spill. The Contractor shall maintain a copy of the personnel training records at each bridge site.

It is understood and agreed that the cost of all work outlined above, unless otherwise specified, has been included in the bid, and no extra compensation will be allowed.

Basis of Payment: This work will be considered included in the cost of "Furnishing and Erecting Structural Steel", "Erecting Structural Steel", or "Structural Steel Repair", as applicable, according to the Standard Specifications, unless otherwise specified on the plans.

### **CLEANING AND PAINTING NEW METAL STRUCTURES**

Effective Date: September 13, 1994

Revised Date: January 1, 2007

Description. The material and construction requirements that apply to cleaning and painting new structural steel shall be according to the applicable portion of Sections 506 of the Standard Specifications except as modified herein. The three coat paint system shall be the system as specified on the plans and as defined herein.

Materials. All materials to be used on an individual structure shall be produced by the same manufacturer. The Bureau of Materials and Physical Research has established a list of all products that have met preliminary requirements. Each batch of material must be tested and approved by that bureau before use.

The paint materials shall meet the requirements of the following articles of the Standard Specification:

- | Item                                  | Article |
|---------------------------------------|---------|
| (a) Inorganic Zinc-Rich Primer        | 1008.02 |
| (b) Waterborne Acrylic                | 1008.04 |
| (c) Aluminum Epoxy Mastic             | 1008.03 |
| (d) Organic Zinc-Rich Primer (Note 1) |         |
| (e) Epoxy Intermediate (Note 1)       |         |
| (f) Aliphatic Urethane (Note 1)       |         |

Note 1: These material requirements shall be according to the Special Provision for the Organic Zinc-Rich Paint System.

Submittals. At least 30 days prior to beginning field painting, the Contractor shall submit for the Engineer's review and acceptance, the following applicable plans, certifications and information for completing the field work. Field painting can not proceed until the submittals are accepted by the Engineer. Qualifications, certifications and QC plans for shop cleaning and painting shall be available for review by the QA Inspector.

Contractor/Personnel Qualifications. Except for miscellaneous steel items such as bearings, side retainers, expansion joint devices, and other items allowed by the Engineer, or unless stated otherwise in the contract, the shop painting Contractors shall be certified to perform the work as follows: the shop painting Contractor shall possess AISC Sophisticated Paint Endorsement or SSPC-QP3 certification. Evidence of current qualifications shall be provided.

Personnel managing the shop and field Quality Control program(s) for this work shall possess a minimum classification as a National Association of Corrosion Engineers (NACE) Coating Inspector Technician, or shall provide evidence of successful inspection of 3 projects of similar or greater complexity and scope that have been completed in the last 2 years. Copies of the certification and/or experience shall be provided.

The personnel performing the QC tests for this work shall be trained in coatings inspection and the use of the testing instruments. Documentation of training shall be provided.

Quality Control (QC) Program. The shop and field QC Programs shall identify the following; the instrumentation that will be used, a schedule of required measurements and observations, procedures for correcting unacceptable work, and procedures for improving surface preparation and painting quality as a result of quality control findings. The field program shall incorporate the IDOT Quality Control Daily Report form, as supplied by the Engineer.

Field Cleaning and Painting Inspection Access Plan. The inspection access plan for use by Contractor QC personnel for ongoing inspections and by the Engineer during Quality Assurance (QA) observations.

Surface Preparation/Painting Plan. The surface preparation/painting plan shall include the methods of surface preparation and type of equipment to be utilized for solvent cleaning, abrasive blast cleaning, washing, and power tool cleaning. The plan shall include the manufacturer's names of the materials that will be used, including Product Data Sheets and Material Safety Data Sheets (MSDS).

A letter or written instructions from the coating manufacturer shall be included, indicating the required drying time for each coat at the minimum, normal, and maximum application temperatures before the coating can be exposed to temperatures or moisture conditions that are outside of the published application parameters.

Field Quality Control (QC) Inspections. The Contractor shall perform first line, in process QC inspections of each phase of the work. The Contractor shall implement the submitted and accepted QC Program to insure that the work accomplished complies with these specifications. The Contractor shall use the IDOT Quality Control Daily Report form supplied by the Engineer to record the results of quality control tests. The completed reports shall be turned into the Engineer before work resumes the following day.

The Contractor shall have available at the shop or on the field site, all of the necessary inspection and testing equipment. The equipment shall be available for the Engineer's use when requested.



**Field Quality Assurance (QA) Observations.** The Engineer will conduct QA observations of any or all phases of the work. The Engineer's observations in no way relieve the Contractor of the responsibility to provide all necessary daily QC inspections of his/her own and to comply with all requirements of this Specification.

The Engineer has the right to reject any work that was performed without adequate provision for QA observations.

The Engineer will issue a Non-Conformance Report when cleaning and painting work is found to be in violation of the specification requirements, and is not corrected to bring it into compliance before proceeding with the next phase of work.

**Inspection Access and Lighting.** The Contractor shall facilitate the Engineer's observations as required, including allowing ample time to view the work. The Contractor shall furnish, erect and move scaffolding or other mechanical equipment to permit close observation of all surfaces to be cleaned and painted. This equipment shall be provided during all phases of the work. Examples of acceptable access structures include:

Mechanical lifting equipment, such as, scissor trucks, hydraulic booms, etc.

Platforms suspended from the structure comprised of trusses or other stiff supporting members and including rails and kick boards.

Simple catenary supports are permitted only if independent life lines for attaching a fall arrest system according to Occupational Safety and Health Administration (OSHA) regulations are provided.

When the surface to be inspected is more than 6 ft. (1.8 m) above the ground or water surface, the Contractor shall provide the Engineer with a safety harness and a lifeline according to OSHA regulations. The lifeline and attachment shall not direct the fall into oncoming traffic. The Contractor shall provide a method of attaching the lifeline to the structure independent of the inspection facility or any support of the platform. When the inspection facility is more than 2 1/2 ft. (800 mm) above the ground, the Contractor shall provide an approved means of access onto the platform.

The Contractor shall provide artificial lighting in areas where natural light is inadequate, as determined by the Engineer, to allow proper cleaning, inspection, and painting. Illumination for inspection shall be at least 30 foot candles (325 LUX). Illumination for cleaning and painting, including the working platforms, access, and entryways shall be at least 20 foot candles (215 LUX).

**Construction Requirements.** The Contractor shall be responsible for any damage caused to persons, vehicles, or property, except as indemnified by the Response Action Contractor Indemnification Act. Whenever the intended purposes of the protective devices are not being accomplished, as determined by the Engineer, work shall be immediately suspended until corrections are made. Painted surfaces damaged by any Contractor's operation shall be removed and repainted, as directed by the Engineer, at the Contractor's expense.

The Contractor shall comply with the provisions of the Illinois Environmental Protection Act. Paint drips, spills, and overspray are not permitted to escape into the air or onto any other surfaces or surrounding property not intended to be painted. Containment shall be used to control paint drips, spills, and overspray, and shall be dropped and all equipment secured when sustained wind speeds of 40 mph (64 kph) or greater occur, unless the containment design necessitates action at lower wind speeds. The contractor shall evaluate project-specific conditions to determine the specific type and extent of containment needed to control the paint emissions and shall submit a plan for containing or controlling paint debris (droplets, spills, overspray, etc.) to the Engineer for approval prior to starting the work. Approval shall not relieve the Contractor of their ultimate responsibility for controlling paint debris from escaping the work zone.

Surface and Weather Conditions. Surfaces to be painted after cleaning shall remain free of moisture and other contaminants. The Contractor shall control his/her operations to insure that dust, dirt, or moisture does not come in contact with surfaces cleaned or painted that day.

The surface temperature shall be at least 5°F (3°C) above the dew point during final surface preparation operations. The paint manufacturers' published literature shall be followed for specific temperature, dew point, and humidity restrictions during the application of each coat.

The Contractor shall monitor temperature, dew point, and humidity every 4 hours during surface preparation and coating application in the specific areas where the work is being performed. The frequency of monitoring shall increase if weather conditions are changing. The Engineer has the right to reject any work that was performed under unfavorable weather conditions. Rejected work shall be removed, recleaned, and repainted at the Contractor's expense.

Seasonal Restrictions on Field Cleaning and Painting. Field cleaning and painting work shall be accomplished between April 15 and October 31 unless authorized otherwise by the Engineer in writing.

**Inorganic Zinc-rich/ Waterborne Acrylic Paint system.** This system shall be for shop and field application of the coating system, shop application of the intermediate and top coats will not be allowed.

In the shop, all structural steel designated to be painted shall be given one coat of inorganic zinc rich primer. In the field, before the application of the intermediate coat, the prime coat and any newly installed fasteners shall be spot solvent cleaned per SSPC-SP 1 and all surfaces pressure washed to remove dirt, oil, lubricants, oxidation products, and foreign substances. Washing shall involve the use of potable water at a pressure between 1000 psi (7 MPa) and 5000 psi (34 MPa) and according to "Low Pressure Water Cleaning" of SSPC-SP12. Paint spray equipment shall not be used to perform the water cleaning. All damaged shop primed areas shall then be spot cleaned per SSPC-SP3 and spot primed with aluminum epoxy mastic. The structural steel shall then receive one full intermediate coat and one full topcoat of waterborne acrylic paint.

Paint drips, spills, and overspray must be controlled. If containment is used to control paint drips, spills, and overspray, the containment shall be dropped and all equipment secured when sustained wind speeds of 40 mph (64 kph) or greater occur. When the protective coverings need to be attached to the structure, they shall be attached by bolting, clamping, or similar means. Welding or drilling into the structure is prohibited unless approved by the Engineer in writing.

Coating Dry Film Thickness (dft), measured according to SSPC-PA2:  
Zinc Primer: 3 mils (75 microns) min., 6 mils (150 microns) max.  
Epoxy Mastic: 5 mils (125 microns) min., 7 mils (180 microns) max.  
Intermediate Coat: 2 mils (50 microns) min., 4 mils (100 microns) max.  
Topcoat: 2 mils (50 microns) min., 4 mils (100 microns) max.

The total dry film thickness, excluding the spot areas touched up with epoxy mastic, shall be between 7 and 14 mils (180 and 355 microns).

The pressure washing requirement above may be waived if the QC and QA Inspectors verify the primed surfaces have not been contaminated.

d) Damage to the paint system shall be spot cleaned using SSPC-SP3. The cleaned areas shall be spot painted with a penetrating sealer as recommended by the manufacturer, which shall overlap onto the existing topcoat. Then the aluminum epoxy mastic shall be spot applied not to go beyond the area painted with the sealer. The acrylic intermediate and topcoat shall be spot applied to the mastic with at least a 6 inch (150 mm) overlap onto the existing topcoat.

**Organic Zinc-Rich/ Epoxy/ Urethane Paint System.** This system shall be for full shop application of the coating system, all contact surfaces shall be masked off prior to application of the intermediate and top coats.

Additional Surface Preparation. In addition to the requirements of Section 3.2.9 of the AASHTO/AWS D1.5/D1.5:2002 Bridge Welding Code (breaking thermal cut corners of stress carrying members), rolled and thermal cut corners to be painted with organic zinc primer shall be broken if they are sharper than a 1/16 in. (1.5 mm) radius. Corners shall be broken by a single pass of a grinder or other suitable device at a 45 degree angle to each adjoining surface prior to final blast cleaning, so the resulting corner approximates a 1/16 in. (1.5 mm) or larger radius after blasting. Surface anomalies (burrs, fins, deformations) shall also be treated to meet this criteria before priming.

In the shop, all structural steel designated to be painted shall be given one coat of organic zinc rich primer. Before the application of the intermediate coat, the prime coat and any newly installed fasteners shall be spot solvent cleaned per SSPC-SP 1 and all surfaces pressure washed to remove dirt, oil, lubricants, oxidation products, and foreign substances. Washing shall involve the use of potable water at a pressure between 1000 psi (7 MPa) and 5000 psi (34 MPa) and according to "Low Pressure Water Cleaning" of SSPC-SP12. Paint spray equipment shall not be used to perform the water cleaning. All damaged shop primed areas shall then be spot cleaned per SSPC-SP3, and the structural steel shall then receive one full intermediate coat of epoxy and one full topcoat of aliphatic urethane.

Paint drips, spills, and overspray must be controlled. If containment is used to control paint drips, spills, and overspray, the containment shall be dropped and all equipment secured when sustained wind speeds of 40 mph (64 kph) or greater occur. When the protective coverings need to be attached to the structure, they shall be attached by bolting, clamping, or similar means. Welding or drilling into the structure is prohibited unless approved by the Engineer in writing.

Coating Dry Film Thickness (dft), measured according to SSPC-PA2:

Organic Zinc-Rich Primer: 3 mils (75 microns) min., 5 mils (125 microns) max.

Aluminum Epoxy Mastic: 5 mils (125 microns) min., 7 mils (180 microns) max.

Epoxy Intermediate Coat: 3 mils (75 microns) min., 6 mils (150 microns) max.

Aliphatic Urethane Top Coat: 2.5 mils (65 microns) min., 4 mils (100 microns) max.

The total dry film thickness, excluding the spot areas touched up with epoxy mastic, shall be between 8.5 and 15 mils (215 and 375 microns).

When specified on the plans or as requested by the Contractor, and approved by the Engineer, the epoxy intermediate and aliphatic urethane top coats shall be applied in the shop. All faying surfaces of field connections shall be masked off after priming and shall not receive the intermediate or top coats in the shop. The intermediate and top coats for field connections shall be applied, in the field, after erection of the structural steel is completed. The pressure washing requirement above may be waived if the QC and QA Inspectors verify the primed surfaces have not been contaminated.

Erection and handling damage to the shop applied system shall be spot cleaned using SSPC-SP3. The surrounding coating at each repair location shall be feathered for a minimum distance of 1 1/2 in. (40 mm) to achieve a smooth transition between the prepared areas and the existing coating. The existing coating in the feathered area shall be roughened to insure proper adhesion of the repair coats. The areas cleaned to bare metal shall be spot painted with aluminum epoxy mastic. The intermediate and finish coat shall be spot applied to with at least a 6 inch (150 mm) overlap onto the existing finish coat.

**Aluminum Epoxy Mastic/ Waterborne Acrylic Paint system.** This system shall be for shop or field application of the entire coating system.

Before priming with aluminum epoxy mastic the steel the surfaces to be primed shall be prepared according to SSPC SP6 for Commercial Blast Cleaning. In the field, before the application of the intermediate coat, the prime coat and any newly installed fasteners shall be spot solvent cleaned per SSPC-SP 1 and all surfaces pressure washed to remove dirt, oil, lubricants, oxidation products, and foreign substances. Washing shall involve the use of potable water at a pressure between 1000 psi (7 MPa) and 5000 psi (34 MPa) and according to "Low Pressure Water Cleaning" of SSPC-SP12. Paint spray equipment shall not be used to perform the water cleaning. All damaged shop primed areas shall then be spot cleaned per SSPC-SP3 and spot primed with aluminum epoxy mastic. The structural steel shall then receive one full intermediate coat of aluminum epoxy mastic and one full topcoat of waterborne acrylic paint.

Paint drips, spills, and overspray must be controlled. If containment is used to control paint drips, spills, and overspray, the containment shall be dropped and all equipment secured when sustained wind speeds of 40 mph (64 kph) or greater occur. When the protective coverings need to be attached to the structure, they shall be attached by bolting, clamping, or similar means. Welding or drilling into the structure is prohibited unless approved by the Engineer in writing.

Coating Dry Film Thickness (dft), measured according to SSPC-PA2:  
Epoxy Mastic Primer: 5 mils (125 microns) min., 7 mils (180 microns) max.  
Epoxy Mastic Intermediate Coat: 5 mils (125 microns) min., 7 mils (180 microns) max.  
Acrylic Topcoat: 2 mils (50 microns) min., 4 mils (100 microns) max.

The total dry film thickness, excluding the spot areas touched up with epoxy mastic, shall be between 12 and 18 mils (300 and 460 microns).

The pressure washing requirement above may be waived if the QC and QA Inspectors verify the primed surfaces have not been contaminated.

d) Damage to the paint system shall be spot cleaned using SSPC-SP3. The cleaned areas shall be spot painted with a penetrating sealer as recommended by the manufacturer, which shall overlap onto the existing topcoat. Then the aluminum epoxy mastic shall be spot applied not to go beyond the area painted with the sealer. The acrylic topcoat shall be spot applied to the mastic with at least a 6 inch (150 mm) overlap onto the existing topcoat.

The paint manufacturer's product data sheets shall be available for QA review in the shop and submitted to the Engineer prior to start of field work and the requirements as outlined in the data sheets shall be followed.

#### Special Instructions.

Painting Date/System Code. At the completion of the work, the Contractor shall stencil in contrasting color paint the date of painting the bridge, the painting Contractors name, and the paint type code from the Structure Information and Procedure Manual for the system used. The letters shall be capitals, not less than 2 in. (50 mm) and not more than 3 in. (75 mm) in height.

The stencil shall contain the following wording "PAINTED BY (insert the name of the painting Contractor)" and shall show the month and year in which the painting was completed, followed by "CODE S" for the Inorganic Zinc/ Acrylic System, "CODE X" for the Organic Zinc/ Epoxy/ Urethane System, "CODE AB" for the Organic Zinc/ Epoxy/ Urethane System (shop applied), and "CODE U" for the Aluminum Epoxy Mastic/ Acrylic System all stenciled on successive lines. This information shall be stenciled on the cover plate of a truss end post near the top of the railing, or on the outside face of an outside stringer near both ends of the bridge facing traffic, or at some equally visible surface designated by the Engineer.

Method of Measurement. Shop cleaning and painting new structures will not be measured for payment. Field cleaning and painting will not be measured for payment except when performed under a contract that contains a separate pay item for this work.

Basis of Payment. This work will be paid for according to Article 506.07.

### **POROUS GRANULAR EMBANKMENT, SPECIAL**

Effective: September 28, 2005

Revised: November 14, 2008

Description. This work shall consist of furnishing and placing porous granular embankment special material as detailed on the plans, according to Section 207 except as modified herein.

Materials. The gradation of the porous granular material may be any of the following CA 8 thru CA 18, FA 1 thru FA 4, FA 7 thru FA 9, and FA 20 according to Articles 1003 and 1004.

Construction. The porous granular embankment special shall be installed according to Section 207, except that it shall be uncompacted.

Basis of Payment. This work will be paid for at the contract unit price per Cubic Yard (Cubic Meter) for POROUS GRANULAR EMBANKMENT, SPECIAL.

### **STRUCTURAL REPAIR OF CONCRETE**

Effective: March 15, 2006

Revised: April 2, 2008

Description. This work shall consist of structurally repairing concrete.

Materials. Materials shall be according to the following.

Item Article/Section

(a) Portland Cement Concrete (Note 1) 1020

(b) R1 or R2 Mortar (Note 2)

(c) Normal Weight Concrete (Note 3)

(d) Shotcrete (High Performance) (Note 4)

(e) Reinforcement Bars 1006.10

(f) Anchor Bolts 1006.09

(g) Water 1002

(h) Curing Compound (Type I) 1022

(i) Cotton Mats 1022.02

(j) Protective Coat 1023.01

(k) Epoxy (Note 5) 1025

(l) Mechanical Bar Splicers (Note 6)

Note 1. The concrete shall be Class SI, except the cement factor shall be a minimum 6.65 cwt/cu. yd. (395 kg/cu. m), the coarse aggregate shall be a CA 16, and the strength shall be a minimum 4000 psi (27,500 kPa) compressive or 675 psi (4650 kPa) flexural at 14 days. A high range water-reducing admixture shall be used to obtain a 5-7 in. (125-175 mm) slump, but the cement factor shall not be reduced. This cement factor restriction shall also apply if a water-reducing admixture is used.

Note 2. The R1 or R2 mortar shall be from the Department's approved list of Packaged, Dry, Rapid Hardening, Cementitious Materials for Concrete Repairs with coarse aggregate added. The amount of coarse aggregate added to the R1 or R2 Mortar shall be per the manufacturer's recommendations. The coarse aggregate gradation shall be CA 16 from an Aggregate Gradation Control System source or a packaged aggregate meeting Article 1004.02 with a maximum size of 1/2 in. (12.5 mm). The R1 or R2 Mortar and coarse aggregate mixture shall comply with the air content and strength requirements for Class SI concrete as indicated in Note 1. Mixing shall be per the manufacturer's recommendations, except the water/cement ratio shall not exceed the value specified for Class SI concrete as indicated in Note 1. A high range water-reducing admixture shall be used to obtain a 5-7 in. (125-175 mm) slump.

Note 3. The packaged concrete mixture shall be from the Department's approved list of Packaged, Dry, Formed, Concrete Repair Mixtures. The materials and preparation of aggregate shall be according to ASTM C 387. Proportioning shall be according to ASTM C 387, except the minimum cement factor shall be 6.65 cwt/cu. yd. (395 kg/cu. m). Cement replacement with fly ash or ground granulated blast-furnace slag shall be according to Section 1020. The coarse aggregate shall be a maximum size of 1/2 in. (12.5 mm). The packaged concrete mixture shall comply with the air content and strength requirements for Class SI concrete as indicated in Note 1. Mixing shall be per the manufacturer's recommendations, except the water/cement ratio shall not exceed the value specified for Class SI concrete as indicated in Note 1. A high range water-reducing admixture shall be used to obtain a 5-7 in. (125-175 mm) slump.

Note 4. A packaged, pre-blended, and dry combination of materials, for the wet-mix shotcrete method shall be provided according to ASTM C 1480. An accelerator is prohibited, except the shotcrete may be modified at the nozzle with a non-chloride accelerator for overhead applications. The shotcrete shall be Type FA, Grade FR, and Class I. The fibers shall be Type III synthetic according to ASTM C 1116.

The 7 and 28 day compressive strength requirements in ASTM C 1480 shall not apply. Instead the shotcrete shall obtain a minimum compressive strength of 4000 psi (27,500 kPa) at 14 days.

The packaged shotcrete shall be limited to the following proportions:

The cement and finely divided minerals shall be 6.05 cwt/cu. yd. (360 kg/cu. m) to 7.50 cwt/cu. yd. (445 kg/cu. m), and the cement shall not be below 4.70 cwt/cu. yd. (279 kg/cu. m).

Class F fly ash is optional and the maximum shall be 15 percent by weight (mass) of cement.

Class C fly ash is optional and the maximum shall be 20 percent by weight (mass) of cement.

Ground granulated blast-furnace slag is optional and the maximum shall be 25 percent by weight (mass) of cement.

Microsilica is required and shall be a minimum of 5 percent by weight (mass) of cement, and a maximum of 10 percent. As an alternative to microsilica, high-reactivity metakaolin may be used at a minimum of 5 percent by weight (mass) of cement, and a maximum of 10 percent.

Fly ash shall not be used in combination with ground granulated blast-furnace slag. Class F fly ash shall not be used in combination with Class C fly ash. Microsilica shall not be used in combination with high-reactivity metakaolin. A finely divided mineral shall not be used in combination with a blended hydraulic cement, except for microsilica or high-reactivity metakaolin.

The water/cement ratio shall be a maximum of 0.42.

The air content as shot shall be 4.0 – 8.0 percent.

Note 5. In addition ASTM C 881, Type IV, Grade 2 or 3, Class A, B, or C may be used.

Note 6. Mechanical bar splicers shall be from the approved list of Mechanical Reinforcing Bar Splicers / Coupler Systems, and shall be capable of developing in tension at least 125 percent of the yield strength of the existing reinforcement bar.

Equipment. Equipment shall be according to Article 503.03 and the following.

Chipping Hammer – The chipping hammer for removing concrete shall be a light-duty pneumatic or electric tool with a 15 lb. (7 kg) maximum class or less.

Blast Cleaning Equipment – Blast cleaning equipment for concrete surface preparation shall be the abrasive type, and the equipment shall have oil traps.

Hydrodemolition Equipment – Hydrodemolition equipment for removing concrete shall be calibrated, and shall use water according to Section 1002.

High Performance Shotcrete Equipment – The batching, mixing, pumping, hose, nozzle, and auxiliary equipment shall be for the wet-mix shotcrete method, and shall meet the requirements of ACI 506R.

### Construction Requirements

General. The repair methods shall be either formed concrete repair or shotcrete. The repair method shall be selected by the Contractor with the following rules.

(a) Rule 1. For formed concrete repair, a subsequent patch to repair the placement point after initial concrete placement will not be allowed. As an example, this may occur in a vertical location located at the top of the repair.

(b) Rule 2. Formed concrete repair shall not be used for overhead applications.

(c) Rule 3. Shotcrete shall not be used for column repairs greater than 4 in. (100 mm) in depth, or any repair location greater than 8 in. (205 mm) in depth. The only exception to this rule would be for a horizontal application, where the shotcrete may be placed from above in one lift.



(d)Rule 4. If formed concrete repair is used for locations that have reinforcement with less than 0.75 in. (19 mm) of concrete cover, the concrete mixture shall contain fly ash or ground granulated blast-furnace slag at the maximum cement replacement allowed.

Temporary Shoring or Cribbing. When a temporary shoring or cribbing support system is required, the Contractor shall provide details and computations, prepared and sealed by an Illinois licensed Structural Engineer, to the Department for review and approval. When ever possible the support system shall be installed prior to starting the associated concrete removal. If no system is specified, but during the course of removal the need for temporary shoring or cribbing becomes apparent or is directed by the Engineer due to a structural concern, the Contractor shall not proceed with any further removal work until an appropriate and approved support system is installed.

Concrete Removal. The Contractor shall provide ladders or other appropriate equipment for the Engineer to mark the removal areas. Repair configurations will be kept simple, and squared corners will be preferred. The repair perimeter shall be sawed a depth of 1/2 in. (13 mm) or less, as required to avoid cutting the reinforcement. If the concrete is broken or removed beyond the limits of the initial saw cut, the new repair perimeter shall be recut. The areas to be repaired shall have all loose, unsound concrete removed completely by the use of chipping hammers, hydrodemolition equipment, or other methods approved by the Engineer. The concrete removal shall extend along the reinforcement bar until the reinforcement is free of bond inhibiting corrosion. The outermost layer of reinforcement bar within the repair area shall be undercut to a depth of 3/4 in. (19 mm) or the diameter of the reinforcement bar, whichever value is larger. The underlying transverse reinforcement bar shall also be undercut as previously described, unless the reinforcement is not corroded, and the reinforcement bar is encased and well bonded to the surrounding concrete.

If sound concrete is encountered before existing reinforcement bars are exposed, further removal of concrete shall not be performed unless the minimum repair depth is not met.

The repair depth shall be a minimum of 1 in. (25 mm). The substrate profile shall be  $\pm 1/16$  in. ( $\pm 1.5$  mm). The perimeter of the repair area shall have a vertical face.

If a repair is located at the ground line, any excavation required below the ground line to complete the repair shall be included in this work.

The Contractor shall have a maximum of 14 calendar days to complete each repair location with concrete or shotcrete, once concrete removal has started for the repair.

The Engineer shall be notified of concrete removal that exceeds 6 in. (150 mm) in depth, one fourth the cross section of a structural member, more than half the vertical column reinforcement is exposed in a cross section, more than 6 consecutive reinforcement bars are exposed in any direction, within 1.5 in. (38 mm) of a bearing area, or other structural concern. Excessive deterioration or removal may require further evaluation of the structure or installation of temporary shoring and cribbing support system.

Surface Preparation. Prior to placing the concrete or shotcrete, the Contractor shall prepare the repair area and exposed reinforcement by blast cleaning. The blast cleaning shall provide a surface that is free of oil, dirt, and loose material.

If a succeeding layer of shotcrete is to be applied, the initial shotcrete surface and remaining exposed reinforcement shall be free of curing compound, oil, dirt, loose material, rebound (i.e. shotcrete material leaner than the original mixture which ricochets off the receiving surface), and overspray. Preparation may be by lightly brushing or blast cleaning if the previous shotcrete surface is less than 36 hours old. If more than 36 hours old, the surface shall be prepared by blast cleaning.

The repair area and perimeter vertical face shall have a rough surface. Care shall be taken to ensure the perimeter sawcut is roughened. Just prior to concrete or shotcrete placement, saturate the repair area with water to a saturated surface-dry condition. Any standing water shall be removed.

Concrete or shotcrete placement shall be done within 3 calendar days of the surface preparation or the repair area shall be prepared again.

Reinforcement. Exposed reinforcement bars shall be cleaned of concrete and corrosion by blast cleaning. After cleaning, all exposed reinforcement shall be carefully evaluated to determine if replacement or additional reinforcement bars are required.

Reinforcing bars that have been cut or have lost 25 percent or more of their original cross sectional area shall be supplemented by new in kind reinforcement bars. New bars shall be lapped a minimum of 32 bar diameters to existing bars. A mechanical bar splicer shall be used when it is not feasible to provide the minimum bar lap. No welding of bars shall be performed.

Intersecting reinforcement bars shall be tightly secured to each other using 0.006 in. (1.6 mm) or heavier gauge tie wire, and shall be adequately supported to minimize vibration during concrete placement or application of shotcrete.

For reinforcement bar locations with less than 0.75 in. (19 mm) of cover, protective coat shall be applied to the completed repair. The application of the protective coat shall be according to Article 503.19, 2nd paragraph, except blast cleaning shall be performed to remove curing compound.

The Contractor shall anchor the new concrete to the existing concrete with 3/4 in. (19 mm) diameter hook bolts for all repair areas where the depth of concrete removal is greater than 8 in. (205 mm) and there is no existing reinforcement extending into the repair area. The hook bolts shall be spaced at 15 in. (380 mm) maximum centers both vertically and horizontally, and shall be a minimum of 12 in. (305 mm) away from the perimeter of the repair. The hook bolts shall be installed according to Section 584.

Repair Methods. All repair areas shall be inspected and approved by the Engineer prior to placement of the concrete or application of the shotcrete.

(a) Formed Concrete Repair. Falsework shall be according to Article 503.05. Forms shall be according to Article 503.06. Formwork shall provide a smooth and uniform concrete finish, and shall approximately match the existing concrete structure. Formwork shall be mortar tight and closely fitted where they adjoin the existing concrete surface to prevent leakage. Air vents may be provided to reduce voids and improve surface appearance. The Contractor may use exterior mechanical vibration, as approved by the Engineer, to release air pockets that may be entrapped.

The concrete for formed concrete repair shall be a Class SI Concrete, or a packaged R1 or R2 Mortar with coarse aggregate added, or a package Normal Weight Concrete at the Contractor's option. The concrete shall be placed and consolidated according to Article 503.07. The concrete shall not be placed when frost is present on the surface of the repair area, or the surface temperature of the repair area is less than 40 °F (4 °C). All repaired members shall be restored as close as practicable to their original dimensions.

Curing shall be done according to Article 1020.13.

If temperatures below 45°F (7°C) are forecast during the curing period, protection methods shall be used. Protection Method I according to Article 1020.13(d)(1), or Protection Method II according to Article 1020.13(d)(2) shall be used during the curing period.

The surfaces of the completed repair shall be finished according to Article 503.15.

(b) Shotcrete. Shotcrete shall be tested by the Engineer for air content according to Illinois Modified AASHTO T 152. Obtain the sample in a damp, non-absorbent container from the discharge end of the nozzle.

For compressive strength of shotcrete, a 18 x 18 x 3.5 in. (457 x 457 x 89 mm) test panel shall be shot by the Contractor for testing by the Engineer. A steel form test panel shall have a minimum thickness of 3/16 in. (5 mm) for the bottom and sides. A wood form test panel shall have a minimum 3/4 in. (19 mm) thick bottom, and a minimum 1.5 in. (38 mm) thickness for the sides. The test panel shall be cured according to Article 1020.13 (a) (3) or (5) while stored at the jobsite and during delivery to the laboratory. After delivery to the laboratory for testing, curing and testing shall be according to ASTM C 1140.

The method of alignment control (i.e. ground wires, guide strips, depth gages, depth probes, and formwork) to ensure the specified shotcrete thickness and reinforcing bar cover is obtained shall be according to ACI 506R. Ground wires shall be removed after completion of cutting operations. Guide strips and formwork shall be of dimensions and a configuration that do not prevent proper application of shotcrete. Metal depth gauges shall be cut 1/4 in. (6 mm) below the finished surface. All repaired members shall be restored as close as practicable to their original dimensions.

The shotcrete shall not be applied when the air temperature is below 45°F (7°C) and falling or below 40°F (4°C). Shotcrete shall not be applied when the air temperature is greater than 90°F (32°C). The applied shotcrete shall have a minimum temperature of 50°F (10°C) and a maximum temperature of 90°F (32°C). The shotcrete shall not be applied during periods of rain unless protective covers or enclosures are installed. The shotcrete shall not be applied when frost is present on the surface of the repair area, or the surface temperature of the repair area is less than 40°F (4°C). If necessary, lighting shall be provided to provide a clear view of the shooting area.

The shotcrete shall be applied according to ACI 506R, and shall be done in a manner that does not result in cold joints, laminations, sandy areas, voids, sags, or separations. In addition, the shotcrete shall be applied in a manner that results in maximum densification of the shotcrete. Shotcrete which is identified as being unacceptable while still plastic shall be removed and re-applied.

The nozzle shall normally be at a distance of 2 to 5 ft. (0.6 to 1.5 m) from the receiving surface, and shall be oriented at right angles to the receiving surface. Exceptions to this requirement will be permitted to fill corners, encase large diameter reinforcing bars, or as approved by the Engineer. For any exception, the nozzle shall never be oriented more than 45 degrees from the surface. Care shall be taken to keep the front face of the reinforcement bar clean during shooting operations. Shotcrete shall be built up from behind the reinforcement bar. Accumulations of rebound and overspray shall be continuously removed prior to application of new shotcrete. Rebound material shall not be incorporated in the work.

Whenever possible, shotcrete shall be applied to the full thickness in a single layer. The maximum thickness shall be 4 in. (100 mm) unless the shotcrete is applied from above on a horizontal surface, or a thicker application is approved by the Engineer. When two or more layers are required, the minimum number shall be used and shall be done in a manner without sagging or separation. A flash coat (i.e. a thin layer of up to 1/4 in. (6 mm) applied shotcrete) may be used as the final lift for overhead applications.

Prior to application of a succeeding layer of shotcrete, the initial layer of shotcrete shall be prepared according to the surface preparation and reinforcement bar cleaning requirements. Upon completion of the surface preparation and reinforcement bar treatment, water shall be applied according to the surface preparation requirements unless the surface is moist. The second layer of shotcrete shall then be applied within 30 minutes.

Shotcrete shall be cut back to line and grade using trowels, cutting rods, screeds or other suitable devices. The shotcrete shall be allowed to stiffen sufficiently before cutting. Cutting shall not cause cracks or delaminations in the shotcrete. For depressions, cut material may be used for small areas. Rebound material shall not be incorporated in the work. For the final finish, a wood float shall be used to approximately match the existing concrete texture. All repaired members shall be restored as close as practicable to their original dimensions.

Cotton mats shall be applied, according to Article 1020.13(a)(5), to the exposed layer of shotcrete within 10 minutes after finishing, and wet curing shall begin immediately. As an alternative, Type I curing compound shall be applied within 10 minutes and moist curing with cotton mats shall begin within 3 hours.

When a shotcrete layer is to be covered by a succeeding shotcrete layer within 36 hours, the repair area shall be protected with intermittent hand fogging, or wet curing with either burlap or cotton mats shall begin within 10 minutes. Intermittent hand fogging may be used only for the first hour. Thereafter, wet curing with burlap or cotton mats shall be used until the succeeding shotcrete layer is applied. Intermittent hand fogging may be extended to the first hour and a half if the succeeding shotcrete layer is applied by the end of this time.

The curing period shall be for 7 days, except when there is a succeeding layer of shotcrete. In this instance, the initial shotcrete layer shall be cured until the surface preparation and reinforcement bar treatment is started.

If temperatures below 45°F (7°C) are forecast during the curing period, protection methods shall be used. Protection Method I according to Article 1020.13(d)(1), or Protection Method II according to Article 1020.13(d)(2) shall be used during the curing period

Inspection of Completed Work. The Contractor shall provide ladders or other appropriate equipment for the Engineer to inspect the repaired areas. After curing but no sooner than 28 days after placement of concrete or shooting of shotcrete, the repair shall be examined for conformance with original dimensions, cracks, voids, and delaminations. Sounding for delaminations will be done with a hammer or by other methods determined by the Engineer.

The repaired area shall be removed and replaced, as determined by the Engineer, for nonconformance with original dimensions, surface cracks greater than 0.01 in. (0.25 mm) in width, map cracking with a crack spacing in any direction of 18 in. (0.45 m) or less, voids, or delaminations.

If a nonconforming repair is allowed to remain in place, cracks 0.01 in. (0.25 mm) or less shall be repaired with epoxy according to Section 590. For cracks less than 0.007 in. (2 mm), the epoxy may be applied to the surface of the crack. Voids shall be repaired according to Article 503.15.

Publications and Personnel Requirements. The Contractor shall provide a current copy of ACI 506R to the Engineer a minimum of one week prior to start of construction.

The shotcrete crew foreman shall have current American Concrete Institute (ACI) nozzle men certification for vertical wet and overhead wet applications. A copy of the certificate shall be given to the Engineer.

Method of Measurement. This work will be measured for payment in place and the area computed in square feet (square meters). For a repair at a corner, both sides will be measured.

Basis of Payment. This work will be paid for at the contract unit price per square foot (square meter) for STRUCTURAL REPAIR OF CONCRETE (DEPTH GREATER THAN 5 IN. (125 MM)), STRUCTURAL REPAIR OF CONCRETE (DEPTH EQUAL TO OR LESS THAN 5 IN. (125 MM)).

When there is no pay item for temporary shoring or cribbing, the work to design, install, and remove the temporary shoring and cribbing will be paid for according to Article 109.04.

The furnishing and installation of supplemental reinforcement bars, mechanical bar splicers, hook bolts, and protective coat will be paid according to Article 109.04.

### **DEMOLITION PLANS FOR REMOVAL OF EXISTING STRUCTURES**

Effective: September 5, 2007

Add to the beginning of Article 501.02 of the Standard Specifications.

“The Contractor shall submit a demolition plan to the Engineer for approval, detailing the proposed methods of demolition and the amount, location(s) and type(s) of equipment to be used. With the exception of removal of single box culverts, for work adjacent to or over an active roadway, railroad or navigable waterway, the demolition plan shall include an assessment of the structure’s condition and an evaluation of the structure’s strength and stability during demolition and shall be sealed by an Illinois Licensed Structural Engineer.”

### **SUSPENSION OF SLIPFORMED PARAPETS**

Effective: January 1, 2007

Slipforming of parapets is not allowed on this contract.

### **CSXT SPECIAL PROVISIONS AND INSURANCE REQUIREMENTS**

#### **❖ Special Provisions**

##### DEFINITIONS:

As used in these Special Provisions, all capitalized terms shall have the meanings ascribed to them by the Agreement, and the following terms shall have the meanings ascribed to them below:

“CSXT” shall mean CSX Transportation, Inc., its successors and assigns.

“CSXT Representative” shall mean the authorized representative of CSX Transportation, Inc.

“Agreement” shall mean the Agreement between CSXT and Agency dated as of \_\_\_\_\_, as amended from time to time.

“Agency” shall mean the State of Illinois, acting by and through its Department of Transportation.

“Agency Representative” shall mean the authorized representative of the Illinois Department of Transportation.

“Contractor” shall mean Agency’s contractor.

“Work” shall mean the work on any Improvement.

#### I. AUTHORITY OF CSXT ENGINEER

The CSXT Representative shall have final authority in all matters affecting the safe maintenance of CSXT operations and CSXT property, and his or her approval shall be obtained by the Agency or its Contractor for methods of construction to avoid interference with CSXT operations and CSXT property and all other matters contemplated by the Agreement and these Special Provisions.

#### II. INTERFERENCE WITH CSXT OPERATIONS

A. Agency or its Contractor shall arrange and conduct its work so that there will be no interference with CSXT operations, including train, signal, telephone and telegraphic services, or damage to CSXT’s property, or to poles, wires, and other facilities of tenants on CSXT’s Property or right-of-way. Agency or its Contractor shall store materials so as to prevent trespassers from causing damage to trains, or CSXT Property. Whenever Work is likely to affect the operations or safety of trains, the method of doing such Work shall first be submitted to the CSXT Representative for approval, but such approval shall not relieve Agency or its Contractor from liability in connection with such Work.

B. If conditions arising from or in connection with the Project require that immediate and unusual provisions be made to protect train operation or CSXT’s property, Agency or its Contractor shall make such provision. If the CSXT Representative determines that such provision is insufficient, CSXT may, at the expense of Agency or its Contractor, require or provide such provision as may be deemed necessary, or cause the Work to cease immediately.

#### III. NOTICE OF STARTING WORK. Agency or its Contractor shall not commence any work on CSXT Property or rights-of-way until it has complied with the following conditions:

A. Notify CSXT in writing of the date that it intends to commence Work on the Project. Such notice must be received by CSXT at least ten business days in advance of the date Agency or its Contractor proposes to begin Work on CSXT property. The notice must refer to this Agreement by date. If flagging service is required, such notice shall be submitted at least thirty (30) business days in advance of the date scheduled to commence the Work.

- B. Obtain authorization from the CSXT Representative to begin Work on CSXT property, such authorization to include an outline of specific conditions with which it must comply.
- C. Obtain from CSXT the names, addresses and telephone numbers of CSXT's personnel who must receive notice under provisions in the Agreement. Where more than one individual is designated, the area of responsibility of each shall be specified.

#### IV. WORK FOR THE BENEFIT OF THE CONTRACTOR

- A. No temporary or permanent changes to wire lines or other facilities (other than third party fiber optic cable transmission systems) on CSXT property that are considered necessary to the Work are anticipated or shown on the Plans. If any such changes are, or become, necessary in the opinion of CSXT or Agency, such changes will be covered by appropriate revisions to the Plans and by preparation of a force account estimate. Such force account estimate may be initiated by either CSXT or Agency, but must be approved by both CSXT and Agency. Agency or Contractor shall be responsible for arranging for the relocation of the third party fiber optic cable transmission systems, at no cost or expense to CSXT.
- B. Should Agency or Contractor desire any changes in addition to the above, then it shall make separate arrangements with CSXT for such changes to be accomplished at the Agency or Contractor's expense.

#### V. HAUL ACROSS RAILROAD

- A. If Agency or Contractor desires access across CSXT property or tracks at other than an existing and open public road crossing in or incident to construction of the Project, the Agency or Contractor must first obtain the permission of CSXT and shall execute a license agreement or right of entry satisfactory to CSXT, wherein Agency or Contractor agrees to bear all costs and liabilities related to such access.
- B. Agency and Contractor shall not cross CSXT's property and tracks with vehicles or equipment of any kind or character, except at such crossing or crossings as may be permitted pursuant to this section.

#### V. COOPERATION AND DELAYS

- A. Agency or Contractor shall arrange a schedule with CSXT for accomplishing stage construction involving work by CSXT. In arranging its schedule, Agency or Contractor shall ascertain, from CSXT, the lead time required for assembling crews and materials and shall make due allowance therefor



- B. Agency or Contractor may not charge any costs or submit any claims against CSXT for hindrance or delay caused by railroad traffic; work done by CSXT or other delay incident to or necessary for safe maintenance of railroad traffic; or for any delays due to compliance with these Special Provisions.
- C. Agency and Contractor shall cooperate with others participating in the construction of the Project to the end that all work may be carried on to the best advantage.
- D. Agency and Contractor understand and agree that CSXT does not assume any responsibility for work performed by others in connection the Project. Agency and Contractor further understand and agree that they shall have no claim whatsoever against CSXT for any inconvenience, delay or additional cost incurred by Agency or Contractor on account of operations by others.

VI. VII. STORAGE OF MATERIALS AND EQUIPMENT

Agency and Contractor shall not store their materials or equipment on CSXT's property or where they may potentially interfere with CSXT's operations, unless Agency or Contractor has received CSXT Representative's prior written permission. Agency and Contractor understand and agree that CSXT will not be liable for any damage to such materials and equipment from any cause and that CSXT may move, or require Agency or Contractor to move, such material and equipment at Agency's or Contractor's sole expense. To minimize the possibility of damage to the railroad tracks resulting from the unauthorized use of equipment, all grading or other construction equipment that is left parked near the tracks unattended by watchmen shall be immobilized to the extent feasible so that it cannot be moved by unauthorized persons.

VII. VIII. CONSTRUCTION PROCEDURES

A. General

- 1. Construction work on CSXT property shall be subject to CSXT's inspection and approval.
- 2. Construction work on CSXT property shall be in accord with CSXT's written outline of specific conditions and with these Special Provisions.
- 3. Contractor shall observe the terms and rules of the CSXT Safe Way manual, which Agency and Contractor shall be required to obtain from CSXT, and in accord with any other instructions furnished by CSXT or CSXT's Representative.

B. Blasting

- 1. Agency or Contractor shall obtain CSXT Representative's and Agency Representative's prior written approval for use of explosives on or adjacent to CSXT property. If permission for use of explosives is granted, Agency or Contractor must comply with the following:

- a. Blasting shall be done with light charges under the direct supervision of a responsible officer or employee of Agency or Contractor.
- b. Electric detonating fuses shall not be used because of the possibility of premature explosions resulting from operation of two-way train radios.
- c. No blasting shall be done without the presence of an authorized representative of CSXT. At least 10 days' advance notice to CSXT Representative is required to arrange for the presence of an authorized CSXT representative and any flagging that CSXT may require.
- d. Agency or Contractor must have at the Project site adequate equipment, labor and materials, and allow sufficient time, to (i) clean up (at Agency's expense) debris resulting from the blasting without any delay to trains; and (ii) correct (at Agency's expense) any track misalignment or other damage to CSXT's property resulting from the blasting, as directed by CSXT Representative, without delay to trains. If Agency's or Contractor's actions result in delay of any trains, including Amtrak passenger trains, Agency shall bear the entire cost thereof.
- e. Agency and Contractor shall not store explosives on CSXT property.

2. CSXT Representative will:

- a. Determine the approximate location of trains and advise Agency or Contractor of the approximate amount of time available for the blasting operation and clean-up.
- b. Have the authority to order discontinuance of blasting if, in his or her opinion, blasting is too hazardous or is not in accord with these Special Provisions.

IX. MAINTENANCE OF DITCHES ADJACENT TO CSXT TRACKS

Agency or Contractor shall maintain all ditches and drainage structures free of silt or other obstructions that may result from their operations. Agency or Contractor shall provide erosion control measures during construction and use methods that accord with applicable state standard specifications for road and bridge construction, including either (1) silt fence; (2) hay or straw barrier; (3) berm or temporary ditches; (4) sediment basin; (5) aggregate checks; and (6) channel lining. All such maintenance and repair of damages due to Agency's or Contractor's operations shall be performed at Agency's expense.

## X. FLAGGING / INSPECTION SERVICE

- A. CSXT has sole authority to determine the need for flagging required to protect its operations and property. In general, flagging protection will be required whenever Agency or Contractor or their equipment are, or are likely to be, working within fifty (50) feet of live track or other track clearances specified by CSXT, or over tracks.
- B. Agency shall reimburse CSXT directly for all costs of flagging that is required on account of construction within CSXT property shown in the Plans, or that is covered by an approved plan revision, supplemental agreement or change order.
- C. Agency or Contractor shall give a minimum of 10 days' advance notice to CSXT Representative for anticipated need for flagging service. No work shall be undertaken until the flag person(s) is/are at the job site. If it is necessary for CSXT to advertise a flagging job for bid, it may take up to 90-days to obtain this service, and CSXT shall not be liable for the cost of delays attributable to obtaining such service.
- D. CSXT shall have the right to assign an individual to the site of the Project to perform inspection service whenever, in the opinion of CSXT Representative, such inspection may be necessary. Agency shall reimburse CSXT for the costs incurred by CSXT for such inspection service. Inspection service shall not relieve Agency or Contractor from liability for its Work.
- E. CSXT shall render invoices for, and Agency shall pay for, the actual pay rate of the flagpersons and inspectors used, plus standard additives, whether that amount is above or below the rate provided in the Estimate. If the rate of pay that is to be used for inspector or flagging service is changed before the work is started or during the progress of the work, whether by law or agreement between CSXT and its employees, or if the tax rates on labor are changed, bills will be rendered by CSXT and paid by Agency using the new rates. Agency and Contractor shall perform their operations that require flagging protection or inspection service in such a manner and sequence that the cost of such will be as economical as possible.

## XI. UTILITY FACILITIES ON CSXT PROPERTY

Agency shall arrange, upon approval from CSXT, to have any utility facilities on or over CSXT Property changed as may be necessary to provide clearances for the proposed trackage.

## XII. CLEAN-UP

Agency or Contractor, upon completion of the Project, shall remove from CSXT's Property any temporary grade crossings, any temporary erosion control measures used to control drainage, all machinery, equipment, surplus materials, falsework, rubbish, or temporary buildings belonging to Agency or Contractor. Agency or Contractor, upon completion of the Project, shall leave CSXT Property in neat condition, satisfactory to CSXT Representative.

### XIII. FAILURE TO COMPLY

If Contractor, or Agency to the extent Agency is performing Work, violates or fails to comply with any of the requirements of these Special Provisions, (a) CSXT may require Contractor and/or Agency to vacate CSXT Property; (b) CSXT may withhold monies due Contractor; and (c) CSXT may cure such failure and Contractor shall reimburse CSXT for the cost of curing such failure.

### INSURANCE REQUIREMENTS

#### Insurance Policies:

Agency and Contractor, if and to the extent that either is performing work on or about CSXT's property, shall procure and maintain the following insurance policies:

1. Commercial General Liability coverage at their sole cost and expense with limits of not less than \$5,000,000 in combined single limits for bodily injury and/or property damage per occurrence, and such policies shall name CSXT as an additional named insured.
2. Statutory Worker's Compensation and Employers Liability Insurance with limits of not less than \$1,000,000, which insurance must contain a waiver of subrogation against CSXT and its affiliates.
3. Commercial automobile liability insurance with limits of not less than \$500,000 combined single limit for bodily injury and/or property damage per occurrence, and such policies shall name CSXT as an additional named insured.
4. Railroad protective liability insurance with limits of not less than \$5,000,000 combined single limit for bodily injury and/or property damage per occurrence and an aggregate annual limit of \$10,000,000, which insurance shall satisfy the following additional requirements:
  - a. The insurer must be financially stable and rated B+ or better in Best's Insurance Reports.
  - b. The Railroad Protective Insurance Policy must be on the ISO/RIMA Form of Railroad Protective Insurance - Insurance Services Office (ISO) Form CG 00 35.
  - c. CSX Transportation must be named as the named insured on the Railroad Protective Insurance Policy.
  - d. Name and Address of Contractor and Agency must be shown on the Declarations page.
  - e. Description of operations must appear on the Declarations page and must match the Project description, including project or contract identification numbers.

f. Authorized endorsements must include the Pollution Exclusion Amendment - CG 28 31, unless using form CG 00 35 version 96 and later.

g. Authorized endorsements may include:

- (i). Broad Form Nuclear Exclusion - IL 00 21
- (ii) 30-day Advance Notice of Non-renewal or cancellation
- (iii) Required State Cancellation Endorsement
- (iv) Quick Reference or Index - CL/IL 240

h. Authorized endorsements may not include:

- (i) A Pollution Exclusion Endorsement except CG 28 31
- (ii) A Punitive or Exemplary Damages Exclusion
- (iii) A "Common Policy Conditions" Endorsement
- (iv) Any endorsement that is not named in Section 4 (f) or (g) above.
- (v) Policies that contain any type of deductible

5. Such additional or different insurance as CSXT may require.

## II. Additional Terms

1. Contractor must submit its original insurance policies and two copies and all notices and correspondence regarding the insurance policies to:

Walter D. Tyler, CPCU, ARM  
CSX Transportation, Inc.  
Risk Management  
301 West Bay Street  
BellSouth Tower  
Jacksonville, FL 32202

2. Neither Agency nor Contractor may begin work on the Project until it has received CSXT's written approval of the required insurance policies.

## **AMERICAN RECOVERY AND REINVESTMENT ACT SIGNING (BDE)**

Effective: April 1, 2009

Description. This work shall consist of furnishing, fabricating and installing sign panels, complete with sign faces, legend, and supplemental panels according to Section 720 of the Standard Specifications and as specified herein.

Materials. The “Putting America to Work” sign shall be fabricated using Type AP fluorescent orange sheeting for the background material with black vinyl or black opaque ink legend, symbol and borders. The “American Recovery and Reinvestment Act” sign shall be fabricated using Type AP green sheeting for the background with Type AP white sheeting for the legend and border. A green translucent overlay film may also be used over white Type AP sheeting to fabricate the “American Recovery and Reinvestment Act” sign.

Sign Layout. See following attachment.

General. The signs shall be erected to applicable portions of Article 701.14 of the Standard Specifications. These signs shall be erected midway between the first and second warning signs as required by the traffic control plan and standards utilized for this project. If the second warning sign is defining a moving or intermittent operation, the sign may be maintained at a distance of 500 ft (150 m) beyond the first post mounted ROAD CONSTRUCTION AHEAD sign. The signs shall remain in place for the duration of the project. Upon completion of the project, the signs and posts shall be removed and shall remain the property of the Contractor.

Basis of Payment. This work will not be paid for separately but shall be included in the cost of Traffic Control items as shown on the plans.

Attachment

**PROJECT FUNDING SOURCE SIGN ASSEMBLY  
AMERICAN RECOVERY AND REINVESTMENT ACT  
SIGN LAYOUT DETAILS**



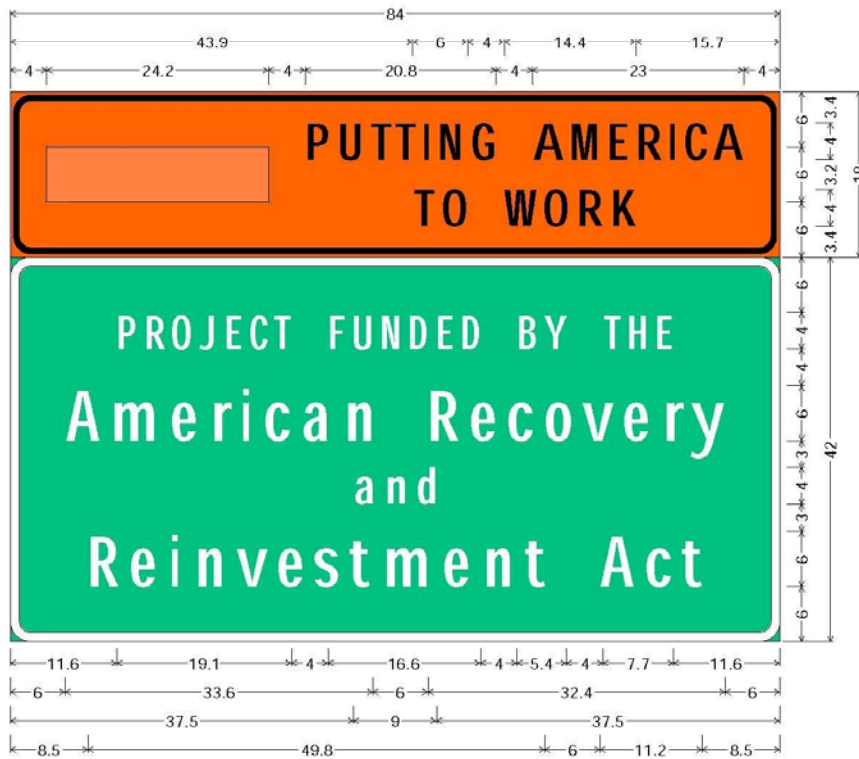
PROJECT FUNDING SOURCE  
SIGN ASSEMBLY

(Note: Outline of small rectangle on plaque shall be removed.)

# PROJECT FUNDING SOURCE SIGN ASSEMBLY AMERICAN RECOVERY AND REINVESTMENT ACT SIGN LAYOUT DETAILS



W21-1A MIN;  
 18.0" across sides 1.5" Radius, 0.6" Border, 0.4" Indent, Black on Orange;



2.3" Radius, 0.6" Border, 0.4" Indent, Black on Orange;  
 Rectangle White; "PUTTING AMERICA" D; "TO WORK" D;  
 2.3" Radius, 1.0" Border, White on Green;  
 "PROJECT FUNDED BY THE" C; "American Recovery" C; "and" D; "Reinvestment Act" C;



**PROJECT FUNDING SOURCE  
 SIGN ASSEMBLY**

(Note: Outline of small rectangle on plaque shall be removed.)

**ALKALI-SILICA REACTION FOR CAST-IN-PLACE CONCRETE (BDE)**

Effective: August 1, 2007      Revised: January 1, 2009

Description. This special provision is intended to reduce the risk of a deleterious alkali-silica reaction in concrete exposed to humid or wet conditions. The special provision is not intended or adequate for concrete exposed to potassium acetate, potassium formate, sodium acetate or sodium formate. The special provision shall not apply to the dry environment (humidity less than 60 percent) found inside buildings for residential or commercial occupancy. The special provision shall also not apply to precast products or precast prestressed products.

Aggregate Expansion Values. Each coarse and fine aggregate will be tested by the Department for alkali reaction according to ASTM C 1260. The test will be performed with Type I or II cement having a total equivalent alkali content ( $\text{Na}_2\text{O} + 0.658\text{K}_2\text{O}$ ) of 0.90 percent or greater. The Engineer will determine the assigned expansion value for each aggregate, and these values will be made available on the Department's Alkali-Silica Potential Reactivity Rating List. The Engineer may differentiate aggregate based on ledge, production method, gradation number, or other factors. An expansion value of 0.05 percent will be assigned to limestone or dolomite coarse aggregates and 0.03 percent to limestone or dolomite fine aggregates (manufactured stone sand); however the Department reserves the right to perform the ASTM C 1260 test.

Aggregate Groups. Each combination of aggregates used in a mixture will be assigned to an aggregate group. The point at which the coarse aggregate and fine aggregate expansion values intersect in the following table will determine the group.

AGGREGATE GROUPS			
Coarse Aggregate or Coarse Aggregate Blend  ASTM C 1260 Expansion	Fine Aggregate or Fine Aggregate Blend  ASTM C 1260 Expansion		
	≤ 0.16%	> 0.16% - 0.27%	> 0.27%
≤ 0.16%	Group I	Group II	Group III
> 0.16% - 0.27%	Group II	Group II	Group III
> 0.27%	Group III	Group III	Group IV

Mixture Options. Based upon the aggregate group, the following mixture options shall be used; however, the Department may prohibit a mixture option if field performance shows a deleterious alkali-silica reaction or Department testing indicates the mixture may experience a deleterious alkali-silica reaction.

- Group I - Mixture options are not applicable. Use any cement or finely divided mineral.
- Group II - Mixture options 1, 2, 3, 4, or 5 shall be used.
- Group III - Mixture options 1, 2 and 3 combined, 4, or 5 shall be used.
- Group IV - Mixture options 1, 2 and 4 combined, or 5 shall be used.

For Class PP-3 concrete the mixture options are not applicable, and any cement may be used with the specified finely divided minerals.

- a) Mixture Option 1. The coarse or fine aggregates shall be blended to place the material in a group that will allow the selected cement or finely divided mineral to be used.

When a coarse or fine aggregate is blended, the weighted expansion value shall be calculated separately for the coarse and fine aggregate as follows:

$$\text{Weighted Expansion Value} = (a/100 \times A) + (b/100 \times B) + (c/100 \times C) + \dots$$

Where: a, b, c... = percentage of aggregate in the blend;  
A, B, C... = expansion value for that aggregate.

- b) Mixture Option 2. A finely divided mineral shall be used as described in 1), 2), 3), or 4) that follow. The replacement ratio is defined as "finely divided mineral:portland cement".

- 1) Class F Fly Ash. For Class PV, BS, MS, DS, SC, and SI concrete and cement aggregate mixture II (CAM II), Class F fly ash shall replace 15 percent of the portland cement at a minimum replacement ratio of 1.5:1.

- 2) Class C Fly Ash. For Class PV, MS, SC, and SI Concrete, Class C fly ash with 18 percent to less than 26.5 percent calcium oxide content, and less than 2.0 percent loss on ignition, shall replace 20 percent of the portland cement at a minimum replacement ratio of 1:1; or at a minimum replacement ratio of 1.25:1 if the loss on ignition is 2.0 percent or greater. Class C fly ash with less than 18 percent calcium oxide content shall replace 20 percent of the portland cement at a minimum replacement ratio of 1.25:1.

For Class PP-1, RR, BS, and DS concrete and CAM II, Class C fly ash with less than 26.5 percent calcium oxide content shall replace 15 percent of the portland cement at a minimum replacement ratio of 1.5:1.

- 3) Ground Granulated Blast-Furnace Slag. For Class PV, BS, MS, SI, DS, and SC concrete, ground granulated blast-furnace slag shall replace 25 percent of the portland cement at a minimum replacement ratio of 1:1.

For Class PP-1 and RR concrete, ground granulated blast-furnace slag shall replace 15 percent of the portland cement at a minimum replacement ratio of 1.5:1.

For Class PP-2, ground granulated blast-furnace slag shall replace 25 to 30 percent of the portland cement at a minimum replacement ratio of 1:1.

- 4) Microsilica or High Reactivity Metakaolin. Microsilica solids or high reactivity metakaolin shall be added to the mixture at a minimum 25 lb/cu yd (15 kg/cu m) or 27 lb/cu yd (16 kg/cu m) respectively.
- c) Mixture Option 3. The cement used shall have a maximum total equivalent alkali content ( $\text{Na}_2\text{O} + 0.658\text{K}_2\text{O}$ ) of 0.60 percent. When aggregate in Group II is involved, any finely divided mineral may be used with a portland cement.
- d) Mixture Option 4. The cement used shall have a maximum total equivalent alkali content ( $\text{Na}_2\text{O} + 0.658\text{K}_2\text{O}$ ) of 0.45 percent. When aggregate in Group II or III is involved, any finely divided mineral may be used with a portland cement.
- e) Mixture Option 5. The proposed cement or finely divided mineral may be used if the ASTM C 1567 expansion value is  $\leq 0.16$  percent when performed on the aggregate in the concrete mixture with the highest ASTM C 1260 test result. The ASTM C 1567 test will be valid for two years, unless the Engineer determines the materials have changed significantly. For latex concrete, the ASTM C 1567 test shall be performed without the latex. The 0.20 percent autoclave expansion limit in ASTM C 1567 shall not apply.

If during the two year time period the Contractor needs to replace the cement, and the replacement cement has an equal or lower total equivalent alkali content ( $\text{Na}_2\text{O} + 0.658\text{K}_2\text{O}$ ), a new ASTM C 1567 test will not be required.

Testing. If an individual aggregate has an ASTM C 1260 expansion value  $> 0.16$  percent, an ASTM C 1293 test may be performed by the Contractor to evaluate the Department's ASTM C 1260 test result. The ASTM C 1293 test shall be performed with Type I or II cement having a total equivalent alkali content ( $\text{Na}_2\text{O} + 0.658\text{K}_2\text{O}$ ) of 0.80 percent or greater. The interior vertical wall of the ASTM C 1293 recommended container (pail) shall be half covered with a wick of absorbent material consisting of blotting paper. If the testing laboratory desires to use an alternate container or wick of absorbent material, ASTM C 1293 test results with an alkali-reactive aggregate of known expansion characteristics shall be provided to the Engineer for review and approval. If the expansion is less than 0.040 percent after one year, the aggregate will be assigned an ASTM C 1260 expansion value of 0.08 percent that will be valid for two years, unless the Engineer determines the aggregate has changed significantly.

The Engineer reserves the right to verify a Contractor's ASTM C 1293 or 1567 test result. The Engineer will not accept the result if the precision and bias for the test methods are not met.

The laboratory performing the ASTM C 1567 test shall either be accredited by the AASHTO Materials Reference Laboratory (AMRL) for ASTM C 227 under Portland Cement Concrete or Aggregate; or shall be inspected for Hydraulic Cement - Physical Tests by the Cement and Concrete Reference Laboratory (CCRL) and shall be approved by the Department. The laboratory performing the ASTM C 1293 test shall be inspected for Portland Cement Concrete by CCRL and shall be approved by the Department.

**APPROVAL OF PROPOSED BORROW AREAS, USE AREAS, AND/OR WASTE AREAS  
INSIDE ILLINOIS STATE BORDERS (BDE)**

Effective: November 1, 2008

Revise the title of Article 107.22 of the Standard Specifications to read:

**“107.22 Approval of Proposed Borrow Areas, Use Areas, and/or Waste Areas Inside  
Illinois State Borders.”**

Add the following sentence to the end of the first paragraph of Article 107.22 of the Standard Specifications:

“Proposed borrow areas, use areas, and/or waste areas outside of Illinois shall comply with Article 107.01.”

**CEMENT (BDE)**

Effective: January 1, 2007                      Revised: April 1, 2009

Revise Section 1001 of the Standard Specifications to read:

**“SECTION 1001. CEMENT**

**1001.01 Cement Types.** Cement shall be according to the following.

- (a) Portland Cement. Acceptance of portland cement shall be according to the current Bureau of Materials and Physical Research’s Policy Memorandum, “Portland or Blended Cement Acceptance Procedure for Qualified and Non-Qualified Plants”.

Portland cement shall be according to ASTM C 150, and shall meet the standard physical and chemical requirements. Type I or Type II may be used for cast-in-place, precast, and precast prestressed concrete. Type III may be used according to Article 1020.04, or when approved by the Engineer. All other cements referenced in ASTM C 150 may be used when approved by the Engineer.

The total of all organic processing additions shall be a maximum of 1.0 percent by weight (mass) of the cement. The total of all inorganic processing additions shall be a maximum of 4.0 percent by weight (mass) of the cement. However, a cement kiln dust inorganic processing addition shall be limited to a maximum of 1.0 percent. Organic processing additions shall be limited to grinding aids that improve the flowability of cement, reduce pack set, and improve grinding efficiency. Inorganic processing additions shall be limited to granulated blast-furnace slag according to the chemical requirements of AASHTO M 302, Class C fly ash according to the chemical requirements of AASHTO M 295, and cement kiln dust.

- (b) Portland-Pozzolan Cement. Acceptance of portland-pozzolan cement shall be according to the current Bureau of Materials and Physical Research's Policy Memorandum, "Portland or Blended Cement Acceptance Procedure for Qualified and Non-Qualified Plants".

Portland-pozzolan cement shall be according to ASTM C 595 and shall meet the standard physical and chemical requirements. Type IP may be used for cast-in-place, precast, and precast prestressed concrete, except when Class PP concrete is used. The pozzolan constituent for Type IP shall be a maximum of 21 percent of the weight (mass) of the portland-pozzolan cement.

For cast-in-place construction, portland-pozzolan cement shall not be used in concrete mixtures when the air temperature is below 40 °F (4 °C) without permission of the Engineer. If permission is given, the mix design strength requirement may require the Contractor to increase the cement or eliminate the cement factor reduction for a water-reducing or high range water-reducing admixture which is permitted according to Article 1020.05(b).

The total of all organic processing additions shall be a maximum of 1.0 percent by weight (mass) of the cement. Organic processing additions shall be limited to grinding aids as defined in (a) above. Inorganic processing additions shall be limited to cement kiln dust at a maximum of 1.0 percent.

- (c) Portland Blast-Furnace Slag Cement. Acceptance of portland blast-furnace slag cement shall be according to the current Bureau of Materials and Physical Research's Policy Memorandum, "Portland or Blended Cement Acceptance Procedure for Qualified and Non-Qualified Plants".

Portland blast-furnace slag cement shall be according to ASTM C 595 and shall meet the standard physical and chemical requirements. Type IS portland blast-furnace slag cement may be used for cast-in-place, precast, and precast prestressed concrete, except when Class PP concrete is used. The blast-furnace slag constituent for Type IS shall be a maximum of 25 percent of the weight (mass) of the portland blast-furnace slag cement.

For cast-in-place construction, portland blast-furnace slag cement shall not be used in concrete mixtures when the air temperature is below 40 °F (4 °C) without permission of the Engineer. If permission is given, the mix design strength requirement may require the Contractor to increase the cement or eliminate the cement factor reduction for a water-reducing or high range water-reducing admixture which is permitted according to Article 1020.05(b).

The total of all organic processing additions shall be a maximum of 1.0 percent by weight (mass) of the cement. Organic processing additions shall be limited to grinding aids as defined in (a) above. Inorganic processing additions shall be limited to cement kiln dust at a maximum of 1.0 percent.

(d) **Rapid Hardening Cement.** Rapid hardening cement shall be used according to Article 1020.04 or when approved by the Engineer. The cement shall be on the Department's current "Approved List of Packaged, Dry, Rapid Hardening Cementitious Materials for Concrete Repairs", and shall be according to the following.

- (1) The cement shall have a maximum final set of 25 minutes, according to Illinois Modified ASTM C 191.
- (2) The cement shall have a minimum compressive strength of 2000 psi (13,800 kPa) at 3.0 hours, 3200 psi (22,100 kPa) at 6.0 hours, and 4000 psi (27,600 kPa) at 24.0 hours, according to Illinois Modified ASTM C 109.
- (3) The cement shall have a maximum drying shrinkage of 0.050 percent at seven days, according to Illinois Modified ASTM C 596.
- (4) The cement shall have a maximum expansion of 0.020 percent at 14 days, according to Illinois Modified ASTM C 1038.
- (5) The cement shall have a minimum 80 percent relative dynamic modulus of elasticity; and shall not have a weight (mass) gain in excess of 0.15 percent or a weight (mass) loss in excess of 1.0 percent, after 100 cycles, according to AASHTO T 161, Procedure B.

(e) **Calcium Aluminate Cement.** Calcium aluminate cement shall be used only where specified by the Engineer. The cement shall meet the standard physical requirements for Type I cement according to ASTM C 150, except the time of setting shall not apply. The chemical requirements shall be determined according to ASTM C 114 and shall be as follows: minimum 38 percent aluminum oxide ( $Al_2O_3$ ), maximum 42 percent calcium oxide (CaO), maximum 1 percent magnesium oxide (MgO), maximum 0.4 percent sulfur trioxide ( $SO_3$ ), maximum 1 percent loss on ignition, and maximum 3.5 percent insoluble residue.

**1001.02 Uniformity of Color.** Cement contained in single loads or in shipments of several loads to the same project shall not have visible differences in color.

**1001.03 Mixing Brands and Types.** Different brands or different types of cement from the same manufacturing plant, or the same brand or type from different plants shall not be mixed or used alternately in the same item of construction unless approved by the Engineer.

**1001.04 Storage.** Cement shall be stored and protected against damage, such as dampness which may cause partial set or hardened lumps. Different brands or different types of cement from the same manufacturing plant, or the same brand or type from different plants shall be kept separate."

**CONCRETE ADMIXTURES (BDE)**

Effective: January 1, 2003      Revised: April 1, 2009

Replace the first paragraph of Article 1020.05(b) of the Standard Specifications to read:

“(b) Admixtures. The use of admixtures to increase the workability or to accelerate the hardening of the concrete will be permitted when approved by the Engineer. Admixture dosages shall result in the mixture meeting the specified plastic and hardened properties. The Department will maintain an Approved List of Corrosion Inhibitors. Corrosion inhibitor dosage rates shall be according to Article 1020.05(b)(12). The Department will also maintain an Approved List of Concrete Admixtures, and an admixture technical representative shall be consulted when determining an admixture dosage from this list. The dosage shall be within the range indicated on the approved list unless the influence by other admixtures, jobsite conditions (such as a very short haul time), or other circumstances warrant a dosage outside the range. The Engineer shall be notified when a dosage is proposed outside the range. To determine an admixture dosage, air temperature, concrete temperature, cement source and quantity, finely divided mineral sources(s) and quantity, influence of other admixtures, haul time, placement conditions, and other factors as appropriate shall be considered. The Engineer may request the Contractor to have a batch of concrete mixed in the lab or field to verify the admixture dosage is correct. An admixture dosage or combination of admixture dosages shall not delay the initial set of concrete by more than one hour. When a retarding admixture is required or appropriate for a bridge deck or bridge deck overlayer pour, the initial set time shall be delayed until the deflections due to the concrete dead load are no longer a concern for inducing cracks in the completed work. However, a retarding admixture shall not be used to further extend the pour time and justify the alteration of a bridge deck pour sequence.

When determining water in admixtures for water/cement ratio, the Contractor shall calculate 70 percent of the admixture dosage as water, except a value of 50 percent shall be used for a latex admixture used in bridge deck latex concrete overlays.”

Revise Section 1021 of the Standard Specifications to read:

**“SECTION 1021. CONCRETE ADMIXTURES**

**1021.01 General.** Admixtures shall be furnished in liquid form ready for use. The admixtures shall be delivered in the manufacturer's original containers, bulk tank trucks or such containers or tanks as are acceptable to the Engineer. Delivery shall be accompanied by a ticket which clearly identifies the manufacturer and trade name of the material. Containers shall be readily identifiable as to manufacturer and trade name of the material they contain.

Corrosion inhibitors will be maintained on the Department's Approved List of Corrosion Inhibitors. All other concrete admixture products will be maintained on the Department's Approved List of Concrete Admixtures. For the admixture submittal, a report prepared by an independent laboratory accredited by the AASHTO Materials Reference Laboratory (AMRL) for Portland Cement Concrete shall be provided. The report shall show the results of physical tests conducted no more than five years prior to the time of submittal, according to applicable specifications. However, for corrosion inhibitors the ASTM G 109 test information specified in ASTM C 1582 is not required to be from an independent lab. All other information in ASTM C 1582 shall be from an independent lab.

Tests shall be conducted using materials and methods specified on a "test" concrete and a "reference" concrete, together with a certification that no changes have been made in the formulation of the material since the performance of the tests. Per the manufacturer's option, the cement content for all required tests shall either be according to applicable specifications or 5.65 cwt/cu yd (335 kg/cu m). Compressive strength test results for six months and one year will not be required.

Prior to the approval of an admixture, the Engineer reserves the right to request a sample for testing. The test and reference concrete mixtures tested by the Engineer will contain a cement content of 5.65 cwt/cu yd (335 kg/cu m). For freeze-thaw testing, the Department will perform the test according to AASHTO T 161, Procedure B. The flexural strength test will be performed according to AASHTO T 177. If the Engineer decides to test the admixture, the manufacturer shall submit AASHTO T 197 water content and set time test results on the standard cement used by the Department. The test and reference concrete mixture shall contain a cement content of 5.65 cwt/cu yd (335 kg/cu m). The manufacturer may select their lab or an independent lab to perform this testing. The laboratory is not required to be accredited by AASHTO.

The manufacturer shall include in the submittal the following admixture information: the manufacturing range for specific gravity, the midpoint and manufacturing range for residue by oven drying, and the manufacturing range for pH. The submittal shall also include an infrared spectrophotometer trace no more than five years old.

For air-entraining admixtures according to Article 1021.02, the specific gravity allowable manufacturing range shall be established by the manufacturer and the test method shall be according to ASTM C 494. For residue by oven drying and pH, the allowable manufacturing range and test methods shall be according to ASTM C 260.

For admixtures according to Articles 1021.03, 1021.04, 1021.05, 1021.06, and 1021.07, the pH allowable manufacturing range shall be established by the manufacturer and the test method shall be according to ASTM E 70. For specific gravity and residue by oven drying, the allowable manufacturing range and test methods shall be according to ASTM C 494.

When test results are more than seven years old, the manufacturer shall re-submit the infrared spectrophotometer trace and the report prepared by an independent laboratory accredited by AASHTO.



All admixtures, except chloride-based accelerators, shall contain a maximum of 0.3 percent chloride by weight (mass).

Random field samples may be taken by the Department to verify an admixture meets specification. A split sample will be provided to the manufacturer if requested. Admixtures that do not meet specification requirements or an allowable manufacturing range established by the manufacturer shall be replaced with new material.

**1021.02 Air-Entraining Admixtures.** Air-entraining admixtures shall be according to AASHTO M 154.

**1021.03 Retarding and Water-Reducing Admixtures.** The admixture shall be according to the following.

- (a) The retarding admixture shall be according to AASHTO M 194, Type B (retarding) or Type D (water-reducing and retarding).
- (b) The water-reducing admixture shall be according to AASHTO M 194, Type A.
- (c) The high range water-reducing admixture shall be according to AASHTO M 194, Type F (high range water-reducing) or Type G (high range water-reducing and retarding).

**1021.04 Accelerating Admixtures.** The admixture shall be according to AASHTO M 194, Type C (accelerating) or Type E (water reducing and accelerating).

**1021.05 Self-Consolidating Admixtures.** The self-consolidating admixture system shall consist of either a high range water-reducing admixture only or a high range water-reducing admixture combined with a separate viscosity modifying admixture. The one or two component admixture system shall be capable of producing a concrete mixture that can flow around reinforcement and consolidate under its own weight without additional effort and without segregation.

The high range water-reducing admixture shall be according to AASHTO M 194, Type F.

The viscosity modifying admixture shall be according to ASTM C 494, Type S (specific performance).

**1021.06 Rheology-Controlling Admixture.** The rheology-controlling admixture shall be capable of producing a concrete mixture with a lower yield stress that will consolidate easier for slipform applications used by the Contractor. The rheology-controlling admixture shall be according to ASTM C 494, Type S (specific performance).

**1021.07 Corrosion Inhibitor.** The corrosion inhibitor shall be according to one of the following.

- (a) Calcium Nitrite. The corrosion inhibitor shall contain a minimum 30 percent calcium nitrite by weight (mass) of solution, and shall comply with the requirements of AASHTO M 194, Type C (accelerating).

(b) Other Materials. The corrosion inhibitor shall be according to ASTM C 1582.”

## **CONSTRUCTION AIR QUALITY – DIESEL VEHICLE EMISSIONS CONTROL (BDE)**

Effective: April 1, 2009

Diesel Vehicle Emissions Control. The reduction of construction air emissions shall be accomplished by using cleaner burning diesel fuel. The term “equipment” refers to any and all diesel fuel powered devices rated at 50 hp and above, to be used on the project site in excess of seven calendar days over the course of the construction period on the project site (including any “rental” equipment).

All equipment on the jobsite, with engine ratings of 50 hp and above, shall be required to: use Ultra Low Sulfur Diesel fuel (ULSD) exclusively (15 ppm sulfur content or less).

In addition, all construction motor vehicles (both on-road and off-road, gasoline or diesel fuel powered) shall comply with all pertinent State and Federal regulations relative to exhaust emission controls and safety, including opacity. Frequently Asked Questions (FAQ’s) regarding Illinois Environmental Protection Agency (IEPA) emissions testing for gasoline powered vehicles can be accessed at (<http://www.epa.state.il.us/air/vim/faqs.html>) . Regulations regarding diesel powered vehicles over 16,000 lb (7260 kg), and the Diesel Emission Inspection Program (Title 92: Transportation Part 460, Diesel Emission Inspection Program, Subpart A: General) can be accessed at (<http://www.ilga.gov/commission/jcar/admincode/092/09200460sections.html>). Diesel powered vehicles less than 16,000 lb (7260 kg) are exempt from testing by the Department. All diesel powered equipment used on the project site shall be subject to reasonable, random spot checks for compliance with the required emissions controls and proper diesel fuel usage. The Secretary of State, Illinois State Police and other law enforcement officers will enforce Part 460. For additional information concerning Illinois diesel emission inspection requirements, please call the Illinois Department of Transportation, Diesel Emission Inspections Unit, at 217-557-6081.

Diesel powered equipment in non-compliance will not be allowed to be used on the project site, and is also subject to a notice of non-compliance as outlined below.

The Contractor shall submit copies of monthly summary reports and include certified copies of the ULSD diesel fuel delivery slips for diesel fuel delivered to the jobsite for the reporting time period, noting the quantity of diesel fuel used with each piece of diesel powered equipment. The addition or deletion of any diesel powered equipment shall be included in the summary and noted on the monthly report.

If any diesel powered equipment is found to be in non-compliance with any portion of this specification, the Engineer will issue the Contractor a notice of non-compliance and identify an appropriate period of time, as outlined below under environmental deficiency deduction, in which to bring the equipment into compliance or remove it from the project site.

Any costs associated with bringing any diesel powered equipment into compliance with these diesel vehicle emissions controls shall be considered as included in the contract unit prices bid for the various items of work involved and no additional compensation will be allowed. The Contractor's compliance with this notice and any associated regulations shall also not be grounds for a claim.

Environmental Deficiency Deduction. When the Engineer is notified, or determines that an environmental control deficiency exists, he/she will notify the Contractor in writing, and direct the Contractor to correct the deficiency within a specified time period. The specified time-period, which begins upon Contractor notification, will be from 1/2 hour to 24 hours long, based on the urgency of the situation and the nature of the deficiency. The Engineer shall be the sole judge regarding the time period.

The deficiency will be based on lack of repair, maintenance and diesel vehicle emissions control.

If the Contractor fails to correct the deficiency within the specified time frame, a daily monetary deduction will be imposed for each calendar day or fraction thereof the deficiency continues to exist. The calendar day(s) will begin when the time period for correction is exceeded and end with the Engineer's written acceptance of the correction. The daily monetary deduction will be \$1,000.00 for each deficiency identified.

If a Contractor or subcontractor accumulates three environmental deficiency deductions in a contract period, the Contractor will be shutdown until the deficiency is corrected. Such a shutdown will not be grounds for any extension of contract time, waiver of penalties, or be grounds for any claim.

### **CONSTRUCTION AIR QUALITY - IDLING RESTRICTIONS (BDE)**

Effective: April 1, 2009

Idling Restrictions. The Contractor shall establish truck-staging areas for all diesel powered vehicles that are waiting to load or unload material at the jobsite. Staging areas shall be located where the diesel emissions from the equipment will have a minimum impact on adjacent sensitive receptors. The Department will review the selection of staging areas, whether within or outside the existing highway right-of-way, to avoid locations near sensitive areas or populations to the extent possible. Sensitive receptors include, but are not limited to, hospitals, schools, residences, motels, hotels, daycare facilities, elderly housing and convalescent facilities. Diesel powered engines shall also be located as far away as possible from fresh air intakes, air conditioners, and windows. The Engineer will approve staging areas before implementation.

Diesel powered vehicle operators may not cause or allow the motor vehicle, when it is not in motion, to idle for more than a total of 10 minutes within any 60 minute period, except under any of the following circumstances:

- 1) The motor vehicle has a gross vehicle weight rating of less than 8000 lb (3630 kg).
- 2) The motor vehicle idles while forced to remain motionless because of on-highway traffic, an official traffic control device or signal, or at the direction of a law enforcement official.
- 3) The motor vehicle idles when operating defrosters, heaters, air conditioners, or other equipment solely to prevent a safety or health emergency.
- 4) A police, fire, ambulance, public safety, other emergency or law enforcement motor vehicle, or any motor vehicle used in an emergency capacity, idles while in an emergency or training mode and not for the convenience of the vehicle operator.
- 5) The primary propulsion engine idles for maintenance, servicing, repairing, or diagnostic purposes if idling is necessary for such activity.
- 6) A motor vehicle idles as part of a government inspection to verify that all equipment is in good working order, provided idling is required as part of the inspection.
- 7) When idling of the motor vehicle is required to operate auxiliary equipment to accomplish the intended use of the vehicle (such as loading, unloading, mixing, or processing cargo; controlling cargo temperature; construction operations, lumbering operations; oil or gas well servicing; or farming operations), provided that this exemption does not apply when the vehicle is idling solely for cabin comfort or to operate non-essential equipment such as air conditioning, heating, microwave ovens, or televisions.
- 8) When the motor vehicle idles due to mechanical difficulties over which the operator has no control.
- 9) The outdoor temperature is less than 32 °F (0 °C) or greater than 80 °F (26 °C).

When the outdoor temperature is greater than or equal to 32 °F (0 °C) or less than or equal to 80 °F (26 °C), a person who operates a motor vehicle operating on diesel fuel shall not cause or allow the motor vehicle to idle for a period greater than 30 minutes in any 60 minute period while waiting to weigh, load, or unload cargo or freight, unless the vehicle is in a line of vehicles that regularly and periodically moves forward.

The above requirements do not prohibit the operation of an auxiliary power unit or generator set as an alternative to idling the main engine of a motor vehicle operating on diesel fuel.

Environmental Deficiency Deduction. When the Engineer is notified, or determines that an environmental control deficiency exists based on non-compliance with the idling restrictions, he/she will notify the Contractor, and direct the Contractor to correct the deficiency.

If the Contractor fails to correct the deficiency a monetary deduction will be imposed. The monetary deduction will be \$1,000.00 for each deficiency identified.

**DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION (DBE)**

Effective: September 1, 2000

Revised: November 1, 2008

FEDERAL OBLIGATION. The Department of Transportation, as a recipient of federal financial assistance, is required to take all necessary and reasonable steps to ensure nondiscrimination in the award and administration of contracts. Consequently, the federal regulatory provisions of 49 CFR part 26 apply to this contract concerning the utilization of disadvantaged business enterprises. For the purposes of this Special Provision, a disadvantaged business enterprise (DBE) means a business certified by the Department in accordance with the requirements of 49 CFR part 26 and listed in the Illinois Unified Certification Program (IL UCP) DBE Directory or most recent addendum.

STATE OBLIGATION. This Special Provision will also be used by the Department to satisfy the requirements of the Business Enterprise for Minorities, Females, and Persons with Disabilities Act, 30 ILCS 575. When this Special Provision is used to satisfy state law requirements on 100 percent state-funded contracts, the federal government has no involvement in such contracts (not a federal-aid contract) and no responsibility to oversee the implementation of this Special Provision by the Department on those contracts. DBE participation on 100 percent state-funded contracts will not be credited toward fulfilling the Department's annual overall DBE goal required by the US Department of Transportation to comply with the federal DBE program requirements.

CONTRACTOR ASSURANCE. The Contractor makes the following assurance and agrees to include the assurance in each subcontract that the Contractor signs with a subcontractor:

The Contractor, subrecipient, or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The Contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of contracts funded in whole or in part with federal or state funds. Failure by the Contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

OVERALL GOAL SET FOR THE DEPARTMENT. As a requirement of compliance with 49 CFR part 26, the Department has set an overall goal for DBE participation in its federally assisted contracts. That goal applies to all federal-aid funds the Department will expend in its federally assisted contracts for the subject reporting fiscal year. The Department is required to make a good faith effort to achieve the overall goal. The dollar amount paid to all approved DBE companies performing work called for in this contract is eligible to be credited toward fulfillment of the Department's overall goal.

CONTRACT GOAL TO BE ACHIEVED BY THE CONTRACTOR. This contract includes a specific DBE utilization goal established by the Department. The goal has been included because the Department has determined that the work of this contract has subcontracting opportunities that may be suitable for performance by DBE companies. This determination is based on an assessment of the type of work, the location of the work, and the availability of DBE companies to do a part of the work. The assessment indicates that, in the absence of unlawful discrimination, and in an arena of fair and open competition, DBE companies can be expected to perform 10.00% of the work.

This percentage is set as the DBE participation goal for this contract. Consequently, in addition to the other award criteria established for this contract, the Department will award this contract to a bidder who makes a good faith effort to meet this goal of DBE participation in the performance of the work. A bidder makes a good faith effort for award consideration if either of the following is done in accordance with the procedures set forth in this Special Provision:

- (a) The bidder documents that firmly committed DBE participation has been obtained to meet the goal; or
- (b) The bidder documents that a good faith effort has been made to meet the goal, even though the effort did not succeed in obtaining enough DBE participation to meet the goal.

DBE LOCATOR REFERENCES. Bidders may consult the IL UCP DBE Directory as a reference source for DBE-certified companies. In addition, the Department maintains a letting and item specific DBE locator information system whereby DBE companies can register their interest in providing quotes on particular bid items advertised for letting. Information concerning DBE companies willing to quote work for particular contracts may be obtained by contacting the Department's Bureau of Small Business Enterprises at telephone number (217)785-4611, or by visiting the Department's web site at [www.dot.il.gov](http://www.dot.il.gov).

BIDDING PROCEDURES. Compliance with the bidding procedures of this Special Provision is required prior to the award of the contract and the failure of the as-read low bidder to comply will render the bid not responsive.

- (a) In order to assure the timely award of the contract, the as-read low bidder shall submit a Disadvantaged Business Utilization Plan on Department form SBE 2026 within seven working days after the date of letting. To meet the seven day requirement, the bidder may send the Plan by certified mail or delivery service within the seven working day period. If a question arises concerning the mailing date of a Plan, the mailing date will be established by the U.S. Postal Service postmark on the original certified mail receipt from the U.S. Postal Service or the receipt issued by a delivery service. It is the responsibility of the bidder to ensure that the postmark or receipt date is affixed within the seven working days if the bidder intends to rely upon mailing or delivery to satisfy the submission day requirement. The Plan is to be submitted to the Department of Transportation, Bureau of Small Business Enterprises, Contract Compliance Section, 2300 South Dirksen Parkway, Room 319, Springfield, Illinois 62764 (Telefax: (217)785-1524). It is the responsibility of the bidder to obtain confirmation of telefax delivery. The Department will not accept a Utilization Plan if it does not meet the seven day submittal requirement and the bid will be declared not responsive. In the event the bid is declared not responsive due to a failure to submit a Plan or failure to comply with the bidding procedures set forth herein, the Department may elect to cause the forfeiture of the penal sum of the bidder's proposal guaranty, and may deny authorization to bid the project if re-advertised for bids. The Department reserves the right to invite any other bidder to submit a Utilization Plan at any time for award consideration or to extend the time for award.

- (b) The Utilization Plan shall indicate that the bidder either has obtained sufficient DBE participation commitments to meet the contract goal or has not obtained enough DBE participation commitments in spite of a good faith effort to meet the goal. The Utilization Plan shall further provide the name, telephone number, and telefax number of a responsible official of the bidder designated for purposes of notification of plan approval or disapproval under the procedures of this Special Provision.
- (c) The Utilization Plan shall include a DBE Participation Commitment Statement, Department form SBE 2025, for each DBE proposed for the performance of work to achieve the contract goal. The signatures on these forms must be original signatures. All elements of information indicated on the said form shall be provided, including but not limited to the following:
- (1) The name and address of each DBE to be used;
  - (2) A description, including pay item numbers, of the commercially useful work to be done by each DBE;
  - (3) The price to be paid to each DBE for the identified work specifically stating the quantity, unit price, and total subcontract price for the work to be completed by the DBE. If partial pay items are to be performed by the DBE, indicate the portion of each item, a unit price where appropriate and the subcontract price amount;
  - (4) A commitment statement signed by the bidder and each DBE evidencing availability and intent to perform commercially useful work on the project; and
  - (5) If the bidder is a joint venture comprised of DBE companies and non-DBE companies, the plan must also include a clear identification of the portion of the work to be performed by the DBE partner(s).
- (d) The contract will not be awarded until the Utilization Plan submitted by the bidder is approved. The Utilization Plan will be approved by the Department if the Plan commits sufficient commercially useful DBE work performance to meet the contract goal. The Utilization Plan will not be approved by the Department if the Plan does not commit sufficient DBE performance to meet the contract goal unless the bidder documents that it made a good faith effort to meet the goal. The good faith procedures of Section VIII of this special provision apply. If the Utilization Plan is not approved because it is deficient in a technical matter, unless waived by the Department, the bidder will be notified and will be allowed no less than a five working day period in order to cure the deficiency.

CALCULATING DBE PARTICIPATION. The Utilization Plan values represent work anticipated to be performed and paid for upon satisfactory completion. The Department is only able to count toward the achievement of the overall goal and the contract goal the value of payments made for the work actually performed by DBE companies. In addition, a DBE must perform a commercially useful function on the contract to be counted. A commercially useful function is generally performed when the DBE is responsible for the work and is carrying out its responsibilities by actually performing, managing, and supervising the work involved.

The Department and Contractor are governed by the provisions of 49 CFR part 26.55(c) on questions of commercially useful functions as it affects the work. Specific counting guidelines are provided in 49 CFR part 26.55, the provisions of which govern over the summary contained herein.

- (a) DBE as the Contractor: 100 percent goal credit for that portion of the work performed by the DBE's own forces, including the cost of materials and supplies. Work that a DBE subcontracts to a non-DBE does not count toward the DBE goals.
- (b) DBE as a joint venture Contractor: 100 percent goal credit for that portion of the total dollar value of the contract equal to the distinct, clearly defined portion of the work performed by the DBE's own forces.
- (c) DBE as a subcontractor: 100 percent goal credit for the work of the subcontract performed by the DBE's own forces, including the cost of materials and supplies, excluding the purchase of materials and supplies or the lease of equipment by the DBE subcontractor from the prime Contractor or its affiliates. Work that a DBE subcontractor in turn subcontracts to a non-DBE does not count toward the DBE goal.
- (d) DBE as a trucker: 100 percent goal credit for trucking participation provided the DBE is responsible for the management and supervision of the entire trucking operation for which it is responsible. At least one truck owned, operated, licensed, and insured by the DBE must be used on the contract. Credit will be given for the full value of all such DBE trucks operated using DBE employed drivers. Goal credit will be limited to the value of the reasonable fee or commission received by the DBE if trucks are leased from a non-DBE company.
- (e) DBE as a material supplier:
  - (1) 60 percent goal credit for the cost of the materials or supplies purchased from a DBE regular dealer.
  - (2) 100 percent goal credit for the cost of materials or supplies obtained from a DBE manufacturer.
  - (3) 100 percent credit for the value of reasonable fees and commissions for the procurement of materials and supplies if not a regular dealer or manufacturer.

GOOD FAITH EFFORT PROCEDURES. If the bidder cannot obtain sufficient DBE commitments to meet the contract goal, the bidder must document in the Utilization Plan the good faith efforts made in the attempt to meet the goal. This means that the bidder must show that all necessary and reasonable steps were taken to achieve the contract goal. Necessary and reasonable steps are those which could reasonably be expected to obtain sufficient DBE participation. The Department will consider the quality, quantity, and intensity of the kinds of efforts that the bidder has made. Mere *pro forma* efforts are not good faith efforts; rather, the bidder is expected to have taken those efforts that would be reasonably expected of a bidder actively and aggressively trying to obtain DBE participation sufficient to meet the contract goal.



- (a) The following is a list of types of action that the Department will consider as part of the evaluation of the bidder's good faith efforts to obtain participation. These listed factors are not intended to be a mandatory checklist and are not intended to be exhaustive. Other factors or efforts brought to the attention of the Department may be relevant in appropriate cases, and will be considered by the Department.
- (1) Soliciting through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising and/or written notices) the interest of all certified DBE companies that have the capability to perform the work of the contract. The bidder must solicit this interest within sufficient time to allow the DBE companies to respond to the solicitation. The bidder must determine with certainty if the DBE companies are interested by taking appropriate steps to follow up initial solicitations.
  - (2) Selecting portions of the work to be performed by DBE companies in order to increase the likelihood that the DBE goals will be achieved. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime Contractor might otherwise prefer to perform these work items with its own forces.
  - (3) Providing interested DBE companies with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.
  - (4) a. Negotiating in good faith with interested DBE companies. It is the bidder's responsibility to make a portion of the work available to DBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available DBE subcontractors and suppliers, so as to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBE companies that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBE companies to perform the work.
    - b. A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including DBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using DBE companies is not in itself sufficient reason for a bidder's failure to meet the contract DBE goal, as long as such costs are reasonable. Also, the ability or desire of a bidder to perform the work of a contract with its own organization does not relieve the bidder of the responsibility to make good faith efforts. Bidders are not, however, required to accept higher quotes from DBE companies if the price difference is excessive or unreasonable.

- (5) Not rejecting DBE companies as being unqualified without sound reasons based on a thorough investigation of their capabilities. The bidder's standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations (for example union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the bidder's efforts to meet the project goal.
  - (6) Making efforts to assist interested DBE companies in obtaining bonding, lines of credit, or insurance as required by the recipient or Contractor.
  - (7) Making efforts to assist interested DBE companies in obtaining necessary equipment, supplies, materials, or related assistance or services.
  - (8) Effectively using the services of available minority/women community organizations; minority/women contractors' groups; local, state, and federal minority/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBE companies.
- (b) If the Department determines that the bidder has made a good faith effort to secure the work commitment of DBE companies to meet the contract goal, the Department will award the contract provided that it is otherwise eligible for award. If the Department determines that a good faith effort has not been made, the Department will notify the bidder of that preliminary determination by contacting the responsible company official designated in the Utilization Plan. The preliminary determination shall include a statement of reasons why good faith efforts have not been found, and may include additional good faith efforts that the bidder could take. The notification will designate a five working day period during which the bidder shall take additional efforts. The bidder is not limited by a statement of additional efforts, but may take other action beyond any stated additional efforts in order to obtain additional DBE commitments. The bidder shall submit an amended Utilization Plan if additional DBE commitments to meet the contract goal are secured. If additional DBE commitments sufficient to meet the contract goal are not secured, the bidder shall report the final good faith efforts made in the time allotted. All additional efforts taken by the bidder will be considered as part of the bidder's good faith efforts. If the bidder is not able to meet the goal after taking additional efforts, the Department will make a pre-final determination of the good faith efforts of the bidder and will notify the designated responsible company official of the reasons for an adverse determination.
- (c) The bidder may request administrative reconsideration of a pre-final determination adverse to the bidder within the five working days after the notification date of the determination by delivering the request to the Department of Transportation, Bureau of Small Business Enterprises, Contract Compliance Section, 2300 South Dirksen Parkway, Room 319, Springfield, Illinois 62764 (Telefax: (217)785-1524). Deposit of the request in the United States mail on or before the fifth business day shall not be deemed delivery. The pre-final determination shall become final if a request is not made and delivered. A request may provide additional written documentation and/or argument concerning the issue of whether an adequate good faith effort was made to meet the contract goal. In addition, the request shall be considered a consent by the bidder to extend the time for award.

The request will be forwarded to the Department's Reconsideration Officer. The Reconsideration Officer will extend an opportunity to the bidder to meet in person in order to consider all issues of whether the bidder made a good faith effort to meet the goal. After the review by the Reconsideration Officer, the bidder will be sent a written decision within ten working days after receipt of the request for reconsideration, explaining the basis for finding that the bidder did or did not meet the goal or make adequate good faith efforts to do so. A final decision by the Reconsideration Officer that a good faith effort was made shall approve the Utilization Plan submitted by the bidder and shall clear the contract for award. A final decision that a good faith effort was not made shall render the bid not responsive.

CONTRACT COMPLIANCE. Compliance with this Special Provision is an essential part of the contract. The Department is prohibited by federal regulations from crediting the participation of a DBE included in the Utilization Plan toward either the contract goal or the Department's overall goal until the amount to be applied toward the goals has been paid to the DBE. The following administrative procedures and remedies govern the compliance by the Contractor with the contractual obligations established by the Utilization Plan. After approval of the Plan and award of the contract, the Utilization Plan and individual DBE Participation Statements become part of the contract. If the Contractor did not succeed in obtaining enough DBE participation to achieve the advertised contract goal, and the Utilization Plan was approved and contract awarded based upon a determination of good faith, the total dollar value of DBE work calculated in the approved Utilization Plan as a percentage of the awarded contract value shall become the amended contract goal.

- (a) No amendment to the Utilization Plan may be made without prior written approval from the Department's Bureau of Small Business Enterprises. All requests for amendment to the Utilization Plan shall be submitted to the Department of Transportation, Bureau of Small Business Enterprises, Contract Compliance Section, 2300 South Dirksen Parkway, Room 319, Springfield, Illinois 62764. Telephone number (217) 785-4611. Telefax number (217) 785-1524.
- (b) All work indicated for performance by an approved DBE shall be performed, managed, and supervised by the DBE executing the Participation Statement. The Contractor shall not terminate for convenience a DBE listed in the Utilization Plan and then perform the work of the terminated DBE with its own forces, those of an affiliate or those of another subcontractor, whether DBE or not, without first obtaining the written consent of the Bureau of Small Business Enterprises to amend the Utilization Plan. If a DBE listed in the Utilization Plan is terminated for reasons other than convenience, or fails to complete its work on the contract for any reason, the Contractor shall make good faith efforts to find another DBE to substitute for the terminated DBE. The good faith efforts shall be directed at finding another DBE to perform at least the same amount of work under the contract as the DBE that was terminated, but only to the extent needed to meet the contract goal or the amended contract goal. The Contractor shall notify the Bureau of Small Business Enterprises of any termination for reasons other than convenience, and shall obtain approval for inclusion of the substitute DBE in the Utilization Plan. If good faith efforts following a termination of a DBE for cause are not successful, the Contractor shall contact the Bureau of Small Business Enterprises and provide a full accounting of the efforts undertaken to obtain substitute DBE participation.

The Bureau of Small Business Enterprises will evaluate the good faith efforts in light of all circumstances surrounding the performance status of the contract, and determine whether the contract goal should be amended.

- (c) The Contractor shall maintain a record of payments for work performed to the DBE participants. The records shall be made available to the Department for inspection upon request. After the performance of the final item of work or delivery of material by a DBE and final payment therefore to the DBE by the Contractor, but not later than thirty calendar days after payment has been made by the Department to the Contractor for such work or material, the Contractor shall submit a DBE Payment Agreement on Department form SBE 2115 to the Regional Engineer. If full and final payment has not been made to the DBE, the DBE Payment Agreement shall indicate whether a disagreement as to the payment required exists between the Contractor and the DBE or if the Contractor believes that the work has not been satisfactorily completed. If the Contractor does not have the full amount of work indicated in the Utilization Plan performed by the DBE companies indicated in the Plan, the Department will deduct from contract payments to the Contractor the amount of the goal not achieved as liquidated and ascertained damages.
- (d) The Department reserves the right to withhold payment to the Contractor to enforce the provisions of this Special Provision. Final payment shall not be made on the contract until such time as the Contractor submits sufficient documentation demonstrating achievement of the goal in accordance with this Special Provision or after liquidated damages have been determined and collected.
- (e) Notwithstanding any other provision of the contract, including but not limited to Article 109.09 of the Standard Specifications, the Contractor may request administrative reconsideration of a decision to deduct the amount of the goal not achieved as liquidated damages. A request to reconsider shall be delivered to the Contract Compliance Section and shall be handled and considered in the same manner as set forth in paragraph (c) of "Good Faith Effort Procedures" of this Special Provision, except a final decision that a good faith effort was not made during contract performance to achieve the goal agreed to in the Utilization Plan shall be the final administrative decision of the Department.

#### **ENGINEER'S FIELD OFFICE TYPE A (BDE)**

Effective: April 1, 2007      Revised: August 1, 2008

Revise Article 670.02 of the Standard Specifications to read:

**"670.02 Engineer's Field Office Type A.** Type A field offices shall have a minimum ceiling height of 7 ft (2 m) and a minimum floor space 450 sq ft (42 sq m). The office shall be provided with sufficient heat, natural and artificial light, and air conditioning.

The office shall have an electronic security system that will respond to any breach of exterior doors and windows. Doors and windows shall be equipped with locks. Doors shall also be equipped with dead bolt locks or other secondary locking device.

Windows shall be equipped with exterior screens to allow adequate ventilation. All windows shall be equipped with interior shades, curtains, or blinds. Adequate all-weather parking space shall be available to accommodate a minimum of ten vehicles.

Suitable on-site sanitary facilities meeting Federal, State, and local health department requirements shall be provided, maintained clean and in good working condition, and shall be stocked with lavatory and sanitary supplies at all times.

Sanitary facilities shall include hot and cold potable running water, lavatory and toilet as an integral part of the office where available. Solid waste disposal consisting of two waste baskets and an outside trash container of sufficient size to accommodate a weekly provided pick-up service.

In addition, the following furniture and equipment shall be furnished.

- (a) Four desks with minimum working surface 42 x 30 in. (1.1 m x 750 mm) each and five non-folding chairs with upholstered seats and backs.
- (b) One desk with minimum working surface 48 x 72 in. (1.2 x 1.8 m) with height adjustment of 23 to 30 in. (585 to 750 mm).
- (c) One four-post drafting table with minimum top size of 37 1/2 x 48 in. (950 mm x 1.2 m). The top shall be basswood or equivalent and capable of being tilted through an angle of 50 degrees. An adjustable height drafting stool with upholstered seat and back shall also be provided.
- (d) Two free standing four drawer legal size file cabinet with lock and an underwriters' laboratories insulated file device 350 degrees one hour rating.
- (e) One 6 ft (1.8 m) folding table with six folding chairs.
- (f) One equipment cabinet of minimum inside dimension of 44 in. (1100 mm) high x 24 in. (600 mm) wide x 30 in. (750 mm) deep with lock. The walls shall be of steel with a 3/32 in. (2 mm) minimum thickness with concealed hinges and enclosed lock constructed in such a manner as to prevent entry by force. The cabinet assembly shall be permanently attached to a structural element of the field office in a manner to prevent theft of the entire cabinet.
- (g) One refrigerator with a minimum size of 16 cu ft (0.45 cu m) with a freezer unit.
- (h) One electric desk type tape printing calculator.
- (i) A minimum of two communication paths. The configuration shall include:
  - (1) Internet Connection. An internet service connection using telephone DSL, cable broadband, or CDMA wireless technology. Additionally, an 802.11g/N wireless router shall be provided, which will allow connection by the Engineer and up to four Department staff.

(2) Telephone Lines. Three separate telephone lines.

- (j) One plain paper copy machine capable of reproducing prints up to 11 x 17 in. (280 x 432 mm) with an automatic feed tray capable of storing 30 sheets of paper. Letter size and 11 x 17 in. (280 x 432 mm) paper shall be provided.
- (k) One plain paper fax machine with paper.
- (l) Two telephones, with touch tone, where available, and a digital telephone answering machine, for exclusive use by the Engineer.
- (m) One electric water cooler dispenser.
- (n) One first-aid cabinet fully equipped.
- (o) One microwave oven, 1 cu ft (0.03 cu m) minimum capacity.
- (p) One fire-proof safe, 0.5 cu ft (0.01 cu m) minimum capacity.
- (q) One electric paper shredder.
- (r) One post mounted rain gauge, located on the project site for each 5 miles (8 km) of project length.”

Revise the first sentence of the first paragraph of Article 670.07 of the Standard Specifications to read:

“The building or buildings fully equipped as specified will be paid for on a monthly basis until the building or buildings are released by the Engineer.”

Revise the last sentence of the first paragraph of Article 670.07 of the Standard Specifications to read:

“This price shall include all utility costs and shall reflect the salvage value of the building or buildings, equipment, and furniture which become the property of the Contractor after release by the Engineer, except that the Department will pay that portion of the monthly long distance telephone bills that, when combined, exceed \$150.”

#### **EQUIPMENT RENTAL RATES (BDE)**

Effective: August 2, 2007

Revised: January 2, 2008

Replace the second and third paragraphs of Article 105.07(b)(4)a. of the Standard Specifications with the following:

“Equipment idled which cannot be used on other work, and which is authorized to standby on the project site by the Engineer, will be paid for according to Article 109.04(b)(4).”

Replace Article 109.04(b)(4) of the Standard Specifications with the following:

“(4) Equipment. Equipment used for extra work shall be authorized by the Engineer. The equipment shall be specifically described, be of suitable size and capacity for the work to be performed, and be in good operating condition. For such equipment, the Contractor will be paid as follows.

- a. Contractor Owned Equipment. Contractor owned equipment will be paid for by the hour using the applicable FHWA hourly rate from the “Equipment Watch Rental Rate Blue Book” (Blue Book) in effect when the force account work begins. The FHWA hourly rate is calculated as follows.

$$\text{FHWA hourly rate} = (\text{monthly rate}/176) \times (\text{model year adj.}) \times (\text{Illinois adj.}) + \text{EOC}$$

Where: EOC = Estimated Operating Costs per hour (from the Blue Book)

The time allowed will be the actual time the equipment is operating on the extra work. For the time required to move the equipment to and from the site of the extra work and any authorized idle (standby) time, payment will be made at the following hourly rate:  $0.5 \times (\text{FHWA hourly rate} - \text{EOC})$ .

All time allowed shall fall within the working hours authorized for the extra work.

The rates above include the cost of fuel, oil, lubrication, supplies, small tools, necessary attachments, repairs, overhaul and maintenance of any kind, depreciation, storage, overhead, profits, insurance, and all incidentals. The rates do not include labor.

The Contractor shall submit to the Engineer sufficient information for each piece of equipment and its attachments to enable the Engineer to determine the proper equipment category. If a rate is not established in the Blue Book for a particular piece of equipment, the Engineer will establish a rate for that piece of equipment that is consistent with its cost and use in the industry.

- b. Rented Equipment. Whenever it is necessary for the Contractor to rent equipment to perform extra work, the rental and transportation costs of the equipment plus five percent for overhead will be paid. In no case shall the rental rates exceed those of established distributors or equipment rental agencies.

All prices shall be agreed to in writing before the equipment is used.”

**HOT-MIX ASPHALT - FIELD VOIDS IN THE MINERAL AGGREGATE (BDE)**

Effective: April 1, 2007      Revised: April 1, 2008

Add the following to the table in Article 1030.05(d)(2)a. of the Standard Specifications:

"Parameter	Frequency of Tests	Frequency of Tests	Test Method See Manual of Test Procedures for Materials
	High ESAL Mixture Low ESAL Mixture	All Other Mixtures	
VMA  Note 5.	Day's production ≥ 1200 tons:  1 per half day of production	N/A	Illinois-Modified AASHTO R 35
	Day's production < 1200 tons:  1 per half day of production for first 2 days and 1 per day thereafter (first sample of the day)		

Note 5. The  $G_{sb}$  used in the voids in the mineral aggregate (VMA) calculation shall be the same average  $G_{sb}$  value listed in the mix design."

Add the following to the Control Limits table in Article 1030.05(d)(4) of the Standard Specifications:

"CONTROL LIMITS			
Parameter	High ESAL Low ESAL	High ESAL Low ESAL	All Other
	Individual Test	Moving Avg. of 4	Individual Test
VMA	-0.7 % <sup>2/</sup>	-0.5 % <sup>2/</sup>	N/A

2/ Allowable limit below minimum design VMA requirement"

Add the following to the table in Article 1030.05(d)(5) of the Standard Specifications:

"CONTROL CHART REQUIREMENTS	High ESAL Low ESAL	All Other
	VMA"	

Revise the heading of Article 1030.05(d)(6)a.1. of the Standard Specifications to read:

"1. Voids, VMA, and Asphalt Binder Content."

Revise the first sentence of the first paragraph of Article 1030.05(d)(6)a.1.(a.) of the Standard Specifications to read:

"If the retest for voids, VMA, or asphalt binder content exceeds control limits, HMA production shall cease and immediate corrective action shall be instituted by the Contractor."

Revise the table in Article 1030.05(e) of the Standard Specifications to read:



"Test Parameter	Acceptable Limits of Precision
% Passing: <sup>1/</sup>	
1/2 in. (12.5 mm)	5.0 %
No. 4 (4.75 mm)	5.0 %
No. 8 (2.36 mm)	3.0 %
No. 30 (600 μm)	2.0 %
Total Dust Content No. 200 (75 μm) <sup>1/</sup>	2.2 %
Asphalt Binder Content	0.3 %
Maximum Specific Gravity of Mixture	0.026
Bulk Specific Gravity	0.030
VMA	1.4 %
Density (% Compaction)	1.0 % (Correlated)

1/ Based on washed ignition."

**HOT-MIX ASPHALT – PLANT TEST FREQUENCY (BDE)**

Effective: April 1, 2008

Revise the table in Article 1030.05(d)(2)a. of the Standard Specifications to read:

"Parameter	Frequency of Tests		Test Method See Manual of Test Procedures for Materials
	High ESAL Mixture Low ESAL Mixture	All Other Mixtures	
Aggregate Gradation  Hot bins for batch and continuous plants.  Individual cold-feed or combined belt-feed for drier drum plants.  % passing sieves: 1/2 in. (12.5 mm), No. 4 (4.75 mm), No. 8 (2.36 mm), No. 30 (600 μm) No. 200 (75 μm)  Note 1.	1 dry gradation per day of production (either morning or afternoon sample).  and 1 washed ignition oven test on the mix per day of production (conduct in the afternoon if dry gradation is conducted in the morning or vice versa).  Note 3.  Note 4.	1 gradation per day of production.  The first day of production shall be a washed ignition oven test on the mix. Thereafter, the testing shall alternate between dry gradation and washed ignition oven test on the mix.  Note 4.	Illinois Procedure

Asphalt Binder Content by Ignition Oven  Note 2.	1 per half day of production	1 per day	Illinois-Modified AASHTO T 308
Air Voids  Bulk Specific Gravity of Gyrotory Sample	Day's production ≥ 1200 tons:  1 per half day of production	1 per day	Illinois-Modified AASHTO T 312
	Day's production < 1200 tons:  1 per half day of production for first 2 days and 1 per day thereafter (first sample of the day)		
Maximum Specific Gravity of Mixture	Day's production ≥ 1200 tons:  1 per half day of production	1 per day	Illinois-Modified AASHTO T 209"
	Day's production < 1200 tons:  1 per half day of production for first 2 days and 1 per day thereafter (first sample of the day)		

**HOT-MIX ASPHALT – TRANSPORTATION (BDE)**

Effective: April 1, 2008

Revise Article 1030.08 of the Standard Specifications to read:

**“1030.08 Transportation.** Vehicles used in transporting HMA shall have clean and tight beds. The beds shall be sprayed with asphalt release agents from the Department’s approved list. In lieu of a release agent, the Contractor may use a light spray of water with a light scatter of manufactured sand (FA 20 or FA 21) evenly distributed over the bed of the vehicle. After spraying, the bed of the vehicle shall be in a completely raised position and it shall remain in this position until all excess asphalt release agent or water has been drained.

When the air temperature is below 60 °F (15 °C), the bed, including the end, endgate, sides and bottom shall be insulated with fiberboard, plywood or other approved insulating material and shall have a thickness of not less than 3/4 in (20 mm). When the insulation is placed inside the bed, the insulation shall be covered with sheet steel approved by the Engineer. Each vehicle shall be equipped with a cover of canvas or other suitable material meeting the approval of the Engineer which shall be used if any one of the following conditions is present.

- (a) Ambient air temperature is below 60 °F (15 °C).

(b) The weather is inclement.

(c) The temperature of the HMA immediately behind the paver screed is below 250 °F (120 °C).

The cover shall extend down over the sides and ends of the bed for a distance of approximately 12 in. (300 mm) and shall be fastened securely. The covering shall be rolled back before the load is dumped into the finishing machine.”

**HOT-MIX ASPHALT MIXTURE IL-9.5L (BDE)**

Effective: January 1, 2008

Revise the table entry for C Surface Mixture in Article 1004.03(a) of the Standard Specifications to read:

“Use	Mixture	Aggregates Allowed
HMA High ESAL Low ESAL	C Surface IL-12.5, IL-9.5, or IL-9.5L	Crushed Gravel Crushed Stone Crushed Sandstone Crushed Slag (ACBF) Crushed Steel Slag (except when used as leveling binder)”

Revise the second sentence of the first paragraph of Article 1004.03(b) of the Standard Specifications to read:

“For Class A (seal or cover coat), and other binder courses, the coarse aggregate shall be Class C quality or better.”

Revise the table in Article 1030.04(b)(2) of the Standard Specifications to read:

“VOLUMETRIC REQUIREMENTS Low ESAL				
Mixture Composition	Design Compactive Effort	Design Air Voids Target %	VMA (Voids in the Mineral Aggregate), % min.	VFA (Voids Filled with Asphalt Binder), %
IL-9.5L	N <sub>DES</sub> =30	4.0	15.0	65-78
IL-19.0L	N <sub>DES</sub> =30	4.0	13.0	N/A”

**IMPACT ATTENUATORS, TEMPORARY (BDE)**

Effective: November 1, 2003      Revised: January 1, 2007

Description. This work shall consist of furnishing, installing, maintaining, and removing temporary impact attenuators of the category and test level specified.

**Materials.** Materials shall meet the requirements of the impact attenuator manufacturer and the following:

Item	Article/Section
(a) Fine Aggregate (Note 1)	003.01
(b) Steel Posts, Structural Shapes, and Plates	1006.04
(c) Rail Elements, End Section Plates, and Splice Plates	1006.25
(d) Bolts, Nuts, Washers and Hardware	1006.25
(e) Hollow Structural Tubing	1006.27(b)
(f) Wood Posts and Wood Blockouts	1007.01, 1007.02, 1007.06
(g) Preservative Treatment	1007.12
(h) Packaged Rapid Hardening Mortar	1018.01

Note 1. Fine aggregate shall be FA 1 or FA 2, Class A quality. The sand shall be unbagged and shall have a maximum moisture content of five percent.

### CONSTRUCTION REQUIREMENTS

**General.** Impact Attenuators shall meet the testing criteria contained in National Cooperative Highway Research Program (NCHRP) Report 350 for the test level specified and shall be on the Department's approved list.

**Installation.** Regrading of slopes or approaches for the installation shall be as shown on the plans.

Attenuator bases, when required by the manufacturer, shall be constructed on a prepared subgrade according to the manufacturer's specifications. The surface of the base shall be slightly sloped or crowned to facilitate drainage.

Impact attenuators shall be installed according to the manufacturer's specifications and include all necessary transitions between the impact attenuator and the item to which it is attached.

When water filled attenuators are used between November 1 and April 15, they shall contain anti-freeze according to the manufacturer's recommendations.

**Markings.** Sand module impact attenuators shall be striped with alternating reflectorized Type AA or Type AP fluorescent orange and reflectorized white horizontal, circumferential stripes. There shall be at least two of each stripe on each module.

Other types of impact attenuators shall have a terminal marker applied to their nose and reflectors along their sides.

**Maintenance.** All maintenance of the impact attenuators shall be the responsibility of the Contractor until removal is directed by the Engineer.

**Relocate.** When relocation of temporary impact attenuators is specified, they shall be removed, relocated and reinstalled at the new location. The reinstallation requirements shall be the same as those for a new installation.

Removal. When the Engineer determines the temporary impact attenuators are no longer required, the installation shall be dismantled with all hardware becoming the property of the Contractor.

Surplus material shall be disposed of according to Article 202.03. Anti-freeze, when present, shall be disposed of/recycled according to local ordinances.

When impact attenuators have been anchored to the pavement, the anchor holes shall be repaired with rapid set mortar. Only enough water to permit placement and consolidation by rodding shall be used and the material shall be struck-off flush.

Method of Measurement. This work will be measured for payment as each, where each is defined as one complete installation.

Basis of Payment. This work will be paid for at the contract unit price per each for IMPACT ATTENUATORS, TEMPORARY (FULLY REDIRECTIVE, NARROW); IMPACT ATTENUATORS, TEMPORARY (FULLY REDIRECTIVE, WIDE); IMPACT ATTENUATORS, TEMPORARY (FULLY REDIRECTIVE, RESETTABLE); IMPACT ATTENUATORS, TEMPORARY (SEVERE USE, NARROW); IMPACT ATTENUATORS, TEMPORARY (SEVERE USE, WIDE); or IMPACT ATTENUATORS, TEMPORARY (NON-REDIRECTIVE) of the test level specified.

Relocation of the devices will be paid for at the contract unit price per each for IMPACT ATTENUATORS, RELOCATE (FULLY REDIRECTIVE); IMPACT ATTENUATORS, RELOCATE (SEVERE USE); or IMPACT ATTENUATORS, RELOCATE (NON-REDIRECTIVE); of the test level specified.

Regrading of slopes or approaches will be paid for according to Section 202 and/or Section 204 of the Standard Specifications.

**LIQUIDATED DAMAGES (BDE)**

Effective: April 1, 2009

Revise the table in Article 108.09 of the Standard Specifications to read:

"Schedule of Deductions for Each Day of Overrun in Contract Time			
Original Contract Amount		Daily Charges	
From More Than	To and Including	Calendar Day	Work Day
\$ 0	\$ 100,000	\$ 375	\$ 500
100,000	500,000	625	875
500,000	1,000,000	1,025	1,425
1,000,000	3,000,000	1,125	1,550
3,000,000	5,000,000	1,425	1,950
5,000,000	10,000,000	1,700	2,350

10,000,000	And over	3,325	4,650"
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**MAST ARM ASSEMBLY AND POLE (BDE)**

Effective: January 1, 2008      Revised: January 1, 2009

Revise Article 1077.03 of the Standard Specifications to read:

**"1077.03 Mast Arm Assembly and Pole.** Mast arm assembly and pole shall be as follows.

(a) Steel Mast Arm Assembly and Pole and Steel Combination Mast Arm Assembly and Pole. The steel mast arm assembly and pole and steel combination mast arm assembly and pole shall consist of a traffic signal mast arm, a luminaire mast arm or davit (for combination pole only), a pole, and a base, together with anchor rods and other appurtenances. The configuration of the mast arm assembly, pole, and base shall be according to the details shown on the plans.

(1) Loading. The mast arm assembly and pole, and combination mast arm assembly and pole shall be designed for the loading shown on the Highway Standards or elsewhere on the plans, whichever is greater. The design shall be according to AASHTO "Standard Specification for Structural Supports for Highway Signs, Luminaries and Traffic Signals" 1994 Edition for 80 mph (130 km/hr) wind velocity. However, the arm-to-pole connection for tapered signal and luminaire arms shall be according to the "ring plate" detail as shown in Figure 11-1(f) of the 2002 Interim, to the AASHTO "Standard Specification for Structural Supports for Highway Signs, Luminaries and Traffic Signals" 2001 4<sup>th</sup> Edition.

(2) Structural Steel Grade. The mast arm and pole shall be fabricated according to ASTM A 595, Grade A or B, ASTM A 572 Grade 55, or ASTM A 1011 Grade 55 HSLAS Class 2. The base and flange plates shall be of structural steel according to AASHTO M 270 Grade 50 (M 270M Grade 345). Luminaire arms and trussed arms 15 ft (4.5 m) or less shall be fabricated from one steel pipe or tube size according to ASTM A 53 Grade B or ASTM A 500 Grade B or C. All mast arm assemblies, poles, and bases shall be galvanized according to AASHTO M 111.

(3) Fabrication. The design and fabrication of the mast arm assembly, pole, and base shall be according to the requirements of the Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals published by AASHTO. The mast arm and pole may be of single length or sectional design. If section design is used, the overlap shall be at least 150 percent of the maximum diameter of the overlapping section and shall be assembled in the factory.

The manufacturer will be allowed to slot the base plate in which other bolt circles may fit, providing that these slots do not offset the integrity of the pole. Circumferential welds of tapered arms and poles to base plates shall be full penetration welds.

(4) Shop Drawing Approval. The Contractor shall submit detailed drawings showing design materials, thickness of sections, weld sizes, and anchor rods to the Engineer for approval prior to fabrication. These drawings shall be at least 11 x 17 in. (275 x 425 mm) in size and of adequate quality for microfilming.

(b) Anchor Rods. The anchor rods shall be ASTM F 1554 Grade 105, coated by the hot-dip galvanizing process according to AASHTO M 232, and shall be threaded a minimum of 7 1/2 in. (185 mm) at one end and have a bend at the other end. The first 10 in. (250 mm) at the threaded end shall be galvanized. Two nuts, one lock washer, and one flat washer shall be furnished with each anchor rod. All nuts and washers shall be galvanized.”

**METAL HARDWARE CAST INTO CONCRETE (BDE)**

Effective: April 1, 2008      Revised: April 1, 2009

Add the following to Article 503.02 of the Standard Specifications:

“(g) Metal Hardware Cast into Concrete ..... 1006.13”

Add the following to Article 504.02 of the Standard Specifications:

“(j) Metal Hardware Cast into Concrete ..... 1006.13”

Revise Article 1006.13 of the Standard Specifications to read:

“**1006.13 Metal Hardware Cast into Concrete.** Unless otherwise noted, all steel hardware cast into concrete, such as inserts, brackets, cable clamps, metal casings for formed holes, and other miscellaneous items, shall be galvanized according to AASHTO M 232 or AASHTO M 111. Aluminum inserts will not be allowed. Zinc alloy inserts shall be according to ASTM B 86, Alloys 3, 5, or 7.

The inserts shall be UNC threaded type anchorages having the following minimum certified proof load.

Insert Diameter	Proof Load
5/8 in. (16 mm)	6600 lb (29.4 kN)
3/4 in. (19 mm)	6600 lb (29.4 kN)
1 in. (25 mm)	9240 lb (41.1 kN)”

**MONTHLY EMPLOYMENT REPORT (BDE)**

Effective: April 1, 2009

In addition to any other reporting required by the contract, the Contractor shall provide to the Engineer an employment summary for all employees working on the contract from the contract execution date to the last full pay period each month for the duration of the contract. The report may include but is not limited to:

- a) A listing of the total number of employees.
- b) The employee job classification.
- c) The total hours worked and payroll for each employee.

The report shall be completed by the Contractor and each subcontractor. Employee hours worked from home office or other off-site office hours worked related directly to this contract shall be included. Engineering consulting firms performing construction layout and material testing for the Contractor shall also be included.

Hours worked for material suppliers, services provided by purchase orders, Department employees or consulting firms performing inspection or testing for the Department shall not be included in the report.

The report shall contain all hours worked under the contract from the start of the month to the last full pay period each month and shall be submitted no later than 10 business days after the end of each month.

The report shall be submitted electronically in a format determined by the Engineer. See attachment for potential reporting format.

Any costs associated with complying with this provision shall be considered as included in the contract unit prices bid for the various items of work involved and no additional compensation will be allowed.



**Attachment**

<b>MONTHLY PRIME AND SUBCONTRACTOR EMPLOYMENT REPORT AMERICAN RECOVERY AND REINVESTMENT ACT</b>			
1. First day of reporting period (mm/dd/yyyy)	2. Last day of reporting period (mm/dd/yyyy)	3. Notice to Proceed Date (mm/dd/yyyy)	
4. NAME AND ADDRESS OF FIRM		5. FEDERAL AID PROJECT NUMBER	
		5. State Project Number or ID	
7. CONTRACTING AGENCY		8. STATE (or Federal Funds Region)	
<b>Employment Data</b>			
Direct, On-Project Jobs	TOTAL EMPLOYEES	TOTAL HOURS	TOTAL PAYROLL
<b>CONSTRUCTION</b>	NEW HIRES		
	EXISTING EMPLOYEES		
<b>NON-CONSTRUCTION</b>	NEW HIRES		
	EXISTING EMPLOYEES		
<b>TOTAL</b>			
10. PREPARED BY (Signature and Title)			DATE
11. REVIEWED BY (Signature and Title of State Highway Official)			DATE

This form is issued in association with the American Recovery and Reinvestment Act of 2009

**NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM / EROSION AND SEDIMENT CONTROL DEFICIENCY DEDUCTION (BDE)**

Effective: April 1, 2007      Revised: November 1, 2008

Revise Article 105.03(a) of the Standard Specifications to read:

“(a) National Pollutant Discharge Elimination System (NPDES) / Erosion and Sediment Control Deficiency Deduction. When the Engineer is notified or determines an erosion and/or sediment control deficiency(s) exists, or the Contractor’s activities represents a violation of the Department’s NPDES permits, the Engineer will notify and direct the Contractor to correct the deficiency within a specified time. The specified time, which begins upon notification to the Contractor, will be from 1/2 hour to 1 week based on the urgency of the situation and the nature of the work effort required. The Engineer will be the sole judge.

A deficiency may be any lack of repair, maintenance, or implementation of erosion and/or sediment control devices included in the contract, or any failure to comply with the conditions of the Department’s NPDES permits. A deficiency may also be applied to situations where corrective action is not an option such as the failure to participate in a jobsite inspection of the project, failure to install required measures prior to initiating earth moving operations, disregard of concrete washout requirements, or other disregard of the NPDES permit.

If the Contractor fails to correct a deficiency within the specified time, a daily monetary deduction will be imposed for each calendar day or fraction thereof the deficiency exists. The calendar day(s) will begin with notification to the Contractor and end with the Engineer’s acceptance of the correction. The daily monetary deduction will be either \$1000.00 or 0.05 percent of the awarded contract value, whichever is greater. For those deficiencies where corrective action was not an option, the monetary deduction will be immediate and will be valued at one calendar day.”

**ORGANIC ZINC-RICH PAINT SYSTEM (BDE)**

Effective: November 1, 2001      Revised: January 1, 2008

Add the following to Section 1008 of the Standard Specifications:

“**1008.05 Organic Zinc-Rich Paint System.** The organic zinc-rich paint system shall consist of an organic zinc-rich primer, an epoxy or urethane intermediate coat, and aliphatic urethane finish coats. It is intended for use over blast-cleaned steel when three-coat shop applications are specified. The system is also suitable for field painting blast-cleaned existing structures.

The coating system shall be evaluated for performance through the National Transportation Product Evaluation Program (NTPEP) for Structural Steel Coatings following the requirements of AASHTO R 31, and shall meet the performance criteria listed herein. After successful NTPEP testing, the coatings shall be submitted to the Illinois Department of Transportation, Bureau of Materials and Physical Research, for qualification and acceptance testing.

(a) General Requirements.

- (1) Compatibility. Each coating in the system shall be supplied by the same paint manufacturer.
- (2) Toxicity. Each coating shall contain less than 0.01 percent lead in the dry film and no more than trace amounts of hexavalent chromium, cadmium, mercury or other toxic heavy metals.
- (3) Volatile Organics. The volatile organic compounds of each coating shall not exceed 3.5 lb/gal (420 g/L) as applied.

(b) Panel Preparation for NTPEP testing. The test panels shall be prepared according to AASHTO R 31, except for the following: Test panels shall be scribed according to ASTM D 1654 with a single "X" mark centered on the panel. The rectangular dimensions of the scribe shall have a top width of 2 in. (50 mm) and a height of 4 in. (100 mm). The scribe cut shall expose the steel substrate as verified with a microscope.

(c) Zinc-Rich Primer Requirements.

- (1) Generic Type. This material shall be an organic zinc-rich epoxy or urethane primer. It shall be suitable for topcoating with epoxies, urethanes, and acrylics.
- (2) Zinc Dust. The zinc dust pigment shall comply with ASTM D 520, Type II.
- (3) Slip Coefficient. The organic zinc coating shall meet a Class B AASHTO slip coefficient (0.50 or greater) for structural steel joints using ASTM A 325 (A 325M) or A 490 (A 490M) bolts.
- (4) Adhesion. The adhesion to an abrasively blasted steel substrate shall not be less than 900 psi (6.2 MPa) when tested according to ASTM D 4541 Annex A4.
- (5) Unit Weight. The unit weight of the mixed material shall be within 0.4 lb/gal (48 kg/cu m) of the original qualification sample unit weight when tested according to ASTM D 1475.
- (6) Percent Solids by Weight of Mixed Primer. The percent solids by weight for the mixed material shall be a minimum of 70 percent and shall not vary more than  $\pm 2$  percentage points from the percent solids by weight of the original qualification samples when tested according to ASTM D 2369.
- (7) Percent Solids by Weight of Vehicle Component. The percent solids by weight of the vehicle component shall not vary more than  $\pm 2$  percentage points from the percent solids by weight of the original qualification samples when tested according to ASTM D 2369.

- (8) Viscosity. The viscosity of the mixed material shall not vary more than  $\pm 10$  Krebs Units from the original qualification sample viscosity when tested according to ASTM D 562 at 77 °F (25 °C).
- (9) Dry Set to Touch. The mixed material when applied at 6 mils (150 microns) wet film thickness shall have a dry set to touch of 30 minutes or less when tested according to ASTM D 1640 at 77°F (25 °C).
- (10) Pot Life. After sitting eight hours at 77°F (25 °C), the mixed material shall not show curdling, gelling, gassing, or hard caking.

(d) Intermediate Coat Requirements.

- (1) Generic Type. This material shall be an epoxy or urethane. It shall be suitable as an intermediate coat over inorganic and organic zinc primers and compatible with acrylic, epoxy, and polyurethane topcoats.
- (2) Color. The color of the intermediate coat shall be white, off-white, or beige.
- (3) Unit Weight. The unit weight of the mixed material and the unit weight of the individual components shall be within 0.20 lb/gal (24 kg/cu m) of the original qualification sample unit weights when tested according to ASTM D 1475.
- (4) Percent Solids by Weight. The percent solids by weight for the mixed material shall not vary more than  $\pm 2$  percentage points from the percent solids by weight of the original qualification samples when tested according to ASTM D 2369.
- (5) Dry Time. The mixed material shall be dry to touch in two hours and dry hard in eight hours when applied at 10 mils (255 microns) wet film thickness and tested according to ASTM D 1640.
- (6) Viscosity. The viscosity of the mixed material shall not vary more than  $\pm 10$  Krebs Units from the original qualification samples when tested according to ASTM D 562 at 77 °F (25 °C).
- (7) Pot Life. After sitting two hours at 77°F (25 °C), the mixed material shall not show curdling, gelling, gassing, or hard caking.

(e) Urethane Finish Coat Requirements.

- (1) Generic Type. This material shall be an aliphatic urethane. It shall be suitable as a topcoat over epoxies and urethanes.

- (2) Color and Hiding Power. The finish coat shall match Munsell Glossy Color 7.5G 4/8 Interstate Green, 2.5YR 3/4 Reddish Brown, 10B 3/6 Blue, or 5B 7/1 Gray. The color difference shall not exceed 3.0 Hunter Delta E Units. Color difference shall be measured by instrumental comparison of the designated Munsell standard to a minimum dry film thickness of 3 mils (75 microns) of sample coating produced on a test panel according to ASTM D 823, Practice E, Hand–Held, Blade Film Application. Color measurements shall be determined on a spectrophotometer with 45 degrees circumferential/zero degrees geometry, illuminant C, and two degrees observer angle. The spectrophotometer shall measure the visible spectrum from 380-720 nanometers with a wavelength interval and spectral bandpass of 10 nanometers.
- (3) Contrast Ratio. The contrast ratio of the finish coat applied at 3 mils (75 microns) dry film thickness shall not be less than 0.99 when tested according to ASTM D 2805.
- (4) Weathering Resistance. Test panels shall be aluminum alloy measuring 12 x 4 in. (300 x 100 mm) prepared according to ASTM D 1730 Type A, Method 1 Solvent Cleaning. A minimum dry film thickness of 3 mils (75 microns) of finish coat shall be applied to three test panels according to ASTM D 823, Practice E, Hand Held Blade Film Application. The coated panels shall be cured at least 14 days at 75 °F ± 2 °F (24 °C ± 1 °C) and 50 ± 5 percent relative humidity. The panels shall be subjected to 300 hours of accelerated weathering using the light and water exposure apparatus (fluorescent UV - condensation type) as specified in ASTM G 53-96 and ASTM G 154 (equipped with UVB-313 lamps). The cycle shall consist of eight hours UV exposure at 140 °F (60 °C) followed by four hours of condensation at 104 °F (40 °C). After exposure, rinse the panel with clean water; allow to dry at room temperature for one hour. The exposed panels shall not show a color change of more than 3 Hunter Delta E Units.
- (5) Dry Time. The mixed material shall be dry to touch in two hours and dry hard in six hours when applied at 6 mils (150 microns) wet film thickness and tested according to ASTM D 1640.

(f) Three Coat System Requirements.

- (1) Finish Coat Color. For NTPEP testing purposes, the color of the finish coat shall match the latest applicable AASHTO R 31 specified color.
- (2) Salt Fog. When tested according to ASTM B 117 and evaluated according to AASHTO R 31, the paint system shall exhibit no spontaneous delamination and not exceed the following acceptance levels after scraping after 5,000 hours of salt fog exposure:

Salt Fog Acceptance Criteria		
Blister Criteria	Rust Criteria	
Conversion Value	Maximum Creep	Average Creep
9	4 mm	2 mm

- (3) Cyclic Exposure. When tested according to ASTM D 5894 and evaluated according to AASHTO R 31, the paint system shall exhibit no spontaneous delamination and not exceed the following acceptance levels after 5,000 hours of cyclic exposure:

Cyclic Exposure Acceptance Criteria		
Blister Criteria	Rust Criteria	
Conversion Value	Maximum Creep	Average Creep
9	7 mm	4 mm

- (4) Abrasion. The abrasion resistance shall be evaluated according to ASTM D 4060 using a Taber Abrader with a 2.20 lb (1000 gram) load and CS 17 wheels. The duration of the test shall be 1,000 cycles. The loss shall be calculated by difference and be less than 0.00049 lb (220 mgs).
- (5) Adhesion. The adhesion to an abrasively blasted steel substrate shall not be less than 900 psi (6.2 MPa) when tested according to ASTM D 4541 Annex A4.
- (6) Freeze Thaw Stability. There shall be no reduction of adhesion, which exceeds the test precision, after 30 days of freeze/thaw/immersion testing. One 24 hour cycle shall consist of 16 hours of approximately -22 °F (-30 °C) followed by four hours of thawing at 122 °F (50 °C) and four hours tap water immersion at 77 °F (25 °C). The test panels shall remain in the freezer mode on weekends and holidays.
- (g) Sampling, Testing, Acceptance, and Certification. Sampling, testing, acceptance, and certification of the coating system shall be according to Article 1008.01.”

**PAYMENTS TO SUBCONTRACTORS (BDE)**

Effective: June 1, 2000      Revised: January 1, 2006

Federal regulations found at 49 CFR §26.29 mandate the Department to establish a contract clause to require Contractors to pay subcontractors for satisfactory performance of their subcontracts and to set the time for such payments.

State law also addresses the timing of payments to be made to subcontractors and material suppliers. Section 7 of the Prompt Payment Act, 30 ILCS 540/7, requires that when a Contractor receives any payment from the Department, the Contractor shall make corresponding, proportional payments to each subcontractor and material supplier performing work or supplying material within 15 calendar days after receipt of the Department payment. Section 7 of the Act further provides that interest in the amount of two percent per month, in addition to the payment due, shall be paid to any subcontractor or material supplier by the Contractor if the payment required by the Act is withheld or delayed without reasonable cause. The Act also provides that the time for payment required and the calculation of any interest due applies to transactions between subcontractors and lower-tier subcontractors and material suppliers throughout the contracting chain.

This Special Provision establishes the required federal contract clause, and adopts the 15 calendar day requirement of the State Prompt Payment Act for purposes of compliance with the federal regulation regarding payments to subcontractors. This contract is subject to the following payment obligations.

When progress payments are made to the Contractor according to Article 109.07 of the Standard Specifications, the Contractor shall make a corresponding payment to each subcontractor and material supplier in proportion to the work satisfactorily completed by each subcontractor and for the material supplied to perform any work of the contract. The proportionate amount of partial payment due to each subcontractor and material supplier throughout the contracting chain shall be determined by the quantities measured or otherwise determined as eligible for payment by the Department and included in the progress payment to the Contractor. Subcontractors and material suppliers shall be paid by the Contractor within 15 calendar days after the receipt of payment from the Department. The Contractor shall not hold retainage from the subcontractors. These obligations shall also apply to any payments made by subcontractors and material suppliers to their subcontractors and material suppliers; and to all payments made to lower tier subcontractors and material suppliers throughout the contracting chain. Any payment or portion of a payment subject to this provision may only be withheld from the subcontractor or material supplier to whom it is due for reasonable cause.

This Special Provision does not create any rights in favor of any subcontractor or material supplier against the State or authorize any cause of action against the State on account of any payment, nonpayment, delayed payment, or interest claimed by application of the State Prompt Payment Act. The Department will not approve any delay or postponement of the 15 day requirement except for reasonable cause shown after notice and hearing pursuant to Section 7(b) of the State Prompt Payment Act. State law creates other and additional remedies available to any subcontractor or material supplier, regardless of tier, who has not been paid for work properly performed or material furnished. These remedies are a lien against public funds set forth in Section 23(c) of the Mechanics Lien Act, 770 ILCS 60/23(c), and a recovery on the Contractor's payment bond according to the Public Construction Bond Act, 30 ILCS 550.

#### **PAYROLLS AND PAYROLL RECORDS (BDE)**

Effective: March 1, 2009

FEDERAL AID CONTRACTS. Revise the following section of Check Sheet #1 of the Recurring Special Provisions to read:

#### **"STATEMENTS AND PAYROLLS**

The payroll records shall include each worker's name, address, telephone number, social security number, classification, rate of pay, number of hours worked each day, starting and ending times of work each day, total hours worked each week, itemized deductions made, and actual wages paid.

The Contractor and each subcontractor shall submit payroll records to the Engineer each week from the start to the completion of their respective work, except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall include an identification number for each employee (e.g., the last four digits of the employee's social security number.). The submittals shall be on the Department's form SBE 48, or an approved facsimile. When there has been no activity during a work week, a payroll record shall still be submitted with the appropriate box ("No Work", "Suspended", or "Complete") checked on the form."

STATE CONTRACTS. Revise Section IV of Check Sheet #5 of the Recurring Special Provisions to read:

#### "IV. COMPLIANCE WITH THE PREVAILING WAGE ACT

1. **Prevailing Wages.** All wages paid by the Contractor and each subcontractor shall be in compliance with The Prevailing Wage Act (820 ILCS 130), as amended, except where a prevailing wage violates a federal law, order, or ruling, the rate conforming to the federal law, order, or ruling shall govern. The Contractor shall be responsible to notify each subcontractor of the wage rates set forth in this contract and any revisions thereto. If the Department of Labor revises the wage rates, the Contractor will not be allowed additional compensation on account of said revisions.
2. **Payroll Records.** The Contractor and each subcontractor shall make and keep, for a period of three years from the date of completion of this contract, records of the wages paid to his/her workers. The payroll records shall include each worker's name, address, telephone number, social security number, classification, rate of pay, number of hours worked each day, starting and ending times of work each day, total hours worked each week, itemized deductions made, and actual wages paid. Upon two business days' notice, these records shall be available, at all reasonable hours at a location within the State, for inspection by the Department or the Department of Labor.
3. **Submission of Payroll Records.** The Contractor and each subcontractor shall submit payroll records to the Engineer each week from the start to the completion of their respective work, except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall include an identification number for each employee (e.g., the last four digits of the employee's social security number). The submittals shall be on the Department's form SBE 48, or an approved facsimile. When there has been no activity during a work week, a payroll record shall still be submitted with the appropriate box ("No Work", "Suspended", or "Complete") checked on the form.

Each submittal shall be accompanied by a statement signed by the Contractor or subcontractor which avers that: (i) such records are true and accurate; (ii) the hourly rate paid to each worker is not less than the general prevailing rate of hourly wages required by the Act; and (iii) the Contractor or subcontractor is aware that filing a payroll record that he/she knows to be false is a Class B misdemeanor.



4. Employee Interviews. The Contractor and each subcontractor shall permit his/her employees to be interviewed on the job, during working hours, by compliance investigators of the Department or the Department of Labor.”

**PERSONAL PROTECTIVE EQUIPMENT (BDE)**

Effective: November 1, 2008

Revise the first sentence of Article 701.12 of the Standard Specifications to read:

“All personnel on foot, excluding flaggers, within the highway right-of-way shall wear a fluorescent orange, fluorescent yellow/green, or a combination of fluorescent orange and fluorescent yellow/green vest meeting the requirements of ANSI/ISEA 107-2004 for Conspicuity Class 2 garments.”

**REFLECTIVE SHEETING ON CHANNELIZING DEVICES (BDE)**

Effective: April 1, 2007      Revised: November 1, 2008

Revise the seventh paragraph of Article 1106.02 of the Standard Specifications to read:

“At the time of manufacturing, the retroreflective prismatic sheeting used on channelizing devices shall meet or exceed the initial minimum coefficient of retroreflection as specified in the following table. Measurements shall be conducted according to ASTM E 810, without averaging. Sheeting used on cones, drums and flexible delineators shall be reboundable as tested according to ASTM D 4956. Prestriped sheeting for rigid substrates on barricades shall be white and orange. [The sheeting shall be uniform in color and devoid of streaks throughout the length of each roll. The color shall conform to the latest appropriate standard color tolerance chart issued by the U.S. Department of Transportation, Federal Highway Administration, and to the daytime and nighttime color requirements of ASTM D 4956.](#)

Initial Minimum Coefficient of Retroreflection candelas/foot candle/sq ft (candelas/lux/sq m) of material				
Observation Angle (deg.)	Entrance Angle (deg.)	White	Orange	Fluorescent Orange
0.2	-4	365	160	150
0.2	+30	175	80	70
0.5	-4	245	100	95
0.5	+30	100	50	40”

Revise the first sentence of the first paragraph of Article 1106.02(c) of the Standard Specifications to read:

“Barricades and vertical panels shall have alternating white and orange stripes sloping downward at 45 degrees toward the side on which traffic will pass.”

Revise the third sentence of the first paragraph of Article 1106.02(d) of the Standard Specifications to read:

“The bottom panels shall be 8 x 24 in. (200 x 600 mm) with alternating white and orange stripes sloping downward at 45 degrees toward the side on which traffic will pass.”

### **REINFORCEMENT BARS (BDE)**

Effective: November 1, 2005      Revised: April 1, 2009

Revise Article 1006.10(a) of the Standard Specifications to read:

“(a) Reinforcement Bars. Reinforcement bars will be accepted according to the current Bureau of Materials and Physical Research Policy Memorandum, “Reinforcement Bar and/or Dowel Bar Plant Certification Procedure”. The Department will maintain an approved list of producers.

(1) Reinforcement Bars (Non-Coated). Reinforcement bars shall be according to ASTM A 706 (A 706M), Grade 60 (420) for deformed bars and the following.

- a. For straight bars furnished in cut lengths and with a well-defined yield point, the yield point shall be determined as the elastic peak load, identified by a halt or arrest of the load indicator before plastic flow is sustained by the bar and dividing it by the nominal cross-sectional area of the bar.
- b. Tensile strength shall be a minimum of 1.20 times the yield strength.
- c. For bars straightened from coils or bars bent from fabrication, there shall be no upper limit on yield strength; and for bar designation Nos. 3 - 6 (10 - 19), the elongation after rupture shall be at least 9%.
- d. Heat Numbers. Bundles or bars at the construction site shall be marked or tagged with heat identification numbers of the bar producer.
- e. Guided Bend Test. Bars may be subject to a guided bend test across two pins which are free to rotate, where the bending force shall be centrally applied with a fixed or rotating pin of a certain diameter as specified in Table 3 of ASTM A 706 (A 706M). The dimensions and clearances of this guided bend test shall be according to ASTM E 190.
- f. Spiral Reinforcement. Spiral reinforcement shall be deformed or plain bars conforming to the above requirements or cold-drawn steel wire conforming to AASHTO M 32.

(2) Epoxy Coated Reinforcement Bars. Epoxy coated reinforcement bars shall be according to Article 1006.10(a)(1) and shall be epoxy coated according to AASHTO M 284 (M 284M) and the following.

- a. Certification. The epoxy coating applicator shall be certified according to the current Bureau of Materials and Physical Research Policy Memorandum, "Epoxy Coating Plant Certification Procedure". The Department will maintain an approved list.
- b. Coating Thickness. When spiral reinforcement is coated after fabrication, the thickness of the epoxy coating shall be 7 to 20 mils (0.18 to 0.50 mm).
- c. Cutting Reinforcement. Reinforcement bars may be sheared or sawn to length after coating, providing the end damage to the coating does not extend more than 0.5 in. (13 mm) back and the cut is patched before any visible rusting appears. Flame cutting will not be permitted."

**REINFORCEMENT BARS - STORAGE AND PROTECTION (BDE)**

Effective: August 1, 2008      Revised: April 1, 2009

Revise Article 508.03 of the Standard Specifications to read:

**"508.03 Storage and Protection.** Reinforcement bars shall be stored off the ground using platforms, skids, or other supports; and shall be protected from mechanical injury and from deterioration by exposure. Epoxy coated bars shall be stored on wooden or padded steel cribbing and all systems for handling shall have padded contact areas. The bars or bundles shall not be dragged or dropped.

When epoxy coated bars are stored in a manner where they will be exposed to the weather more than 60 days prior to use, they shall be protected from deterioration such as that caused by sunlight, salt spray, and weather exposure. The protection shall consist of covering with opaque polyethylene sheeting or other suitable opaque material. The covering shall be secured and allow for air circulation around the bars to minimize condensation under the cover.

Covering of the epoxy coated bars will not be required when the bars are installed and tied, or when they are partially incorporated into the concrete."

**SEEDING (BDE)**

Effective: July 1, 2004      Revised: January 1, 2009

Revise the following seeding mixtures shown in Table 1 of Article 250.07 of the Standard Specifications to read:

"Table 1 - SEEDING MIXTURES		
Class – Type	Seeds	lb/acre (kg/hectare)
2      Roadside Mixture 7/	Tall Fescue (Inferno, Tarheel II, Quest, Blade Runner, or Falcon IV)	100 (110)
	Perennial Ryegrass	50 (55)

		Creeping Red Fescue	40 (50)
		Red Top	10 (10)
2A	Salt Tolerant Roadside Mixture 7/	Tall Fescue (Inferno, Tarheel II, Quest, Blade Runner, or Falcon IV)	60 (70)
		Perennial Ryegrass	20 (20)
		Red Fescue (Audubon, Sea Link, or Epic)	30 (20)
		Hard Fescue (Rescue 911, Spartan II, or Reliant IV)	30 (20)
		Fults Salt Grass 1/	60 (70)"

Revise Note 7 of Table 1 – Seeding Mixtures of Article 250.07 of the Standard Specifications to read:

“7/ In Districts 1 through 6, the planting times shall be April 1 to June 15 and August 1 to November 1. In Districts 7 through 9, the planting times shall be March 1 to June 1 and August 1 to November 15. Seeding may be performed outside these dates provided the Contractor guarantees a minimum of 75 percent uniform growth over the entire seeded area(s) after a period of establishment. Inspection dates for the period of establishment will be as follows: Seeding conducted in Districts 1 through 6 between June 16 and July 31 will be inspected after April 15 and seeding conducted between November 2 and March 31 will be inspected after September 15. Seeding conducted in Districts 7 through 9 between June 2 and July 31 will be inspected after April 15 and seeding conducted between November 16 and February 28 will be inspected after September 15. The guarantee shall be submitted to the Engineer in writing prior to performing the work. After the period of establishment, areas not exhibiting 75 percent uniform growth shall be interseeded or reseeded, as determined by the Engineer, at no additional cost to the Department.”

Revise Table II of Article 1081.04(c)(6) of the Standard Specifications to read:

TABLE II						
Variety of Seeds	Hard Seed	Purity	Pure Live	Weed	Secondary *	Notes
	%	%	Seed %	%	Noxious Weeds No. per oz (kg)	
	Max.	Min.	Min.	Max.	Max. Permitted	
Alfalfa	20	92	89	0.50	6 (211)	1/
Clover, Alsike	15	92	87	0.30	6 (211)	2/
Red Fescue, Audubon	0	97	82	0.10	3 (105)	-
Red Fescue, Creeping	-	97	82	1.00	6 (211)	-
Red Fescue, Epic	-	98	83	0.05	1 (35)	-
Red Fescue, Sea Link	-	98	83	0.10	3 (105)	-
Tall Fescue, Blade Runner	-	98	83	0.10	2 (70)	-
Tall Fescue, Falcon IV	-	98	83	0.05	1 (35)	-
Tall Fescue, Inferno	0	98	83	0.10	2 (70)	-
Tall Fescue, Tarheel II	-	97	82	1.00	6 (211)	-
Tall Fescue, Quest	0	98	83	0.10	2 (70)	-
Fults Salt Grass	0	98	85	0.10	2 (70)	-
Kentucky Bluegrass	-	97	80	0.30	7 (247)	4/

Oats	-	92	88	0.50	2 ( 70)	3/
Redtop	-	90	78	1.80	5 (175)	3/
Ryegrass, Perennial, Annual	-	97	85	0.30	5 (175)	3/
Rye, Grain, Winter	-	92	83	0.50	2 ( 70)	3/
Hard Fescue, Reliant IV	-	98	83	0.05	1 (35)	-
Hard Fescue, Rescue 911	0	97	82	0.10	3 (105)	-
Hard Fescue, Spartan II	-	98	83	0.10	3 (105)	-
Timothy	-	92	84	0.50	5 (175)	3/
Wheat, hard Red Winter	-	92	89	0.50	2 ( 70)	3/”

Revise the first sentence of the first paragraph of Article 1081.04(c)(7) of the Standard Specifications to read:

“The seed quantities indicated per acre (hectare) for Prairie Grass Seed in Classes 3, 3A, 4, 4A, 6, and 6A in Article 250.07 shall be the amounts of pure, live seed per acre (hectare) for each species listed.”

**SILT FILTER FENCE (BDE)**

Effective: January 1, 2008

For silt filter fence fabric only, revise Article 1080.02 of the Standard Specifications to read:

“**1080.02 Geotextile Fabric.** The fabric for silt filter fence shall be a woven fabric meeting the requirements of AASHTO M 288 for unsupported silt fence with less than 50 percent geotextile elongation.”

Replace the last sentence of Article 1081.15(b) of the Standard Specifications with the following:

“Silt filter fence stakes shall be a minimum of 4 ft (1.2 m) long and made of either wood or metal. Wood stakes shall be 2 in. x 2 in. (50 mm x 50 mm). Metal stakes shall be a standard T or U shape having a minimum weight (mass) of 1.32 lb/ft (600 g/300 mm).”

**SUBCONTRACTOR MOBILIZATION PAYMENTS (BDE)**

Effective: April 2, 2005

To account for the preparatory work and operations necessary for the movement of subcontractor personnel, equipment, supplies, and incidentals to the project site and for all other work or operations that must be performed or costs incurred when beginning work approved for subcontracting in accordance with Article 108.01 of the Standard Specifications, the Contractor shall make a mobilization payment to each subcontractor.

This mobilization payment shall be made at least 14 days prior to the subcontractor starting work. The amount paid shall be equal to 3 percent of the amount of the subcontract reported on form BC 260A submitted for the approval of the subcontractor’s work.

This provision shall be incorporated directly or by reference into each subcontract approved by the Department.

**TEMPORARY EROSION CONTROL (BDE)**

Effective: November 1, 2002      Revised: January 1, 2008

Revise the third paragraph of Article 280.03 of the Standard Specifications to read:

“Erosion control systems shall be installed prior to beginning any activities which will potentially create erodible conditions. Erosion control systems for areas outside the limits of construction such as storage sites, plant sites, waste sites, haul roads, and Contractor furnished borrow sites shall be installed prior to beginning soil disturbing activities at each area. These offsite systems shall be designed by the Contractor and be subject to the approval of the Engineer.”

Add the following paragraph after the third paragraph of Article 280.03 of the Standard Specifications:

“The temporary erosion and sediment control systems shown on the plans represent the minimum systems anticipated for the project. Conditions created by the Contractor’s operations, or for the Contractor’s convenience, which are not covered by the plans, shall be protected as directed by the Engineer at no additional cost to the Department. Revisions or modifications of the erosion and sediment control systems shall have the Engineer’s written approval.”

Add the following paragraph after the ninth paragraph of Article 280.07 of the Standard Specifications:

“Temporary or permanent erosion control systems required for areas outside the limits of construction will not be measured for payment.”

Delete the tenth (last) paragraph of Article 280.08 of the Standard Specifications.

**THERMOPLASTIC PAVEMENT MARKINGS (BDE)**

Effective: January 1, 2007

Revise Article 1095.01(a)(2) of the Standard Specifications to read:

“(2) Pigment. The pigment used for the white thermoplastic compound shall be a high-grade pure (minimum 93 percent) titanium dioxide (TiO<sub>2</sub>). The white pigment content shall be a minimum of ten percent by weight and shall be uniformly distributed throughout the thermoplastic compound.

The pigments used for the yellow thermoplastic compound shall not contain any hazardous materials listed in the Environmental Protection Agency Code of Federal Regulations (CFR) 40, Section 261.24, Table 1. The combined total of RCRA listed heavy metals shall not exceed 100 ppm when tested by X-ray fluorescence spectroscopy. The pigments shall also be heat resistant, UV stable and color-fast yellows, golds, and oranges, which shall produce a compound which shall match Federal Standard 595 Color No. 33538. The pigment shall be uniformly distributed throughout the thermoplastic compound.”

Revise Article 1095.01(b)(1)e. of the Standard Specifications to read:

“e. Daylight Reflectance and Color. The thermoplastic compound after heating for four hours ± five minutes at 425 ± 3 °F (218.3 ± 2 °C) and cooled at 77 °F (25 °C) shall meet the following requirements for daylight reflectance and color, when tested, using a color spectrophotometer with 45 degree circumferential/zero degree geometry, illuminant C, and two degree observer angle. The color instrument shall measure the visible spectrum from 380 to 720 nm with a wavelength measurement interval and spectral bandpass of 10 nm.

White: Daylight Reflectance .....75 percent min.

\*Yellow: Daylight Reflectance .....45 percent min.

\*Shall meet the coordinates of the following color tolerance chart.

x	0.490	0.475	0.485	0.530
y	0.470	0.438	0.425	0.456”

Revise Article 1095.01(b)(1)k. of the Standard Specifications to read:

“k. Accelerated Weathering. After heating the thermoplastic for four hours ± five minutes at 425 ± 3 °F (218.3 ± 2 °C) the thermoplastic shall be applied to a steel wool abraded aluminum alloy panel (Federal Test Std. No. 141, Method 2013) at a film thickness of 30 mils (0.70 mm) and allowed to cool for 24 hours at room temperature. The coated panel shall be subjected to accelerated weathering using the light and water exposure apparatus (fluorescent UV - condensation type) for 75 hours according to ASTM G 53 (equipped with UVB-313 lamps).

The cycle shall consist of four hours UV exposure at 122 °F (50 °C) followed by four hours of condensation at 104 °F (40 °C). UVB 313 bulbs shall be used. At the end of the exposure period, the panel shall not exceed 10 Hunter Lab Delta E units from the original material.”

## **TRAINING SPECIAL PROVISIONS**

This Training Special Provision supersedes Section 7b of the Special Provision entitled "Specific Equal Employment Opportunity Responsibilities," and is in implementation of 23 U.S.C. 140(a).

As part of the contractor's equal employment opportunity affirmative action program, training shall be provided as follows:

The contractor shall provide on-the-job training aimed at developing full journeyman in the type of trade or job classification involved. The number of trainees to be trained under this contract will be 2. In the event the contractor subcontracts a portion of the contract work, he shall determine how many, if any, of the trainees are to be trained by the subcontractor, provided however, that the contractor shall retain the primary responsibility for meeting the training requirements imposed by this special provision. The contractor shall also insure that this Training Special Provision is made applicable to such subcontract. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training.

The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within the reasonable area of recruitment. Prior to commencing construction, the contractor shall submit to the Illinois Department of Transportation for approval the number of trainees to be trained in each selected classification and training program to be used. Furthermore, the contractor shall specify the starting time for training in each of the classifications. The contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and will be reimbursed for such trainees as provided hereinafter.

Training and upgrading of minorities and women toward journeyman status is a primary objective of this Training Special Provision. Accordingly, the contractor shall make every effort to enroll minority trainees and women (e.g. by conducting systematic and direct recruitment through public and private sources likely to yield minority and women trainees) to the extent such persons are available within a reasonable area of recruitment. The contractor will be responsible for demonstrating the steps that he has taken in pursuance thereof, prior to a determination as to whether the contractor is in compliance with this Training Special Provision. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

No employee shall be employed as a trainee in any classification in which he has successfully completed a training course leading to journeyman status or in which he has been employed as a journeyman. The contractor should satisfy this requirement by including appropriate questions in the employee application or by other suitable means. Regardless of the method used the contractor's records should document the findings in each case.



The minimum length and type of training for each classification will be as established in the training program selected by the contractor and approved by the Illinois Department of Transportation and the Federal Highway Administration. The Illinois Department of Transportation and the Federal Highway Administration shall approve a program, if it is reasonably calculated to meet the equal employment opportunity obligations of the contractor and to qualify the average trainee for journeyman status in the classification concerned by the end of the training period. Furthermore, apprenticeship programs registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau and training programs approved by not necessarily sponsored by the U.S. Department of Labor, Manpower Administration, Bureau of Apprenticeship and Training shall also be considered acceptable provided it is being administered in a manner consistent with the equal employment obligations of Federal-aid highway construction contracts. Approval or acceptance of a training program shall be obtained from the State prior to commencing work on the classification covered by the program. It is the intention of these provisions that training is to be provided in the construction crafts rather than clerk-typists or secretarial-type positions. Training is permissible in lower level management positions such as office engineers, estimators, timekeepers, etc., where the training is oriented toward construction applications. Training in the laborer classification may be permitted provided that significant and meaningful training is provided and approved by the Illinois Department of Transportation and the Federal Highway Administration. Some offsite training is permissible as long as the training is an integral part of an approved training program and does not comprise a significant part of the overall training.

Except as otherwise noted below, the contractor will be reimbursed 80 cents per hour of training given an employee on this contract in accordance with an approved training program. As approved by the Engineer, reimbursement will be made for training of persons in excess of the number specified herein. This reimbursement will be made even though the contractor receives additional training program funds from other sources, provided such other source does not specifically prohibit the contractor from receiving other reimbursement. Reimbursement for offsite training indicated above may only be made to the contractor where he does one or more of the following and the trainees are concurrently employed on a Federal-aid project; contributes to the cost of the training, provides the instruction to the trainee or pays the trainee's wages during the offsite training period.

No payment shall be made to the contractor if either the failure to provide the required training, or the failure to hire the trainee as a journeyman, is caused by the contractor and evidences a lack of good faith on the part of the contractor in meeting the requirement of this Training Special Provision. It is normally expected that a trainee will begin his training on the project as soon as feasible after start of work utilizing the skill involved and remain on the project as long as training opportunities exist in his work classification or until he has completed his training program.

It is not required that all trainees be on board for the entire length of the contract. A contractor will have fulfilled his responsibilities under this Training Special Provision if he has provided acceptable training to the number of trainees specified. The number trained shall be determined on the basis of the total number enrolled on the contract for a significant period.

Trainees will be paid at least 60 percent of the appropriate minimum journeyman's rate specified in the contract for the first half of the training period, 75 percent for the third quarter of the training period, and 90 percent for the last quarter of the training period, unless apprentices or trainees in an approved existing program are enrolled as trainees on this project. In that case, the appropriate rates approved by the Departments of Labor or Transportation in connection with the existing program shall apply to all trainees being trained for the same classification who are covered by this Training Special Provision.

The contractor shall furnish the trainee a copy of the program he will follow in providing the training. The contractor shall provide each trainee with a certification showing the type and length of training satisfactorily complete.

The contractor will provide for the maintenance of records and furnish periodic reports documenting his performance under this Training Special Provision.

METHOD OF MEASUREMENT The unit of measurement is in hours.

BASIS OF PAYMENT This work will be paid for at the contract unit price of 80 cents per hour for TRAINEES. The estimated total number of hours, unit price and total price have been included in the schedule of prices.

#### **STEEL COST ADJUSTMENT (BDE) (RETURN FORM WITH BID)**

Effective: April 2, 2004      Revised: April 1, 2009

Description. Steel cost adjustments will be made to provide additional compensation to the Contractor, or a credit to the Department, for fluctuations in steel prices when optioned by the Contractor. The bidder shall indicate on the attached form whether or not this special provision will be part of the contract and submit the completed form with his/her bid. Failure to submit the form or failure to indicate contract number, company name, and sign and date the form shall make this contract exempt of steel cost adjustments for all items of steel. Failure to indicate "Yes" for any item of work will make that item of steel exempt from steel cost adjustment.

Types of Steel Products. An adjustment will be made for fluctuations in the cost of steel used in the manufacture of the following items:

- Metal Piling (excluding temporary sheet piling)
- Structural Steel
- Reinforcing Steel

Other steel materials such as dowel bars, tie bars, mesh reinforcement, guardrail, steel traffic signal and light poles, towers and mast arms, metal railings (excluding wire fence), and frames and grates will be subject to a steel cost adjustment when the pay items they are used in has a contract value of \$10,000 or greater.

Documentation. Sufficient documentation shall be furnished to the Engineer to verify the following:

- (a) The dates and quantity of steel, in lb (kg), shipped from the mill to the fabricator.
- (b) The quantity of steel, in lb (kg), incorporated into the various items of work covered by this special provision. The Department reserves the right to verify submitted quantities.

Method of Adjustment. Steel cost adjustments will be computed as follows:

$$SCA = Q \times D$$

Where: SCA = steel cost adjustment, in dollars  
Q = quantity of steel incorporated into the work, in lb (kg)  
D = price factor, in dollars per lb (kg)

$$D = MPI_M - MPI_L$$

Where:  $MPI_M$  = The Materials Cost Index for steel as published by the Engineering News-Record for the month the steel is shipped from the mill. The indices will be converted from dollars per 100 lb to dollars per lb (kg).

$MPI_L$  = The Materials Cost Index for steel as published by the Engineering News-Record for the month prior to the letting. The indices will be converted from dollars per 100 lb to dollars per lb (kg).

The unit weights (masses) of steel that will be used to calculate the steel cost adjustment for the various items are shown in the attached table.

No steel cost adjustment will be made for any products manufactured from steel having a mill shipping date prior to the letting date.

If the Contractor fails to provide the required documentation, the method of adjustment will be calculated as described above; however, the  $MPI_M$  will be based on the date the steel arrives at the job site. In this case, an adjustment will only be made when there is a decrease in steel costs.

Basis of Payment. Steel cost adjustments may be positive or negative but will only be made when there is a difference between the  $MPI_L$  and  $MPI_M$  in excess of five percent, as calculated by:

$$\text{Percent Difference} = \{(MPI_L - MPI_M) \div MPI_L\} \times 100$$

Steel cost adjustments will be calculated by the Engineer and will be paid or deducted when all other contract requirements for the items of work are satisfied. Adjustments will only be made for fluctuations in the cost of the steel as described herein. No adjustment will be made for changes in the cost of manufacturing, fabrication, shipping, storage, etc.

The adjustments shall not apply during contract time subject to liquidated damages for completion of the entire contract.

## Attachment

Item	Unit Mass (Weight)
Metal Piling (excluding temporary sheet piling)	
Furnishing Metal Pile Shells 12 in. (305 mm), 0.179 in. (3.80 mm) wall thickness	23 lb/ft (34 kg/m)
Furnishing Metal Pile Shells 12 in. (305 mm), 0.250 in. (6.35 mm) wall thickness	32 lb/ft (48 kg/m)
Furnishing Metal Pile Shells 14 in. (356 mm), 0.250 in. (6.35 mm) wall thickness	37 lb/ft (55 kg/m)
Other piling	See plans
Structural Steel	See plans for weights (masses)
Reinforcing Steel	See plans for weights (masses)
Dowel Bars and Tie Bars	6 lb (3 kg) each
Mesh Reinforcement	63 lb/100 sq ft (310 kg/sq m)
Guardrail	
Steel Plate Beam Guardrail, Type A w/steel posts	20 lb/ft (30 kg/m)
Steel Plate Beam Guardrail, Type B w/steel posts	30 lb/ft (45 kg/m)
Steel Plate Beam Guardrail, Types A and B w/wood posts	8 lb/ft (12 kg/m)
Steel Plate Beam Guardrail, Type 2	305 lb (140 kg) each
Steel Plate Beam Guardrail, Type 6	1260 lb (570 kg) each
Traffic Barrier Terminal, Type 1 Special (Tangent)	730 lb (330 kg) each
Traffic Barrier Terminal, Type 1 Special (Flared)	410 lb (185 kg) each
Steel Traffic Signal and Light Poles, Towers and Mast Arms	
Traffic Signal Post	11 lb/ft (16 kg/m)
Light Pole, Tenon Mount and Twin Mount, 30 - 40 ft (9 - 12 m)	14 lb/ft (21 kg/m)
Light Pole, Tenon Mount and Twin Mount, 45 - 55 ft (13.5 - 16.5 m)	21 lb/ft (31 kg/m)
Light Pole w/Mast Arm, 30 - 50 ft (9 - 15.2 m)	13 lb/ft (19 kg/m)
Light Pole w/Mast Arm, 55 - 60 ft (16.5 - 18 m)	19 lb/ft (28 kg/m)
Light Tower w/Luminaire Mount, 80 - 110 ft (24 - 33.5 m)	31 lb/ft (46 kg/m)
Light Tower w/Luminaire Mount, 120 - 140 ft (36.5 - 42.5 m)	65 lb/ft (97 kg/m)
Light Tower w/Luminaire Mount, 150 - 160 ft (45.5 - 48.5 m)	80 lb/ft (119 kg/m)
Metal Railings (excluding wire fence)	
Steel Railing, Type SM	64 lb/ft (95 kg/m)
Steel Railing, Type S-1	39 lb/ft (58 kg/m)
Steel Railing, Type T-1	53 lb/ft (79 kg/m)
Steel Bridge Rail	52 lb/ft (77 kg/m)
Frames and Grates	
Frame	250 lb (115 kg)
Lids and Grates	150 lb (70 kg)

## RETURN WITH BID

### ILLINOIS DEPARTMENT OF TRANSPORTATION

### OPTION FOR STEEL COST ADJUSTMENT

The bidder shall submit this completed form with his/her bid. Failure to submit the form or properly complete contract number, company name, and sign and date the form shall make this contract exempt of steel cost adjustments for all items of steel. Failure to indicate "Yes" for any item of work will make that item of steel exempt from steel cost adjustment. After award, this form, when submitted shall become part of the contract.

**Contract No.:** \_\_\_\_\_

**Company Name:** \_\_\_\_\_

#### **Contractor's Option:**

Is your company opting to include this special provision as part of the contract plans for the following items of work?

Metal Piling            Yes

Structural Steel        Yes

Reinforcing Steel      Yes

Dowel Bars, Tie Bars and Mesh Reinforcement    Yes

Guardrail              Yes

Steel Traffic Signal and Light Poles, Towers and Mast Arms    Yes

Metal Railings (excluding wire fence)            Yes

Frames and Grates        Yes

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**BITUMINOUS MATERIALS COST ADJUSTMENTS (BDE) (RETURN FORM WITH BID)**

Effective: November 2, 2006      Revised: April 1, 2009

Description. Bituminous material cost adjustments will be made to provide additional compensation to the Contractor, or credit to the Department, for fluctuations in the cost of bituminous materials when optioned by the Contractor. The adjustments shall apply to permanent and temporary hot-mix asphalt (HMA) mixtures, bituminous surface treatments (cover and seal coats), and pavement preservation type surface treatments. The adjustments shall not apply to bituminous prime coats, tack coats, crack filling/sealing, or joint filling/sealing.

The bidder shall indicate on the attached form whether or not this special provision will be part of the contract and submit the completed form with his/her bid. Failure to submit the form, or failure to fill out the form completely, shall make this contract exempt of bituminous materials cost adjustments.

Method of Adjustment. Bituminous materials cost adjustments will be computed as follows.

$$CA = (BPI_P - BPI_L) \times (\%AC_V / 100) \times Q$$

- Where: CA = Cost Adjustment, \$.  
BPI<sub>P</sub> = Bituminous Price Index, as published by the Department for the month the work is performed, \$/ton (\$/metric ton).  
BPI<sub>L</sub> = Bituminous Price Index, as published by the Department for the month prior to the letting, \$/ton (\$/metric ton).  
%AC<sub>V</sub> = Percent of virgin Asphalt Cement in the Quantity being adjusted. For HMA mixtures, the % AC<sub>V</sub> will be determined from the adjusted job mix formula. For bituminous materials applied, a performance graded or cutback asphalt will be considered to be 100% AC<sub>V</sub> and undiluted emulsified asphalt will be considered to be 65% AC<sub>V</sub>.  
Q = Authorized construction Quantity, tons (metric tons) (see below).

For HMA mixtures measured in square yards:  $Q, \text{ tons} = A \times D \times (G_{mb} \times 46.8) / 2000$ . For HMA mixtures measured in square meters:  $Q, \text{ metric tons} = A \times D \times (G_{mb} \times 24.99) / 1000$ . When computing adjustments for full-depth HMA pavement, separate calculations will be made for the binder and surface courses to account for their different  $G_{mb}$  and % AC<sub>V</sub>.

For bituminous materials measured in gallons:  $Q, \text{ tons} = V \times 8.33 \text{ lb/gal} \times SG / 2000$   
For bituminous materials measured in liters:  $Q, \text{ metric tons} = V \times 1.0 \text{ kg/L} \times SG / 1000$

- Where: A = Area of the HMA mixture, sq yd (sq m).  
D = Depth of the HMA mixture, in. (mm).  
G<sub>mb</sub> = Average bulk specific gravity of the mixture, from the approved mix design.  
V = Volume of the bituminous material, gal (L).  
SG = Specific Gravity of bituminous material as shown on the bill of lading.

Basis of Payment. Bituminous materials cost adjustments may be positive or negative but will only be made when there is a difference between the BPI<sub>L</sub> and BPI<sub>P</sub> in excess of five percent, as calculated by:

$$\text{Percent Difference} = \{(BPI_L - BPI_P) \div BPI_L\} \times 100$$

Bituminous materials cost adjustments will be calculated for each calendar month in which applicable bituminous material is placed; and will be paid or deducted when all other contract requirements for the work placed during the month are satisfied. The adjustments shall not apply during contract time subject to liquidated damages for completion of the entire contract.

**RETURN WITH BID**

**ILLINOIS DEPARTMENT  
OF TRANSPORTATION**

**OPTION FOR  
BITUMINOUS MATERIALS COST ADJUSTMENTS**

The bidder shall submit this completed form with his/her bid. Failure to submit the form, or failure to fill out the form completely, shall make this contract exempt of bituminous materials cost adjustments. After award, this form, when submitted, shall become part of the contract.

**Contract No.:** \_\_\_\_\_

**Company Name:** \_\_\_\_\_

**Contractor's Option:**

Is your company opting to include this special provision as part of the contract?

Yes  No

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

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**REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS**

	Page
I. General .....	1
II. Nondiscrimination .....	1
III. Nonsegregated Facilities .....	3
IV. Payment of Predetermined Minimum Wage.....	3
V. Statements and Payrolls .....	5
VI. Record of Materials, Supplies, and Labor.....	6
VII. Subletting or Assigning the Contract.....	6
VIII. Safety: Accident Prevention .....	7
IX. False Statements Concerning Highway Projects.....	7
X. Implementation of Clean Air Act and Federal .....Water Pollution Control Act .....	7
XI. Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion .....	8
XII. Certification Regarding Use of Contract Funds for Lobbying .....	9

**ATTACHMENTS**

- A. Employment Preference for Appalachian Contracts  
(included in Appalachian contracts only)

**I. GENERAL**

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.

3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.

4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

- Section I, paragraph 2;
- Section IV, paragraphs 1, 2, 3, 4 and 7;
- Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6 and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.

6. Selection of Labor: During the performance of this contract, the contractor shall not:

- a. Discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or
- b. Employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

**II. NONDISCRIMINATION**

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

**1. Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60 (and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 *et seq.*) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

- a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.
- b. The contractor will accept as his operating policy the following statement: "It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job-training."

**2. EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for an must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

- a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
- b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
- c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.
- d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
- e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

- a. The contractor will, unless precluded by a valid bargaining

agreement, conduct systematic and direct recruitment through public and private employees referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish which such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.

**b.** In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)

**c.** The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

**a.** The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

**b.** The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

**c.** The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

**d.** The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

#### **6. Training and Promotion:**

**a.** The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.

**b.** Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.

**c.** The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

**d.** The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the

contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

**a.** The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.

**b.** The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

**c.** The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.

**d.** In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the SHA.

**8. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

**a.** The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.

**b.** Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.

**c.** The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

*Page 2*

**9. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

**a.** The records kept by the contractor shall document the following:

**(1)** The number of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and

(4) The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.

b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

### III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.

b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).

c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of \$10,000 or more and that it will retain such certifications in its files.

### IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

#### 1. General:

a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash

equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.

c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

#### 2. Classification:

a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.

b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:

(1) the work to be performed by the additional classification requested is not performed by a classification in the wage determination;

(2) the additional classification is utilized in the area by the construction industry;

(3) the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and

(4) with respect to helpers, when such a classification prevails in the area in which the work is performed.

c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

d. In the event the contractor or subcontractors, as

appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the question, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

### 3. Payment of Fringe Benefits:

a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.

b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any cost reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

### 4. Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:

#### a. Apprentices:

(1) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.

(2) The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's

registered program shall be observed.

(3) Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

(4) In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

#### b. Trainees:

(1) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.

(2) The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(3) Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which cases such trainees shall receive the same fringe benefits as apprentices.

Page 4

(4) In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

#### c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV. 2. Any worker listed on a payroll at a helper wage rate, who is not a helper under an approved definition, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.

**5. Apprentices and Trainees** (Programs of the U.S. DOT):

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**6. Withholding:**

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainee's and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

**7. Overtime Requirements:**

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

**8. Violation:**

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of \$10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

**9. Withholding for Unpaid Wages and Liquidated Damages:**

The SHA shall; upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any

liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

**V. STATEMENTS AND PAYROLLS**

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

**1. Compliance with Copeland Regulations** (29 CFR 3):

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

**2. Payrolls and Payroll Records:**

- a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.
- b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.
- c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees

Page 5

(including apprentices trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted shall set out accurately and completely all of the information required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029-005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for submitting payroll copies of all subcontractors.

- d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall

certify the following:

- (1) that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;
  - (2) that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;
  - (3) that each laborer or mechanic has been paid not less than the applicable wage rate and fringe benefits or cash equivalent for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.
- f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S. C. 1001 and 31 U.S.C. 231.
- g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

## VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

1. On all federal-aid contracts on the national highway system, except those which provide solely for the installation of protective devices at railroad grade crossings, those which are constructed on a force account or direct labor basis, highway beautification contracts, and contracts for which the total final construction cost for roadway and bridge is less than \$1,000,000 (23 CFR 635) the contractor shall:
  - a. Become familiar with the list of specific materials and supplies contained in Form FHWA-47, "Statement of Materials and Labor Used by Contractor of Highway Construction Involving Federal Funds," prior to the commencement of work under this contract.
  - b. Maintain a record of the total cost of all materials and supplies purchased for and incorporated in the work, and also of the quantities of those specific materials and supplies listed on Form FHWA-47, and in the units shown on Form FHWA-47.
  - c. Furnish, upon the completion of the contract, to the SHA resident engineer on Form FHWA-47 together with the data required in paragraph 1b relative to materials and supplies, a final labor summary of all contract work indicating the total hours worked and the total amount earned.
2. At the prime contractor's option, either a single report covering all contract work or separate reports for the contractor and for each subcontract shall be submitted.

## VII. SUBLETTING OR ASSIGNING THE CONTRACT

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total

original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractors' own organization (23 CFR 635).

- a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.
  - b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.
2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
  3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.
  4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

## VIII. SAFETY: ACCIDENT PREVENTION

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S. C. 333).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the

duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

#### **IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

##### **NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS**

18 U.S.C. 1020 reads as follows:

*"Whoever, being an officer, agent or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or*

*Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or*

*Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;*

*Shall be fined not more than \$10,000 or imprisoned not more than 5 years or both."*

#### **X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$100,000 or more).

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 et seq., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq., as amended by Pub.L.

92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.

2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.

3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.

4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

#### **XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

1. Instructions for Certification - Primary Covered Transactions:

(Applicable to all Federal-aid contracts - 49 CFR 29)

a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.

f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

Page 7

- g.** The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- h.** A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded from Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.
- i.** Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j.** Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

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**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Primary Covered Transactions**

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

- a.** Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b.** Have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c.** Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
- d.** Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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**2. Instructions for Certification - Lower Tier Covered Transactions:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions of \$25,000 or more - 49 CFR 29)

- a.** By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b.** The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- c.** The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d.** The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- e.** The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f.** The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- g.** A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
- h.** Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealing.
- i.** Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Page 8

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**Certification Regarding Debarment, Suspension, Ineligibility And Voluntary Exclusion-Lower Tier Covered Transactions:**



1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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## **XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 - 49 CFR 20)

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**MINIMUM WAGES FOR FEDERAL AND FEDERALLY  
ASSISTED CONSTRUCTION CONTRACTS**

This project is funded, in part, with Federal-aid funds and, as such, is subject to the provisions of the Davis-Bacon Act of March 3, 1931, as amended (46 Sta. 1494, as amended, 40 U.S.C. 276a) and of other Federal statutes referred to in a 29 CFR Part 1, Appendix A, as well as such additional statutes as may from time to time be enacted containing provisions for the payment of wages determined to be prevailing by the Secretary of Labor in accordance with the Davis-Bacon Act and pursuant to the provisions of 29 CFR Part 1. The prevailing rates and fringe benefits shown in the General Wage Determination Decisions issued by the U.S. Department of Labor shall, in accordance with the provisions of the foregoing statutes, constitute the minimum wages payable on Federal and federally assisted construction projects to laborers and mechanics of the specified classes engaged on contract work of the character and in the localities described therein.

General Wage Determination Decisions, modifications and supersedes decisions thereto are to be used in accordance with the provisions of 29 CFR Parts 1 and 5. Accordingly, the applicable decision, together with any modifications issued, must be made a part of every contract for performance of the described work within the geographic area indicated as required by an applicable DBRA Federal prevailing wage law and 29 CFR Part 5. The wage rates and fringe benefits contained in the General Wage Determination Decision shall be the minimum paid by contractors and subcontractors to laborers and mechanics.

**NOTICE**

The most current **General Wage Determination Decisions** (wage rates) are available on the IDOT web site. They are located on the Letting and Bidding page at <http://www.dot.state.il.us/desenv/delett.html>.

In addition, ten (10) days prior to the letting, the applicable Federal wage rates will be e-mailed to subscribers. It is recommended that all contractors subscribe to the Federal Wage Rates List or the Contractor's Packet through IDOT's subscription service.

PLEASE NOTE: if you have already subscribed to the Contractor's Packet you will automatically receive the Federal Wage Rates.

The instructions for subscribing are at <http://www.dot.state.il.us/desenv/subsc.html>.

If you have any questions concerning the wage rates, please contact IDOT's Chief Contract Official at 217-782-7806.